

**Wisconsin Medicaid DUR Board**  
**Recipient Lock-in Program Update – Activity in CY2007**  
**March 5, 2008**

**Summary of Referral Sources by Year – Numbers and Graph of Percents**

- Number of reviews remained relatively constant from 2006 to 2007
  - Phone referrals, End Lock-in, DST were the top 3 sources of referrals
- The Decision Support Tool provided about 23% of referrals despite fewer fee-for-service recipients in Medicaid
- 2007 had the highest percent of HMO referrals since 1999, representing 7.7% of reviews
  - The number of HMO reviews increased from 17 in 2006 to 41 in 2007
  - The HMO Pharmacy Carve-out will likely increase this percentage in 2008
- Number of recipients/MC enrollees enrolled in the RLP = 162 (Not represented on the graph)

**Department of Hearings and Appeals Decision Summary by Year**

- The percent of lock-in recommendations appealed was 9.1%
  - Range in previous 3 years was 9.9% - 16.9%

**Summary of Pharmacist Recommendations by Year**

- The percent of lock-in recommendations was 21% of recommendations
  - Range in previous 3 years was 14% to 24%
- The percent of prescriber alert letters was 21% of recommendations
  - Range in previous 3 years was 20% to 31%
- The percent of warning letters was 14% of recommendations
  - Range in previous 3 years was 12% to 18%
- The percent of no further action recommendations was 14%
  - Percent in previous two years was 15%
- The percent of ‘Other’ recommendations was about 10%
  - Represents primarily phone referrals for MC enrollees forwarded to the MCO

**Review Source and Pharmacist Recommendations Crosstab**

- The decision support tool referrals resulted in about 35% of the actionable recommendations
  - 30% of all lock-in recommendations
  - 35% of all alert letter recommendations
  - 60% of all warning letter recommendations
- Retrospective DUR referrals resulted in about 13% of the actionable recommendations
  - 38% of these were alert letter recommendations, of which the majority were letters to prescribers asking for the indication for narcotics
- Phone referrals resulted in about 11% of the actionable recommendations
- End lock-in referrals resulted in about 3% of the actionable recommendations
  - 94% of all the re-review recommendations and are functionally no further action
  - Only 5% of all lock-in recommendations
  - Only 7% of all alert letter recommendations, of which the majority were letters to prescribers asking for the indication for narcotics

**Physician Alert Letter Summary**

- The percent of letters asking for an indication was stable at about 20%
- The percent of warning letters was 28%, up from about 20% in 2006
- The combined percent of excess dose letters, narcotics while enrolled in an opioid treatment program, and forgery letters was about 8%

# Lock-in Program Work Flow

## Revised 2/21/08

