STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION	(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER:	(X2) MULTIPLE CONSTRUCTION	(X3) DATE SURVEY COMPLETED
	0015093	A. BUILDING: _____ B. WING _____	10/04/2023

NAME OF PROVIDER OR SUPPLIER	STREET ADDRESS, CITY, STATE, ZIP CODE
HERITAGE ELM GROVE H12	800 WALL ST ELM GROVE, WI 53122

(X4) ID PREFIX TAG	SUMMARY STATEMENT OF DEFICIENCIES (EACH DEFICIENCY MUST BE PRECEDED BY FULL REGULATORY OR LSC IDENTIFYING INFORMATION)	ID PREFIX TAG	PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY)	(X5) COMPLETE DATE
U 000	INITIAL COMMENTS On 10/04/2023, Surveyors conducted an abbreviated survey at Heritage Elm Grove H12. One deficiency was identified. Census: 18	U 000		
Z 005	50.065(2)(b)intro ENTITY BACKGROUND CHECK REQUIREMENTS 1. A criminal history search from the records maintained by the department of justice. 2. Every entity shall obtain all of the following with respect to a caregiver of the entity: Information that is contained in the registry under s. 146.40(4g) regarding any findings against the person. 3. Information maintained by the department of safety and professional services regarding the status of the person's credentials, if applicable. 4. Information maintained by the department regarding any final determination under s. 48.981(3)(c)5m. or, if a contested case hearing is held on such a determination, any final decision under s. 48.981(3)(c)5p. that the person has abused or neglected a child. 5. Information maintained by the department under this section regarding any denial to the person of a license, certification, certificate of approval or registration or of a continuation of a license, certification, certificate of approval or registration to operate an entity for a reason specified in sub. (4m)(a)1. to 5. and regarding any denial to the person of employment at, a	Z 005		

LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE | TITLE | (X6) DATE

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Z 005	Continued From page 1 contract with or permission to reside at an entity for a reason specified in sub. (4m)(b)1. to 5. If the information obtained under this subdivision indicates that the person has been denied a license, certification, certificate of approval or registration, continuation of a license, certification, certificate of approval or registration, a contract, employment or permission to reside as described in this subdivision, the entity need not obtain the information specified in subds. 1. to 4. This Rule is not met as evidenced by: Based on record review and interview, the provider did not ensure at the time of hire a complete background check was conducted for 1 of 3 staff reviewed. Former Culinary Assistant G's full background check was not completed at time of hire. The complete background check is to include the background information disclosure form (BID), the criminal history search from the department of justice (DOJ), and the information maintained by the department of safety and professional services regarding a person's credentials (IBIS). Findings include:	Z 005		

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Z 005	Continued From page 2 On 10/04/2023 at approximately 8:30 AM, Surveyor requested the staff roster from Director of Nursing B who stated the staff roster would be the same for the RCAC and connected CBRF because all staff were cross-trained to work in both. On 10/04/2023 at approximately 10:30 AM, Surveyor reviewed the current staff roster. Former Culinary Assistant G was listed with a hire date of 11/21/2022. On 10/04/2023, Surveyor reviewed Former Culinary Assistant G's employee file. His/her record contained 2 BIDs dated 11/19/2022 and 06/06/2023, a DOJ dated 06/06/2023 and an IBIS dated 06/06/2023. The record did not contain a DOJ or IBIS done at time of hire. On 10/03/2023 at 4:25 PM, Surveyor discussed concern of Former Culinary Assistant G's full background check not conducted at time of hire with Regional Director A, Director of Nursing B, Regional Director of Clinical Operations C, Wellness Coordinator D, Support Services E, and Executive Director F. Regional Director A stated they did not find Former Culinary Assistant G's DOJ or IBIS done at time of hire and s/he had left employment in August 2023. Support Services E stated it looked like the complete background check was ran but not uploaded in the termed files and they would send completed full background check to Surveyor on 10/05/2023 AM. As of 4:05 PM on 10/05/2023, the Department had not received Former Culinary Assistant G's full background check conducted at time of hire.	Z 005		