

# Claims

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## Claims:Adjustment Requests

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Topic #814

### Allowed Claim

An allowed claim (or adjustment request) contains at least one service that is reimbursable. Allowed claims display on the Paid Claims Section of the RA (Remittance Advice) with a dollar amount greater than "0" in the allowed amount fields. Only an allowed claim, which is also referred to as a claim in an allowed status, may be adjusted.

Topic #815

### Denied Claim

A claim that was completely denied is considered to be in a denied status. To receive reimbursement for a claim that was completely denied, it must be corrected and submitted as a new claim.

Topic #512

### Electronic

#### 837 Transaction

Even if the original claim was submitted on paper, providers may submit electronic adjustment requests using an [837 \(837 Health Care Claim\) transaction](#).

#### Provider Electronic Solutions Software

The DHS (Department of Health Services) offers electronic billing software at no cost to providers. The PES (Provider Electronic Solutions) software allows providers to submit electronic adjustment requests using an 837 transaction. To obtain PES software, providers may download it from the [ForwardHealth Portal](#). For assistance installing and using PES software, providers may call the [EDI \(Electronic Data Interchange\) Helpdesk](#).

#### Portal Claim Adjustments

Providers can submit claim adjustments via the Portal. Providers may use the search function to find the specific claim to adjust. Once found, the provider can alter the claim to reflect the desired change and resubmit it to ForwardHealth. Any claim ForwardHealth has paid can be adjusted and resubmitted on the Portal, regardless of how the claim was originally submitted.

Topic #513

### Follow-Up

Providers who believe an error has occurred or their issues have not been satisfactorily resolved have the following options:

- Submit a new adjustment request if the previous adjustment request is in an allowed status.
- Submit a new claim for the services if the adjustment request is in a denied status.
- Contact [Provider Services](#) for assistance with paper adjustment requests.
- Contact the [EDI \(Electronic Data Interchange\) Helpdesk](#) for assistance with electronic adjustment requests.

Topic #515

## Paper

Paper adjustment requests must be submitted using the [Adjustment/Reconsideration Request \(F-13046 \(07/12\)\)](#) form.

Topic #816

## Processing

Within 30 days of receipt, ForwardHealth generally reprocesses the original claim with the changes indicated on the adjustment request and responds on ForwardHealth remittance information.

Topic #514

## Purpose

After reviewing both the claim and ForwardHealth [remittance information](#), a provider may determine that an allowed claim needs to be adjusted. Providers may file adjustment requests for reasons including the following:

- To correct billing or processing errors.
- To correct inappropriate payments (overpayments and underpayments).
- To add and delete services.
- To supply additional information that may affect the amount of reimbursement.
- To request professional consultant review (e.g., medical, dental).

Providers may initiate reconsideration of an allowed claim by submitting an adjustment request to ForwardHealth.

Topic #4857

## Submitting Paper Attachments with Electronic Claim Adjustments

Providers may submit [paper attachments to accompany electronic claim adjustments](#). Providers should refer to their [companion guides](#) for directions on indicating that a paper attachment will be submitted by mail.

## Good Faith Claims

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Topic #518

### Definition

A good faith claim may be submitted when a claim is denied due to a discrepancy between the member's enrollment information in the claims processing system and the member's actual enrollment. If a member presents a temporary card or an EE (Express Enrollment) card, BadgerCare Plus encourages providers to check the member's enrollment and, if the enrollment is not on file yet, make a photocopy of the member's temporary card or EE card. If Wisconsin's EVS (Enrollment Verification System) indicates that the member is not enrolled in BadgerCare Plus, providers should check enrollment again in two days or wait one week to submit a claim to ForwardHealth. If the EVS indicates that the member still is not enrolled after two days, or if the claim is denied with an enrollment-related EOB (Explanation of Benefits) code, providers should contact [Provider Services](#) for assistance.

## Overpayments

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Topic #528

### Adjustment Request vs. Cash Refund

Except for nursing home and hospital providers, cash refunds may be submitted to ForwardHealth in lieu of an adjustment request. However, whenever possible, providers should submit an adjustment request for returning overpayments since both of the following are true:

- A cash refund does not provide documentation for provider records as an adjustment request does. (Providers may be required to submit proof of the refund at a later time.)
- Providers are not able to further adjust the claim after a cash refund is done if an additional reason for adjustment is determined.

Topic #532

### Adjustment Requests

When correcting an overpayment through an adjustment request, providers may submit the adjustment request electronically or on paper. Providers should not submit provider-based billing claims through adjustment processing channels.

ForwardHealth processes an adjustment request if the provider is all of the following:

- Medicaid-enrolled on the DOS (date of service).
- Not currently under investigation for Medicaid fraud or abuse.
- Not subject to any intermediate sanctions under [DHS 106.08](#), Wis. Admin. Code.
- Claiming and receiving ForwardHealth reimbursement in sufficient amounts to allow the recovery of the overpayment within a very limited period of time. The period of time is usually no more than 60 days.

### Electronic Adjustment Requests

ForwardHealth will deduct the overpayment when the [electronic adjustment request](#) is processed. Providers should use the [companion guide](#) for the appropriate 837 (837 Health Care Claim) transaction when submitting adjustment requests.

### Paper Adjustment Requests

For [paper adjustment requests](#), providers are required to do the following:

- Submit an [Adjustment/Reconsideration Request \(F-13046 \(07/12\)\)](#) form through normal processing channels (not Timely Filing), regardless of the DOS.
- Indicate the reason for the overpayment, such as a duplicate reimbursement or an error in the quantity indicated on the claim.

After the paper adjustment request is processed, ForwardHealth will deduct the overpayment from future reimbursement amounts.

Topic #533

## Cash Refunds

When submitting a personal check to ForwardHealth for an overpayment, providers should include a copy of the RA (Remittance Advice) for the claim to be adjusted and highlight the affected claim on the RA. If a copy of the RA is not available, providers should indicate the ICN (internal control number), the NPI (National Provider Identifier) (if applicable), and the payee ID from the RA for the claim to be adjusted. The check should be sent to the following address:

ForwardHealth  
Financial Services Cash Unit  
313 Blettner Blvd  
Madison WI 53784

Topic #531

## ForwardHealth-Initiated Adjustments

ForwardHealth may initiate an adjustment when a retroactive rate increase occurs or when an improper or excess payment has been made. ForwardHealth has the right to pursue overpayments resulting from computer or clerical errors that occurred during claims processing.

If ForwardHealth initiates an adjustment to recover overpayments, ForwardHealth remittance information will include details of the adjustment in the Claims Adjusted Section of the paper RA (Remittance Advice).

Topic #530

## Requirements

As stated in [DHS 106.04\(5\)](#), Wis. Admin. Code, the provider is required to refund the overpayment within 30 days of the date of the overpayment if a provider receives overpayment for a claim because of duplicate reimbursement from ForwardHealth or other health insurance sources.

In the case of all other overpayments (e.g., incorrect claims processing, incorrect maximum allowable fee paid), providers are required to return the overpayment within 30 days of the date of discovery.

The return of overpayments may occur through one of the following methods:

- Return of overpayment through the adjustment request process.
- Return of overpayment with a cash refund.
- Return of overpayment with a voided claim.
- ForwardHealth-initiated adjustments.

*Note:* Nursing home and hospital providers may not return an overpayment with a cash refund. These providers routinely receive retroactive rate adjustments, requiring ForwardHealth to reprocess previously paid claims to reflect a new rate. This is not possible after a cash refund is done.

Topic #8417

## Voiding Claims

Providers may void claims on the ForwardHealth Portal to return overpayments. This way of returning overpayments may be a more efficient and timely way for providers as a voided claim is a complete recoupment of the payment for the entire claim. Once a claim is voided, the claim can no longer be adjusted; however, the services indicated on the voided claim may be resubmitted on a new claim.

## Responses

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Topic #540

### An Overview of the Remittance Advice

The RA (Remittance Advice) provides important information about the processing of claims and adjustment requests as well as additional financial transactions such as refunds or recoupment amounts withheld. ForwardHealth provides [electronic RAs](#) to providers on their secure ForwardHealth Portal accounts when at least one claim, adjustment request, or financial transaction is processed. RAs are generated from the appropriate ForwardHealth program when at least one claim, adjustment request, or financial transaction is processed. An RA is generated regardless of how a claim or adjustment is submitted (electronically or on paper). Generally, payment information is released and an RA is generated by ForwardHealth no sooner than the first state business day following the financial cycle.

Providers are required to access their secure [ForwardHealth provider Portal account](#) to obtain their RA.

RAs are accessible to providers in a TXT (text) format via the secure Provider area of the Portal. Providers are also able to download the RA from their secure provider Portal account in a CSV (comma-separated values) format.

Topic #5091

### National Provider Identifier on the Remittance Advice

Health care providers who have a single NPI (National Provider Identifier) that is used for multiple enrollments will receive an RA for each enrollment with the same NPI reported on each of the RAs. For instance, if a hospital has obtained a single NPI and the hospital has a clinic, a lab, and a pharmacy that are all enrolled in Wisconsin Medicaid, the clinic, the lab, and the pharmacy will submit separate claims that indicate the same NPI as the hospital. Separate RAs will be generated for the hospital, the clinic, the lab, and the pharmacy.

Topic #4818

### Calculating Totals on the Remittance Advice for Adjusted and Paid Claims

The total amounts for all adjusted or paid claims reported on the RA (Remittance Advice) appear at the end of the adjusted claims and paid claims sections. ForwardHealth calculates the total for each section by adding the net amounts for all claims listed in that section. Cutback amounts are subtracted from the allowed amount to reach the total reimbursement for the claims.

*Note:* Some cutbacks that are reported in detail lines will appear as EOB (Explanation of Benefits) codes and will not display an exact dollar amount.

Topic #534

### Claim Number

Each claim or adjustment request received by ForwardHealth is assigned a unique claim number (also known as the ICN (internal



control number)). However, denied real-time compound and noncompound claims are not assigned an ICN, but receive an authorization number. Authorization numbers are not reported to the RA (Remittance Advice) or 835 (835 Health Care Claim Payment/Advice).

## Interpreting Claim Numbers

The [ICN](#) consists of 13 digits that identify valuable information (e.g., the date the claim was received by ForwardHealth, how the claim was submitted) about the claim or adjustment request.

## Interpreting Claim Numbers

Each claim and adjustment received by ForwardHealth is assigned a unique claim number (also known as the internal control number or ICN). This number identifies valuable information about the claim and adjustment request. The following diagram and table provide detailed information about interpreting the claim number.



| Type of Number and Description   | Applicable Numbers and Description  |
|--|---|
| <b>Region</b> — Two digits indicate the region. The region indicates how ForwardHealth received the claim or adjustment request.             | 10 — Paper Claims with No Attachments<br>11 — Paper Claims with Attachments<br>20 — Electronic Claims with No Attachments<br>21 — Electronic Claims with Attachments<br>22 — Internet Claims with No Attachments<br>23 — Internet Claims with Attachments<br>25 — Point-of-Service Claims<br>26 — Point-of-Service Claims with Attachments<br>40 — Claims Converted from Former Processing System<br>45 — Adjustments Converted from Former Processing System<br>50–59 — Adjustments<br>80 — Claim Resubmissions<br>90–91 — Claims Requiring Special Handling |
| <b>Year</b> — Two digits indicate the year ForwardHealth received the claim or adjustment request.   | For example, the year 2008 would appear as 08.  |
| <b>Julian date</b> — Three digits indicate the day of the year, by Julian date, that ForwardHealth received the claim or adjustment request. | For example, February 3 would appear as 034.  |
| <b>Batch range</b> — Three digits indicate the batch range assigned to the claim.  | The batch range is used internally by ForwardHealth.  |
| <b>Sequence number</b> — Three digits indicate the sequence number assigned within the batch range.  | The sequence number is used internally by ForwardHealth.  |

Topic #535

## Claim Status

ForwardHealth generally processes claims and adjustment requests within 30 days of receipt. Providers may check the status of a claim or adjustment request using the [AVR \(Automated Voice Response\)](#) system or the 276/277 (276/277 Health Care Claim Status Request and Response) transaction.

If a claim or adjustment request does not appear in claim status within 45 days of the date of submission, a copy of the original claim or adjustment request should be resubmitted through normal processing channels.

Topic #644

## ClaimCheck Review

ForwardHealth monitors all professional claims for compliance with reimbursement policy using an automated procedure coding review software known as McKesson ClaimCheck<sup>®</sup>. ClaimCheck reviews claims submitted for billing inconsistencies and errors during claims processing. Insurance companies, Medicare, and other state Medicaid programs use similar software.

EOB (Explanation of Benefits) codes specific to the ClaimCheck review appear in the TXT (text) RA (Remittance Advice) file and in the electronic 835 (835 Health Care Claim Payment/Advice) transactions.

ClaimCheck review does not change Medicaid or BadgerCare Plus policy on covered services but monitors compliance with policy more closely and reimburses providers appropriately.

## Areas Monitored by ClaimCheck

ForwardHealth uses ClaimCheck software to monitor the following situations:

- Unbundled procedures.
- Incidental/integral procedures.
- Mutually exclusive procedures.
- Medical visit billing errors.
- Preoperative and postoperative billing errors.
- Medically obsolete procedures.
- Assistant surgeon billing errors.
- Gender-related billing errors.

ClaimCheck will not review claims that have been denied for general billing errors, such as an invalid member identification number or an invalid or missing provider number. Providers will need to correct the general billing error and resubmit the claim, at which point ClaimCheck will review the claim.

## Unbundled Procedures

Unbundling occurs when two or more procedure codes are used to describe a procedure that may be better described by a single, more comprehensive procedure code. ClaimCheck considers the single, most appropriate procedure code for reimbursement when unbundling is detected.

If certain procedure codes are submitted, ClaimCheck rebundles them into the single most appropriate procedure code. For example, if a provider submits a claim with procedure codes 12035 (Repair, intermediate, wounds of scalp, axillae, trunk and/or

extremities [excluding hands and feet]; 12.6 cm to 20.0 cm) and 12036 (Repair, intermediate, wounds of scalp, axillae, trunk and/or extremities [excluding hands and feet]; 20.1 cm to 30.0 cm), ClaimCheck rebundles them to procedure code 12037 (Repair, intermediate, wounds of scalp, axillae, trunk and/or extremities [excluding hands and feet]; over 30.0 cm).

ClaimCheck will also total billed amounts for individual procedures. For example, if the provider bills three procedures at \$20, \$30, and \$25, ClaimCheck rebundles them into a single procedure code, adds the three amounts, and calculates the billed amount for that rebundled code at \$75. Then, ForwardHealth reimburses the provider either the lesser of the billed amounts or the maximum allowable fee for that rebundled procedure code.

## **Incidental/Integral Procedures**

Incidental procedures are those procedures performed at the same time as a more complex primary procedure. These require few additional provider resources and are generally not considered necessary to the performance of the primary procedure. For example, the removal of an asymptomatic appendix is considered an incidental procedure when done during hysterectomy surgery.

Integral procedures are those procedures performed as part of a more complex primary procedure. For example, when a member undergoes a transurethral incision of the prostate, the cystourethroscopy (procedure code 52000) is considered integral to the performance of the prostate procedure and would be denied.

When a procedure is either incidental or integral to a major procedure, ClaimCheck considers only the primary procedure for reimbursement.

## **Mutually Exclusive Procedures**

Mutually exclusive procedures are procedures that would not be performed on a single member on the same day or that use different codes to describe the same type of procedure.

For example, procedure code 58260 (Vaginal hysterectomy, for uterus 250 g or less) and procedure code 58150 (Total abdominal hysterectomy [corpus and cervix], with or without removal of tube[s], with or without removal of ovary[s]) are mutually exclusive — either one or the other, but not both procedures, is performed.

When two or more procedures are mutually exclusive, ForwardHealth considers for reimbursement the procedure code with the highest provider-billed amount and denies the other code.

## **Medical Visit Billing Errors**

Medical visit billing errors occur if E&M (evaluation and management) services are reported separately when a substantial diagnostic or therapeutic procedure is performed. Under CMS (Centers for Medicare and Medicaid Services) guidelines, most E&M procedures are not allowed to be reported separately when a substantial diagnostic or therapeutic procedure is performed.

Medical visit edits monitor services included in CPT (Current Procedural Terminology) procedure ranges 92002-92019, 99024 (postoperative follow-up), 99026-99058 (special services), 99201-99456 (E&M codes) and HCPCS (Healthcare Common Procedure Coding System) codes S0620, S0621 (routine ophthalmological examinations).

ClaimCheck monitors medical visits based on the type of E&M service (i.e., initial or new patient; or follow-up or established patient services) and the complexity (i.e., major or minor) of the accompanying procedure.

For example, if a provider submits procedures 22630 (Arthrodesis, posterior interbody technique, including laminectomy and/or discectomy to prepare interspace [other than for decompression], single interspace; lumbar) and 99221 (Initial hospital care, per day), ClaimCheck denies procedure 99221 as a visit when submitted with procedure 22630 with the same DOS (date of service). Procedure code 22630 is a major procedure with a 90-day global surgical period.

## Preoperative and Postoperative Billing Errors

Preoperative and postoperative billing errors occur when E&M services are billed with surgical procedures during their preoperative and postoperative periods. ClaimCheck bases the preoperative and postoperative periods on designations in the CMS National Physician Fee Schedule.

For example, if a provider submits procedure code 99212 (Office or other outpatient visit for the evaluation and management of an established patient, which requires at least 2 of these 3 key components: A problem focused history; A problem focused examination; Straightforward medical decision making [10 minutes]) with a DOS of 11/02/08 and procedure 27750 (Closed treatment of tibial shaft fracture [with or without fibular fracture]; without manipulation) with a DOS of 11/03/08, ClaimCheck will deny procedure code 99212 as a preoperative visit because it is submitted with a DOS one day prior to the DOS for procedure code 27750.

## Medically Obsolete Procedures

Obsolete procedures are procedures that are no longer performed under prevailing medical standards. Claims for procedures designated as obsolete are denied.

## Assistant Surgeon Billing Errors

ClaimCheck development and maintenance of assistant surgeon values includes two designations, *always* and *never*. ClaimCheck uses the ACS (American College of Surgeons) as its primary source for determining assistant surgeon designations. ForwardHealth's list of procedure codes allowable with an assistant surgeon designation is consistent with ClaimCheck.

For example, if a provider bills procedure code 10040 (Acne surgery [eg, marsupialization, opening or removal of multiple milia, comedones, cysts, pustules]) with modifier 80 (assistant surgeon), ClaimCheck determines that the procedure does not require an assistant surgeon and denies the procedure code.

## Gender-Related Billing Errors

Gender-related billing errors occur when a provider submits a gender-specific procedure for a patient of the opposite sex. ForwardHealth has adopted ClaimCheck's designation of gender for procedure codes.

For example, if a provider submits procedure code 58150 (Total abdominal hysterectomy [corpus and cervix], with or without removal of tube[s], with or without removal of ovary[s]) for a male, ClaimCheck will deny the procedure based on the fact that procedure code 58150 is a female gender-specific procedure.

## Payments Denied as a Result of the ClaimCheck Review

Providers should take the following steps if they are uncertain about why particular services on a claim were denied:

- Review ForwardHealth remittance information for the specific reason for the denial.
- Review the claim submitted to ensure all information is accurate and complete.
- Consult current CPT and HCPCS publications to make sure proper coding instructions were followed.
- Consult current ForwardHealth publications to make sure current policy and billing instructions were followed.
- Call Provider Services for further information or explanation.

If a provider disagrees with ClaimCheck's determination, the provider may resubmit the claim with supporting documentation to Provider Service Written Correspondence. If the original claim is in an allowed status, the provider may submit an [Adjustment/Reconsideration Request \(F-13046 \(07/12\)\)](#) with supporting documentation and the words "medical consultant

review requested" written on the form to Provider Services Written Correspondence.

Topic #4746

## Cutback Fields on the Remittance Advice for Adjusted and Paid Claims

Cutback fields indicate amounts that reduce the allowed amount of the claim. Examples of cutbacks include other insurance, member copayment, spenddown amounts, deductibles, or patient liability amounts. Amounts indicated in a cutback field are subtracted from the total allowed reimbursement.

Providers should note that cutback amounts indicated in the header of an adjusted or paid claim section apply only to the header. Not all cutback fields that apply to a detail line (such as copayments or spenddowns) will be indicated on the RA (Remittance Advice); the detail line EOB (Explanation of Benefits) codes inform providers that an amount was deducted from the total reimbursement but may not indicate the exact amount.

*Note:* Providers who receive [835 \(835 Health Care Claim Payment/Advice\)](#) transactions will be able to see all deducted amounts on paid and adjusted claims.

Topic #537

## Electronic Remittance Information

Providers are required to access their secure [ForwardHealth provider Portal account](#) to obtain their RAs (Remittance Advices). Electronic RAs on the Portal are not available to the following providers because these providers are not allowed to establish Portal accounts by their Provider Agreements:

- In-state emergency providers.
- Out-of-state providers.
- Out-of-country providers.

RAs are accessible to providers in a TXT (text) format or from a CSV (comma-separated values) file via the secure Provider area of the Portal.

### Text File

The TXT format file is generated by financial payer and listed by RA number and RA date on the secure provider Portal account under the "View Remittance Advices" menu. RAs from the last 97 days are available in the TXT format. When a user clicks on an RA, a pop-up window displays asking if the user would like to "Open" or "Save" the file. If "Open" is chosen, the document opens based on the user's application associated with opening text documents. If "Save" is chosen, the "Save As" window will open. The user can then browse to a location on their computer or network to save the document.

Users should be aware that "Word Wrap" must be turned off in the Notepad application. If it is not, it will cause distorted formatting. Also, users may need to resize the Notepad window in order to view all of the data. Providers wanting to print their files must ensure that the "Page Setup" application is set to the "Landscape" setting; otherwise the printed document will not contain all the information.

### Comma-Separated Values Downloadable File

A CSV file is a file format accepted by a wide range of computer software programs. Downloadable CSV-formatted RAs allow users the benefits of building a customized RA specific to their use and saving the file to their computer. The CSV file on a provider's Portal appears as linear text separated by commas until it is downloaded into a compatible software program. Once downloaded, the file may be saved to a user's computer and the data manipulated, as desired.

To access the CSV file, providers should select the "View Remittance Advices" menu at the top of the provider's Portal home page.

The CSV files are generated per financial payer and listed by RA number and RA date. A separate CSV file is listed for the last 10 RAs. Providers can select specific sections of the RA by date to download making the information easy to read and organize.

The CSV file may be downloaded into a Microsoft Office Excel spreadsheet or into another compatible software program, such as Microsoft Office Access or OpenOffice 2.2.1. OpenOffice is a free software program obtainable from the Internet. Google Docs and ZDNet also offer free spreadsheet applications. Microsoft Office Excel, a widely used program, is a spreadsheet application for Microsoft Windows and Mac OS X. For maximum file capabilities when downloading the CSV file, the 1995 Office Excel for Windows (Version 7.0) included in Office 95 or a newer version is recommended. Earlier versions of Microsoft Office Excel will work with the CSV file; however, files exceeding 65,000 lines may need to be split into smaller files when downloading using earlier versions. Microsoft Office Access can manage larger data files.

Refer to the CSV User Guide on the [Portal User Guides page](#) of the Portal for instructions about Microsoft Office Excel functions that can be used to manipulate RA data downloaded from the CSV file.

## 835

Electronic remittance information may be obtained using the [835 \(835 Health Care Claim Payment/Advice\)](#) transaction. It provides useful information regarding the processing of claims and adjustment requests, which includes the status or action taken on a claim, claim detail, adjustment, or adjustment detail for all claims and adjustments processed that week, regardless of whether they are reimbursed or denied. However, a real-time compound or noncompound claim will not appear on remittance information if the claim is denied by ForwardHealth. ForwardHealth releases payment information to the 835 no sooner than on the first state business day following the financial cycle.

## Provider Electronic Solutions Software

The DHCAA (Division of Health Care Access and Accountability) offers electronic billing software at no cost to the provider. The PES (Provider Electronic Solutions) software allows providers to download the 835 transaction. To obtain PES software, providers may download it from the [ForwardHealth Portal](#). For assistance installing and using PES software, providers may call the [EDI \(Electronic Data Interchange\) Helpdesk](#).

Topic #4822

## Explanation of Benefit Codes in the Claim Header and in the Detail Lines

EOB (Explanation of Benefits) codes are four-digit numeric codes specific to ForwardHealth that correspond to a printed message about the status or action taken on a claim, claim detail, adjustment, or adjustment detail.

The claim processing sections of the RA (Remittance Advice) report EOBs for the claim header information and detail lines, as appropriate. Header information is a summary of the information from the claim, such as the DOS (date of service) that the claim covers or the total amount paid for the claim. Detail lines report information from the claim details, such as specific procedure codes or revenue codes, the amount billed for each code, and the amount paid for a detail line item.

Header EOBs are listed below the claim header information and pertain only to the header information. Detail line EOBs are listed after each detail line and pertain only to the detail line.

## TEXT File

EOB codes and descriptions are listed in the RA information in the TXT (text) file.

## CSV File

EOB codes are listed in the RA information from the CSV (comma-separated values) file; however, the printed messages corresponding to the codes do not appear in the file. The [EOB Code Listing](#) matching standard EOB codes to explanation text is available on the Portal for reference.

Topic #13437

## ForwardHealth-Initiated Claim Adjustments

There are times when ForwardHealth must initiate a claim adjustment to address claim issues that do not require provider action and do not affect reimbursement.

Claims that are subject to this type of ForwardHealth-initiated claim adjustment will have EOB (Explanation of Benefits) code 8234 noted on the RA (Remittance Advice).

The adjusted claim will be assigned a new claim number, known as an ICN (internal control number). The new ICN will begin with "58." If the provider adjusts this claim in the future, the new ICN will be required when resubmitting the claim.

Topic #4820

## Identifying the Claims Reported on the Remittance Advice

The RA (Remittance Advice) reports the first 12 characters of the MRN (medical record number) and/or a PCN (patient control number), also referred to as Patient Account Number, submitted on the original claims. The MRN and PCN fields are located beneath the member's name on any section of the RA that reports claims processing information.

Providers are strongly encouraged to enter these numbers on claims. Entering the MRN and/or the PCN on claims may assist providers in identifying the claims reported on the RA.

*Note:* Claims processing sections for dental and drug claims do not include the MRN or the PCN.

Topic #11537

## National Correct Coding Initiative

As part of the federal Patient Protection and Affordable Care Act of 2010, the CMS (Centers for Medicare and Medicaid Services) are required to promote correct coding and control improper coding leading to inappropriate payment of claims under Medicaid. The NCCI (National Correct Coding Initiative) is the CMS response to this requirement. The CMS developed its coding policies based on coding conventions defined in the American Medical Association's CPT (Current Procedural

Terminology) manual, national and local policies and edits, coding guidelines developed by national societies, analysis of standard medical and surgical practices, and a review of current coding practices. The NCCI includes the creation and implementation of claims processing edits to ensure correct coding on claims submitted for Medicaid reimbursement.

ForwardHealth is required to implement the NCCI in order to monitor all professional claims submitted with CPT or HCPCS (Healthcare Common Procedure Coding System) procedure codes for Wisconsin Medicaid, BadgerCare Plus, and Family Planning Only Services for compliance with the following NCCI edits:

- MUE (Medically Unlikely Edits), or units-of-service detail edits, for claims submitted on and after March 21, 2011, regardless of DOS (dates of service).
- Procedure-to-procedure detail edits for claims submitted on and after April 1, 2011, regardless of DOS.

The NCCI editing will occur in addition to/along with current procedure code review and editing completed by McKesson ClaimCheck<sup>®</sup> and in ForwardHealth interChange.

## Medically Unlikely Detail Edits

MUE, or units-of-service detail edits, define for each CPT/HCPCS code the maximum units of service that a provider would report under most circumstances for a single member on a single DOS. If a detail on a claim is denied for MUE, providers will receive an EOB (Explanation of Benefits) code on the RA (Remittance Advice) indicating that the detail was denied due to NCCI.

An example of an MUE would be procedure code 11100 (i.e., biopsy of skin lesion). This procedure is medically unlikely to occur more than once; therefore, if it is billed with units greater than one, the detail will be denied.

## Procedure-to-Procedure Detail Edits

Procedure-to-procedure detail edits define pairs of CPT/HCPCS codes that should not be reported together on the same DOS for a variety of reasons. This edit applies across details on a single claim or across different claims. For example, an earlier claim that was paid may be denied and recouped if a more complete code is billed for the same DOS on a separate claim. If a detail on a claim is denied for procedure-to-procedure edit, providers will receive an EOB code on the RA indicating that the detail was denied due to NCCI.

An example of a procedure-to-procedure edit would be procedure code 11451 (i.e., removal of a sweat gland lesion). This is a more complex service than procedure code 93000 (i.e., electrocardiogram) and, therefore, the secondary procedure would be denied.

## Quarterly Code List Updates

The CMS will issue quarterly revisions to the table of codes subject to NCCI edits that ForwardHealth will adopt and implement. Refer to the [CMS Web site](#) for downloadable code lists.

## Claim Details Denied as a Result of National Correct Coding Initiative Edits

Providers should take the following steps if they are uncertain about why particular services on a claim were denied:

- Review ForwardHealth remittance information for the EOB message related to the denial.
- Review the claim submitted to ensure all information is accurate and complete.
- Consult current CPT and HCPCS publications to make sure proper coding instructions were followed.
- Consult current ForwardHealth publications, including the Online Handbook, to make sure current policy and billing instructions were followed.



- Call [Provider Services](#) for further information or explanation.

If reimbursement for a claim or a detail on a claim is denied due to an MUE or procedure-to-procedure edit, providers may appeal the denial. Following are instructions for submitting an appeal:

- Complete the [Adjustment/Reconsideration Request \(F-13046 \(07/12\)\)](#) form. In Element 16, select the "Consultant review requested" checkbox and the "Other/comments" checkbox. In the "Other/comments" text box, indicate "Reconsideration of an NCCI denial."
- Attach notes/supporting documentation.
- Submit a claim, Adjustment/Reconsideration Request, and additional notes/supporting documentation to ForwardHealth for processing.

Topic #539

## Obtaining the Remittance Advice

Providers are required to access their secure ForwardHealth provider Portal account to obtain RAs (Remittance Advice). The secure Portal allows providers to conduct business and exchange electronic transactions with ForwardHealth. A separate Portal account is required for each financial payer.

Providers who do not have a [ForwardHealth provider Portal account](#) may request one.

RAs are accessible to providers in a TXT (text) format via the secure provider Portal account. The TXT format file is generated per financial payer and listed by RA number and RA date on the secure provider Portal account under "View Remittance Advices" menu at the top of the provider's Portal home page. RAs from the last 97 days are available in the TXT format.

Providers can also access RAs in a CSV (comma-separated values) format from their secure provider Portal account. The CSV files are generated per financial payer and listed by RA number and RA date on the secure provider Portal account under "View Remittance Advices" menu at the top of the provider's Portal home page. A separate CSV file is listed for the last 10 RAs.

Topic #4745

## Overview of Claims Processing Information on the Remittance Advice

The claims processing sections of the RA (Remittance Advice) includes information submitted on claims and the status of the claims. The claim status designations are paid, adjusted, or denied. The RA also supplies information about why the claim was adjusted or denied or how the reimbursement was calculated for the payment.

The claims processing information in the RA is grouped by the type of claim and the status of the claim. Providers receive claims processing sections that correspond to the types of claims that have been finalized during the current financial cycle.

The [claims processing sections](#) reflect the types of claims submitted, such as the following:

- Compound drug claims.
- Dental claims.
- Drug claims.
- Inpatient claims.
- Long term care claims.
- Medicare crossover institutional claims.

- Medicare crossover professional claims.
- Outpatient claims.
- Professional claims.

The claims processing sections are divided into the following status designations:

- Adjusted claims.
- Denied claims.
- Paid claims.

## Claim Types on the Remittance Advice and Corresponding Provider Types

| Claim Types                             | Provider Types  |
|---|---|
| Dental claims                           | Dentists, dental hygienists, HealthCheck agencies that provide dental services.   |
| Drug and compound drug claims           | Pharmacies and dispensing physicians.   |
| Inpatient claims                        | Inpatient hospital providers and institutes for mental disease providers.   |
| Long term care claims                   | Nursing homes.  |
| Medicare crossover institutional claims | Most providers who submit claims on the UB-04.  |
| Medicare crossover professional claims  | Most providers who submit claims on the 1500 Health Insurance Claim Form.   |
| Outpatient claims                       | Outpatient hospital providers and hospice providers.  |
| Professional claims                     | Ambulance providers, ambulatory surgery centers, anesthesiologist assistants, audiologists, case management providers, certified registered nurse anesthetists, chiropractors, community care organizations, community support programs, crisis intervention providers, day treatment providers, family planning clinics, federally qualified health centers, HealthCheck providers, HealthCheck "Other Services" providers, hearing instrument specialists, home health agencies, independent labs, individual medical supply providers, medical equipment vendors, mental health/substance abuse clinics, nurses in independent practice, nurse practitioners, occupational therapists, opticians, optometrists, personal care agencies, physical therapists, physician assistants, physician clinics, physicians, podiatrists, portable X-ray providers, prenatal care coordination providers, psychologists, rehabilitation agencies, respiratory therapists, rural health clinics, school-based services providers, specialized medical vehicle providers, speech and hearing clinics, speech-language pathologists, therapy groups. |

Topic #4821

## Prior Authorization Number on the Remittance Advice

The RA (Remittance Advice) reports PA (prior authorization) numbers used to process the claim. PA numbers appear in the detail lines of claims processing information.

Topic #4418

## Reading Non-Claims Processing Sections of the Remittance Advice

## Address Page

In the TXT (text) file, the Address page displays the provider name and "Pay to" address of the provider.

## Banner Messages

The Banner Messages section of the RA (Remittance Advice) contains important, time-sensitive messages for providers. For example, banner messages might inform providers of claim adjustments initiated by ForwardHealth, claim submission deadlines, and dates of upcoming training sessions. It is possible for each RA to include different messages; therefore, providers who receive multiple RAs should read all of their banner messages.

Banner messages appear on the TXT file, but not on the CSV (comma-separated values) file. Banner messages are posted in the "View Remittance Advices" menu on the provider's secure Portal account.

## Explanation of Benefits Code Descriptions

[EOB \(Explanation of Benefits\) code descriptions](#) are listed in the RA information in the TXT file.

EOB codes are listed in the RA information from the CSV file; however, the printed messages corresponding to the codes do not appear in the file.

## Financial Transactions Page

The Financial Transactions section details the provider's weekly financial activity. Financial transactions reported on the RA include payouts, refunds, accounts receivable, and payments for claims.

Payouts are payments made to the provider by ForwardHealth that do not correspond to a specific claim (i.e., nursing home assessment reimbursement).

Refunds are payments made to providers for overpayments.

The Accounts Receivable section displays the accounts receivable for amounts owed by providers. The accounts receivable is set to automatically recover any outstanding balance so that money owed is automatically recouped from the provider. If the full amount cannot be recouped during the current financial cycle, an outstanding balance will appear in the "Balance" column.

In the Accounts Receivable section, the "Amount Recouped In Current Cycle" column, when applicable, shows the recoupment amount for the financial cycle as a separate number from the "Recoupment Amount To Date." The "Recoupment Amount To Date" column shows the total amount recouped for each accounts receivable, *including* the amount recouped in the current cycle. The "Total Recoupment" *line* shows the sum of all recoupments to date in the "Recoupment Amount To Date" column and the sum of all recoupments for the current financial cycle in the "Amount Recouped In Current Cycle" column.

For each claim adjustment listed on the RA, a separate accounts receivable will be established and will be listed in the Financial Transactions section. The accounts receivable will be established for the entire amount of the original paid claim. This reflects the way ForwardHealth adjusts claims — by first recouping the entire amount of the original paid claim.

Each new claim adjustment is assigned an identification number called the "Adjustment ICN (internal control number)." For other financial transactions, the adjustment ICN is determined by the following formula.

| Type of Character and Description               | Applicable Characters and Description |
|---|---------------------------------------|
| Transaction — The first character indicates the | V — Capitation adjustment             |

|   |  |
|---|--|
| type of financial transaction that created the accounts receivable.             | 1 — OBRA Level 1 screening void request<br><br>2 — OBRA Nurse Aide Training/Testing void request |
| Identifier — 10 additional numbers are assigned to complete the Adjustment ICN. | The identifier is used internally by ForwardHealth.  |

## Service Code Descriptions

The Service Code Descriptions section lists all the service codes (i.e., procedure codes or revenue codes) reported on the RA with their corresponding descriptions.

## Summary

The Summary section reviews the provider's claim activity and financial transactions with the payer (Medicaid, WCDP (Wisconsin Chronic Disease Program), or WWWP (Wisconsin Well Woman Program)) for the current financial cycle, the month-to-date, and the year-to-date, if applicable.

Under the "Claims Data" heading, providers can review the total number of claims that have been paid, adjusted, or denied along with the total amount reimbursed for all paid and adjusted claims. Only WWWP providers will see amounts reported for "Claims in Process." Other providers will always see zeroes in these fields.

Under the "Earnings Data" heading, providers will see total reimbursement amounts for other financial transactions, such as reimbursement for OBRA (Omnibus Budget Reconciliation Act of 1987) Level 1 screening, reimbursement for OBRA Nurse Aid Training/Testing, and capitation payments.

*Note:* HMOs should note that capitation payments are only reported in the Summary section of the RA. HMOs receive supplemental reports of their financial transactions from ForwardHealth.

The "Earnings Data" portion also summarizes refunds and voids and reports the net payment for the current financial cycle, the month-to-date, and the year-to-date, if applicable.

Providers should note that the Summary section will include outstanding checks 90 days after issuance and/or payments made to lien holders, if applicable.

Topic #368

## Reading the Claim Adjustments Section of the Remittance Advice

Providers receive a Claim Adjustments section in the RA (Remittance Advice) if any of their claims were adjusted during the current financial cycle. A claim may be adjusted because one of the following occurred:

- An adjustment request was submitted by the provider.
- ForwardHealth initiated an adjustment.
- A cash refund was submitted to ForwardHealth.

To adjust a claim, ForwardHealth recoups the *entire amount* of the original paid claim and calculates a new payment amount for

the claim adjustment. ForwardHealth does not recoup the *difference* — or pay the *difference* — between the original claim amount and the claim adjustment amount.

In the Claim Adjustments section, the original claim information in the claim header is surrounded by parentheses. Information about the claim adjustment appears directly below the original claim header information. Providers should check the Adjustment EOB (Explanation of Benefits) code(s) for a summary of why the claim was adjusted; other header EOBs will provide additional information.

The Claim Adjustments section only lists detail lines for a claim adjustment if that claim adjustment has detail line EOBs. This section does not list detail lines for the original paid claim.

*Note:* For adjusted compound and noncompound claims, only the compound drug sections include detail lines.

Below the claim header and the detail information will be located one of three possible responses with a corresponding dollar amount: "Additional Payment," "Overpayment To Be Withheld," or "Refund Amount Applied." The response indicated depends on the difference between the original claim amount and the claim adjustment amount.

If the difference is a positive dollar amount, indicating that ForwardHealth owes additional monies to the provider, then the amount appears in the "Additional Payment" line.

If the difference is a negative dollar amount, indicating that the provider owes ForwardHealth additional monies, then the amount appears in the "Overpayment To Be Withheld" line. ForwardHealth automatically withholds this amount from payments made to the provider during the same financial cycle or during subsequent financial cycles, if necessary. This amount also appears in the Financial Transactions section as an outstanding balance under "Accounts Receivable."

An amount appears for "Refund Amount Applied" if ForwardHealth makes a payment to refund a cash receipt to a provider.

Topic #4824

## Reading the Claims Denied Section of the Remittance Advice

Providers receive a [Claims Denied](#) section in the RA (Remittance Advice) if any of their claims were denied during the current financial cycle.

In the denied claims section, providers will see the original claim header information reported along with EOB (Explanation of Benefits) codes for the claim header and the detail lines, as applicable. Providers should refer to the EOB Code Description section of the RA to determine why the claim was denied.

## Sample Professional Services Claims Denied Section of the Remittance Advice

| Remittance Advice — Professional Service Claims Denied Sample  |               |               |                               |        |                          |                |              |                  |                  |                 |      |      |      |      |      |      |      |      |      |      |
|--|---------------|---------------|-------------------------------|--------|--------------------------|----------------|--------------|------------------|------------------|-----------------|------|------|------|------|------|------|------|------|------|------|
| REPORT: CRA-HCDN-R   |               |               | FORWARDHEALTH INTERCHANGE     |        |                          |                |              |                  | DATE: MM/DD/CCYY |                 |      |      |      |      |      |      |      |      |      |      |
| RA#: 999999999   |               |               | <Financial Cycle Description> |        |                          |                |              |                  | PAGE: 9,999      |                 |      |      |      |      |      |      |      |      |      |      |
| PAYER: XXXX  |               |               | PROVIDER REMITTANCE ADVICE    |        |                          |                |              |                  |                  |                 |      |      |      |      |      |      |      |      |      |      |
| PROFESSIONAL SERVICE CLAIMS DENIED   |               |               |                               |        |                          |                |              |                  |                  |                 |      |      |      |      |      |      |      |      |      |      |
| XX   |               |               |                               |        |                          |                |              | PAYEE ID         |                  | 999999999999999 |      |      |      |      |      |      |      |      |      |      |
| XX   |               |               |                               |        |                          |                |              | NPI              |                  | 99999999999     |      |      |      |      |      |      |      |      |      |      |
| XX   |               |               |                               |        |                          |                |              | CHECK/EFT NUMBER |                  | 999999999       |      |      |      |      |      |      |      |      |      |      |
| XX, XX XXXXX-XXXX                                  |               |               |                               |        |                          |                |              | PAYMENT DATE     |                  | MM/DD/CCYY      |      |      |      |      |      |      |      |      |      |      |
|  |               |               |                               |        |                          |                |              |                  |                  |                 |      |      |      |      |      |      |      |      |      |      |
| --ICN--  |               |               |                               |        |                          |                |              |                  |                  |                 |      |      |      |      |      |      |      |      |      |      |
| PCN  | MRN           | SERVICE DATES |                               | BILLED |                          | OTH INS        |              | SPENDDOWN        |                  |                 |      |      |      |      |      |      |      |      |      |      |
|  |               | FROM          | TO                            | FROM   | TO                       | AMOUNT         | AMOUNT       | AMOUNT           |                  |                 |      |      |      |      |      |      |      |      |      |      |
| MEMBER NAME: XX MEMBER NO.: XXXXXXXXXXXXXXXX                   |               |               |                               |        |                          |                |              |                  |                  |                 |      |      |      |      |      |      |      |      |      |      |
| RRYYJJJBBSSS   | XXXXXXXXXXXXX | XXXXXXXXXXXXX | MMDDYY                        | MMDDYY |                          | 999,999,999.99 | 9,999,999.99 | 999,999.99       |                  |                 |      |      |      |      |      |      |      |      |      |      |
| HEADER EOB: 9999 |               |               |                               |        |                          |                |              |                  |                  |                 |      |      |      |      |      |      |      |      |      |      |
| PROC CD  | MODIFIERS     | ALLW UNITS    | SERVICE DATES                 |        | RENDERING PROVIDER       | PA NUMBER      | BILLED AMT   | DETAIL EOB       |                  |                 |      |      |      |      |      |      |      |      |      |      |
| XXXXX  | XX XX XX XX   | 9999.99       | MMDDYY                        | MMDDYY | XXX XXXXXXXXXXXXXXXXXXXX | XXXXXXXXXXXX   | 9,999,999.99 | 9999             | 9999             | 9999            | 9999 | 9999 | 9999 | 9999 | 9999 | 9999 | 9999 | 9999 | 9999 | 9999 |
| XXXXX  | XX XX XX XX   | 9999.99       | MMDDYY                        | MMDDYY | XXX XXXXXXXXXXXXXXXXXXXX | XXXXXXXXXXXX   | 9,999,999.99 | 9999             | 9999             | 9999            | 9999 | 9999 | 9999 | 9999 | 9999 | 9999 | 9999 | 9999 | 9999 | 9999 |
| XXXXX  | XX XX XX XX   | 9999.99       | MMDDYY                        | MMDDYY | XXX XXXXXXXXXXXXXXXXXXXX | XXXXXXXXXXXX   | 9,999,999.99 | 9999             | 9999             | 9999            | 9999 | 9999 | 9999 | 9999 | 9999 | 9999 | 9999 | 9999 | 9999 | 9999 |
| XXXXX  | XX XX XX XX   | 9999.99       | MMDDYY                        | MMDDYY | XXX XXXXXXXXXXXXXXXXXXXX | XXXXXXXXXXXX   | 9,999,999.99 | 9999             | 9999             | 9999            | 9999 | 9999 | 9999 | 9999 | 9999 | 9999 | 9999 | 9999 | 9999 | 9999 |
| TOTAL PROFESSIONAL SERVICE CLAIMS DENIED: 9,999,999,999.99 99,999,999.99 9,999,999.99                                |               |               |                               |        |                          |                |              |                  |                  |                 |      |      |      |      |      |      |      |      |      |      |
| TOTAL NO. DENIED: 999,999  |               |               |                               |        |                          |                |              |                  |                  |                 |      |      |      |      |      |      |      |      |      |      |

Topic #4825

## Reading the Claims Paid Section of the Remittance Advice

Providers receive a [Claims Paid](#) section in the RA (Remittance Advice) if any of their claims were determined payable during the current financial cycle.

In a paid claims section, providers will see the original claim information reported along with EOB (Explanation of Benefits) codes for both the header and the detail lines, if applicable. Providers should refer to the EOB Code Description section of the RA for more information about how the reimbursement amount was determined.





- WCDP (Wisconsin Chronic Disease Program).
- WWWP (Wisconsin Well Woman Program).

A separate Portal account is required for each financial payer.

*Note:* Each of the three payers generate separate RAs for the claims, adjustment requests, or other financial transactions submitted to the payer. A provider who submits claims, adjustment requests, or other financial transactions to more than one of these payers may receive several RAs.

The RA is generated per provider enrollment. Providers who have a single NPI (National Provider Identifier) that is used for multiple enrollments should be aware that an RA will be generated for each enrollment, but the same NPI will be reported on each of the RAs.

For instance, a hospital has obtained a single NPI. The hospital has a clinic, a lab, and a pharmacy that are all enrolled with ForwardHealth. The clinic, the lab, and the pharmacy submit separate claims that indicate the same NPI as the hospital. Separate RAs will be generated for the hospital, the clinic, the lab, and the pharmacy.

Topic #6237

## Reporting a Lost Check

To report a lost check to ForwardHealth, providers are required to mail or fax a letter to ForwardHealth Financial Services. Providers are required to include the following information in the letter:

- Provider's name and address, including the ZIP+4 code.
- Provider's identification number.
  - For healthcare providers, include the NPI (National Provider Identifier) and taxonomy code.
  - For non-healthcare providers, include the provider identification number.
- Check number, check date, and check amount. (This should be recorded on the RA (Remittance Advice).)
- A written request to stop payment and reissue the check.
- The signature of an authorized financial representative. (An individual provider is considered his or her own authorized financial representative.)

Fax the letter to ForwardHealth at (608) 221-4567 or mail it to the following address:

ForwardHealth  
 Financial Services  
 313 Blettner Blvd  
 Madison WI 53784

Topic #5018

## Searching for and Viewing All Claims on the Portal

All claims, including compound, noncompound, and dental claims, are available for viewing on the ForwardHealth Portal.

To search and view claims on the Portal, providers may do the following:

- Go to the Portal.
- Log in to the secure Provider area of the Portal.
- The most recent claims processed by ForwardHealth will be viewable on the provider's home page or the provider may

select "claim search" and enter the applicable information to search for additional claims.

- Select the claim the provider wants to view.

Topic #4829

## Sections of the Remittance Advice

The RA (Remittance Advice) information in the TXT (text) file includes the following sections:

- Address page.
- Banner messages.
- Paper check information, if applicable.
- Claims processing information.
- EOB (Explanation of Benefits) code descriptions.
- Financial transactions.
- Service code descriptions.
- Summary.

The RA information in the CSV (comma-separated values) file includes the following sections:

- Payment.
- Payment hold.
- Service codes and descriptions.
- Financial transactions.
- Summary.
- Inpatient claims.
- Outpatient claims.
- Professional claims.
- Medicare crossovers — Professional.
- Medicare crossovers — Institutional.
- Compound drug claims.
- Drug claims.
- Dental claims.
- Long term care claims.
- Financial transactions.
- Summary.

Providers can select specific sections of the RA in the CSV file within each RA date to be downloaded making the information easy to read and to organize.

## Remittance Advice Header Information

The first page of each section of the RA (except the address page of the TXT file) displays the same RA header information.

The following fields are on the left-hand side of the header:

- The technical name of the RA section (e.g., CRA-TRAN-R), which is an internal ForwardHealth designation.
- The RA number, which is a unique number assigned to each RA that is generated.
- The name of the payer (Medicaid, WCDP (Wisconsin Chronic Disease Program), or WWWP (Wisconsin Well Woman Program)).
- The "Pay to" address of the provider. The "Pay to" address is used for mailing purposes.

The following information is in the middle of the header:

- A description of the financial cycle.
- The name of the RA section (e.g., "Financial Transactions" or "Professional Services Claims Paid").

The right-hand side of the header reports the following information:

- The date of the financial cycle and date the RA was generated.
- The page number.
- The "Payee ID" of the provider. A payee ID is defined as the identification number of a unique entity receiving payment for goods and/or services from ForwardHealth. The payee ID is up to 15 characters long and may be based on a pre-existing identification number, such as the Medicaid provider number. The payee ID is an internal ForwardHealth designation. The Medicaid provider number will display in this field for providers who do not have an NPI (National Provider Identifier).
- The NPI of the provider, if applicable. This field will be blank for those providers who do not have an NPI.
- The number of the check issued for the RA, if applicable. The date of payment on the check, if applicable.

Topic #544

## Verifying Accuracy of Claims Processing

After obtaining ForwardHealth remittance information, providers should compare it to the claims or adjustment requests to verify that ForwardHealth processed elements of the claims or adjustment requests as submitted. To ensure correct reimbursement, providers should do the following:

- Identify and correct any discrepancy that affected the way a claim processed.
- Correct and resubmit claims that are denied.
- Submit an adjustment request for allowed claims that require a change or correction.

When posting a payment or denial to a member's account, providers should note the date on the ForwardHealth remittance information that indicates that the claim or adjustment has finalized. Providers are required to supply this information if further follow-up actions are necessary.

## Responsibilities

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Topic #516

### Accuracy of Claims

The provider is responsible for the accuracy, truthfulness, and completeness of all claims submitted whether prepared or submitted by the provider or by an outside billing service or clearinghouse.

Providers may submit claims only *after* the service is provided.

A provider may not seek reimbursement from ForwardHealth for a [noncovered service](#) by charging ForwardHealth for a [covered service](#) that was not actually provided to the member and then applying the reimbursement toward the noncovered service. In addition, a provider may not seek reimbursement for two separate covered services to receive additional reimbursement over the maximum allowed amount for the one service that was provided. Such actions are considered fraudulent.

Topic #366

### Copayment Amounts

[Copayment amounts](#) collected from members should not be deducted from the charges submitted on claims. Providers should indicate their usual and customary charges for all services provided.

In addition, copayment amounts should not be included when indicating the amount paid by other health insurance sources.

The appropriate copayment amount is automatically deducted from allowed payments. Remittance information reflects the automatic deduction of applicable copayment amounts.

Topic #548

### Exceptions to the Submission Deadline

State and federal laws provide eight exceptions to the submission deadline. According to federal regulations and [DHS 106.03](#), Wis. Admin. Code, ForwardHealth may consider exceptions to the submission deadline only in the following circumstances:

- Change in a nursing home resident's [level of care](#) or [liability amount](#).
- Decision made by a court order, fair hearing, or the DHS (Department of Health Services).
- Denial due to discrepancy between the member's enrollment information in ForwardHealth interChange and the member's actual enrollment.
- Reconsideration or recoupment.
- Retroactive enrollment for persons on GR (General Relief).
- Medicare denial occurs after ForwardHealth's submission deadline.
- Refund request from an other health insurance source.
- Retroactive member enrollment.

ForwardHealth has no authority to approve any other exceptions to the submission deadline.

Claims or adjustment requests that meet one of the exceptions to the submission deadline may be submitted to [Timely Filing](#).

Topic #547

## Submission Deadline

ForwardHealth recommends that providers submit claims at least on a monthly basis. Billing on a monthly basis allows the maximum time available for filing and refiling before the mandatory submission deadline.

With few exceptions, state and federal laws require that providers submit correctly completed claims before the submission deadline.

Providers are responsible for resolving claims. Members are not responsible for resolving claims. To resolve claims before the submission deadline, ForwardHealth encourages providers to use all available resources.

## Claims

To receive reimbursement, claims and adjustment requests must be received within 365 days of the DOS (date of service). This deadline applies to claims, corrected claims, and adjustments to claims.

## Crossover Claims

To receive reimbursement for services that are allowed by Medicare, claims and adjustment requests for coinsurance, copayment, and deductible must be received within 365 days of the DOS or within 90 days of the Medicare processing date, whichever is later. This deadline applies to all claims, corrected claims, and adjustments to claims. Providers should submit these claims through normal processing channels (not timely filing).

Topic #517

## Usual and Customary Charges

For most services, providers are required to indicate their usual and customary charge when submitting claims. The usual and customary charge is the provider's charge for providing the same service to persons not entitled to the program's benefits. For providers using a sliding fee scale, the usual and customary charge is the median of the individual provider's charge for the service when provided to non-program patients. For providers who have not established usual and customary charges, the charge should be reasonably related to the provider's cost for providing the service.

Providers may not discriminate against BadgerCare Plus or Medicaid members by charging a higher fee for the same service than that charged to a private-pay patient.

For services requiring a member copayment, providers should still indicate their usual and customary charge. The copayment amount collected from the member should not be deducted from the charge submitted. When applicable, ForwardHealth automatically deducts the copayment amount.

For most services, ForwardHealth reimburses the lesser of the provider's usual and customary charge or the maximum allowable fee established.

## Submission

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Topic #2772

# 1500 Health Insurance Claim Form Completion Instructions for Physical Therapy, Occupational Therapy, and Speech and Language Pathology Services

The following sample 1500 Health Insurance Claim Forms are available for therapy services:

- [PT \(Physical Therapy\) Services Submitted by a Therapy Group.](#)
- [OT \(Occupational Therapy\) Services Submitted by a Rehabilitation Agency.](#)
- [SLP \(Speech and Language Pathology\) Services.](#)

Use the following claim form completion instructions, not the claim form's printed descriptions, to avoid denial or inaccurate claim payment. Complete all required elements as appropriate. Be advised that every code used, even if it is entered in a non-required element, is required to be a valid code. Do not include attachments unless instructed to do so.

Members enrolled in BadgerCare Plus or Medicaid receive a ForwardHealth identification card. Always verify a member's enrollment before providing nonemergency services to determine if there are any limitations on covered services and to obtain the correct spelling of the member's name. Refer to the Online Handbook in the Provider area of the ForwardHealth Portal for more information about verifying enrollment.

*When submitting a claim with multiple pages, providers are required to indicate page numbers using the format "Page X of X" in the upper right corner of the claim form.*

Submit completed paper claims to the following address:

ForwardHealth  
Claims and Adjustments  
313 Blettner Blvd  
Madison WI 53784

**Element 1 — Medicare, Medicaid, TRICARE CHAMPUS, CHAMPVA, Group Health Plan, FECA, Blk Lung, Other**  
Enter "X" in the Medicaid Check box.

### **Element 1a — Insured's ID Number**

Enter the member identification number. Do not enter any other numbers or letters. Use the ForwardHealth card or Wisconsin's EVS (Enrollment Verification System) to obtain the correct member ID.

### **Element 2 — Patient's Name**

Enter the member's last name, first name, and middle initial. Use the EVS to obtain the correct spelling of the member's name. If the name or spelling of the name on the ForwardHealth card and the EVS do not match, use the spelling from the EVS.

### **Element 3 — Patient's Birth Date, Sex**

Enter the member's birth date in MMDDYY format (e.g., February 3, 1955, would be 020355) or in MMDDCCYY format (e.g., February 3, 1955, would be 02031955). Specify whether the member is male or female by placing an "X" in the appropriate box.

**Element 4 — Insured's Name**

Data are required in this element for OCR (Optical Character Recognition) processing. Any information populated by a provider's computer software is acceptable data for this element (e.g., "Same"). If computer software does not automatically complete this element, enter information such as the member's last name, first name, and middle initial.

**Element 5 — Patient's Address**

Enter the complete address of the member's place of residence, if known.

**Element 6 — Patient Relationship to Insured (not required)****Element 7 — Insured's Address (not required)****Element 8 — Patient Status (not required)****Element 9 — Other Insured's Name**

Commercial health insurance must be billed prior to submitting claims to ForwardHealth, unless the service does not require commercial health insurance billing as determined by ForwardHealth.

If the EVS indicates that the member has dental ("DEN") insurance only, is enrolled in a Medicare Advantage Plan only, or has no commercial health insurance, leave Element 9 blank.

If the EVS indicates that the member has any other commercial health insurance, and the service requires other insurance billing, one of the following three OI (other insurance) explanation codes must be indicated in the first box of Element 9. If submitting a multiple-page claim, providers are required to indicate OI explanation codes on the *first page* of the claim.

The description is not required, nor is the policyholder, plan name, group number, etc. (Elements 9a, 9b, 9c, and 9d are not required.)

| Code | Description   |
|------|---|
| OI-P | PAID in part or in full by commercial health insurance or commercial HMO. In Element 29 of this claim form, indicate the amount paid by commercial health insurance to the provider or to the insured.  |
| OI-D | DENIED by commercial health insurance or commercial HMO following submission of a correct and complete claim, or payment was applied towards the coinsurance and deductible. Do not use this code unless the claim was actually billed to the commercial health insurer.  |
| OI-Y | YES, the member has commercial health insurance or commercial HMO coverage, but it was not billed for reasons including, but not limited to, the following: <ul style="list-style-type: none"> <li>• The member denies coverage or will not cooperate.</li> <li>• The provider knows the service in question is not covered by the carrier.</li> <li>• The member's commercial health insurance failed to respond to initial and follow-up claims.</li> <li>• Benefits are not assignable or cannot get assignment.</li> <li>• Benefits are exhausted.</li> </ul> |

*Note:* The provider may not use OI-D or OI-Y if the member is covered by a commercial HMO and the HMO denied payment because an otherwise covered service was not rendered by a designated provider. Services covered by a commercial HMO are not reimbursable by ForwardHealth except for the copayment and deductible amounts. Providers who receive a capitation payment from the commercial HMO may not bill ForwardHealth for services that are included in the capitation payment.

**Element 9a — Other Insured's Policy or Group Number (not required)**

**Element 9b — Other Insured's Date of Birth, Sex (not required)****Element 9c — Employer's Name or School Name (not required)****Element 9d — Insurance Plan Name or Program Name (not required)****Element 10a-10c — Is Patient's Condition Related to: (not required)****Element 10d — Reserved for Local Use (not required)****Element 11 — Insured's Policy Group or FECA Number**

If an EOMB (Explanation of Medicare Benefits) indicates that the member is enrolled in a Medicare Advantage Plan and the claim is being billed as a crossover, enter "MMC" in the upper right corner of the claim, indicating that the other insurance is a Medicare Advantage Plan and the claim should be processed as a crossover claim.

Use the first box of this element only. (Elements 11a, 11b, 11c, and 11d are not required.) Element 11 should be left blank when one or more of the following statements are true:

- Medicare never covers the procedure in any circumstance.
- ForwardHealth indicates the member does *not* have any Medicare coverage including a Medicare Advantage Plan, for the service provided. For example, the service is covered by Medicare Part A, but the member does not have Medicare Part A.
- ForwardHealth indicates that the provider is not Medicare enrolled.
- Medicare has allowed the charges. In this case, attach the EOMB, but do not indicate on the claim form the amount Medicare paid.

If none of the previous statements are true, a Medicare disclaimer code is necessary. If submitting a multiple-page claim, indicate Medicare disclaimer codes on the *first page* of the claim. The following Medicare disclaimer codes may be used when appropriate.

| Code       | Description   |
|------------|---|
| <b>M-7</b> | <p><b>Medicare disallowed or denied payment.</b> This code applies when Medicare denies the claim for reasons related to policy (not billing errors), or the member's lifetime benefit, spell of illness, or yearly allotment of available benefits is exhausted.</p> <p><i>For Medicare Part A, use M-7 in the following instances (all three criteria must be met):</i></p> <ul style="list-style-type: none"> <li>• The provider is identified in ForwardHealth files as enrolled in Medicare Part A.</li> <li>• The member is eligible for Medicare Part A.</li> <li>• The service is covered by Medicare Part A but is denied by Medicare Part A due to frequency limitations, diagnosis restrictions, or exhausted benefits.</li> </ul> <p><i>For Medicare Part B, use M-7 in the following instances (all three criteria must be met):</i></p> <ul style="list-style-type: none"> <li>• The provider is identified in ForwardHealth files as enrolled in Medicare Part B.</li> <li>• The member is eligible for Medicare Part B.</li> <li>• The service is covered by Medicare Part B but is denied by Medicare Part B due to frequency limitations, diagnosis restrictions, or exhausted benefits.</li> </ul> |
| <b>M-8</b> | <p><b>Noncovered Medicare service.</b> This code may be used when Medicare was not billed because the service is not</p>  |



covered in this circumstance.

*For Medicare Part A, use M-8 in the following instances (all three criteria must be met):*

- The provider is identified in ForwardHealth files as enrolled in Medicare Part A.
- The member is eligible for Medicare Part A.
- The service is usually covered by Medicare Part A but not in this circumstance (e.g., member's diagnosis).

*For Medicare Part B, use M-8 in the following instances (all three criteria must be met):*

- The provider is identified in ForwardHealth files as enrolled in Medicare Part B.
- The member is eligible for Medicare Part B.
- The service is usually covered by Medicare Part B but not in this circumstance (e.g., member's diagnosis).

**Element 11a — Insured's Date of Birth, Sex (not required)**

**Element 11b — Employer's Name or School Name (not required)**

**Element 11c — Insurance Plan Name or Program Name (not required)**

**Element 11d — Is there another Health Benefit Plan? (not required)**

**Element 12 — Patient's or Authorized Person's Signature (not required)**

**Element 13 — Insured's or Authorized Person's Signature (not required)**

**Element 14 — Date of Current Illness, Injury, or Pregnancy (not required)**

**Element 15 — If Patient Has Had Same or Similar Illness (not required)**

**Element 16 — Dates Patient Unable to Work in Current Occupation (not required)**

**Element 17 — Name of Referring Provider or Other Source**

Enter the referring physician's name.

**Element 17a (not required)**

**Element 17b — NPI**

Enter the NPI (National Provider Identifier) of the referring physician.

**Element 18 — Hospitalization Dates Related to Current Services (not required)**

**Element 19 — Reserved for Local Use**

If a provider bills an [unlisted \(or not otherwise classified\) procedure code](#), a description of the procedure must be indicated in this element. If there is no more specific code available, the provider is required to submit the appropriate documentation, which could include a PA (prior authorization) request, to justify use of the unlisted procedure code and to describe the procedure or service rendered.

**Element 20 — Outside Lab — \$Charges (not required)**

**Element 21 — Diagnosis or Nature of Illness or Injury**

Enter a valid ICD-9-CM (International Classification of Diseases, Ninth Revision, Clinical Modification) diagnosis code for each

symptom or condition related to the services provided. The required use of valid diagnosis codes includes the use of the most specific diagnosis codes. List the primary diagnosis first. Etiology ("E") and manifestation ("M") codes may not be used as a primary diagnosis. The diagnosis description is not required.

ForwardHealth accepts up to eight diagnosis codes. To enter more than four diagnosis codes:

- Enter the fifth diagnosis code in the space *between* the first and third diagnosis codes.
- Enter the sixth diagnosis code in the space *between* the second and fourth diagnosis codes.
- Enter the seventh diagnosis code in the space to the right of the third diagnosis code.
- Enter the eighth diagnosis code in the space to the right of the fourth diagnosis code.

When entering fifth, sixth, seventh, and eighth diagnosis codes, do *not* number the diagnosis codes (e.g., do not include a "5." before the fifth diagnosis code).

### ***Family Planning Services***

Indicate the appropriate ICD-9-CM diagnosis code from the V25 series for services and supplies that are only contraceptive management related.

### **Element 22 — Medicaid Resubmission (not required)**

### **Element 23 — Prior Authorization Number (not required)**

### **Element 24**

The six service lines in Element 24 have been divided horizontally. Enter service information in the bottom, unshaded area of the six service lines. The horizontal division of each service line is not intended to allow the billing of 12 lines of service.

### **Element 24A — Date(s) of Service**

Enter to and from DOS (Dates of service) in MMDDYY or MMDDCCYY format. If the service was provided on only one POS (Place of service) enter the date under "From." Leave "To" blank or re-enter the "From" date.

If the service was provided on consecutive days, those dates may be indicated as a range of dates by entering the first date as the "From" DOS and the last date as the "To" DOS in MMDDYY or MMDDCCYY format.

A range of dates may be indicated only if the place of service (POS), the procedure code (and modifiers, if applicable), the charge, the units, and the rendering provider were identical for each DOS within the range.

### **Element 24B — Place of Service**

Enter the appropriate two-digit POS code for each item used or service performed.

### **Element 24C — EMG (not required)**

### **Element 24D — Procedures, Services, or Supplies**

Enter the single most appropriate five-character procedure code. ForwardHealth denies claims received without an appropriate procedure code.

### ***Modifiers***

Enter the appropriate (up to four per procedure code) modifier(s) in the "Modifier" column of Element 24D. ForwardHealth denies claims received without the appropriate modifier, when required.

### **Element 24E — Diagnosis Pointer**

Enter the number(s) that corresponds to the appropriate ICD-9-CM diagnosis code(s) listed in Element 21. Up to four diagnosis pointers per detail may be indicated. Valid diagnosis pointers, digits 1 through 8, should *not* be separated by commas or spaces.

**Element 24F — \$ Charges**

Enter the total charge for each line item.

Enter the dollar amount right justified in the dollar area of the field. Do not use commas when reporting dollar amounts. Dollar signs should not be entered. Enter "00" in the cents area if the amount is a whole number.

Providers are to bill ForwardHealth their usual and customary charge. The usual and customary charge is the provider's charge for providing the same service to persons not entitled to ForwardHealth benefits.

**Element 24G — Days or Units**

Enter the number of units. Only include a decimal when billing fractions (e.g., 1.50).

**Element 24H — EPSDT/Family Plan (not required)****Element 24I — ID Qual**

If the rendering provider's NPI is different from the billing provider number in Element 33a, enter a qualifier of "ZZ," indicating provider taxonomy, in the *shaded area* of the detail line.

*Note:* Rehabilitation agencies and outpatient hospital physical therapy (PT), occupational therapy (OT), and speech-language pathology (SLP) providers do not indicate a rendering provider number.

**Element 24J — Rendering Provider ID. #**

If the rendering provider's NPI is different from the billing provider number in Element 33a, enter the rendering provider's 10-digit taxonomy code in the shaded area of this element and enter the rendering provider's NPI in the white area provided for the NPI.

*Note:* Rehabilitation agencies and outpatient hospital PT, OT, and SLP providers do not indicate a rendering provider number.

**Element 25 — Federal Tax ID Number (not required)****Element 26 — Patient's Account No. (not required)**

Optional — Providers may enter up to 14 characters of the patient's internal office account number. This number will appear on the Remittance Advice and/or the 835 Health Care Claim Payment/Advice transaction.

**Element 27 — Accept Assignment? (not required)****Element 28 — Total Charge**

Enter the total charges for this claim. If submitting a multiple-page claim, enter the total charge for the claim (i.e., the sum of all details from all pages of the claim) *only on the last page of the claim*.

Enter the dollar amount right justified in the dollar area of the field. Do not use commas when reporting dollar amounts. Dollar signs should not be entered. Enter "00" in the cents area if the amount is a whole number.

**Element 29 — Amount Paid**

Enter the actual amount paid by commercial health insurance. If submitting a multiple-page claim, indicate the amount paid by commercial health insurance only on the *first page* of the claim.

Enter the dollar amount right justified in the dollar area of the field. Do not use commas when reporting dollar amounts. Dollar signs should not be entered. Enter "00" in the cents area if the amount is a whole number.

If a dollar amount indicated in Element 29 is greater than zero, "OI-P" must be indicated in Element 9. If the commercial health insurance denied the claim, enter "000." Do not enter Medicare-paid amounts in this field.

**Element 30 — Balance Due**

Enter the balance due as determined by subtracting the amount paid in Element 29 from the amount in Element 28. If submitting a multiple-page claim, enter the balance due for the claim (i.e., the sum of all details from all pages of the claim minus the amount paid by commercial insurance) *only on the last page of the claim*.

Enter the dollar amount right justified in the dollar area of the field. Do not use commas when reporting dollar amounts. Dollar signs should not be entered. Enter "00" in the cents area if the amount is a whole number.

**Element 31 — Signature of Physician or Supplier, Including Degrees or Credentials**

The provider or authorized representative must sign in Element 31. The month, day, and year the form is signed must also be entered in MMDDYY or MMDDCCYY format.

*Note:* The signature may be a computer-printed or typed name and date or a signature stamp with the date.

**Element 32 — Service Facility Location Information (not required)**

**Element 32a — NPI (not required)**

**Element 32b (not required)**

**Element 33 — Billing Provider Info & Ph #**

Enter the name of the provider submitting the claim and the practice location address. The minimum requirement is the provider's name, street, city, state, and ZIP+4 code. Do not enter a Post Office Box or ZIP+4 code associated with a PO Box. The practice location address entered must correspond with the NPI listed in Element 33a and match the practice location address on the provider's file maintained by ForwardHealth

**Element 33a — NPI**

Enter the NPI of the billing provider.

**Element 33b**

If an NPI was entered in Element 33a, enter qualifier "ZZ" followed by the appropriate 10-digit provider taxonomy code on file with ForwardHealth. Do not include a space between the qualifier "ZZ" and the provider taxonomy code.

*Note:* Providers should use qualifier "PXC" when submitting an electronic claim using the 837P (837 Health Care Claim: Professional) transaction. For further instructions, refer to the [companion guide](#) for the 837P transaction.

## Sample 1500 Health Insurance Claim Form for Physical Therapy Services Submitted by a Therapy Group

**1500**

**HEALTH INSURANCE CLAIM FORM**

APPROVED BY NATIONAL UNIFORM CLAIM COMMITTEE 08/05

|  |  |  |                    |  |   |  |   |  |  |
|--|--|--|--------------------|--|---|--|---|--|--|
| <input type="checkbox"/> PICA <span style="float: right;"><input type="checkbox"/> PICA</span>   |  |  |                    |  |   |  |   |  |  |
| 1. MEDICARE <input type="checkbox"/> MEDICAID <input checked="" type="checkbox"/> TRICARE CHAMPUS <input type="checkbox"/> CHAMPVA <input type="checkbox"/> GROUP HEALTH PLAN <input type="checkbox"/> FECA BLK LUNG <input type="checkbox"/> OTHER <input type="checkbox"/><br><small>(Medicare #) (Medicaid #) (Sponsor's SSN) (Member ID#) (SSN or ID) (SSN) (ID)</small> |  |  |                    |  | 1a. INSURED'S I.D. NUMBER (For Program in Item 1)<br><b>1234567890</b>  |  |   |  |  |
| 2. PATIENT'S NAME (Last Name, First Name, Middle Initial)<br><b>MEMBER, IM A</b>   |  |  |                    |  | 3. PATIENT'S BIRTH DATE SEX<br><b>MM DD YY M <input checked="" type="checkbox"/> F <input type="checkbox"/></b>   |  |   |  |  |
| 5. PATIENT'S ADDRESS (No., Street)<br><b>609 WILLOW ST</b>   |  |  |                    |  | 6. PATIENT RELATIONSHIP TO INSURED<br>Self <input type="checkbox"/> Spouse <input type="checkbox"/> Child <input type="checkbox"/> Other <input type="checkbox"/>                                     |  |   |  |  |
| CITY<br><b>ANYTOWN</b>   |  |  | STATE<br><b>WI</b> |  | 7. INSURED'S ADDRESS (No., Street)<br>CITY STATE  |  |   | 8. PATIENT STATUS<br>Single <input type="checkbox"/> Married <input type="checkbox"/> Other <input type="checkbox"/> |  |
| ZIP CODE<br><b>55555</b>   |  | TELEPHONE (Include Area Code)<br><b>(444) 444-4444</b> |                    |  | 9. OTHER INSURED'S NAME (Last Name, First Name, Middle Initial)<br><b>OI-P</b>  |  | 10. IS PATIENT'S CONDITION RELATED TO:<br>a. EMPLOYMENT? (Current or Previous)<br><input type="checkbox"/> YES <input type="checkbox"/> NO<br>b. AUTO ACCIDENT? PLACE (State)<br><input type="checkbox"/> YES <input type="checkbox"/> NO<br>c. OTHER ACCIDENT?<br><input type="checkbox"/> YES <input type="checkbox"/> NO |  |  |
| a. OTHER INSURED'S POLICY OR GROUP NUMBER<br><b>55555</b>  |  |  |                    |  | 11. INSURED'S POLICY GROUP OR FECA NUMBER<br><b>M-7</b>   |  |   |  |  |
| b. OTHER INSURED'S DATE OF BIRTH SEX<br>MM DD YY M <input type="checkbox"/> F <input type="checkbox"/>   |  |  |                    |  | a. INSURED'S DATE OF BIRTH SEX<br>MM DD YY M <input type="checkbox"/> F <input type="checkbox"/>  |  |   |  |  |
| c. EMPLOYER'S NAME OR SCHOOL NAME  |  |  |                    |  | b. EMPLOYER'S NAME OR SCHOOL NAME   |  |   |  |  |
| d. INSURANCE PLAN NAME OR PROGRAM NAME   |  |  |                    |  | c. INSURANCE PLAN NAME OR PROGRAM NAME  |  |   |  |  |
| 12. PATIENT'S OR AUTHORIZED PERSON'S SIGNATURE I authorize the release of any medical or other information necessary to process this claim. I also request payment of government benefits either to myself or to the party who accepts assignment below.<br><br>SIGNED _____ DATE _____  |  |  |                    |  | 13. INSURED'S OR AUTHORIZED PERSON'S SIGNATURE I authorize payment of medical benefits to the undersigned physician or supplier for services described below.<br><br>SIGNED _____                     |  |   |  |  |
| 14. DATE OF CURRENT ILLNESS (First symptom) OR INJURY (Accident) OR PREGNANCY(LMP)<br>MM DD YY   |  |  |                    |  | 15. IF PATIENT HAS HAD SAME OR SIMILAR ILLNESS. GIVE FIRST DATE MM DD YY.   |  |   |  |  |
| 17. NAME OF REFERRING PROVIDER OR OTHER SOURCE<br><b>I.M. REFERRING PROVIDER</b>   |  |  |                    |  | 18. HOSPITALIZATION DATES RELATED TO CURRENT SERVICES.<br>FROM MM DD YY TO MM DD YY   |  |   |  |  |
| 19. RESERVED FOR LOCAL USE   |  |  |                    |  | 20. OUTSIDE LAB? \$ CHARGES<br><input type="checkbox"/> YES <input type="checkbox"/> NO   |  |   |  |  |
| 21. DIAGNOSIS OR NATURE OF ILLNESS OR INJURY (Relate Items 1, 2, 3 or 4 to item 24E by Line)<br>1. <b>436</b>  |  |  |                    |  | 22. MEDICAID RESUBMISSION CODE ORIGINAL REF. NO.  |  |   |  |  |
| 24. A. DATE(S) OF SERVICE From MM DD YY To MM DD YY B. PLACE OF SERVICE C. EMG D. PROCEDURES, SERVICES, OR SUPPLIES (Explain Unusual Circumstances) CPT/HCPCS MODIFIER E. DIAGNOSIS POINTER  |  |  |                    |  | 23. PRIOR AUTHORIZATION NUMBER  |  |   |  |  |
| 1 11 03 11 11 97116 GP 1 XXX XX 6 ZZ 123456789X<br>NPI 0333333330  |  |  |                    |  | 25. FEDERAL TAX I.D. NUMBER SSN EIN 26. PATIENT'S ACCOUNT NO. 27. ACCEPT ASSIGNMENT? (For 2nd Billing, see 24E) YES NO 28. TOTAL CHARGE \$ XXX XX 29. AMOUNT PAID \$ XXX XX 30. BALANCE DUE \$ XXX XX |  |   |  |  |
| 31. SIGNATURE OF PHYSICIAN OR SUPPLIER INCLUDING DEGREES OR CREDENTIALS (I certify that the statements on the reverse apply to this bill and are made a part thereof.)<br><br><i>J.M. Provider</i> 11302011<br>SIGNED DATE   |  |  |                    |  | 32. SERVICE FACILITY LOCATION INFORMATION<br>a. NPI b. ZZ123456789X   |  |   |  |  |
| 33. BILLING PROVIDER INFO & PH # ( )<br>I.M. PROVIDER<br>1 W WILLIAMS ST<br>ANYTOWN WI 55555-1234  |  |  |                    |  | 34. BILLING PROVIDER INFO & PH # ( )  |  |   |  |  |

NUCC Instruction Manual available at: [www.nucc.org](http://www.nucc.org)

APPROVED OMB-0938-0999 FORM CMS-1500 (08-05)

CARRIER  
PATIENT AND INSURED INFORMATION  
PHYSICIAN OR SUPPLIER INFORMATION

## Sample 1500 Health Insurance Claim Form for Occupational Therapy Services Submitted by a Rehabilitation Agency

**1500**

**HEALTH INSURANCE CLAIM FORM**

APPROVED BY NATIONAL UNIFORM CLAIM COMMITTEE 08/05

|  |  |  |  |  |   |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|--|--|--|--|--|---|--|--|--|--|--|--|--|--|--|--|--|--|--|--|
| PICA   |  |  |  |  |   |  |  |  |  | PICA   |  |  |  |  |  |  |  |  |  |
| 1. MEDICARE <input type="checkbox"/> MEDICAID <input checked="" type="checkbox"/> TRICARE <input type="checkbox"/> CHAMPVA <input type="checkbox"/> GROUP HEALTH PLAN <input type="checkbox"/> FECA BLK LUNG <input type="checkbox"/> OTHER <input type="checkbox"/><br><small>(Medicare #) (Medicaid #) (Sponsor's SSN) (Member ID#) (SSN or ID) (SSN) (ID)</small> |  |  |  |  |   |  |  |  |  | 1a. INSURED'S I.D. NUMBER (For Program in Item 1)<br><b>1234567890</b>   |  |  |  |  |  |  |  |  |  |
| 2. PATIENT'S NAME (Last Name, First Name, Middle Initial)<br><b>MEMBER, IM A</b>   |  |  |  |  |   |  |  |  |  | 3. PATIENT'S BIRTH DATE SEX<br><b>MM DD YY M <input checked="" type="checkbox"/> F <input type="checkbox"/></b>  |  |  |  |  |  |  |  |  |  |
| 5. PATIENT'S ADDRESS (No., Street)<br><b>609 WILLOW ST</b>   |  |  |  |  |   |  |  |  |  | 6. PATIENT RELATIONSHIP TO INSURED<br>Self <input type="checkbox"/> Spouse <input type="checkbox"/> Child <input type="checkbox"/> Other <input type="checkbox"/>  |  |  |  |  |  |  |  |  |  |
| CITY<br><b>ANYTOWN</b>   |  |  |  |  | STATE<br><b>WI</b>  |  |  |  |  | CITY<br>STATE  |  |  |  |  | 7. INSURED'S ADDRESS (No., Street)   |  |  |  |  |
| ZIP CODE<br><b>55555</b>   |  |  |  |  | TELEPHONE (Include Area Code)<br><b>(444) 444-4444</b>  |  |  |  |  | ZIP CODE<br>TELEPHONE (Include Area Code)  |  |  |  |  | 11. INSURED'S POLICY GROUP OR FECA NUMBER<br><b>M-7</b>  |  |  |  |  |
| 9. OTHER INSURED'S NAME (Last Name, First Name, Middle Initial)<br><b>OI-P</b>   |  |  |  |  |   |  |  |  |  | 10. IS PATIENT'S CONDITION RELATED TO:<br>a. EMPLOYMENT? (Current or Previous) YES <input type="checkbox"/> NO <input type="checkbox"/><br>b. AUTO ACCIDENT? YES <input type="checkbox"/> NO <input type="checkbox"/> PLACE (State) _____<br>c. OTHER ACCIDENT? YES <input type="checkbox"/> NO <input type="checkbox"/> |  |  |  |  |  |  |  |  |  |
| a. OTHER INSURED'S POLICY OR GROUP NUMBER  |  |  |  |  | b. OTHER INSURED'S DATE OF BIRTH MM DD YY SEX M <input type="checkbox"/> F <input type="checkbox"/> |  |  |  |  | a. INSURED'S DATE OF BIRTH MM DD YY SEX M <input type="checkbox"/> F <input type="checkbox"/>  |  |  |  |  | b. EMPLOYER'S NAME OR SCHOOL NAME  |  |  |  |  |
| c. EMPLOYER'S NAME OR SCHOOL NAME  |  |  |  |  | d. INSURANCE PLAN NAME OR PROGRAM NAME  |  |  |  |  | c. INSURANCE PLAN NAME OR PROGRAM NAME   |  |  |  |  | d. IS THERE ANOTHER HEALTH BENEFIT PLAN?<br>YES <input type="checkbox"/> NO <input type="checkbox"/> <i>If yes, return to and complete item 9 a-d.</i> |  |  |  |  |
| READ BACK OF FORM BEFORE COMPLETING & SIGNING THIS FORM.   |  |  |  |  |   |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 12. PATIENT'S OR AUTHORIZED PERSON'S SIGNATURE I authorize the release of any medical or other information necessary to process this claim. I also request payment of government benefits either to myself or to the party who accepts assignment below.<br>SIGNED _____ DATE _____  |  |  |  |  |   |  |  |  |  | 13. INSURED'S OR AUTHORIZED PERSON'S SIGNATURE I authorize payment of medical benefits to the undersigned physician or supplier for services described below.<br>SIGNED _____ DATE _____   |  |  |  |  |  |  |  |  |  |
| 14. DATE OF CURRENT ILLNESS (First symptom) OR INJURY (Accident) OR PREGNANCY (LMP)<br>MM DD YY  |  |  |  |  |   |  |  |  |  | 15. IF PATIENT HAS HAD SAME OR SIMILAR ILLNESS. GIVE FIRST DATE<br>MM DD YY  |  |  |  |  |  |  |  |  |  |
| 17. NAME OF REFERRING PROVIDER OR OTHER SOURCE<br><b>I.M. REFERRING PROVIDER</b>   |  |  |  |  |   |  |  |  |  | 17a. NPI <b>0111111110</b>   |  |  |  |  |  |  |  |  |  |
| 19. RESERVED FOR LOCAL USE   |  |  |  |  |   |  |  |  |  | 18. HOSPITALIZATION DATES RELATED TO CURRENT SERVICES<br>FROM MM DD YY TO MM DD YY   |  |  |  |  |  |  |  |  |  |
| 21. DIAGNOSIS OR NATURE OF ILLNESS OR INJURY (Relate Items 1, 2, 3 or 4 to item 24E by Line)<br>1. <b>436</b>  |  |  |  |  |   |  |  |  |  | 20. OUTSIDE LAB? YES <input type="checkbox"/> NO <input type="checkbox"/> \$ CHARGES _____   |  |  |  |  |  |  |  |  |  |
| 2. _____   |  |  |  |  |   |  |  |  |  | 22. MEDICAID RESUBMISSION CODE ORIGINAL REF. NO.   |  |  |  |  |  |  |  |  |  |
| 3. _____   |  |  |  |  |   |  |  |  |  | 23. PRIOR AUTHORIZATION NUMBER   |  |  |  |  |  |  |  |  |  |
| 4. _____   |  |  |  |  |   |  |  |  |  | 24. A. DATE(S) OF SERVICE From MM DD YY To MM DD YY B. PLACE OF SERVICE C. EMG D. PROCEDURES, SERVICES, OR SUPPLIES (Explain Unusual Circumstances) CPT/HCPCS MODIFIER E. DIAGNOSIS POINTER F. \$ CHARGES G. DAYS OR UNITS H. ICD-9-CM Family Plan I. ID. QUAL. J. RENDERING PROVIDER ID. #                              |  |  |  |  |  |  |  |  |  |
| 1 <b>11 03 11 11 97535 GO TF 1 XXX XX 6 NPI</b>  |  |  |  |  |   |  |  |  |  | 2 <b>11 03 11 11 97110 GO 1 XXX XX 1 NPI</b>   |  |  |  |  |  |  |  |  |  |
| 3 _____ NPI  |  |  |  |  |   |  |  |  |  | 4 _____ NPI  |  |  |  |  |  |  |  |  |  |
| 5 _____ NPI  |  |  |  |  |   |  |  |  |  | 6 _____ NPI  |  |  |  |  |  |  |  |  |  |
| 25. FEDERAL TAX I.D. NUMBER SSN EIN <input type="checkbox"/> <input type="checkbox"/>  |  |  |  |  |   |  |  |  |  | 26. PATIENT'S ACCOUNT NO. <b>1234JED</b>   |  |  |  |  |  |  |  |  |  |
| 27. ACCEPT ASSIGNMENT? YES <input type="checkbox"/> NO <input type="checkbox"/>  |  |  |  |  |   |  |  |  |  | 28. TOTAL CHARGE \$ <b>XXX XX</b>  |  |  |  |  |  |  |  |  |  |
| 29. AMOUNT PAID \$ <b>XXX XX</b>   |  |  |  |  |   |  |  |  |  | 30. BALANCE DUE \$ <b>XXX XX</b>   |  |  |  |  |  |  |  |  |  |
| 31. SIGNATURE OF PHYSICIAN OR SUPPLIER INCLUDING DEGREES OR CREDENTIALS (I certify that the statements on the reverse apply to this bill and are made a part thereof.)<br><i>J.M. Provider</i> 11302011<br>SIGNED DATE   |  |  |  |  |   |  |  |  |  | 32. SERVICE FACILITY LOCATION INFORMATION<br>a. NPI b.   |  |  |  |  |  |  |  |  |  |
| 33. BILLING PROVIDER INFO & PH #<br><b>I.M. PROVIDER<br/>1 W WILLIAMS ST<br/>ANYTOWN WI 55555-1234</b>   |  |  |  |  |   |  |  |  |  | * <b>022222220</b> b. <b>ZZ123456789X</b>  |  |  |  |  |  |  |  |  |  |

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APPROVED OMB-0938-0999 FORM CMS-1500 (08-05)

CARRIER  
PATIENT AND INSURED INFORMATION  
PHYSICIAN OR SUPPLIER INFORMATION

## Sample 1500 Health Insurance Claim Form for Outpatient Hospital Speech and Language Pathology Services

**1500**

**HEALTH INSURANCE CLAIM FORM**

APPROVED BY NATIONAL UNIFORM CLAIM COMMITTEE 08/05

|  |  |  |  |  |  |  |  |  |  |   |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|--|--|---|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|
| PICA   |  |  |  |  |  |  |  |  |  | PICA  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 1. MEDICARE <input type="checkbox"/> MEDICAID <input checked="" type="checkbox"/> TRICARE <input type="checkbox"/> CHAMPVA <input type="checkbox"/> GROUP HEALTH PLAN <input type="checkbox"/> FECA BLK LUNG <input type="checkbox"/> OTHER <input type="checkbox"/><br><small>(Medicare #) (Medicaid #) (Sponsor's SSN) (Member ID#) (SSN or ID) (SSN) (ID)</small> |  |  |  |  |  |  |  |  |  | 1a. INSURED'S I.D. NUMBER (For Program in Item 1)<br><b>1234567890</b>  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 2. PATIENT'S NAME (Last Name, First Name, Middle Initial)<br><b>MEMBER, IM A</b>   |  |  |  |  |  |  |  |  |  | 3. PATIENT'S BIRTH DATE<br>MM DD YY M <input checked="" type="checkbox"/> F <input type="checkbox"/><br><b>MM DD YY</b>   |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 5. PATIENT'S ADDRESS (No., Street)<br><b>609 WILLOW ST</b>   |  |  |  |  |  |  |  |  |  | 6. PATIENT RELATIONSHIP TO INSURED<br>Self <input type="checkbox"/> Spouse <input type="checkbox"/> Child <input type="checkbox"/> Other <input type="checkbox"/>   |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| CITY<br><b>ANYTOWN</b>   |  |  |  |  | STATE<br><b>WI</b>                                     |  |  |  |  | 7. INSURED'S ADDRESS (No., Street)  |  |  |  |  | 4. INSURED'S NAME (Last Name, First Name, Middle Initial)<br><b>SAME</b> |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| ZIP CODE<br><b>55555</b>   |  |  |  |  | TELEPHONE (Include Area Code)<br><b>(444) 444-4444</b> |  |  |  |  | 8. PATIENT STATUS<br>Single <input type="checkbox"/> Married <input type="checkbox"/> Other <input type="checkbox"/>  |  |  |  |  | CITY   |  |  |  |  | STATE  |  |  |  |  |  |  |  |  |  |
| 9. OTHER INSURED'S NAME (Last Name, First Name, Middle Initial)<br><b>OI-P</b>   |  |  |  |  |  |  |  |  |  | 10. IS PATIENT'S CONDITION RELATED TO:<br>a. EMPLOYMENT? (Current or Previous)<br><input type="checkbox"/> YES <input type="checkbox"/> NO<br>b. AUTO ACCIDENT? <input type="checkbox"/> YES <input type="checkbox"/> NO PLACE (State) _____<br>c. OTHER ACCIDENT? <input type="checkbox"/> YES <input type="checkbox"/> NO |  |  |  |  |  |  |  |  |  | 11. INSURED'S POLICY GROUP OR FECA NUMBER<br><b>M-7</b>  |  |  |  |  |  |  |  |  |  |
| a. OTHER INSURED'S POLICY OR GROUP NUMBER  |  |  |  |  |  |  |  |  |  | a. INSURED'S DATE OF BIRTH<br>MM DD YY M <input type="checkbox"/> F <input type="checkbox"/>  |  |  |  |  |  |  |  |  |  | b. EMPLOYER'S NAME OR SCHOOL NAME  |  |  |  |  |  |  |  |  |  |
| b. OTHER INSURED'S DATE OF BIRTH<br>MM DD YY M <input type="checkbox"/> F <input type="checkbox"/>   |  |  |  |  |  |  |  |  |  | c. EMPLOYER'S NAME OR SCHOOL NAME   |  |  |  |  |  |  |  |  |  | c. INSURANCE PLAN NAME OR PROGRAM NAME   |  |  |  |  |  |  |  |  |  |
| c. EMPLOYER'S NAME OR SCHOOL NAME  |  |  |  |  |  |  |  |  |  | d. INSURANCE PLAN NAME OR PROGRAM NAME  |  |  |  |  |  |  |  |  |  | d. IS THERE ANOTHER HEALTH BENEFIT PLAN?<br><input type="checkbox"/> YES <input type="checkbox"/> NO <i>If yes, return to and complete item 9 a-d.</i>   |  |  |  |  |  |  |  |  |  |
| d. INSURANCE PLAN NAME OR PROGRAM NAME   |  |  |  |  |  |  |  |  |  | 10d. RESERVED FOR LOCAL USE   |  |  |  |  |  |  |  |  |  | 13. INSURED'S OR AUTHORIZED PERSON'S SIGNATURE I authorize payment of medical benefits to the undersigned physician or supplier for services described below.<br><br>SIGNED _____ DATE _____                               |  |  |  |  |  |  |  |  |  |
| READ BACK OF FORM BEFORE COMPLETING & SIGNING THIS FORM.   |  |  |  |  |  |  |  |  |  |   |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 12. PATIENT'S OR AUTHORIZED PERSON'S SIGNATURE I authorize the release of any medical or other information necessary to process this claim. I also request payment of government benefits either to myself or to the party who accepts assignment below.<br><br>SIGNED _____ DATE _____  |  |  |  |  |  |  |  |  |  | 13. INSURED'S OR AUTHORIZED PERSON'S SIGNATURE I authorize payment of medical benefits to the undersigned physician or supplier for services described below.<br><br>SIGNED _____ DATE _____  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 14. DATE OF CURRENT ILLNESS (First symptom) OR INJURY (Accident) OR PREGNANCY (LMP)<br>MM DD YY  |  |  |  |  |  |  |  |  |  | 15. IF PATIENT HAS HAD SAME OR SIMILAR ILLNESS, GIVE FIRST DATE<br>MM DD YY   |  |  |  |  |  |  |  |  |  | 16. DATES PATIENT UNABLE TO WORK IN CURRENT OCCUPATION<br>FROM MM DD YY TO MM DD YY  |  |  |  |  |  |  |  |  |  |
| 17. NAME OF REFERRING PROVIDER OR OTHER SOURCE<br><b>I.M. REFERRING PROVIDER</b>   |  |  |  |  |  |  |  |  |  | 17a. NPI<br><b>0111111110</b>   |  |  |  |  |  |  |  |  |  | 18. HOSPITALIZATION DATES RELATED TO CURRENT SERVICES<br>FROM MM DD YY TO MM DD YY   |  |  |  |  |  |  |  |  |  |
| 19. RESERVED FOR LOCAL USE   |  |  |  |  |  |  |  |  |  | 20. OUTSIDE LAB? <input type="checkbox"/> YES <input type="checkbox"/> NO \$ CHARGES _____  |  |  |  |  |  |  |  |  |  | 22. MEDICAID RESUBMISSION CODE ORIGINAL REF. NO.   |  |  |  |  |  |  |  |  |  |
| 21. DIAGNOSIS OR NATURE OF ILLNESS OR INJURY (Relate Items 1, 2, 3 or 4 to item 24E by Line)<br>1. <b>436</b>  |  |  |  |  |  |  |  |  |  | 23. PRIOR AUTHORIZATION NUMBER  |  |  |  |  |  |  |  |  |  | 25. FEDERAL TAX I.D. NUMBER SSN EIN<br>26. PATIENT'S ACCOUNT NO.<br><b>1234JED</b>   |  |  |  |  |  |  |  |  |  |
| 2. _____   |  |  |  |  |  |  |  |  |  | 3. _____  |  |  |  |  |  |  |  |  |  | 27. ACCEPT ASSIGNMENT? <input type="checkbox"/> YES <input type="checkbox"/> NO  |  |  |  |  |  |  |  |  |  |
| 3. _____   |  |  |  |  |  |  |  |  |  | 4. _____  |  |  |  |  |  |  |  |  |  | 28. TOTAL CHARGE \$ <b>XXX XX</b>  |  |  |  |  |  |  |  |  |  |
| 4. _____   |  |  |  |  |  |  |  |  |  | 5. _____  |  |  |  |  |  |  |  |  |  | 29. AMOUNT PAID \$ <b>XXX XX</b>   |  |  |  |  |  |  |  |  |  |
| 5. _____   |  |  |  |  |  |  |  |  |  | 6. _____  |  |  |  |  |  |  |  |  |  | 30. BALANCE DUE \$ <b>XXX XX</b>   |  |  |  |  |  |  |  |  |  |
| 6. _____   |  |  |  |  |  |  |  |  |  | 7. _____  |  |  |  |  |  |  |  |  |  | 31. SIGNATURE OF PHYSICIAN OR SUPPLIER INCLUDING DEGREES OR CREDENTIALS (I certify that the statements on the reverse apply to this bill and are made a part thereof.)<br><br><i>J.M. Provider</i> 11302011<br>SIGNED DATE |  |  |  |  |  |  |  |  |  |
| 7. _____   |  |  |  |  |  |  |  |  |  | 8. _____  |  |  |  |  |  |  |  |  |  | 32. SERVICE FACILITY LOCATION INFORMATION<br><br>a. NPI b. ZZ123456789X  |  |  |  |  |  |  |  |  |  |
| 8. _____   |  |  |  |  |  |  |  |  |  | 9. _____  |  |  |  |  |  |  |  |  |  | 33. BILLING PROVIDER INFO & PH #<br><b>I.M. PROVIDER</b><br><b>1 W WILLIAMS ST</b><br><b>ANYTOWN WI 55555-1234</b>   |  |  |  |  |  |  |  |  |  |
| 9. _____   |  |  |  |  |  |  |  |  |  | 10. _____   |  |  |  |  |  |  |  |  |  | a. 022222220 b. ZZ123456789X   |  |  |  |  |  |  |  |  |  |

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APPROVED OMB-0938-0999 FORM CMS-1500 (08-05)

CARRIER  
PATIENT AND INSURED INFORMATION  
PHYSICIAN OR SUPPLIER INFORMATION

Topic #542

# Attached Documentation

Providers should not submit additional documentation with a claim *unless* specifically requested.

Topic #2769

## Multiple Services During One Session

Multiple procedure codes may be prior authorized in anticipation of the required services. However, only the procedure codes that reflect the services actually provided on that DOS (date of service) may be indicated on the claim. Claims found to be in conflict with this program requirement will be recouped.

Topic #2773

## Birth to 3 Services

Federal regulations allow a Birth to 3 member's parents or guardians to refuse consent to bill their commercial health insurance [34 CFR Part 303](#) if it would result in a cost to the family, such as:

- Reaching the lifetime limit on a policy.
- An increase in premiums, copayments, or deductibles.

Birth to 3 agencies may reimburse the commercial health insurance liability when both of the following occur:

- The member participates in the Birth to 3 Program and is receiving Birth to 3 services.
- The parents or guardians do not allow their BadgerCare Plus provider or BadgerCare Plus HMOs (health maintenance organizations) to bill their commercial health insurance first.

Birth to 3 providers must use modifier "TL" with an appropriate procedure code for PT (physical therapy), OT (occupational therapy), and SLP (speech and language pathology) services.

Topic #6957

## Copy Claims on the ForwardHealth Portal

Providers can copy institutional, professional, and dental paid claims on the ForwardHealth Portal. Providers can open any paid claim, click the "Copy" button, and all of the information on the claim will be copied over to a new claim form. Providers can then make any desired changes to the claim form and click "Submit" to submit as a new claim. After submission, ForwardHealth will issue a response with a new ICN (internal control number) along with the claim status.

Topic #5017

## Correct Errors on Claims and Resubmit to ForwardHealth on the Portal

Providers can view [EOB \(Explanation of Benefits\) codes](#) and descriptions for any claim submitted to ForwardHealth on the ForwardHealth Portal. The EOBs help providers determine why a claim did not process successfully, so providers may correct the error online and resubmit the claim. The EOB appears on the bottom of the screen and references the applicable claim header or detail.



Topic #4997

## Direct Data Entry of Professional and Institutional Claims on the Portal

Providers can submit the following claims to ForwardHealth via DDE (Direct Data Entry) on the ForwardHealth Portal:

- Professional claims.
- Institutional claims.
- Dental claims.
- Compound drug claims.
- Noncompound drug claims.

DDE is an online application that allows providers to submit claims directly to ForwardHealth.

When submitting claims via DDE, required fields are indicated with an asterisk next to the field. If a required field is left blank, the claim will not be submitted and a message will appear prompting the provider to complete the specific required field(s). Portal help is available for each online application screen. In addition, search functions accompany certain fields so providers do not need to look up the following information in secondary resources.

On professional claim forms, providers may search for and select the following:

- Procedure codes.
- Modifiers.
- Diagnosis codes.
- Place of service codes.

On institutional claim forms, providers may search for and select the following:

- Type of bill.
- Patient status.
- Visit point of origin.
- Visit priority.
- Diagnosis codes.
- Revenue codes.
- Procedure codes.
- Modifiers.

On dental claims, providers may search for and select the following:

- Procedure codes.
- Rendering providers.
- Area of the oral cavity.
- Place of service codes.

On compound and noncompound drug claims, providers may search for and select the following:

- Diagnosis codes.
- NDCs (National Drug Codes).
- Place of service codes.
- Professional service codes.

- Reason for service codes.
- Result of service codes.

Using DDE, providers may submit claims for compound drugs and single-entity drugs. Any provider, including a provider of DME (durable medical equipment) or of DMS (disposable medical supplies) who submits noncompound drug claims, may submit these claims via DDE. All claims, including POS (Point-of-Sale) claims, are viewable via DDE.

Topic #344

## Electronic Claim Submission

Providers are encouraged to submit claims electronically. Electronic claim submission does the following:

- Adapts to existing systems.
- Allows flexible submission methods.
- Improves cash flow.
- Offers efficient and timely payments.
- Reduces billing and processing errors.
- Reduces clerical effort.

Topic #2771

## Electronic Claim Submission for Physical Therapy, Occupational Therapy, and Speech and Language Pathology Services

Electronic claims for PT (physical therapy), OT (occupational therapy), and SLP (speech and language pathology) services must be submitted using the 837P (837 Health Care Claim: Professional) transaction. Electronic claims for PT, OT, and SLP services submitted using any transaction other than the 837P will be denied.

Providers should use the [companion guide](#) for the 837P transaction when submitting these claims.

## Provider Electronic Solutions Software

The DHCAA (Division of Health Care Access and Accountability) offers electronic billing software at no cost to providers. The PES (Provider Electronic Solutions) software allows providers to submit electronic claims using an 837 transaction. To obtain PES software, providers may download it from the [ForwardHealth Portal](#). For assistance installing and using PES software, providers may call the [EDI \(Electronic Data Interchange\) Helpdesk](#).

Topic #2770

## Evaluations

Claims for evaluations and re-evaluations may be submitted only upon completion regardless of the number of days needed to complete the evaluation. Evaluations and re-evaluations are not reimbursable for the same DOS ()

When submitting claims, providers are required to indicate a quantity of "1.0" for each evaluation instead of indicating a unit of "1.0" for every 15 minutes.

A unit of "1.0" submitted refers to the completion of an evaluation or re-evaluation regardless of time required to complete evaluation.

Topic #365

## Extraordinary Claims

[Extraordinary claims](#) are claims that have been denied by a BadgerCare Plus HMO (health maintenance organization) or SSI (Supplemental Security Income) HMO and should be submitted to fee-for-service.

Topic #4837

## HIPAA-Compliant Data Requirements

### Procedure Codes

All fields submitted on paper and electronic claims are edited to ensure HIPAA (Health Insurance Portability and Accountability Act of 1996) compliance before being processed. Compliant code sets include CPT (Current Procedural Terminology) and HCPCS (Healthcare Common Procedure Coding System) procedure codes entered into all fields, including those fields that are "Not Required" or "Optional."

If the information in all fields is not valid and recognized by ForwardHealth, the claim will be denied.

### Provider Numbers

For health care providers, NPIs (National Provider Identifiers) are required in all provider number fields on paper claims and 837 (837 Health Care Claim) transactions, including rendering, billing, referring, prescribing, attending, and "Other" provider fields.

Non-healthcare providers, including personal care providers, SMV (specialized medical vehicle) providers, blood banks, and CCOs (community care organizations) should enter valid provider numbers into fields that require a provider number.

Topic #562

## Managed Care Organizations

Claims for services that are covered in a member's state-contracted MCO (managed care organization) should be submitted to that MCO.

Topic #367

## Non-enrolled In-State Providers

Claims from [non-enrolled in-state providers](#) must meet additional requirements.

Topic #10837

## Note Field for Most Claims Submitted Electronically

In some instances, ForwardHealth requires providers to include a description of a service identified by an unlisted, or NOC (not

otherwise classified), procedure code. Providers submitting claims electronically should include a description of a NOC procedure code in a "Notes" field, if required. The Notes field allows providers to enter up to 80 characters. In some cases, the Notes field allows providers to submit NOC procedure code information on a claim electronically instead of on a paper claim or with a paper attachment to an electronic claim.

The Notes field should only be used for NOC procedure codes that do not require PA (prior authorization).

## **Claims Submitted Via the ForwardHealth Portal Direct Data Entry or Provider Electronic Solutions**

A Notes field is available on the ForwardHealth Portal DDE (Direct Data Entry) and PES (Provider Electronic Solutions) software when providers submit the following types of claims:

- Professional.
- Institutional.
- Dental.

On the Professional form, the Notes field is available on each detail. On the Institutional and Dental forms, the Notes field is only available on the header.

## **Claims Submitted Via 837 Health Care Claim Transactions**

ForwardHealth accepts and utilizes information submitted by providers about NOC procedure codes in certain loops/segments on the 837 (837 Health Care Claim) transactions. Refer to the [companion guides](#) for more information.

Topic #561

# **Paper Claim Form Preparation and Data Alignment Requirements**

## **Optical Character Recognition**

Paper claims submitted to ForwardHealth on the 1500 Health Insurance Claim Form and UB-04 Claim Form are processed using OCR (Optical Character Recognition) software that recognizes printed, alphanumeric text. OCR software increases efficiency by alleviating the need for keying in data from paper claims.

The data alignment requirements do not apply to the [Compound Drug Claim \(F-13073 \(07/12\)\)](#) and the [Noncompound Drug Claim \(F-13072 \(07/12\)\)](#).

## **Speed and Accuracy of Claims Processing**

OCR software processes claim forms by reading text within fields on claim forms. After a paper claim form is received by ForwardHealth, the claim form is scanned so that an image can be displayed electronically. The OCR software reads the electronic image on file and populates the information into the ForwardHealth interChange system. This technology increases accuracy by removing the possibility of errors being made during manual keying.

OCR software speeds paper claim processing, but only if providers prepare their claim forms correctly. In order for OCR software to read the claim form accurately, the quality of copy and the alignment of text within individual fields on the claim form need to be precise. If data are misaligned, the claim could be processed incorrectly. If data cannot be read by the OCR software,

the process will stop and the electronic image of the claim form will need to be reviewed and keyed manually. This will cause an increase in processing time.

## Handwritten Claims

Submitting handwritten claims should be avoided whenever possible. ForwardHealth accepts handwritten claims; however, it is very difficult for OCR software to read a handwritten claim. If a handwritten claim cannot be read by the OCR software, it will need to be keyed manually from the electronic image of the claim form. Providers should avoid submitting claims with handwritten corrections as this can also cause OCR software processing delays.

## Use Original Claim Forms

Only original 1500 Health Insurance Claim Forms and UB-04 Claim Forms should be submitted. Original claim forms are printed in red ink and may be obtained from a federal forms supplier. ForwardHealth does not provide these claim forms. Claims that are submitted as photocopies cannot be read by OCR software and will need to be keyed manually from an electronic image of the claim form. This could result in processing delays.

## Use Laser or Ink Jet Printers

It is recommended that claims are printed using laser or ink jet printers rather than printers that use DOT matrix. DOT matrix printers have breaks in the letters and numbers, which may cause the OCR software to misread the claim form. Use of old or worn ink cartridges should also be avoided. If the claim form is read incorrectly by the OCR software, the claim may be denied or reimbursed incorrectly. The process may also be stopped if it is unable to read the claim form, which will cause a delay while it is manually reviewed.

## Alignment

Alignment within each field on the claim form needs to be accurate. If text within a field is aligned incorrectly, the OCR software may not recognize that data are present within the field or may not read the data correctly. For example, if a reimbursement amount of \$300.00 is entered into a field on the claim form, but the last "0" is not aligned within the field, the OCR software may read the number as \$30.00, and the claim will be reimbursed incorrectly.

To get the best alignment on the claim form, providers should center information vertically within each field, and align all information on the same horizontal plane. Avoid squeezing two lines of text into one of the six line items on the 1500 Health Insurance Claim Form.

The following sample claim forms demonstrate correct and incorrect alignment:

- [Correct alignment](#) for the 1500 Health Insurance Claim Form.
- [Incorrect alignment](#) for the 1500 Health Insurance Claim Form.
- [Correct alignment](#) for the UB-04 Claim Form.
- [Incorrect alignment](#) for the UB-04 Claim Form.

## Clarity

Clarity is very important. If information on the claim form is not clear enough to be read by the OCR software, the process may stop, prompting manual review.

The following guidelines will produce the clearest image and optimize processing time:

- Use 10-point or 12-point Times New Roman or Courier New font.
- Type all claim data in uppercase letters.
- Use only black ink to complete the claim form.

- Avoid using italics, bold, or script.
- Make sure characters do not touch.
- Make sure there are no lines from the printer cartridge anywhere on the claim form.
- Avoid using special characters such as dollar signs, decimals, dashes, asterisks, or backslashes, unless it is specified that these characters should be used.
- Use Xs in check boxes. Avoid using letters such as "Y" for "Yes," "N" for "No," "M" for "Male," or "F" for "Female."
- Do not highlight any information on the claim form. Highlighted information blackens when it is imaged, and the OCR software will be unable to read it.

*Note:* The above guidelines will also produce the clearest image for claims that need to be keyed manually from an electronic image.

## **Staples, Correction Liquid, and Correction Tape**

The use of staples, correction liquid, correction tape, labels, or stickers on claim forms should be avoided. Staples need to be removed from claim forms before they can be imaged, which can damage the claim and cause a delay in processing time. Correction liquid, correction tape, labels, and stickers can cause data to be read incorrectly or cause the OCR process to stop, prompting manual review. If the form cannot be read by the OCR software, it will need to be keyed manually from an electronic image.

## **Additional Diagnosis Codes**

ForwardHealth will accept up to eight diagnosis codes in Element 21 of the 1500 Health Insurance Claim Form. To correctly add additional diagnosis codes in this element so that it can be read properly by the OCR software, providers should indicate the fifth diagnosis code between the first and third diagnosis code blanks, the sixth diagnosis code between the second and fourth diagnosis code blanks, the seventh diagnosis code to the right of the third diagnosis code blank, and the eighth diagnosis code to the right of the fourth diagnosis code blank. Providers should not number any additional diagnosis codes.

## **Anchor Fields**

Anchor fields are areas on the 1500 Health Insurance Claim Form and the UB-04 Claim Form that the OCR software uses to identify what type of form is being processed. The following fields on the 1500 Health Insurance Claim Form are anchor fields:

- Element 2 (Patient's Name).
- Element 4 (Insured's Name).
- Element 24 (Detail 1).

The following fields on the UB-04 Claim Form are anchor fields:

- Form Locator 4 (Type of Bill).
- Form Locator 5 (Fed. Tax No.).
- Form Locator 9 (Patient Address).
- Form Locator 58A (Insured's Name).

Since ForwardHealth uses these fields to identify the form as a 1500 Health Insurance Claim Form or a UB-04 Claim Form, it is required that these fields are completed for processing.

## Sample of a Correctly Aligned 1500 Health Insurance Claim Form

**1500**

### HEALTH INSURANCE CLAIM FORM

APPROVED BY NATIONAL UNIFORM CLAIM COMMITTEE 08/05

|   |  |  |  |  |   |  |  |                                    |                               |  |  |  |  |  |                                   |  |  |  |  |  |  |  |  |  |                                 |  |  |  |  |
|---|--|--|--|--|---|--|--|------------------------------------|-------------------------------|--|--|--|--|--|-----------------------------------|--|--|--|--|--|--|--|--|--|---------------------------------|--|--|--|--|
| PICA <span style="float: right;">PICA</span>  |  |  |  |  |   |  |  |                                    |                               |  |  |  |  |  |                                   |  |  |  |  |  |  |  |  |  |                                 |  |  |  |  |
| 1. MEDICARE <input type="checkbox"/> MEDICAID <input checked="" type="checkbox"/> TRICARE <input type="checkbox"/> CHAMPVA <input type="checkbox"/> GROUP HEALTH PLAN <input type="checkbox"/> FECA <input type="checkbox"/> OTHER <input type="checkbox"/><br><small>(Medicare #) (Medicaid #) (Sponsor's SSN) (Member ID#) (SSN or ID) (SSN) (ID)</small> |  |  |  |  | 1a. INSURED'S I.D. NUMBER (For Program in Item 1)<br><b>1234567890</b>  |  |  |                                    |                               |  |  |  |  |  |                                   |  |  |  |  |  |  |  |  |  |                                 |  |  |  |  |
| 2. PATIENT'S NAME (Last Name, First Name, Middle Initial)<br><b>MEMBER, IM A</b>  |  |  |  |  | 3. PATIENT'S BIRTH DATE<br>MM DD YY M F <input checked="" type="checkbox"/><br>SEX  |  | 4. INSURED'S NAME (Last Name, First Name, Middle Initial)<br><b>SAME</b>           |                                    |                               |  |  |  |  |  |                                   |  |  |  |  |  |  |  |  |  |                                 |  |  |  |  |
| 5. PATIENT'S ADDRESS (No., Street)<br><b>609 WILLOW ST</b>  |  |  |  |  | 6. PATIENT RELATIONSHIP TO INSURED<br>Self <input type="checkbox"/> Spouse <input type="checkbox"/> Child <input type="checkbox"/> Other <input type="checkbox"/>   |  |  | 7. INSURED'S ADDRESS (No., Street) |                               |  |  |  |  |  |                                   |  |  |  |  |  |  |  |  |  |                                 |  |  |  |  |
| CITY<br><b>ANYTOWN</b>  |  | STATE<br><b>WI</b>                                     |  | 8. PATIENT STATUS<br>Single <input type="checkbox"/> Married <input type="checkbox"/> Other <input type="checkbox"/> |   |  | CITY   |                                    | STATE                         |  |  |  |  |  |                                   |  |  |  |  |  |  |  |  |  |                                 |  |  |  |  |
| ZIP CODE<br><b>55555</b>  |  | TELEPHONE (Include Area Code)<br><b>(444) 444-4444</b> |  |  | Employed <input type="checkbox"/> Full-Time <input type="checkbox"/> Student <input type="checkbox"/> Part-Time <input type="checkbox"/>  |  | ZIP CODE   |                                    | TELEPHONE (Include Area Code) |  |  |  |  |  |                                   |  |  |  |  |  |  |  |  |  |                                 |  |  |  |  |
| 9. OTHER INSURED'S NAME (Last Name, First Name, Middle Initial)<br><b>OI-P</b>  |  |  |  |  | 10. IS PATIENT'S CONDITION RELATED TO:<br>a. EMPLOYMENT? (Current or Previous)<br><input type="checkbox"/> YES <input type="checkbox"/> NO<br>b. AUTO ACCIDENT?<br><input type="checkbox"/> YES <input type="checkbox"/> NO<br>c. OTHER ACCIDENT?<br><input type="checkbox"/> YES <input type="checkbox"/> NO |  |  |                                    |                               | 11. INSURED'S POLICY GROUP OR FECA NUMBER  |  |  |  |  |                                   |  |  |  |  |  |  |  |  |  |                                 |  |  |  |  |
| a. OTHER INSURED'S POLICY OR GROUP NUMBER   |  |  |  |  | a. INSURED'S DATE OF BIRTH<br>MM DD YY M F <input type="checkbox"/>   |  |  |                                    |                               | b. EMPLOYER'S NAME OR SCHOOL NAME  |  |  |  |  |                                   |  |  |  |  |  |  |  |  |  |                                 |  |  |  |  |
| b. OTHER INSURED'S DATE OF BIRTH<br>MM DD YY M F <input type="checkbox"/>   |  |  |  |  | c. EMPLOYER'S NAME OR SCHOOL NAME   |  |  |                                    |                               | c. INSURANCE PLAN NAME OR PROGRAM NAME   |  |  |  |  |                                   |  |  |  |  |  |  |  |  |  |                                 |  |  |  |  |
| c. EMPLOYER'S NAME OR SCHOOL NAME   |  |  |  |  | d. INSURANCE PLAN NAME OR PROGRAM NAME  |  |  |                                    |                               | 10x. RESERVED FOR LOCAL USE  |  |  |  |  |                                   |  |  |  |  |  |  |  |  |  |                                 |  |  |  |  |
| d. INSURANCE PLAN NAME OR PROGRAM NAME  |  |  |  |  | 11. INSURED'S DATE OF BIRTH<br>MM DD YY M F <input type="checkbox"/>  |  |  |                                    |                               | d. IS THERE ANOTHER HEALTH BENEFIT PLAN?<br><input type="checkbox"/> YES <input type="checkbox"/> NO #yes, return to and complete item 9 a-d.  |  |  |  |  |                                   |  |  |  |  |  |  |  |  |  |                                 |  |  |  |  |
| <b>READ BACK OF FORM BEFORE COMPLETING &amp; SIGNING THIS FORM.</b>   |  |  |  |  |   |  |  |                                    |                               |  |  |  |  |  |                                   |  |  |  |  |  |  |  |  |  |                                 |  |  |  |  |
| 12. PATIENT'S OR AUTHORIZED PERSON'S SIGNATURE I authorize the release of any medical or other information necessary to process this claim. I also request payment of government benefits either to myself or to the party who accepts assignment below.  |  |  |  |  |   |  |  |                                    |                               | 13. INSURED'S OR AUTHORIZED PERSON'S SIGNATURE I authorize payment of medical benefits to the undersigned physician or supplier for services described below.  |  |  |  |  |                                   |  |  |  |  |  |  |  |  |  |                                 |  |  |  |  |
| SIGNED _____ DATE _____   |  |  |  |  | SIGNED _____ DATE _____   |  |  |                                    |                               |  |  |  |  |  |                                   |  |  |  |  |  |  |  |  |  |                                 |  |  |  |  |
| 14. DATE OF CURRENT ILLNESS (First symptom) OR INJURY (Accident) OR PREGNANCY (LMP)<br>MM DD YY   |  |  |  |  | 15. IF PATIENT HAS HAD SAME OR SIMILAR ILLNESS, GIVE FIRST DATE<br>MM DD YY   |  |  |                                    |                               | 16. DATES PATIENT UNABLE TO WORK IN CURRENT OCCUPATION<br>FROM MM DD YY TO MM DD YY  |  |  |  |  |                                   |  |  |  |  |  |  |  |  |  |                                 |  |  |  |  |
| 17. NAME OF REFERRING PROVIDER OR OTHER SOURCE<br><b>I.M. REFERRING PROVIDER</b>  |  |  |  |  | 17a. _____<br>17b. NPI <b>0111111110</b>  |  | 18. HOSPITALIZATION DATES RELATED TO CURRENT SERVICES<br>FROM MM DD YY TO MM DD YY |                                    |                               |  |  | 20. OUTSIDE LAB? <input type="checkbox"/> YES <input type="checkbox"/> NO \$ CHARGES |  |  |                                   |  |  |  |  |  |  |  |  |  |                                 |  |  |  |  |
| 19. RESERVED FOR LOCAL USE  |  |  |  |  |   |  |  |                                    |                               | 22. MEDICAID RESUBMISSION CODE ORIGINAL REF. NO.   |  |  |  |  |                                   |  |  |  |  |  |  |  |  |  |                                 |  |  |  |  |
| 21. DIAGNOSIS OR NATURE OF ILLNESS OR INJURY (Relate Items 1, 2, 3 or 4 to Item 24E by Line)<br>1. <b>LXXX, X</b>   |  |  |  |  |   |  |  |                                    |                               | 23. PRIOR AUTHORIZATION NUMBER   |  |  |  |  |                                   |  |  |  |  |  |  |  |  |  |                                 |  |  |  |  |
| 2. _____<br>3. _____<br>4. _____  |  |  |  |  |   |  |  |                                    |                               | 24. A. DATE(S) OF SERVICE From MM DD YY To MM DD YY B. PLACE OF SERVICE C. EMG D. PROCEDURES, SERVICES, OR SUPPLIES (Explain Unusual Circumstances) CPT/HCPCS MODIFIER E. DIAGNOSIS POINTER F. \$ CHARGES G. DAYS OR UNITS H. SPIGOT Family Plan I. ID. QUAL J. RENDERING PROVIDER ID. # |  |  |  |  |                                   |  |  |  |  |  |  |  |  |  |                                 |  |  |  |  |
| 1 MM DD YY XX XXXX XX X XXX XX 1 NPI  |  |  |  |  |   |  |  |                                    |                               | 2 NPI  |  |  |  |  |                                   |  |  |  |  |  |  |  |  |  |                                 |  |  |  |  |
| 3 NPI   |  |  |  |  |   |  |  |                                    |                               | 4 NPI  |  |  |  |  |                                   |  |  |  |  |  |  |  |  |  |                                 |  |  |  |  |
| 5 NPI   |  |  |  |  |   |  |  |                                    |                               | 6 NPI  |  |  |  |  |                                   |  |  |  |  |  |  |  |  |  |                                 |  |  |  |  |
| 25. FEDERAL TAX I.D. NUMBER SSN EIN <input type="checkbox"/> <input type="checkbox"/>   |  |  |  |  | 26. PATIENT'S ACCOUNT NO.<br><b>1234JED</b>   |  |  |                                    |                               | 27. ACCEPT ASSIGNMENT? (or govt. claims, see back) <input type="checkbox"/> YES <input type="checkbox"/> NO  |  |  |  |  | 28. TOTAL CHARGE \$ <b>XXX XX</b> |  |  |  |  | 29. AMOUNT PAID \$ <b>XX XX</b>  |  |  |  |  | 30. BALANCE DUE \$ <b>XX XX</b> |  |  |  |  |
| 31. SIGNATURE OF PHYSICIAN OR SUPPLIER INCLUDING DEGREES OR CREDENTIALS (I certify that the statements on the reverse apply to this bill and are made a part thereof.)<br><i>J. M. Provider</i>   |  |  |  |  |   |  |  |                                    |                               | 32. SERVICE FACILITY LOCATION INFORMATION<br>a. NPI b.   |  |  |  |  |                                   |  |  |  |  | 33. BILLING PROVIDER INFO & PH #<br><b>I.M. PROVIDER<br/>                 1 W WILLIAMS ST<br/>                 ANYTOWN WI 55555-1234</b><br>a. <b>022222220</b> b. <b>ZZ123456789X</b> |  |  |  |  |                                 |  |  |  |  |
| SIGNED _____ DATE _____   |  |  |  |  |   |  |  |                                    |                               | SIGNED _____ DATE _____  |  |  |  |  |                                   |  |  |  |  |  |  |  |  |  |                                 |  |  |  |  |

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APPROVED OMB-0938-0999 FORM CMS-1500 (08-05)

CARRIER  
PATIENT AND INSURED INFORMATION  
PHYSICIAN OR SUPPLIER INFORMATION

### Sample of an Incorrectly Aligned 1500 Health Insurance Claim Form

1500
CARRIER

#### HEALTH INSURANCE CLAIM FORM

APPROVED BY NATIONAL UNIFORM CLAIM COMMITTEE 08/05

|  |  |   |  |
|--|--|---|--|
| <input type="checkbox"/> PICA  |  | <input type="checkbox"/> PICA   |  |
| 1. MEDICARE <input type="checkbox"/> MEDICAID <input checked="" type="checkbox"/> TRICARE CHAMPUS (Sponsor's SSN) <input type="checkbox"/> CHAMPVA (Member ID#) <input type="checkbox"/> GROUP HEALTH PLAN (SSN or ID) <input type="checkbox"/> FECA BLK LUNG (SSN) <input type="checkbox"/> OTHER (ID) <input type="checkbox"/> |  | 1a. INSURED'S I.D. NUMBER (For Program in Item 1)<br><b>1234567890</b>  |  |
| 2. PATIENT'S NAME (Last Name, First Name, Middle Initial)<br><b>MEMBER, IM A</b>   |  | 3. PATIENT'S BIRTH DATE (MM DD YY) SEX (M F)<br><b>MM DD YY M F</b>   |  |
| 4. INSURED'S NAME (Last Name, First Name, Middle Initial)<br><b>SAME</b>   |  | 5. PATIENT'S ADDRESS (No., Street)<br><b>609 WILLOW ST</b>  |  |
| 6. PATIENT RELATIONSHIP TO INSURED<br>Self <input type="checkbox"/> Spouse <input type="checkbox"/> Child <input type="checkbox"/> Other <input type="checkbox"/>  |  | 7. INSURED'S ADDRESS (No., Street)<br>CITY STATE<br><b>ANYTOWN WI</b>   |  |
| 8. PATIENT STATUS<br>Single <input type="checkbox"/> Married <input type="checkbox"/> Other <input type="checkbox"/>   |  | 9. OTHER INSURED'S NAME (Last Name, First Name, Middle Initial)<br><b>01-P</b>  |  |
| 9. OTHER INSURED'S NAME (Last Name, First Name, Middle Initial)<br>ZIP CODE TELEPHONE (Include Area Code)<br><b>55555 ( ) 444 444 4444</b>   |  | 10. IS PATIENT'S CONDITION RELATED TO:<br>Employed <input type="checkbox"/> Full-Time <input type="checkbox"/> Part-Time <input type="checkbox"/> Student <input type="checkbox"/>  |  |
| 10. IS PATIENT'S CONDITION RELATED TO:<br>a. OTHER INSURED'S POLICY OR GROUP NUMBER<br>b. OTHER INSURED'S DATE OF BIRTH (MM DD YY) SEX (M F)<br>c. EMPLOYER'S NAME OR SCHOOL NAME<br>d. INSURANCE PLAN NAME OR PROGRAM NAME  |  | 11. INSURED'S POLICY GROUP OR FECA NUMBER<br>a. INSURED'S DATE OF BIRTH (MM DD YY) SEX (M F)<br>b. EMPLOYER'S NAME OR SCHOOL NAME<br>c. INSURANCE PLAN NAME OR PROGRAM NAME<br>d. IS THERE ANOTHER HEALTH BENEFIT PLAN?<br><input type="checkbox"/> YES <input type="checkbox"/> NO <i>If yes, return to and complete item 9 a-d.</i> |  |
| <b>READ BACK OF FORM BEFORE COMPLETING &amp; SIGNING THIS FORM.</b>  |  |   |  |
| 12. PATIENT'S OR AUTHORIZED PERSON'S SIGNATURE I authorize the release of any medical or other information necessary to process this claim. I also request payment of government benefits either to myself or to the party who accepts assignment below.<br>SIGNED _____ DATE _____  |  | 13. INSURED'S OR AUTHORIZED PERSON'S SIGNATURE I authorize payment of medical benefits to the undersigned physician or supplier for services described below.<br>SIGNED _____ DATE _____  |  |
| 14. DATE OF CURRENT ILLNESS (First symptom) OR INJURY (Accident) OR PREGNANCY (LMP)<br>MM DD YY  |  | 15. IF PATIENT HAS HAD SAME OR SIMILAR ILLNESS. GIVE FIRST DATE<br>MM DD YY   |  |
| 17. NAME OF REFERRING PROVIDER OR OTHER SOURCE<br><b>I.M. REFERRING PROVIDER</b>   |  | 16. DATES PATIENT UNABLE TO WORK IN CURRENT OCCUPATION<br>FROM MM DD YY TO MM DD YY   |  |
| 19. RESERVED FOR LOCAL USE   |  | 17a. NPI<br><b>0111111110</b>   |  |
| 21. DIAGNOSIS OR NATURE OF ILLNESS OR INJURY (Relate Items 1, 2, 3 or 4 to item 24E by Line)<br>1. <b>XXX X</b>  |  | 18. HOSPITALIZATION DATES RELATED TO CURRENT SERVICES<br>FROM MM DD YY TO MM DD YY  |  |
| 24. A. DATE(S) OF SERVICE From MM DD YY To MM DD YY B. PLACE OF SERVICE EMG C. D. PROCEDURES, SERVICES, OR SUPPLIES (Explain Unusual Circumstances) CPT/HCPCS MODIFIER E. DIAGNOSIS POINTER  |  | 20. OUTSIDE LAB? \$ CHARGES<br><input type="checkbox"/> YES <input type="checkbox"/> NO   |  |
| 1 MM DD YY XX XXXX XX X  |  | 22. MEDICAID RESUBMISSION CODE ORIGINAL REF. NO.  |  |
| 25. FEDERAL TAX I.D. NUMBER SSN EIN <input type="checkbox"/> <input type="checkbox"/>  |  | 23. PRIOR AUTHORIZATION NUMBER  |  |
| 26. PATIENT'S ACCOUNT NO.<br><b>1234JED</b>  |  | 24. MEDICAID RESUBMISSION CODE ORIGINAL REF. NO.  |  |
| 27. ACCEPT ASSIGNMENT? (For gov. clients, see back) <input type="checkbox"/> YES <input type="checkbox"/> NO   |  | 25. FEDERAL TAX I.D. NUMBER SSN EIN <input type="checkbox"/> <input type="checkbox"/>   |  |
| 28. TOTAL CHARGE \$ <b>XXX XX</b>  |  | 26. PATIENT'S ACCOUNT NO.<br><b>1234JED</b>   |  |
| 29. AMOUNT PAID \$ <b>XX XX</b>  |  | 27. ACCEPT ASSIGNMENT? (For gov. clients, see back) <input type="checkbox"/> YES <input type="checkbox"/> NO  |  |
| 30. BALANCE DUE \$ <b>XX XX</b>  |  | 28. TOTAL CHARGE \$ <b>XXX XX</b>   |  |
| 31. SIGNATURE OF PHYSICIAN OR SUPPLIER INCLUDING DEGREES OR CREDENTIALS (I certify that the statements on the reverse apply to this bill and are made a part thereof.)<br><i>J.M. Provider</i> MMDCCYY<br>SIGNED _____ DATE _____  |  | 29. AMOUNT PAID \$ <b>XX XX</b>   |  |
| 32. SERVICE FACILITY LOCATION INFORMATION<br>a. NPI b.   |  | 30. BALANCE DUE \$ <b>XX XX</b>   |  |
| 33. BILLING PROVIDER INFO & PH #<br><b>I.M. PROVIDER<br/>                 1 W WILLIAMS ST<br/>                 ANYTOWN WI 55555-1234<br/>                 0222222220 ZZ123456789X</b>  |  | 31. SIGNATURE OF PHYSICIAN OR SUPPLIER INCLUDING DEGREES OR CREDENTIALS (I certify that the statements on the reverse apply to this bill and are made a part thereof.)<br><i>J.M. Provider</i> MMDCCYY<br>SIGNED _____ DATE _____   |  |

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Sample of a Correctly Aligned UB-04 Claim Form

|  |  |                         |                       |
|--|--|-------------------------|-----------------------|
| 1 IM BILLING PROVIDER<br>444 E CLAIREMONT<br>ANYTOWN WI 55555-1234<br>(444) 444-4444 | 2  | 3a PAT CNTL #           | 4 TYPE OF BILL<br>XXX |
| 5 FED TAX NO.<br>01-2345678  | 6 STATEMENT COVERS PERIOD FROM<br>MMDDCCYY | 7 THROUGH<br>MMDDCCYY   | 8                     |
| 9 PATIENT NAME<br>MEMBER, IM A   | 10 BIRTHDATE                               | 11 SEX                  | 12 DATE               |
| 13 ADMISSION 13 NR 14 TYPE 15 SRC  | 16 DHR                                     | 17 STAT                 | 18 19 20 21           |
| 22 23 24 25 26 27 28   | 29 ACCT STATE                              | 30                      | 31                    |
| 32 OCCURRENCE DATE   | 33 OCCURRENCE DATE                         | 34 OCCURRENCE DATE      | 35 OCCURRENCE DATE    |
| 36 OCCURRENCE SPAN FROM  | 37 THROUGH                                 | 38 OCCURRENCE SPAN FROM | 39 THROUGH            |
| 40 VALUE CODES AMOUNT  | 41 VALUE CODES AMOUNT                      | 42 VALUE CODES AMOUNT   | 43                    |
| 44 HCPCS / RATE / HPPS CODE  | 45 SERV DATE                               | 46 SERV UNITS           | 47 TOTAL CHARGES      |
| 48 NON-COVERED CHARGES   | 49   | 50                      | 51                    |
| 52   | 53   | 54                      | 55                    |
| 56   | 57   | 58                      | 59                    |
| 60   | 61   | 62                      | 63                    |
| 64   | 65   | 66                      | 67                    |
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| 632  | 633  | 634                     | 635                   |
| 636  | 637  | 638                     | 639                   |
| 640  | 641  | 642                     | 643                   |
| 644  | 645  | 646                     | 647                   |
| 648  | 649  | 650                     | 651                   |
| 652  | 653  | 654                     | 655                   |
| 656  | 657  | 658                     | 659                   |
| 660  | 661  | 662                     | 663                   |
| 664  | 665  | 666                     | 667                   |
| 668  | 669  | 670                     | 671                   |
| 672  | 673  | 674                     | 675                   |
| 676  | 677  | 678                     | 679                   |
| 680  | 681  | 682                     | 683                   |
| 684  | 685  | 686                     | 687                   |
| 688  | 689  | 690                     | 691                   |
| 692  | 693  | 694                     | 695                   |
| 696  | 697  | 698                     | 699                   |
| 700  | 701  | 702                     | 703                   |
| 704  | 705  | 706                     | 707                   |
| 708  | 709  | 710                     | 711                   |
| 712  | 713  | 714                     | 715                   |
| 716  | 717  | 718                     | 719                   |
| 720  | 721  | 722                     | 723                   |
| 724  | 725  | 726                     | 727                   |
| 728  | 729  | 730                     | 731                   |
| 732  | 733  | 734                     | 735                   |
| 736  | 737  | 738                     | 739                   |
| 740  | 741  | 742                     | 743                   |
| 744  | 745  | 746                     | 747                   |
| 748  | 749  | 750                     | 751                   |
| 752  | 753  | 754                     | 755                   |
| 756  | 757  | 758                     | 759                   |
| 760  | 761  | 762                     | 763                   |
| 764  | 765  | 766                     | 767                   |
| 768  | 769  | 770                     | 771                   |
| 772  | 773  | 774                     | 775                   |
| 776  | 777  | 778                     | 779                   |
| 780  | 781  | 782                     | 783                   |
| 784  | 785  | 786                     | 787                   |
| 788  | 789  | 790                     | 791                   |
| 792  | 793  | 794                     | 795                   |
| 796  | 797  | 798                     | 799                   |
| 800  | 801  | 802                     | 803                   |
| 804  | 805  | 806                     | 807                   |
| 808  | 809  | 810                     | 811                   |
| 812  | 813  | 814                     | 815                   |
| 816  | 817  | 818                     | 819                   |
| 820  | 821  | 822                     | 823                   |
| 824  | 825  | 826                     | 827                   |
| 828  | 829  | 830                     | 831                   |
| 832  | 833  | 834                     | 835                   |
| 836  | 837  | 838                     | 839                   |
| 840  | 841  | 842                     | 843                   |
| 844  | 845  | 846                     | 847                   |
| 848  | 849  | 850                     | 851                   |
| 852  | 853  | 854                     | 855                   |
| 856  | 857  | 858                     | 859                   |
| 860  | 861  | 862                     | 863                   |
| 864  | 865  | 866                     | 867                   |
| 868  | 869  | 870                     | 871                   |
| 872  | 873  | 874                     | 875                   |
| 876  | 877  | 878                     | 879                   |
| 880  | 881  | 882                     | 883                   |
| 884  | 885  | 886                     | 887                   |
| 888  | 889  | 890                     | 891                   |
| 892  |  |                         |                       |



Paper claims for PT (physical therapy), OT (occupational therapy), and SLP (speech and language pathology) services must be submitted using the 1500 Health Insurance Claim Form. Paper claims for PT, OT, and SLP services submitted on any other claim form will be denied.

The following sample 1500 Health Insurance Claim Forms and instructions for PT, OT, and SLP services are available:

- [PT Services](#).
- [OT Services](#).
- [SLP Services](#).

Providers should use the appropriate claim form instructions for PT, OT, and SLP services when submitting these claims.

## Obtaining the Forms

ForwardHealth does not supply the 1500 Health Insurance Claim Form. The form may be obtained from any federal forms supplier.

## Sample 1500 Health Insurance Claim Form for Physical Therapy Services Submitted by a Therapy Group

**1500**

**HEALTH INSURANCE CLAIM FORM**

APPROVED BY NATIONAL UNIFORM CLAIM COMMITTEE 08/05

|  |  |                     |  |        |  |   |  |                      |  |  |  |                  |  |                     |  |             |  |                             |  |
|--|--|---------------------|--|--------|--|---|--|----------------------|--|--|--|------------------|--|---------------------|--|-------------|--|-----------------------------|--|
| <input type="checkbox"/> PICA <span style="float: right;"><input type="checkbox"/> PICA</span>   |  |                     |  |        |  |   |  |                      |  |  |  |                  |  |                     |  |             |  |                             |  |
| 1. MEDICARE <input type="checkbox"/> MEDICAID <input checked="" type="checkbox"/> TRICARE CHAMPUS <input type="checkbox"/> CHAMPVA <input type="checkbox"/> GROUP HEALTH PLAN <input type="checkbox"/> FECA BLK LUNG <input type="checkbox"/> OTHER <input type="checkbox"/><br><small>(Medicare #) (Medicaid #) (Sponsor's SSN) (Member ID#) (SSN or ID) (SSN) (ID)</small> |  |                     |  |        | 1a. INSURED'S I.D. NUMBER (For Program in Item 1)<br><b>1234567890</b>   |   |  |                      |  |  |  |                  |  |                     |  |             |  |                             |  |
| 2. PATIENT'S NAME (Last Name, First Name, Middle Initial)<br><b>MEMBER, IM A</b>   |  |                     |  |        | 3. PATIENT'S BIRTH DATE SEX<br><b>MM DD YY M <input checked="" type="checkbox"/> F <input type="checkbox"/></b>  |   |  |                      |  |  |  |                  |  |                     |  |             |  |                             |  |
| 5. PATIENT'S ADDRESS (No., Street)<br><b>609 WILLOW ST</b>   |  |                     |  |        | 6. PATIENT RELATIONSHIP TO INSURED<br>Self <input type="checkbox"/> Spouse <input type="checkbox"/> Child <input type="checkbox"/> Other <input type="checkbox"/>  |   |  |                      |  |  |  |                  |  |                     |  |             |  |                             |  |
| CITY <b>ANYTOWN</b> STATE <b>WI</b>  |  |                     |  |        | 7. INSURED'S ADDRESS (No., Street)<br>CITY STATE   |   |  |                      |  |  |  |                  |  |                     |  |             |  |                             |  |
| ZIP CODE <b>55555</b> TELEPHONE (Include Area Code) <b>(444) 444-4444</b>  |  |                     |  |        | 8. PATIENT STATUS<br>Single <input type="checkbox"/> Married <input type="checkbox"/> Other <input type="checkbox"/><br>Employed <input type="checkbox"/> Full-Time Student <input type="checkbox"/> Part-Time Student <input type="checkbox"/>  |   |  |                      |  |  |  |                  |  |                     |  |             |  |                             |  |
| 9. OTHER INSURED'S NAME (Last Name, First Name, Middle Initial)<br><b>OI-P</b>   |  |                     |  |        | 10. IS PATIENT'S CONDITION RELATED TO:<br>a. EMPLOYMENT? (Current or Previous) YES <input type="checkbox"/> NO <input type="checkbox"/><br>b. AUTO ACCIDENT? YES <input type="checkbox"/> NO <input type="checkbox"/> PLACE (State) _____<br>c. OTHER ACCIDENT? YES <input type="checkbox"/> NO <input type="checkbox"/> |   |  |                      |  |  |  |                  |  |                     |  |             |  |                             |  |
| a. OTHER INSURED'S POLICY OR GROUP NUMBER<br><b>55555</b>  |  |                     |  |        | 11. INSURED'S POLICY GROUP OR FECA NUMBER<br><b>M-7</b>  |   |  |                      |  |  |  |                  |  |                     |  |             |  |                             |  |
| b. OTHER INSURED'S DATE OF BIRTH MM DD YY SEX M <input type="checkbox"/> F <input type="checkbox"/>  |  |                     |  |        | a. INSURED'S DATE OF BIRTH MM DD YY SEX M <input type="checkbox"/> F <input type="checkbox"/>  |   |  |                      |  |  |  |                  |  |                     |  |             |  |                             |  |
| c. EMPLOYER'S NAME OR SCHOOL NAME  |  |                     |  |        | b. EMPLOYER'S NAME OR SCHOOL NAME  |   |  |                      |  |  |  |                  |  |                     |  |             |  |                             |  |
| d. INSURANCE PLAN NAME OR PROGRAM NAME   |  |                     |  |        | c. INSURANCE PLAN NAME OR PROGRAM NAME   |   |  |                      |  |  |  |                  |  |                     |  |             |  |                             |  |
| 12. PATIENT'S OR AUTHORIZED PERSON'S SIGNATURE I authorize the release of any medical or other information necessary to process this claim. I also request payment of government benefits either to myself or to the party who accepts assignment below.<br>SIGNED _____ DATE _____  |  |                     |  |        | 13. INSURED'S OR AUTHORIZED PERSON'S SIGNATURE I authorize payment of medical benefits to the undersigned physician or supplier for services described below.<br>SIGNED _____  |   |  |                      |  |  |  |                  |  |                     |  |             |  |                             |  |
| 14. DATE OF CURRENT ILLNESS (First symptom) OR INJURY (Accident) OR PREGNANCY(LMP)<br>MM DD YY   |  |                     |  |        | 15. IF PATIENT HAS HAD SAME OR SIMILAR ILLNESS. GIVE FIRST DATE MM DD YY.  |   |  |                      |  |  |  |                  |  |                     |  |             |  |                             |  |
| 17. NAME OF REFERRING PROVIDER OR OTHER SOURCE<br><b>I.M. REFERRING PROVIDER</b>   |  |                     |  |        | 18. HOSPITALIZATION DATES RELATED TO CURRENT SERVICES FROM MM DD YY TO MM DD YY  |   |  |                      |  |  |  |                  |  |                     |  |             |  |                             |  |
| 19. RESERVED FOR LOCAL USE   |  |                     |  |        | 20. OUTSIDE LAB? \$ CHARGES YES <input type="checkbox"/> NO <input type="checkbox"/>   |   |  |                      |  |  |  |                  |  |                     |  |             |  |                             |  |
| 21. DIAGNOSIS OR NATURE OF ILLNESS OR INJURY (Relate Items 1, 2, 3 or 4 to item 24E by Line)<br>1. <b>436</b> 3. _____<br>2. _____ 4. _____  |  |                     |  |        | 22. MEDICAID RESUBMISSION CODE ORIGINAL REF. NO.<br>23. PRIOR AUTHORIZATION NUMBER   |   |  |                      |  |  |  |                  |  |                     |  |             |  |                             |  |
| 24. A. DATE(S) OF SERVICE From MM DD YY To MM DD YY  |  | B. PLACE OF SERVICE |  | C. EMG |  | D. PROCEDURES, SERVICES, OR SUPPLIES (Explain Unusual Circumstances) CPT/HCPCS MODIFIER |  | E. DIAGNOSIS POINTER |  | F. \$ CHARGES  |  | G. DAYS OR UNITS |  | H. FROD Family Plan |  | I. ID. QUAL |  | J. REFERRING PROVIDER ID. # |  |
| 1 11 03 11   |  | 11                  |  | 97116  |  | GP  |  | 1                    |  | XXX XX   |  | 6                |  | ZZ                  |  | 123456789X  |  | 0333333330                  |  |
| 2 11 03 11   |  | 11                  |  | 97110  |  | GP  |  | 1                    |  | XXX XX   |  | 1.5              |  | ZZ                  |  | 123456789X  |  | 0333333330                  |  |
| 3  |  |                     |  |        |  |   |  |                      |  |  |  |                  |  | NPI                 |  |             |  |                             |  |
| 4  |  |                     |  |        |  |   |  |                      |  |  |  |                  |  | NPI                 |  |             |  |                             |  |
| 5  |  |                     |  |        |  |   |  |                      |  |  |  |                  |  | NPI                 |  |             |  |                             |  |
| 6  |  |                     |  |        |  |   |  |                      |  |  |  |                  |  | NPI                 |  |             |  |                             |  |
| 25. FEDERAL TAX I.D. NUMBER SSN EIN <input type="checkbox"/> <input type="checkbox"/>  |  |                     |  |        | 26. PATIENT'S ACCOUNT NO. <b>1234JED</b>   |   |  |                      |  | 27. ACCEPT ASSIGNMENT? YES <input type="checkbox"/> NO <input type="checkbox"/>  |  |                  |  |                     |  |             |  |                             |  |
| 28. TOTAL CHARGE \$ <b>XXX XX</b>  |  |                     |  |        | 29. AMOUNT PAID \$ <b>XXX XX</b>   |   |  |                      |  | 30. BALANCE DUE \$ <b>XXX XX</b>   |  |                  |  |                     |  |             |  |                             |  |
| 31. SIGNATURE OF PHYSICIAN OR SUPPLIER INCLUDING DEGREES OR CREDENTIALS (I certify that the statements on the reverse apply to this bill and are made a part thereof.)<br><i>J.M. Provider</i> 11302011<br>SIGNED DATE   |  |                     |  |        | 32. SERVICE FACILITY LOCATION INFORMATION<br>a. NPI b.   |   |  |                      |  | 33. BILLING PROVIDER INFO & PH #<br><b>I.M. PROVIDER<br/>1 W WILLIAMS ST<br/>ANYTOWN WI 55555-1234</b><br>a. 022222220 b. ZZ123456789X |  |                  |  |                     |  |             |  |                             |  |

NUCC Instruction Manual available at: www.nucc.org

APPROVED OMB-0938-0999 FORM CMS-1500 (08-05)

CARRIER  
PATIENT AND INSURED INFORMATION  
PHYSICIAN OR SUPPLIER INFORMATION

## Sample 1500 Health Insurance Claim Form for Occupational Therapy Services Submitted by a Rehabilitation Agency

**1500**

**HEALTH INSURANCE CLAIM FORM**

APPROVED BY NATIONAL UNIFORM CLAIM COMMITTEE 08/05

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|
| PICA   |  |  |  |  |  |  |  |  |  | PICA   |  |  |  |  |  |  |  |  |  |
| 1. MEDICARE <input type="checkbox"/> MEDICAID <input checked="" type="checkbox"/> TRICARE <input type="checkbox"/> CHAMPVA <input type="checkbox"/> GROUP HEALTH PLAN <input type="checkbox"/> FECA BLK LUNG <input type="checkbox"/> OTHER <input type="checkbox"/><br><small>(Medicare #) (Medicaid #) (Sponsor's SSN) (Member ID#) (SSN or ID) (SSN) (SSN) (ID)</small> |  |  |  |  |  |  |  |  |  | 1a. INSURED'S I.D. NUMBER (For Program in Item 1)<br><b>1234567890</b>   |  |  |  |  |  |  |  |  |  |
| 2. PATIENT'S NAME (Last Name, First Name, Middle Initial)<br><b>MEMBER, IM A</b>   |  |  |  |  |  |  |  |  |  | 3. PATIENT'S BIRTH DATE SEX<br>MM DD YY M <input checked="" type="checkbox"/> F <input type="checkbox"/><br><b>MM DD YY</b>  |  |  |  |  |  |  |  |  |  |
| 5. PATIENT'S ADDRESS (No., Street)<br><b>609 WILLOW ST</b><br>CITY <b>ANYTOWN</b> STATE <b>WI</b>  |  |  |  |  |  |  |  |  |  | 6. PATIENT RELATIONSHIP TO INSURED<br>Self <input type="checkbox"/> Spouse <input type="checkbox"/> Child <input type="checkbox"/> Other <input type="checkbox"/>  |  |  |  |  |  |  |  |  |  |
| 9. OTHER INSURED'S NAME (Last Name, First Name, Middle Initial)<br><b>OI-P</b>   |  |  |  |  |  |  |  |  |  | 10. IS PATIENT'S CONDITION RELATED TO:<br>a. EMPLOYMENT? (Current or Previous) YES <input type="checkbox"/> NO <input type="checkbox"/><br>b. AUTO ACCIDENT? YES <input type="checkbox"/> NO <input type="checkbox"/> PLACE (State) _____<br>c. OTHER ACCIDENT? YES <input type="checkbox"/> NO <input type="checkbox"/>   |  |  |  |  |  |  |  |  |  |
| a. OTHER INSURED'S POLICY OR GROUP NUMBER<br>b. OTHER INSURED'S DATE OF BIRTH MM DD YY SEX M <input type="checkbox"/> F <input type="checkbox"/><br>c. EMPLOYER'S NAME OR SCHOOL NAME<br>d. INSURANCE PLAN NAME OR PROGRAM NAME  |  |  |  |  |  |  |  |  |  | 11. INSURED'S POLICY GROUP OR FECA NUMBER<br><b>M-7</b><br>a. INSURED'S DATE OF BIRTH MM DD YY SEX M <input type="checkbox"/> F <input type="checkbox"/><br>b. EMPLOYER'S NAME OR SCHOOL NAME<br>c. INSURANCE PLAN NAME OR PROGRAM NAME<br>d. IS THERE ANOTHER HEALTH BENEFIT PLAN? YES <input type="checkbox"/> NO <input type="checkbox"/> <i>If yes, return to and complete item 9 a-d.</i> |  |  |  |  |  |  |  |  |  |
| READ BACK OF FORM BEFORE COMPLETING & SIGNING THIS FORM.<br>12. PATIENT'S OR AUTHORIZED PERSON'S SIGNATURE I authorize the release of any medical or other information necessary to process this claim. I also request payment of government benefits either to myself or to the party who accepts assignment below.   |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| SIGNED _____ DATE _____  |  |  |  |  |  |  |  |  |  | SIGNED _____ DATE _____  |  |  |  |  |  |  |  |  |  |
| 14. DATE OF CURRENT ILLNESS (First symptom) OR INJURY (Accident) OR PREGNANCY (LMP)<br>MM DD YY  |  |  |  |  |  |  |  |  |  | 15. IF PATIENT HAS HAD SAME OR SIMILAR ILLNESS. GIVE FIRST DATE MM DD YY   |  |  |  |  |  |  |  |  |  |
| 17. NAME OF REFERRING PROVIDER OR OTHER SOURCE<br><b>I.M. REFERRING PROVIDER</b>   |  |  |  |  |  |  |  |  |  | 17a. NPI <b>0111111110</b>   |  |  |  |  |  |  |  |  |  |
| 19. RESERVED FOR LOCAL USE   |  |  |  |  |  |  |  |  |  | 18. HOSPITALIZATION DATES RELATED TO CURRENT SERVICES<br>FROM MM DD YY TO MM DD YY   |  |  |  |  |  |  |  |  |  |
| 21. DIAGNOSIS OR NATURE OF ILLNESS OR INJURY (Relate Items 1, 2, 3 or 4 to item 24E by Line)<br>1. <b>436</b>  |  |  |  |  |  |  |  |  |  | 20. OUTSIDE LAB? YES <input type="checkbox"/> NO <input type="checkbox"/> \$ CHARGES _____<br>22. MEDICAID RESUBMISSION CODE _____ ORIGINAL REF. NO. _____<br>23. PRIOR AUTHORIZATION NUMBER _____   |  |  |  |  |  |  |  |  |  |
| 24. A. DATE(S) OF SERVICE From MM DD YY To MM DD YY<br>B. PLACE OF SERVICE<br>C. EMG<br>D. PROCEDURES, SERVICES, OR SUPPLIES (Explain Unusual Circumstances) CPT/HCPCS MODIFIER<br>E. DIAGNOSIS POINTER  |  |  |  |  |  |  |  |  |  | F. \$ CHARGES<br>G. DAYS OR UNITS<br>H. ICD-9-CM Family Plan<br>I. ID. QUAL.<br>J. RENDERING PROVIDER ID. #  |  |  |  |  |  |  |  |  |  |
| 1 <b>11 03 11 11 97535 GO TF 1 XXX XX 6 NPI</b>  |  |  |  |  |  |  |  |  |  | 2 <b>11 03 11 11 97110 GO 1 XXX XX 1 NPI</b>   |  |  |  |  |  |  |  |  |  |
| 3  |  |  |  |  |  |  |  |  |  | 4  |  |  |  |  |  |  |  |  |  |
| 5  |  |  |  |  |  |  |  |  |  | 6  |  |  |  |  |  |  |  |  |  |
| 25. FEDERAL TAX I.D. NUMBER SSN EIN<br>26. PATIENT'S ACCOUNT NO. <b>1234JED</b>  |  |  |  |  |  |  |  |  |  | 27. ACCEPT ASSIGNMENT? YES <input type="checkbox"/> NO <input type="checkbox"/>  |  |  |  |  |  |  |  |  |  |
| 28. TOTAL CHARGE \$ <b>XXX XX</b>  |  |  |  |  |  |  |  |  |  | 29. AMOUNT PAID \$ <b>XXX XX</b>   |  |  |  |  |  |  |  |  |  |
| 30. BALANCE DUE \$ <b>XXX XX</b>   |  |  |  |  |  |  |  |  |  | 31. SIGNATURE OF PHYSICIAN OR SUPPLIER INCLUDING DEGREES OR CREDENTIALS (I certify that the statements on the reverse apply to this bill and are made a part thereof.)<br><i>J.M. Provider</i> 11302011<br>SIGNED DATE   |  |  |  |  |  |  |  |  |  |
| 32. SERVICE FACILITY LOCATION INFORMATION<br>a. NPI b.   |  |  |  |  |  |  |  |  |  | 33. BILLING PROVIDER INFO & PH #<br><b>I.M. PROVIDER<br/>1 W WILLIAMS ST<br/>ANYTOWN WI 55555-1234</b><br>a. <b>0222222220</b> b. <b>ZZ123456789X</b>  |  |  |  |  |  |  |  |  |  |

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APPROVED OMB-0938-0999 FORM CMS-1500 (08-05)

CARRIER  
PATIENT AND INSURED INFORMATION  
PHYSICIAN OR SUPPLIER INFORMATION

## Sample 1500 Health Insurance Claim Form for Outpatient Hospital Speech and Language Pathology Services

**1500**

**HEALTH INSURANCE CLAIM FORM**

APPROVED BY NATIONAL UNIFORM CLAIM COMMITTEE 08/05

|  |  |  |                    |  |  |  |  |  |  |
|--|--|--|--------------------|--|--|--|--|--|--|
| PICA   |  |  |                    |  |  |  |  |  |  |
| 1. MEDICARE <input type="checkbox"/> MEDICAID <input checked="" type="checkbox"/> TRICARE <input type="checkbox"/> CHAMPVA <input type="checkbox"/> GROUP HEALTH PLAN <input type="checkbox"/> FECA BLK LUNG <input type="checkbox"/> OTHER <input type="checkbox"/><br><small>(Medicare #) (Medicaid #) (Sponsor's SSN) (Member ID#) (SSN or ID) (SSN) (SSN) (ID)</small> |  |  |                    |  | 1a. INSURED'S I.D. NUMBER (For Program in Item 1)<br><b>1234567890</b>   |  |  |  |  |
| 2. PATIENT'S NAME (Last Name, First Name, Middle Initial)<br><b>MEMBER, IM A</b>   |  |  |                    |  | 3. PATIENT'S BIRTH DATE SEX<br><b>MM DD YY M <input checked="" type="checkbox"/> F <input type="checkbox"/></b>  |  |  |  |  |
| 5. PATIENT'S ADDRESS (No., Street)<br><b>609 WILLOW ST</b>   |  |  |                    |  | 6. PATIENT RELATIONSHIP TO INSURED<br>Self <input type="checkbox"/> Spouse <input type="checkbox"/> Child <input type="checkbox"/> Other <input type="checkbox"/>  |  |  |  |  |
| CITY<br><b>ANYTOWN</b>   |  |  | STATE<br><b>WI</b> |  | 7. INSURED'S ADDRESS (No., Street)<br>CITY STATE   |  |  | 8. PATIENT STATUS<br>Single <input type="checkbox"/> Married <input type="checkbox"/> Other <input type="checkbox"/> |  |
| ZIP CODE<br><b>55555</b>   |  | TELEPHONE (Include Area Code)<br><b>(444) 444-4444</b> |                    |  | ZIP CODE TELEPHONE (Include Area Code)<br>( ) ( )  |  | 9. OTHER INSURED'S NAME (Last Name, First Name, Middle Initial)<br><b>OI-P</b> |  |  |
| a. OTHER INSURED'S POLICY OR GROUP NUMBER  |  |  |                    |  | 10. IS PATIENT'S CONDITION RELATED TO:<br>a. EMPLOYMENT? (Current or Previous) YES <input type="checkbox"/> NO <input type="checkbox"/><br>b. AUTO ACCIDENT? YES <input type="checkbox"/> NO <input type="checkbox"/> PLACE (State) _____<br>c. OTHER ACCIDENT? YES <input type="checkbox"/> NO <input type="checkbox"/> |  |  |  |  |
| b. OTHER INSURED'S DATE OF BIRTH MM DD YY SEX M <input type="checkbox"/> F <input type="checkbox"/>  |  |  |                    |  | 11. INSURED'S POLICY GROUP OR FECA NUMBER<br><b>M-7</b>  |  |  |  |  |
| c. EMPLOYER'S NAME OR SCHOOL NAME  |  |  |                    |  | a. INSURED'S DATE OF BIRTH MM DD YY SEX M <input type="checkbox"/> F <input type="checkbox"/>  |  |  |  |  |
| d. INSURANCE PLAN NAME OR PROGRAM NAME   |  |  |                    |  | b. EMPLOYER'S NAME OR SCHOOL NAME  |  |  |  |  |
| 12. PATIENT'S OR AUTHORIZED PERSON'S SIGNATURE I authorize the release of any medical or other information necessary to process this claim. I also request payment of government benefits either to myself or to the party who accepts assignment below.<br>SIGNED _____ DATE _____  |  |  |                    |  | 13. INSURED'S OR AUTHORIZED PERSON'S SIGNATURE I authorize payment of medical benefits to the undersigned physician or supplier for services described below.<br>SIGNED _____  |  |  |  |  |
| 14. DATE OF CURRENT ILLNESS (First symptom) OR INJURY (Accident) OR PREGNANCY (LMP)<br>MM DD YY  |  |  |                    |  | 15. IF PATIENT HAS HAD SAME OR SIMILAR ILLNESS, GIVE FIRST DATE<br>MM DD YY  |  |  |  |  |
| 17. NAME OF REFERRING PROVIDER OR OTHER SOURCE<br><b>I.M. REFERRING PROVIDER</b>   |  |  |                    |  | 16. DATES PATIENT UNABLE TO WORK IN CURRENT OCCUPATION<br>FROM MM DD YY TO MM DD YY  |  |  |  |  |
| 19. RESERVED FOR LOCAL USE   |  |  |                    |  | 18. HOSPITALIZATION DATES RELATED TO CURRENT SERVICES<br>FROM MM DD YY TO MM DD YY   |  |  |  |  |
| 21. DIAGNOSIS OR NATURE OF ILLNESS OR INJURY (Relate Items 1, 2, 3 or 4 to item 24E by Line)<br>1. <b>436</b>  |  |  |                    |  | 20. OUTSIDE LAB? \$ CHARGES<br><input type="checkbox"/> YES <input type="checkbox"/> NO  |  |  |  |  |
| 24. A. DATE(S) OF SERVICE From MM DD YY To MM DD YY B. PLACE OF SERVICE C. EMG D. PROCEDURES, SERVICES, OR SUPPLIES (Explain Unusual Circumstances) CPT/HCPCS MODIFIER E. DIAGNOSIS POINTER  |  |  |                    |  | 22. MEDICAID RESUBMISSION CODE ORIGINAL REF. NO.   |  |  |  |  |
| 1 11 03 11 22 92507 1 XXX XX 1 NPI   |  |  |                    |  | 23. PRIOR AUTHORIZATION NUMBER   |  |  |  |  |
| 2 11 03 11 22 97508 1 XXX XX 1 NPI   |  |  |                    |  | 24. F. \$ CHARGES G. DAYS OR UNITS H. #/SET Family Plan I. ID. QUAL. J. RENDERING PROVIDER ID. #   |  |  |  |  |
| 3  |  |  |                    |  | 25. FEDERAL TAX I.D. NUMBER SSN EIN 26. PATIENT'S ACCOUNT NO. 27. ACCEPT ASSIGNMENT? (For joint billing, see back) YES <input type="checkbox"/> NO <input type="checkbox"/>  |  |  |  |  |
| 4  |  |  |                    |  | 28. TOTAL CHARGE \$ XXX XX 29. AMOUNT PAID \$ XXX XX 30. BALANCE DUE \$ XXX XX   |  |  |  |  |
| 5  |  |  |                    |  | 31. SIGNATURE OF PHYSICIAN OR SUPPLIER INCLUDING DEGREES OR CREDENTIALS (I certify that the statements on the reverse apply to this bill and are made a part thereof.)<br><i>J.M. Provider</i> 11302011  |  |  |  |  |
| 6  |  |  |                    |  | 32. SERVICE FACILITY LOCATION INFORMATION<br>a. NPI b. ZZ123456789X  |  |  |  |  |
| 33. BILLING PROVIDER INFO & PH #<br>I.M. PROVIDER<br>1 W WILLIAMS ST<br>ANYTOWN WI 55555-1234  |  |  |                    |  | a. 0222222220 b. ZZ123456789X  |  |  |  |  |

NUCC Instruction Manual available at: [www.nucc.org](http://www.nucc.org)

APPROVED OMB-0938-0999 FORM CMS-1500 (08-05)

CARRIER  
PATIENT AND INSURED INFORMATION  
PHYSICIAN OR SUPPLIER INFORMATION

Topic #10177

# Prior Authorization Numbers on Claims

Providers are not required to indicate a PA (prior authorization) number on claims. ForwardHealth interChange matches the claim with the appropriate approved PA request. ForwardHealth's RA (Remittance Advice) and the 835 (835 Health Care Claim Payment/Advice) report to the provider the PA number used to process a claim. If a PA number is indicated on a claim, it will not be used and it will have no effect on processing the claim.

When a PA requirement is added to the list of drugs requiring PA and the effective date of a PA falls in the middle of a billing period, two separate claims that coincide with the presence of PA for the drug must be submitted to ForwardHealth.

Topic #2765

## Provider Numbers for Groups, Clinics, and Nursing Homes

When the rendering provider is employed by, or under contract to, a therapy group, therapy clinic, speech and hearing clinic, or nursing home, the billing provider number of the group, clinic, or nursing home must be indicated on the claim. A performing provider number must be indicated.

Topic #2763

## Provider Numbers for Hospitals

When the rendering provider is employed by, or under contract to, a hospital and offering *outpatient* hospital PT (physical therapy), OT (occupational therapy), and SLP (speech and language pathology) services, the billing provider number of the hospital must be indicated on the claim. A rendering provider number should not be indicated.

When the rendering provider is employed by, or under contract to, a hospital and offering *off-site* hospital PT, OT, or SLP services, the billing provider number of the therapist must be indicated on the claim. A rendering provider number should not be indicated.

When the rendering provider is supervised by a therapist who is employed by, or under contract to, a hospital and offering off-site hospital PT, OT, or SLP services, the billing provider number of the supervising therapist must be indicated on the claim. If the rendering provider is a PTA (physical therapist assistant), COTA (certified occupational therapy assistant), or SLP provider assistant, the rendering provider number of the assistant must be indicated.

Topic #2764

## Provider Numbers for Individual Providers

When the rendering provider is an individual therapist, the billing provider number of the therapist must be indicated on the claim. A rendering provider number should not be indicated.

When the rendering provider is supervised by an individual therapist, the billing provider number of the supervising therapist must be indicated on the claim. If the rendering provider is a PTA (physical therapist assistant), COTA (certified occupational therapy assistant), or SLP (speech and language pathology) provider assistant, the rendering provider of the assistant must be indicated.

Topic #2762

## Provider Numbers for Rehabilitation Agencies

When the rendering provider is employed by or under contract to a rehabilitation agency, the billing provider number of the rehabilitation agency must be indicated on the claim. A rendering provider number should not be indicated.

Topic #2761

## Referring Provider

Claims for PT (physical therapy), OT (occupational therapy), and SLP (speech and language pathology) services require the referring physician's name and NPI (National Provider Identifier).

Topic #10637

## Reimbursement Reduction for Most Paper Claims

As a result of the Medicaid Rate Reform project, ForwardHealth will reduce reimbursement on most claims submitted to ForwardHealth on paper. Most paper claims will be subject up to a \$1.10 reimbursement reduction per claim.

For each claim that a reimbursement reduction was applied, providers will receive an EOB (Explanation of Benefits) to notify them of the payment reduction. For claims with reimbursement reductions, the EOB will state the following, "This claim is eligible for electronic submission. Up to a \$1.10 reduction has been applied to this claim payment."

If a paid claim's total reimbursement amount is less than \$1.10, ForwardHealth will reduce the payment up to a \$1.10. The claim will show on the RA (Remittance Advice) as paid but with a \$0 paid amount.

The reimbursement reduction applies to the following paper claims:

- 1500 Health Insurance Claim Form.
- UB-04 (CMS 1450) Claim Form.
- [Compound Drug Claim \(F-13073 \(07/12\)\)](#) form.
- [Noncompound Drug Claim \(F-13072 \(07/12\)\)](#) form.

## Exceptions to Paper Claim Reimbursement Reduction

The reimbursement reduction will not affect the following providers or claims:

- In-state emergency providers.
- Out-of-state providers.
- Medicare crossover claims.
- Any claims that ForwardHealth requires additional supporting information to be submitted on paper. For example:
  - Hysterectomy claims must be submitted along with a paper [Acknowledgment of Receipt of Hysterectomy Information \(F-1160A \(10/08\)\)](#) form.
  - Sterilization claims must be submitted along with a paper [Consent for Sterilization \(F-1164 \(10/08\)\)](#) form.
  - Claims submitted to Timely Filing appeals must be submitted on paper with a [Timely Filing Appeals Request \(F-13047 \(07/12\)\)](#) form.
  - In certain circumstances, drug claims must be submitted on paper with a [Pharmacy Special Handling Request \(F-13074 \(07/12\)\)](#) form.

Topic #2760



## Services Provided Beyond Daily Limits

When a PT (physical therapy), OT (occupational therapy), or SLP (speech and language pathology) service is indicated by a procedure code that specifies the unit of time provided beyond 90 minutes per day, the provider should submit a claim for the actual duration of the service provided. After the claim is reimbursed, an [Adjustment/Reconsideration Request form \(F-13046 \(07/12\)\)](#) must be submitted with documentation verifying that the duration of the service was medically necessary.

Topic #4817

## Submitting Paper Attachments with Electronic Claims

Providers may submit paper attachments to accompany electronic claims and electronic claim adjustments. Providers should refer to their [companion guides](#) for directions on indicating that a paper attachment will be submitted by mail.

Paper attachments that go with electronic claim transactions must be submitted with the [Claim Form Attachment Cover Page \(F-13470 \(10/08\)\)](#). Providers are required to indicate an ACN (attachment control number) for paper attachment(s) submitted with electronic claims. (The ACN is an alphanumeric entry between 2 and 80 digits assigned by the provider to identify the attachment.) The ACN must be indicated on the cover page so that ForwardHealth can match the paper attachment(s) to the correct electronic claim.

ForwardHealth will hold an electronic claim transaction or a paper attachment(s) for up to 30 calendar days to find a match. If a match cannot be made within 30 days, the claim will be processed without the attachment and will be denied if an attachment is required. When such a claim is denied, both the paper attachment(s) and the electronic claim will need to be resubmitted.

Providers are required to send paper attachments relating to electronic claim transactions to the following address:

ForwardHealth  
 Claims and Adjustments  
 313 Blettner Blvd  
 Madison WI 53784

This does not apply to compound and noncompound claims.

Topic #11677

## Uploading Claim Attachments Via the Portal

Providers are able to upload attachments for most claims via the secure Provider area of the ForwardHealth Portal. This allows providers to submit all components for claims electronically.

Providers are able to upload attachments via the Portal when a claim is suspended and an attachment was indicated but not yet received. Providers are able to upload attachments for any suspended claim that was submitted electronically. Providers should note that all attachments for a suspended claim must be submitted within the same business day.

### Claim Types

Providers will be able to upload attachments to claims via the Portal for the following claim types:

- Professional.
- Institutional.

- Dental.

The submission policy for compound and noncompound drug claims does not allow attachments.

## Document Formats

Providers are able to upload documents in the following formats:

- JPEG (Joint Photographic Experts Group) (.jpg or .jpeg).
- PDF (Portable Document Format) (.pdf).
- Rich Text Format (.rtf).
- Text File (.txt).

JPEG files must be stored with a ".jpg" or ".jpeg" extension; text files must be stored with a ".txt" extension; rich text format files must be stored with a ".rtf" extension; and PDF files must be stored with a ".pdf" extension.

Microsoft Word files (.doc) cannot be uploaded but can be saved and uploaded in Rich Text Format or Text File formats.

## Uploading Claim Attachments

### Claims Submitted by Direct Data Entry

When a provider submits a DDE (Direct Data Entry) claim and indicates an attachment will also be included, a feature button will appear and link to the DDE claim screen where attachments can be uploaded.

Providers are still required to indicate on the DDE claim that the claim will include an attachment via the "Attachments" panel.

Claims will suspend for 30 days before denying for not receiving the attachment.

### Claims Submitted by Provider Electronic Software and 837 Health Care Claim Transactions

Providers submitting claims via 837 (837 Health Care Claim) transactions are required to indicate attachments via the PWK segment. Providers submitting claims via PES (Provider Electronic Solutions) software will be required to indicate attachments via the attachment control field. Once the claim has been submitted, providers will be able to search for the claim on the Portal and upload the attachment via the Portal. Refer to the Implementation Guides for how to use the PWK segment in 837 transactions and the [PES Manual](#) for how to use the attachment control field.

Claims will suspend with 30 days before denying for not receiving the attachment.

## Timely Filing Appeals Requests

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Topic #549

### Requirements

When a claim or adjustment request meets one of the [exceptions](#) to the submission deadline, the provider is required to submit a [Timely Filing Appeals Request \(F-13047 \(07/12\)\)](#) form with a paper claim or an [Adjustment/Reconsideration Request \(F-13046 \(07/12\)\)](#) form to override the submission deadline.

DOS (dates of service) that are beyond the submission deadline should be submitted separately from DOS that are within the deadline. Claims or adjustment requests received that contain both current and late DOS are processed through normal channels without review by Timely Filing and late DOS will be denied.

Topic #551

### Resubmission

Decisions on [Timely Filing Appeals Requests \(F-13047 \(07/12\)\)](#) cannot be appealed. Providers may resubmit the claim to Timely Filing if both of the following occur:

- The provider submits additional documentation as requested.
- ForwardHealth receives the documentation before the specified deadline for the exception to the submission deadline.

Topic #744

### Submission

To receive consideration for an exception to the submission deadline, providers are required to submit the following:

- A properly completed [Timely Filing Appeals Request \(F-13047 \(07/12\)\)](#) form for each claim and each adjustment to allow for electronic documentation of individual claims and adjustments submitted to ForwardHealth.
- A legible claim or adjustment request.
- All required documentation as specified for the exception to the submission deadline.

To receive consideration, a Timely Filing Appeals Request must be received before the deadline specified for the exception to the submission deadline.

When completing the claim or adjustment request, providers are required to indicate the procedure code, diagnosis code, POS (place of service) code, etc., as effective for the DOS (date of service). However, providers should use the current claim form and instructions or adjustment request form and instructions. Reimbursement for Timely Filing Appeals Requests is contingent upon the claim or adjustment request meeting program requirements for the DOS.

The following table lists the filing deadlines and documentation requirements as they correspond to each of the eight allowable exceptions.

| Change in Nursing Home Resident's Level of Care or Liability Amount |
|---|
|---|

| Description of the Exception  | Documentation Requirements   | Submission Address  |
|---|--|---|
| This exception occurs when a nursing home claim is initially received within the submission deadline and reimbursed incorrectly due to a change in the member's authorized level of care or liability amount. | <p>To receive consideration, the request must be submitted within 455 days from the DOS and the correct liability amount or level of care must be indicated on the <a href="#">Adjustment/Reconsideration Request (F-13046 (07/12))</a> form.</p> <p>The most recent claim number (also known as the ICN (internal control number)) must be indicated on the Adjustment/Reconsideration Request form. This number may be the result of a ForwardHealth-initiated adjustment.</p> | ForwardHealth<br>Timely Filing<br>Ste 50<br>313 Blettner Blvd<br>Madison WI 53784 |

#### Decision Made by a Court, Fair Hearing, or the Department of Health Services

| Description of the Exception  | Documentation Requirements   | Submission Address  |
|---|--|---|
| This exception occurs when a decision is made by a court, fair hearing, or the DHS (Department of Health Services). | To receive consideration, the request must be submitted within 90 days from the date of the decision of the hearing. A complete copy of the notice received from the court, fair hearing, or DHS must be submitted with the request. | ForwardHealth<br>Timely Filing<br>Ste 50<br>313 Blettner Blvd<br>Madison WI 53784 |

#### Denial Due to Discrepancy Between the Member's Enrollment Information in ForwardHealth interChange and the Member's Actual Enrollment

| Description of the Exception   | Documentation Requirements   | Submission Address   |
|--|--|--|
| This exception occurs when a claim is initially received by the deadline but is denied due to a discrepancy between the member's enrollment information in ForwardHealth interChange and the member's actual enrollment. | <p>To receive consideration, the following documentation must be submitted within 455 days from the DOS:</p> <ul style="list-style-type: none"> <li>• A copy of remittance information showing the claim was submitted in a timely manner and denied with a qualifying enrollment-related explanation.</li> <li>• A photocopy of one of the following indicating enrollment on the DOS: <ul style="list-style-type: none"> <li>◦ White paper BadgerCare Plus EE (Express Enrollment) for pregnant women or children identification card.</li> <li>◦ White paper TE (Temporary Enrollment) for Family Planning Only Services identification card.</li> <li>◦ The response received through Wisconsin's EVS (Enrollment Verification System) from a commercial eligibility vendor.</li> <li>◦ The transaction log number received through <a href="#">WiCall</a>.</li> </ul> </li> </ul> | ForwardHealth<br>Good Faith/Timely Filing<br>Ste 50<br>313 Blettner Blvd<br>Madison WI 53784 |

| <b>ForwardHealth Reconsideration or Recoupment</b>   |   |   |
|--|---|---|
| <b>Description of the Exception</b>  | <b>Documentation Requirements</b>   | <b>Submission Address</b>   |
| This exception occurs when ForwardHealth reconsiders a previously processed claim. ForwardHealth will initiate an adjustment on a previously paid claim. | If a subsequent provider submission is required, the request must be submitted within 90 days from the date of the RA (Remittance Advice) message. A copy of the RA message that shows the ForwardHealth-initiated adjustment must be submitted with the request. | ForwardHealth<br>Timely Filing<br>Ste 50<br>313 Blettner Blvd<br>Madison WI 53784 |

| <b>Retroactive Enrollment for Persons on General Relief</b>   |  |  |
|---|--|--|
| <b>Description of the Exception</b>   | <b>Documentation Requirements</b>  | <b>Submission Address</b>  |
| This exception occurs when the local county or tribal agency requests a return of a GR (general relief) payment from the provider because a member has become retroactively enrolled for Wisconsin Medicaid or BadgerCare Plus. | To receive consideration, the request must be submitted within 180 days from the date the backdated enrollment was added to the member's enrollment information. The request must be submitted with one of the following: <ul style="list-style-type: none"> <li>• "GR retroactive enrollment" indicated on the claim.</li> <li>• A copy of the letter received from the local county or tribal agency.</li> </ul> | ForwardHealth<br>GR Retro Eligibility<br>Ste 50<br>313 Blettner Blvd<br>Madison WI 53784 |

| <b>Medicare Denial Occurs After the Submission Deadline</b>  |  |   |
|--|--|---|
| <b>Description of the Exception</b>  | <b>Documentation Requirements</b>  | <b>Submission Address</b>   |
| This exception occurs when claims submitted to Medicare (within 365 days of the DOS) are denied by Medicare after the 365-day submission deadline. A waiver of the submission deadline will not be granted when Medicare denies a claim for one of the following reasons: <ul style="list-style-type: none"> <li>• The charges were previously submitted to Medicare.</li> <li>• The member name and identification number do not match.</li> <li>• The services were previously denied by Medicare.</li> <li>• The provider retroactively applied for Medicare enrollment and did not become enrolled.</li> </ul> | To receive consideration, the following must be submitted within 90 days of the Medicare processing date: <ul style="list-style-type: none"> <li>• A copy of the Medicare remittance information.</li> <li>• The appropriate Medicare disclaimer code must be indicated on the claim.</li> </ul> | ForwardHealth<br>Timely Filing<br>Ste 50<br>313 Blettner Blvd<br>Madison WI 53784 |

| <b>Refund Request from an Other Health Insurance Source</b>  |  |   |
|--|--|---|
| <b>Description of the Exception</b>  | <b>Documentation Requirements</b>  | <b>Submission Address</b>   |
| This exception occurs when an other health insurance source reviews a previously paid claim and determines that reimbursement was inappropriate. | To receive consideration, the following documentation must be submitted within 90 days from the date of recoupment notification: <ul style="list-style-type: none"> <li>• A copy of the commercial health insurance</li> </ul> | ForwardHealth<br>Timely Filing<br>Ste 50<br>313 Blettner Blvd<br>Madison WI 53784 |

|  |   |  |
|--|---|--|
|  | <p>remittance information.</p> <ul style="list-style-type: none"> <li>• A copy of the remittance information showing recoupment for crossover claims when Medicare is recouping payment.</li> </ul> |  |
|--|---|--|

| <b>Retroactive Member Enrollment</b>   |   |  |
|--|---|--|
| <b>Description of the Exception</b>  | <b>Documentation Requirements</b>   | <b>Submission Address</b>  |
| <p>This exception occurs when a claim cannot be submitted within the submission deadline due to a delay in the determination of a member's retroactive enrollment.</p> | <p>To receive consideration, the request must be submitted within 180 days from the date the backdated enrollment was added to the member's enrollment information. In addition, "retroactive enrollment" must be indicated on the claim.</p> | <p>ForwardHealth<br/>Timely Filing<br/>Ste 50<br/>313 Blettner Blvd<br/>Madison WI 53784</p> |

# Coordination of Benefits

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Archive Date:03/01/2013

## Coordination of Benefits:Commercial Health Insurance

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Topic #595

### Assignment of Insurance Benefits

Assignment of insurance benefits is the process by which a specified party (e.g., provider or policyholder) becomes entitled to receive payment for claims in accordance with the insurance company policies.

Commercial health insurance companies may permit reimbursement to the provider or member. Providers should verify whether commercial health insurance benefits may be assigned to the provider. As indicated by the commercial health insurance, providers may be required to obtain approval from the member for this assignment of benefits.

If the provider is assigned benefits, providers should bill the commercial health insurance.

If the member is assigned insurance benefits, it is appropriate to submit a claim to ForwardHealth without billing the commercial health insurance. In this instance providers should indicate the appropriate other insurance indicator. ForwardHealth will bill the commercial health insurance.

Topic #844

### Claims for Services Denied by Commercial Health Insurance

If commercial health insurance denies or recoups payment for services that are covered, the provider may submit a claim for those services. To allow payment in this situation, providers are encouraged to follow the requirements (e.g., request PA (prior authorization) before providing the service for covered services that require PA). If the requirements are followed, ForwardHealth may reimburse for the service up to the allowed amount (less any payments made by other health insurance sources).

Topic #598

### Commercial Fee-for-Service

Fee-for-service commercial health insurance is the traditional health care payment system under which providers receive a payment for each unit of service provided rather than a capitation payment for each member. Such insurance usually does not restrict health care to a particular network of providers.

Topic #599

### Commercial Managed Care

A commercial managed care plan provides coverage through a specified group of providers in a particular service area. The providers may be under contract with the commercial health insurance and receive payment based on the number of patients seen (i.e., capitation payment).

Commercial managed care plans require members to use a designated network of providers. Non-network providers (i.e.,



providers who do not have a contract with the member's commercial managed care plan) will be reimbursed by the commercial managed care plan *only* if they obtain a referral or provide an emergency service.

Except for emergency services and covered services that are not covered under the commercial managed care plan, members enrolled in both a commercial managed care plan and BadgerCare Plus or Wisconsin Medicaid (i.e., state-contracted MCO (managed care organization), fee-for-service) are required to receive services from providers affiliated with the commercial managed care plan. In this situation, providers are required to refer the members to commercial managed care providers. This is necessary because commercial health insurance is always primary to BadgerCare Plus.

BadgerCare Plus and Wisconsin Medicaid will *not* reimburse the provider if the commercial managed care plan denied or would deny payment because a service otherwise covered under the commercial managed care plan was performed by a provider outside the plan. In addition, if a member receives a covered service outside his or her commercial managed care plan, the provider cannot collect payment from the member.

Topic #601

## Definition of Commercial Health Insurance

Commercial health insurance is defined as any type of health benefit not obtained from Medicare or Wisconsin Medicaid and BadgerCare Plus. The insurance may be employer-sponsored or privately purchased. Commercial health insurance may be provided on a fee-for-service basis or through a managed care plan.

Topic #602

## Discounted Rates

Providers of services that are discounted by commercial health insurance should include the following on claims submitted:

- Their [usual and customary charge](#).
- The appropriate other insurance indicator.
- The amount, if any, actually received from commercial health insurance as the amount paid by commercial health insurance.

Topic #596

## Exhausting Commercial Health Insurance Sources

Providers are required to exhaust commercial health insurance sources before submitting claims to ForwardHealth. This is accomplished by following the process indicated in the following steps. Providers are required to prepare complete and accurate documentation of efforts to bill commercial health insurance to substantiate other insurance indicators used on any claim.

### Step 1. Determine if the Member Has Commercial Health Insurance

**If Wisconsin's EVS (Enrollment Verification System) does not indicate that the member has commercial health insurance**, the provider may submit a claim to ForwardHealth unless the provider is otherwise aware of commercial health insurance coverage.

**If the member disputes the information as it is indicated in the EVS**, the provider should submit a completed [Other Coverage Discrepancy Report \(F-1159 \(10/08\)\)](#) form. Unless the service does not require other health insurance billing, the provider should allow at least two weeks before proceeding to Step 2.

### Step 2. Determine if the Service Requires Other Health Insurance Billing

**If the service requires other health insurance billing**, the provider should proceed to Step 3.

**If the service does not require other health insurance billing**, the provider should proceed in one of the following ways:

- The provider is encouraged to bill commercial health insurance if he or she believes that benefits are available. Reimbursement from commercial health insurance may be greater than the Medicaid-allowed amount. If billing commercial health insurance first, the provider should proceed to Step 3.
- The provider may submit a claim without indicating an other insurance indicator on the claim.

The provider may not bill ForwardHealth and commercial health insurance simultaneously. Simultaneous billing may constitute fraud and interferes with ForwardHealth's ability to recover prior payments.

### **Step 3. Identify Assignment of Commercial Health Insurance Benefits**

The provider should verify whether commercial health insurance benefits may be assigned to the provider. (As indicated by commercial health insurance, the provider may be required to obtain approval from the member for this assignment of benefits.)

The provider should proceed in one of the following ways:

- **If the provider is assigned benefits**, the provider should bill commercial health insurance and proceed to Step 4.
- **If the member is assigned insurance benefits**, the provider may submit a claim (without billing commercial health insurance) using the appropriate other insurance indicator.

If the commercial health insurance reimburses the member, the provider may collect the payment from the member. If the provider receives reimbursement from ForwardHealth and the member, the provider is required to return the lesser amount to ForwardHealth.

### **Step 4. Bill Commercial Health Insurance and Follow Up**

**If commercial health insurance denies or partially reimburses the provider for the claim**, the provider may proceed to Step 5.

**If commercial health insurance does not respond within 45 days**, the provider should follow up the original claim with an inquiry to commercial health insurance to determine the disposition of the claim. If commercial health insurance does not respond within 30 days of the inquiry, the provider may proceed to Step 5.

### **Step 5. Submit Claim to ForwardHealth**

**If only partial reimbursement is received, if the correct and complete claim is denied by commercial health insurance, or if commercial health insurance does not respond to the original and follow-up claims**, the provider may submit a claim to ForwardHealth using the appropriate other insurance indicator. Commercial remittance information should not be attached to the claim.

Topic #263

## **Members Unable to Obtain Services Under Managed Care Plan**

Sometimes a member's enrollment file shows commercial managed care coverage, but the member is unable to receive services from the managed care plan. Examples of such situations include the following:

- Children enrolled in a commercial managed care plan by a noncustodial parent if the custodial parent refuses to use the coverage.
- Members enrolled in a commercial managed care plan who reside outside the service area of the managed care plan.

- Members enrolled in a commercial managed care plan who enter a nursing facility that limits the member's access to managed care providers.

In these situations, ForwardHealth will pay for services covered by both BadgerCare Plus or Medicaid and the commercial managed care plan even though the services are obtained from providers outside the plan.

When submitting claims for these members, providers should do one of the following:

- Indicate "OI-Y" on paper claims.
- Refer to the Wisconsin [PES \(Provider Electronic Solutions\) Manual](#) or the appropriate [837 \(837 Health Care Claim\) companion guide](#) to determine the appropriate other insurance indicator for electronic claims.

Topic #604

## Non-Reimbursable Commercial Managed Care Services

Providers are not reimbursed for the following:

- Services covered by a commercial managed care plan, except for coinsurance, copayment, or deductible.
- Services for which providers contract with a commercial managed care plan to receive a capitation payment for services.

Topic #605

## Other Insurance Indicators

Other insurance indicators are used to report results of commercial health insurance billing and to report when existing insurance was not billed. Providers are required to use these indicators as applicable on professional, institutional, or dental claims submitted for members with commercial health insurance. The intentional misuse of other insurance indicators to obtain inappropriate reimbursement constitutes fraud.

Other insurance indicators identify the status and availability of commercial health insurance. The indicators allow providers to be reimbursed correctly when the following occur:

- Commercial health insurance exists, does not apply, or when, for some valid reason, the provider is unable to obtain such reimbursement by reasonable means.
- Commercial health insurance does not cover the service provided.
- Full or partial payment was made by commercial health insurance.

Providers should not use other insurance indicators when the following occur:

- Wisconsin's EVS (Enrollment Verification System) indicates no commercial health insurance for the DOS (date of service).
- The service does not require other health insurance billing.
- Claim denials from other payers relating to NPI (National Provider Identifier) and related data should be resolved with that payer and not submitted to ForwardHealth. Payments made in these situations may be recouped.

## Documentation Requirements

Providers are required to prepare and maintain truthful, accurate, complete, legible, and concise documentation of efforts to bill commercial health insurance sources to substantiate other insurance indicators used on any claim, according to [DHS 106.02\(9\)\(a\)](#), Wis. Admin. Code.

Topic #603

## Services Not Requiring Commercial Health Insurance Billing

Providers are not required to bill commercial health insurance sources before submitting claims for the following:

- Case management services.
- CCS (Comprehensive Community Services).
- Crisis Intervention services.
- CRS (Community Recovery Services).
- CSP (Community Support Program) services.
- Family planning services.
- PNCC (prenatal care coordination) services.
- Preventive pediatric services.
- SMV (specialized medical vehicle) services.

Topic #769

## Services Requiring Commercial Health Insurance Billing

If ForwardHealth indicates that the member has other commercial health insurance, the provider is required to bill the following services to commercial health insurance before submitting claims to ForwardHealth:

- Ambulance services, if provided as emergency services.
- Anesthetist services.
- Audiology services, unless provided in a nursing home or SNF (skilled nursing facility).
- Blood bank services.
- Chiropractic services.
- Dental services.
- DME (durable medical equipment) (rental or purchase), prosthetics, and hearing aids if the billed amount is over \$10.00 per item.
- Home health services (excluding PC (personal care) services).
- Hospice services.
- Hospital services, including inpatient or outpatient.
- Independent nurse, nurse practitioner, or nurse midwife services.
- Laboratory services.
- Medicare-covered services for members who have Medicare and commercial health insurance.
- Mental health/substance abuse services, including services delivered by providers other than physicians, regardless of POS (place of service).
- PT (physical therapy), OT (occupational therapy), and SLP (speech and language pathology) services, unless provided in a nursing home or SNF.
- Physician assistant services.
- Physician services, including surgery, surgical assistance, anesthesiology, or any service to a hospital inpatient. However, physician services provided to a woman whose primary diagnosis indicates a high-risk pregnancy do not require commercial health insurance billing.
- Pharmacy services for members with verified drug coverage.
- Podiatry services.
- PDN (private duty nursing) services.
- Radiology services.

- RHC (rural health clinic) services.
- Skilled nursing home care, if any DOS (date of service) is within 30 days of the date of admission. If benefits greater than 30 days are available, the nursing home is required to continue to bill for them until those benefits are exhausted.
- Vision services over \$50, unless provided in a home, nursing home, or SNF.

If ForwardHealth indicates the member has other vision coverage, the provider is required to bill the following services to commercial health insurance before submitting claims to ForwardHealth:

- Ophthalmology services.
- Optometrist services.

If ForwardHealth indicates the member has Medicare Supplemental Plan Coverage, the provider is required to bill the following services to commercial health insurance before submitting claims to ForwardHealth:

- Alcohol, betadine, and/or iodine provided by a pharmacy or medical vendor.
- Ambulance services.
- Ambulatory surgery center services.
- Breast reconstruction services.
- Chiropractic services.
- Dental anesthesia services.
- Home health services (excluding PC services).
- Hospital services, including inpatient or outpatient.
- Medicare-covered services.
- Osteopath services.
- Physician services.
- Skilled nursing home care, if any DOS is within 100 days of the date of admission. If benefits greater than 100 days are available, the nursing home is required to continue to bill for them until those benefits are exhausted.

ForwardHealth has identified [services requiring Medicare billing](#).

## Medicare

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Topic #664

### Acceptance of Assignment

In Medicare, "assignment" is a process through which a provider agrees to accept the Medicare-allowed amount as payment in full. A provider who agrees to this amount is said to "accept assignment."

A Medicare-enrolled provider performing a Medicare-covered service for a dual eligible or [QMB-Only \(Qualified Medicare Beneficiary-Only\)](#) member is required to accept assignment of the member's Medicare Part A benefits. Therefore, Wisconsin Medicaid's total reimbursement for a Medicare Part A-covered inpatient hospital service (i.e., any amount paid by other health insurance sources, any copayment or deductible amounts paid by the member, and any amount paid by Wisconsin Medicaid or BadgerCare Plus) may not exceed the Medicare-allowed amount.

Topic #666

### Claims Denied for Errors

Medicare claims that were denied for provider billing errors must be corrected and resubmitted to Medicare before the claim may be submitted to ForwardHealth.

Topic #668

### Claims Processed by Commercial Insurance That Is Secondary to Medicare

If a crossover claim is also processed by commercial health insurance that is secondary to Medicare (e.g., Medicare supplemental), the claim will not be forwarded to ForwardHealth. After the claim has been processed by the commercial health insurance, the provider should submit a provider-submitted crossover claim to ForwardHealth with the appropriate other insurance indicator.

Topic #670

### Claims That Do Not Require Medicare Billing

For services provided to dual eligibles, professional, institutional, and dental claims should be submitted to ForwardHealth without first submitting them to Medicare in the following situations:

- The provider cannot be enrolled in Medicare.
- The service is not allowed by Medicare under any circumstance. Providers should note that claims are denied for services that Medicare has determined are not medically necessary.

In these situations, providers should not indicate a Medicare disclaimer code on the claim.

Topic #704

## Claims That Fail to Cross Over

ForwardHealth must be able to identify the billing provider in order to report paid or denied Medicare crossover claims information on the RA (Remittance Advice). Claims with an NPI (National Provider Identifier) that fails to appear on the provider's RA are an indication that there is a problem with the matching and identification of the billing provider and the claims were denied.

ForwardHealth is not able to identify the billing provider on automatic crossover claims submitted by health care providers in the following situations:

- The billing provider's NPI has not been reported to ForwardHealth.
- The taxonomy code has not been reported to ForwardHealth or is not indicated on the automatic crossover claim.
- The billing provider's practice location ZIP+4 code on file with ForwardHealth is required to identify the provider and is not indicated on the automatic crossover claim.

If automatic crossover claims do not appear on the RA after 30 days of the Medicare processing date, providers are required to resubmit the claim directly to ForwardHealth using the NPI that was reported to ForwardHealth as the primary NPI. Additionally, the taxonomy code and the ZIP+4 code of the practice location on file with ForwardHealth are required when additional data is needed to identify the provider.

Topic #667

## Claims for Services Denied by Medicare

If Medicare denies or recoups payment for services provided to dual eligibles that are covered by BadgerCare Plus or Wisconsin Medicaid, the provider may submit a claim for those services directly to ForwardHealth. To allow payment by ForwardHealth in this situation, providers are encouraged to follow BadgerCare Plus and Medicaid requirements (e.g., request PA (prior authorization) before providing the service for covered services that require PA). If the requirements are followed, ForwardHealth may reimburse for the service up to the allowed amount (less any payments made by other health insurance sources).

Topic #671

## Crossover Claims

A Medicare crossover claim is a Medicare-allowed claim for a dual eligible or QMB-Only (Qualified Medicare Beneficiary-Only) member sent to ForwardHealth for payment of coinsurance, copayment, and deductible.

Submit Medicare claims first, as appropriate, to one of the following:

- Medicare Part A fiscal intermediary.
- Medicare Part B carrier.
- Medicare DME (durable medical equipment) regional carrier.
- Medicare Advantage Plan.
- Railroad Retirement Board carrier (also known as the Railroad Medicare carrier).

There are two types of crossover claims based on who submits them:

- Automatic crossover claims.

- Provider-submitted crossover claims.

## Automatic Crossover Claims

An automatic crossover claim is a claim that Medicare automatically forwards to ForwardHealth by the COBC (Coordination of Benefits Contractor).

Claims will be forwarded if the following occur:

- Medicare has identified that the services were provided to a dual eligible or a QMB-Only member.
- The claim is for a member who is not enrolled in a Medicare Advantage Plan.

## Provider-Submitted Crossover Claims

A provider-submitted crossover claim is a Medicare-allowed claim that a provider directly submits to ForwardHealth when the Medicare claim did not automatically cross over. Providers should submit a provider-submitted crossover claim in the following situations:

- The automatic crossover claim does not appear on the ForwardHealth RA (Remittance Advice) within 30 days of the Medicare processing date.
- The automatic crossover claim is denied and additional information may allow payment.
- The claim is for a member who is enrolled in Medicare and commercial health insurance that is secondary to Medicare (e.g., Medicare Supplemental).
- The claim is for a member who was not enrolled in BadgerCare Plus or Wisconsin Medicaid at the time the service was submitted to Medicare for payment, but the member was retroactively determined enrolled in BadgerCare Plus or Medicaid.
- The claim is for a member who is enrolled in a Medicare Advantage Plan.

When submitting crossover claims directly, the following additional data may be required on the claim to identify the billing and rendering provider:

- The NPI (National Provider Identifier) that ForwardHealth has on file for the provider.
- The taxonomy code that ForwardHealth has on file for the provider.
- The ZIP+4 code that corresponds to the practice location address on file with ForwardHealth.

Providers may initiate a provider-submitted claim in one of the following ways:

- DDE (Direct Data Entry) through the ForwardHealth Provider Portal.
- 837I (837 Health Care Claim: Institutional) transaction, as applicable.
- 837P (837 Health Care Claim: Professional) transaction, as applicable.
- PES (Provider Electronic Solution) software.
- Paper claim form.

Topic #672

## Definition of Medicare

Medicare is a health insurance program for people 65 years of age or older, for certain people with disabilities under age 65, and for people with ESRD (end-stage renal disease). Medicare is a federal government program created under Title XVIII of the Social Security Act.



Medicare coverage is divided into four parts:

- Part A (i.e., Hospital Insurance). Part A helps to pay for medically necessary services, including inpatient hospital services, services provided in critical access hospitals (i.e., small facilities that give limited inpatient services and outpatient services to beneficiaries who reside in rural areas), services provided in skilled nursing facilities, hospice services, and some home health services.
- Part B (i.e., Supplemental Medical Insurance). Part B helps to pay for medically necessary services, including physician services, outpatient hospital services, and some other services that Part A does not cover (such as PT (physical therapy) services, OT (occupational therapy) services, and some home health services).
- Part C (i.e., Medicare Advantage).
- Part D (i.e., drug benefit).

Topic #684

## Dual Eligibles

Dual eligibles are members who are eligible for coverage from Medicare (either Medicare Part A, Part B, or both) *and* Wisconsin Medicaid or BadgerCare Plus.

Dual eligibles may receive coverage for the following:

- Medicare monthly premiums for Part A, Part B, or both.
- Coinsurance, copayment, and deductible for Medicare-allowed services.
- BadgerCare Plus- or Medicaid-covered services, even those that are not allowed by Medicare.

Topic #669

## Exhausting Medicare Coverage

Providers are required to exhaust Medicare coverage before submitting claims to ForwardHealth. This is accomplished by following these instructions. Providers are required to prepare complete and accurate documentation of efforts to bill Medicare to substantiate Medicare disclaimer codes used on any claim.

### Adjustment Request for Crossover Claim

The provider may submit a paper or electronic adjustment request. If submitting a paper [Adjustment/Reconsideration Request \(F-13046 \(07/12\)\)](#) form, the provider should attach a copy of Medicare remittance information. (If this is a Medicare reconsideration, copies of the original and subsequent Medicare remittance information should be attached.)

### Provider-Submitted Crossover Claim

The provider may submit a provider-submitted crossover claim in the following situations:

- The claim is for a member who is enrolled in a Medicare Advantage Plan.
- The automatic crossover claim is not processed by ForwardHealth within 30 days of the Medicare processing date.
- ForwardHealth denied the automatic crossover claim and additional information may allow payment.
- The claim is for a member who is enrolled in Medicare and commercial health insurance that is secondary to Medicare (e.g., Medicare Supplemental).
- The claim is for a member who was not enrolled in BadgerCare Plus at the time the service was submitted to Medicare for payment, but the member was retroactively enrolled.\*

When submitting provider-submitted crossover claims, the provider is required to follow all claims submission requirements in

addition to the following:

- For electronic claims, indicate the Medicare payment.
- For paper claims, the provider is required to do the following:
  - Attach Medicare's remittance information and refrain from indicating the Medicare payment.
  - Indicate "MMC (Medicare Managed Care)" in the upper right corner of the claim for services provided to members enrolled in a Medicare Advantage Plan.

When submitting provider-submitted crossover claims for members enrolled in Medicare and commercial health insurance that is secondary to Medicare, the provider is also required to do the following:

- Refrain from submitting the claim to ForwardHealth until after the claim has been processed by the commercial health insurance.
- Indicate the appropriate other insurance indicator.

\* In this situation, a timely filing appeals request may be submitted if the services provided are beyond the claims submission deadline. The provider is required to indicate "retroactive enrollment" on the provider-submitted crossover claim and submit the claim with the [Timely Filing Appeals Request \(F-13047 \(07/12\)\)](#) form. The provider is required to submit the timely filing appeals request within 180 days from the date the backdated enrollment was added to the member's file.

#### **Claim for Services Denied by Medicare**

When Medicare denies payment for a service provided to a dual eligible that is covered by BadgerCare Plus or Wisconsin Medicaid, the provider may proceed as follows:

- Bill commercial health insurance, if applicable.
- Submit a claim to ForwardHealth using the appropriate Medicare disclaimer code. If applicable, the provider should indicate the appropriate other insurance indicator. A copy of Medicare remittance information should not be attached to the claim.

#### **Crossover Claim Previously Reimbursed**

A crossover claim may have been previously reimbursed by Wisconsin Medicaid when one of the following has occurred:

- Medicare reconsiders services that were previously not allowed.
- Medicare retroactively determines a member eligible.

In these situations, the provider should proceed as follows:

- Refund or adjust Medicaid payments for services previously reimbursed by Wisconsin Medicaid.
- Bill Medicare for the services and follow ForwardHealth's procedures for submitting crossover claims.

Topic #687

## Medicare Advantage

Medicare services may be provided to dual eligibles or QMB-Only (Qualified Medicare Beneficiary-Only) members on a fee-for-service basis or through a Medicare Advantage Plan. Medicare Advantage was formerly known as Medicare Managed Care (MMC), Medicare + Choice (MPC), or Medicare Cost (Cost). Medicare Advantage Plans have a special arrangement with the federal CMS (Centers for Medicare and Medicaid Services) and agree to provide all Medicare benefits to Medicare beneficiaries for a fee. Providers may contact Medicare for a list of Medicare Advantage Plans in Wisconsin and the insurance companies with

which they are associated.

## Paper Crossover Claims

Providers are required to indicate "MMC" in the upper right corner of provider-submitted crossover claims for services provided to members enrolled in a Medicare Advantage Plan. The claim must be submitted with a copy of the Medicare EOMB (Explanation of Medicare Benefits). This is necessary in order for ForwardHealth to distinguish whether the claim has been processed as commercial managed care or Medicare managed care.

## Reimbursement Limits

Reimbursement limits on Medicare Part B services are applied to all Medicare Advantage Plan copayment amounts in accordance with federal law. This may reduce reimbursement amounts in some cases.

Topic #688

## Medicare Disclaimer Codes

Medicare disclaimer codes are used to ensure consistent reporting of common billing situations for dual eligibles. Refer to claim instructions for Medicare disclaimer codes and their descriptions. The intentional misuse of Medicare disclaimer codes to obtain inappropriate reimbursement from ForwardHealth constitutes fraud.

Medicare disclaimer codes identify the status and availability of Medicare benefits. The code allows a provider to be reimbursed correctly by ForwardHealth when Medicare benefits exist or when, for some valid reason, the provider is unable to obtain such benefits by reasonable means.

When submitting a claim for a covered service that was denied by Medicare, providers should resubmit the claim *directly* to ForwardHealth using the appropriate Medicare disclaimer code.

## Documentation Requirements

Providers are required to prepare and maintain truthful, accurate, complete, legible, and concise documentation of efforts to bill Medicare to substantiate Medicare disclaimer codes used on any claim, according to [DHS 106.02\(9\)\(a\)](#), Wis. Admin. Code.

Topic #689

## Medicare Enrollment

Some providers may become retroactively enrolled in Medicare. Providers should contact Medicare for more information about retroactive enrollment.

## Services for Dual Eligibles

As stated in [DHS 106.03\(7\)](#), Wis. Admin. Code, a provider is required to be enrolled in Medicare if both of the following are true:

- He or she provides a Medicare Part A service to a dual eligible.
- He or she can be enrolled in Medicare.

If a provider can be enrolled in Medicare but chooses *not* to be, the provider is required to refer dual eligibles to another Medicaid-enrolled provider who is enrolled in Medicare.

## Services for Qualified Medicare Beneficiary-Only Members

Because QMB-Only (Qualified Medicare Beneficiary-Only) members receive coverage from Wisconsin Medicaid only for services allowed by Medicare, providers who are not enrolled in Medicare are required to refer QMB-Only members to another Medicaid-enrolled provider who is enrolled in Medicare.

Topic #8457

## Medicare Late Fees

Medicare assesses a late fee when providers submit a claim after Medicare's claim submission deadline has passed. Claims that cross over to ForwardHealth with a Medicare late fee are denied for being out of balance. To identify these claims, providers should reference the Medicare remittance information and check for ANSI (American National Standards Institute) code B4 (late filing penalty), which indicates a late fee amount deducted by Medicare.

ForwardHealth considers a late fee part of Medicare's paid amount for the claim because Medicare would have paid the additional amount if the claim had been submitted before the Medicare claim submission deadline. ForwardHealth will not reimburse providers for late fees assessed by Medicare.

## Resubmitting Medicare Crossover Claims with Late Fees

Providers may resubmit to ForwardHealth crossover claims denied because the claim was out of balance due to a Medicare late fee. The claim may be submitted on paper, submitted electronically using the ForwardHealth Portal, or submitted as an 837 (837 Health Care Claim) transaction.

### Paper Claim Submissions

When resubmitting a crossover claim on paper, include a copy of the Medicare remittance information so ForwardHealth can determine the amount of the late fee and apply the correct reimbursement amount.

### Electronic Claim Submissions

When resubmitting a claim via the Portal or an electronic 837 transaction (including PES (Provider Electronic Solutions) software submissions), providers are required to balance the claim's paid amount to reflect the amount Medicare would have paid before Medicare subtracted a late fee. This is the amount that ForwardHealth considers when adjudicating the claim. To balance the claim's paid amount, add the late fee to the paid amount reported by Medicare. Enter this amount in the Medicare paid amount field.

For example, the Medicare remittance information reports the following amounts for a crossover claim:

- Billed Amount: \$110.00.
- Allowed Amount: \$100.00.
- Coinsurance: \$20.00.
- Late Fee: \$5.00.
- Paid Amount: \$75.00.

Since ForwardHealth considers the late fee part of the paid amount, providers should add the late fee to the paid amount reported on the Medicare remittance. In the example above, add the late fee of \$5.00 to the paid amount of \$75.00 for a total of \$80.00.

The claim should report the Medicare paid amount as \$80.00.

Topic #690

## Medicare Retroactive Eligibility

If a member becomes retroactively eligible for Medicare, the provider is required to refund or adjust any payments for the retroactive period. The provider is required to then bill Medicare for the services and follow ForwardHealth's procedures for submitting crossover claims. Claims found to be in conflict with this program requirement will be recouped.

Topic #895

## Modifier for Catastrophe/Disaster-Related Crossover Claims

ForwardHealth accepts modifier "CR" (Catastrophe/disaster related) on Medicare crossover claims (both [837P](#) (837 Health Care Claim: Professional) transactions and 1500 Health Insurance Claim Forms) to accommodate the emergency health care needs of dual eligibles and QMB-Only (Qualified Medicare Beneficiary-Only) members affected by disasters. The [CMS \(Centers for Medicare and Medicaid Services\) Web site](#) contains more information.

Topic #4957

## Provider-Submitted Crossover Claims

A provider-submitted crossover claim is a Medicare-allowed claim that a provider directly submits to ForwardHealth when the Medicare claim did not automatically crossover to ForwardHealth.

### Electronic Professional Crossover Claims

Providers submitting crossover claims electronically must indicate all Medicare coinsurance, copayment, and psychiatric reduction amounts at the detail level. If the Medicare coinsurance, copayment, and psychiatric reduction amounts are indicated at the header level, the claim will be denied. Providers may indicate deductibles in either the header or detail level.

When submitting electronic Medicare crossover claims, providers should not submit paper EOMB (Explanation of Medicare Benefits) as an attachment. Providers should, however, be sure to complete Medicare CAS segments when submitting 837 transactions.

### Paper Professional Crossover Claims Require Provider Signature

All paper provider-submitted crossover claims submitted on the 1500 Health Insurance Claim Form require a provider signature and date in Element 31. The words "signature on file" are not acceptable. Provider-submitted crossover claims without a signature or date are denied or are subject to recoupment. The provider signature requirement for paper crossover claims is the same requirement for all other paper 1500 Health Insurance Claims.

Topic #692

## Qualified Medicare Beneficiary-Only Members

QMB-Only (Qualified Medicare Beneficiary-Only) members are a limited benefit category of Medicaid members. They are eligible for coverage from Medicare (either Part A, Part B, or both) *and* limited coverage from Wisconsin Medicaid. QMB-Only members receive Medicaid coverage for the following:

- Medicare monthly premiums for Part A, Part B, or both.
- Coinsurance, copayment, and deductible for Medicare-allowed services.

QMB-Only members do not receive coverage from Wisconsin Medicaid for services not allowed by Medicare. Therefore, Wisconsin Medicaid will not reimburse for services if either of the following occur:

- Medicare does not cover the service.
- The provider is not enrolled in Medicare.

Topic #686

## Reimbursement for Crossover Claims

### Professional Crossover Claims

State law limits reimbursement for coinsurance and copayment of Medicare Part B-covered services provided to dual eligibles and QMB-Only (Qualified Medicare Beneficiary-Only) members.

Total payment for a Medicare Part B-covered service (i.e., any amount paid by other health insurance sources, any copayment or spenddown amounts paid by the member, and any amount paid by Wisconsin Medicaid) may not exceed the Medicare-allowed amount. Therefore, Medicaid reimbursement for coinsurance or copayment of a Medicare Part B-covered service is the lesser of the following:

- The *Medicare*-allowed amount less any amount paid by other health insurance sources and any copayment or spenddown amounts paid by the member.
- The *Medicaid*-allowed amount less any amount paid by other health insurance sources and any copayment or spenddown amounts paid by the member.

The following table provides three examples of how the limitations are applied.

| <b>Reimbursement for Coinsurance or Copayment of Medicare Part B-Covered Services</b> |                |          |          |
|---|----------------|----------|----------|
| <b>Explanation</b>  | <b>Example</b> |          |          |
|   | <b>1</b>       | <b>2</b> | <b>3</b> |
| Provider's billed amount  | \$120          | \$120    | \$120    |
| Medicare-allowed amount   | \$100          | \$100    | \$100    |
| Medicaid-allowed amount (e.g., maximum allowable fee, rate-per-visit)                 | \$90           | \$110    | \$75     |
| Medicare payment  | \$80           | \$80     | \$80     |
| Medicaid payment  | \$10           | \$20     | \$0      |

### Outpatient Hospital Crossover Claims

Detail-level information is used to calculate pricing for all outpatient hospital crossover claims and adjustments. Details that Medicare paid in full or that Medicare denied in full will not be considered when pricing outpatient hospital crossover claims. Medicare deductibles are paid in full.

Providers may use the following steps to determine how reimbursement was calculated:

1. Sum all of the detail Medicare-paid amounts to establish the Claim Medicare-paid amount.
2. Sum all of the detail Medicare coinsurance or copayment amounts to establish the Claim Medicare coinsurance or copayment amount.
3. Multiply the number of DOS (dates of service) by the provider's rate-per-visit. For example, \$100 (rate-per-visit) x 3 (DOS) = \$300. This is the Medicaid gross allowed amount.
4. Compare the Medicaid gross allowed amount calculated in step 3 to the Claim Medicare paid amount calculated in step 1. If the Medicaid gross allowed amount is less than or equal to the Medicare paid amount, Wisconsin Medicaid will make no further payment to the provider for the claim. If the Medicaid gross allowed amount is greater than the Medicare-paid amount, the difference establishes the Medicaid net allowed amount.
5. Compare the Medicaid net allowed amount calculated in step 4 and the Medicare coinsurance or copayment amount calculated in step 2. Wisconsin Medicaid reimburses the lower of the two amounts.

## Inpatient Hospital Services

State law limits reimbursement for coinsurance, copayment and deductible of Medicare Part A-covered inpatient hospital services for dual eligibles and QMB-Only members.

Wisconsin Medicaid's total reimbursement for a Medicare Part A-covered inpatient hospital service (i.e., any amount paid by other health insurance sources, any copayment or deductible amounts paid by the member, and any amount paid by Wisconsin Medicaid or BadgerCare Plus) may not exceed the Medicare-allowed amount. Therefore, Medicaid reimbursement for coinsurance, copayment, and deductible of a Medicare Part A-covered inpatient hospital service is the *lesser* of the following:

- The difference between the *Medicaid*-allowed amount and the *Medicare*-paid amount.
- The sum of Medicare coinsurance, copayment, and deductible.

The following table provides three examples of how the limitations are applied.

| Reimbursement for Medicare Part A-Covered Inpatient Hospital Services Provided To Dual Eligibles |         |         |         |
|--|---------|---------|---------|
| Explanation  | Example |         |         |
|  | 1       | 2       | 3       |
| Provider's billed amount   | \$1,200 | \$1,200 | \$1,200 |
| Medicare-allowed amount  | \$1,000 | \$1,000 | \$1,000 |
| Medicaid-allowed amount (e.g., diagnosis-related group or per diem)                              | \$1,200 | \$750   | \$750   |
| Medicare-paid amount   | \$1,000 | \$800   | \$500   |
| Difference between Medicaid-allowed amount and Medicare-paid amount                              | \$200   | (\$-50) | \$250   |
| Medicare coinsurance, copayment and deductible   | \$0     | \$200   | \$500   |
| Medicaid payment   | \$0     | \$0     | \$250   |

Topic #4977

## Rendering Provider on Professional Crossover Claims

Providers are required to indicate the rendering provider on electronic and paper crossover claims when ForwardHealth service-specific policy requires a rendering provider. However, professional crossover claims received by ForwardHealth from Medicare may not have the taxonomy code of the billing provider indicated on the transaction. Medicare will not accept the 837P (837 Health Care Claim: Professional) transaction when a taxonomy code is reported in both the Billing/Pay-to Provider Loop and in

the Rendering Provider Loop if the billing and rendering providers are different. For example, a transaction with a physician group indicated as the billing provider and the individual physician indicated as the rendering provider.

Providers should resubmit professional crossover claims to ForwardHealth when the taxonomy code is required to identify the billing provider and it is not indicated on the crossover claim received from Medicare. Taxonomy codes for billing and rendering providers may be required if the provider has a single NPI for multiple ForwardHealth provider enrollments. Providers should refer to the [837 companion guides](#) for information on using taxonomy codes on standard claim transactions. ForwardHealth will accept the 837P transaction when a taxonomy code is reported in both the Billing/Pay-to Provider Loop and in the Rendering Provider Loop and the billing and rendering providers are different.

This ForwardHealth requirement is inconsistent with the instructions in the 837P Implementation Guide; however, CMS (Centers for Medicare and Medicaid Services) has acknowledged that health plans may need the billing provider taxonomy in order to accurately process claims.

Topic #770

## Services Requiring Medicare Billing

If Wisconsin's EVS (Enrollment Verification System) indicates Medicare + Choice, the provider is required to bill the following services to the Medicare Advantage Plan before submitting claims to ForwardHealth:

- Ambulance services.
- Ambulatory surgery center services.
- Chiropractic services.
- Dental anesthesia services.
- Home health services (excluding PC (personal care) services).
- Hospital services, including inpatient or outpatient.
- Medicare-covered services.
- Osteopath services.
- Physician services.

If the EVS indicates Medicare Cost, the provider is required to bill the following services to the Medicare Advantage Plan before submitting claims to ForwardHealth:

- Ambulance services.
- Home health services (excluding PC services).
- Medicare-covered services.

ForwardHealth has identified [services requiring commercial health insurance billing](#).



## Other Coverage Information

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Topic #4940

### After Reporting Discrepancies

After receiving an [Other Coverage Discrepancy Report \(F-1159 \(10/08\)\)](#), ForwardHealth confirms the information and updates the member files.

It may take up to two weeks to process and update the member's enrollment information. During that time, ForwardHealth verifies the insurance information submitted and adds, changes, or removes the member's other coverage information as appropriate. If verification contradicts the provider's information, a written explanation is sent to the provider. The provider should wait to submit claims until one of the following occurs:

- The provider verifies through Wisconsin's EVS (Enrollment Verification System) that the member's other coverage information has been updated.
- The provider receives a written explanation.

Topic #4941

### Coverage Discrepancies

Maintaining complete and accurate insurance information may result in fewer claim denials. Providers are an important source of other coverage information as they are frequently the first to identify coverage discrepancies.

Topic #609

### Insurance Disclosure Program

ForwardHealth receives policyholder files from most major commercial health insurance companies on a monthly basis. ForwardHealth then compares this information with member enrollment files. If a member has commercial health insurance, ForwardHealth revises the member's enrollment file with the most current information.

The insurance company is solely responsible for the accuracy of this data. If the insurance company provides information that is not current, ForwardHealth's files may be inaccurate.

Topic #610

### Maintaining Accurate and Current Records

ForwardHealth uses many sources of information to keep accurate and current records of a member's other coverage, including the following:

- Insurance Disclosure program.
- Providers who submit an [Other Coverage Discrepancy Report \(F-1159 \(10/08\)\)](#) form.
- Member certifying agencies.

- Members.

The information about a member's other health insurance coverage in the member files may be incomplete or incorrect if ForwardHealth received inaccurate information from the other health insurance source or the member's certifying agency.

Topic #4942

## Reporting Discrepancies

Providers are encouraged to report discrepancies to ForwardHealth by submitting the [Other Coverage Discrepancy Report \(F-1159 \(10/08\)\)](#) form. Providers are asked to complete the form in the following situations:

- The provider is aware of other coverage information that is not indicated by Wisconsin's EVS (Enrollment Verification System).
- The provider received other coverage information that contradicts the information indicated by the EVS.
- A claim is denied because the EVS indicates commercial managed care coverage but the coverage is not available to the member (e.g., the member does not live in the plan's service area).

Providers should not use the Other Coverage Discrepancy Report form to update any information regarding a member's coverage in a state-contracted MCO (managed care organization).

When reporting discrepancies, providers should include photocopies of current insurance cards and any available documentation, such as remittance information and benefit coverage dates or denials.

## Provider-Based Billing

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Topic #660

### Purpose of Provider-Based Billing

The purpose of provider-based billing is to reduce costs by ensuring that providers receive maximum reimbursement from other health insurance sources that are primary to BadgerCare Plus or Wisconsin Medicaid. For example, a provider-based billing claim is created when BadgerCare Plus or Wisconsin Medicaid pays a claim and later discovers that other coverage exists or was made retroactive. Since BadgerCare Plus and Wisconsin Medicaid benefits are secondary to those provided by most other health insurance sources, providers are required to seek reimbursement from the primary payer, as stated in [DHS 106.03\(7\)](#), Wis. Admin. Code.

Topic #658

### Questions About Provider-Based Billing

For questions about provider-based billing claims that are within the 120-day limit, providers may call the Coordination of Benefits Unit at (608) 221-4746. Providers may fax the corresponding Provider-Based Billing Summary to (608) 221-4567 at the time of the telephone call.

For questions about provider-based billing claims that are *not* within the 120-day limit, providers may call [Provider Services](#).

Topic #661

### Receiving Notification

When a provider-based billing claim is created, the provider will receive the following:

- A notification letter.
- A Provider-Based Billing Summary. The Summary lists each claim from which a provider-based billing claim was created. The summary also indicates the corresponding primary payer for each claim.
- Provider-based billing claim(s). For each claim indicated on the Provider-Based Billing Summary, the provider will receive a prepared provider-based billing claim. This claim may be used to bill the other health insurance source; the claim includes all of the other health insurance source's information that is available.

If a member has coverage through multiple other health insurance sources, the provider may receive additional Provider-Based Billing Summaries and provider-based billing claims for each other health insurance source that is on file.

Topic #659

### Responding to ForwardHealth After 120 Days

If a response is not received within 120 days, the amount originally paid by BadgerCare Plus or Wisconsin Medicaid will be withheld from future payments. This is not a final action. To receive payment after the original payment has been withheld, providers are required to submit the required documentation to the appropriate address as indicated in the following tables. For

DOS (dates of service) that are within claims submission deadlines, providers should refer to the first table. For DOS that are beyond claims submission deadlines, providers should refer to the second table.

| <b>Within Claims Submission Deadlines</b>   |   |   |
|---|---|---|
| <b>Scenario</b>   | <b>Documentation Requirement</b>  | <b>Submission Address</b>   |
| The provider discovers through the EVS (Wisconsin's Enrollment Verification System) that ForwardHealth has removed or ended the other health insurance coverage from the member's file. | A claim according to normal claims submission procedures (do <i>not</i> use the prepared provider-based billing claim).   | ForwardHealth<br>Claims and Adjustments<br>313 Blettner Blvd<br>Madison WI 53784  |
| The provider discovers that the member's other coverage information (i.e., enrollment dates) reported by the EVS is invalid.  | <ul style="list-style-type: none"> <li>An <a href="#">Other Coverage Discrepancy Report (F-1159 (10/08))</a> form.</li> <li>A claim according to normal claims submission procedures after verifying that the member's other coverage information has been updated by using the EVS (do <i>not</i> use the prepared provider-based billing claim).</li> </ul> | <p>Send the Other Coverage Discrepancy Report form to the address indicated on the form.</p> <p>Send the claim to the following address:</p> <p>ForwardHealth<br/>Claims and Adjustments<br/>313 Blettner Blvd<br/>Madison WI 53784</p> |
| The other health insurance source reimburses or partially reimburses the provider-based billing claim.  | <ul style="list-style-type: none"> <li>A claim according to normal claims submission procedures (do <i>not</i> use the prepared provider-based billing claim).</li> <li>The appropriate other insurance indicator.</li> <li>The amount received from the other health insurance source.</li> </ul>  | ForwardHealth<br>Claims and Adjustments<br>313 Blettner Blvd<br>Madison WI 53784  |
| The other health insurance source denies the provider-based billing claim.  | <ul style="list-style-type: none"> <li>A claim according to normal claims submission procedures (do <i>not</i> use the prepared provider-based billing claim).</li> <li>The appropriate other insurance indicator or Medicare disclaimer code.</li> </ul>   | ForwardHealth<br>Claims and Adjustments<br>313 Blettner Blvd<br>Madison WI 53784  |
| The commercial health insurance carrier does not respond to an initial <i>and</i> follow-up provider-based billing claim.   | <ul style="list-style-type: none"> <li>A claim according to normal claims submission procedures (do <i>not</i> use the prepared provider-based billing claim).</li> <li>The appropriate other insurance indicator.</li> </ul>   | ForwardHealth<br>Claims and Adjustments<br>313 Blettner Blvd<br>Madison WI 53784  |

| <b>Beyond Claims Submission Deadlines</b>  |  |   |
|--|--|---|
| <b>Scenario</b>  | <b>Documentation Requirement</b>   | <b>Submission Address</b>   |
| The provider discovers through the EVS that ForwardHealth has removed or ended the other health insurance coverage from the member's file. | <ul style="list-style-type: none"> <li>A claim (do <i>not</i> use the prepared provider-based billing claim).</li> <li>A <a href="#">Timely Filing Appeals Request (F-13047 (07/12))</a> form according to normal timely filing appeals procedures.</li> </ul> | ForwardHealth<br>Timely Filing<br>Ste 50<br>313 Blettner Blvd<br>Madison WI 53784 |
| The provider discovers that the  | <ul style="list-style-type: none"> <li>An Other Coverage Discrepancy Report form.</li> </ul>   | Send the Other Coverage   |

|   |   |   |
|---|---|---|
| <p>member's other coverage information (i.e., enrollment dates) reported by the EVS is invalid.</p>                 | <ul style="list-style-type: none"> <li>• <i>After</i> using the EVS to verify that the member's other coverage information has been updated, include both of the following: <ul style="list-style-type: none"> <li>◦ A claim (do <i>not</i> use the prepared provider-based billing claim.)</li> <li>◦ A Timely Filing Appeals Request form according to normal timely filing appeals procedures.</li> </ul> </li> </ul>  | <p>Discrepancy Report form to the address indicated on the form.</p> <p>Send the timely filing appeals request to the following address:</p> <p>ForwardHealth<br/>Timely Filing<br/>Ste 50<br/>313 Blettner Blvd<br/>Madison WI 53784</p> |
| <p>The commercial health insurance carrier reimburses or partially reimburses the provider-based billing claim.</p> | <ul style="list-style-type: none"> <li>• A claim (do <i>not</i> use the prepared provider-based billing claim).</li> <li>• Indicate the appropriate other insurance indicator.</li> <li>• Indicate the amount received from the commercial insurance.</li> <li>• A Timely Filing Appeals Request form according to normal timely filing appeals procedures.</li> </ul>  | <p>ForwardHealth<br/>Timely Filing<br/>Ste 50<br/>313 Blettner Blvd<br/>Madison WI 53784</p>  |
| <p>The other health insurance source denies the provider-based billing claim.</p>                                   | <ul style="list-style-type: none"> <li>• A claim (do <i>not</i> use the prepared provider-based billing claim).</li> <li>• The appropriate other insurance indicator or Medicare disclaimer code.</li> <li>• A Timely Filing Appeals Request form according to normal timely filing appeals procedures.</li> <li>• The Provider-Based Billing Summary.</li> <li>• Documentation of the denial, including any of the following: <ul style="list-style-type: none"> <li>◦ Remittance information from the other health insurance source.</li> <li>◦ A written statement from the other health insurance source identifying the reason for denial.</li> <li>◦ A letter from the other health insurance source indicating a policy termination date that proves that the other health insurance source paid the member.</li> <li>◦ A copy of the insurance card or other documentation from the other health insurance source that indicates that the policy provides limited coverage such as pharmacy, dental, or Medicare supplemental coverage only.</li> </ul> </li> <li>• The DOS, other health insurance source, billed amount, and procedure code indicated on the documentation must match the information on the Provider-Based Billing Summary.</li> </ul> | <p>ForwardHealth<br/>Timely Filing<br/>Ste 50<br/>313 Blettner Blvd<br/>Madison WI 53784</p>  |
| <p>The commercial health insurance carrier does not respond to an initial and follow-up provider-based</p>          | <ul style="list-style-type: none"> <li>• A claim (do <i>not</i> use the prepared provider-based billing claim).</li> <li>• The appropriate other insurance indicator.</li> </ul>  | <p>ForwardHealth<br/>Timely Filing<br/>Ste 50</p>   |

|                |  |                                       |
|----------------|--|---------------------------------------|
| billing claim. | <ul style="list-style-type: none"> <li>• A Timely Filing Appeals Request form according to normal timely filing appeals procedures.</li> </ul> | 313 Blettner Blvd<br>Madison WI 53784 |
|----------------|--|---------------------------------------|

Topic #662

## Responding to ForwardHealth Within 120 Days

Within 120 days of the date on the Provider-Based Billing Summary, the Provider-Based Billing Unit must receive documentation verifying that one of the following occurred:

- The provider discovers through the EVS (Enrollment Verification System) that ForwardHealth has removed or ended the other health insurance coverage from the member's file.
- The provider verifies that the member's other coverage information reported by ForwardHealth is invalid.
- The other health insurance source reimbursed or partially reimbursed the provider-based billing claim.
- The other health insurance source denied the provider-based billing claim.
- The other health insurance source failed to respond to an initial *and* follow-up provider-based billing claim.

When responding to ForwardHealth within 120 days, providers are required to submit the required documentation to the appropriate address as indicated in the following table. If the provider's response to ForwardHealth does not include all of the required documentation, the information will be returned to the provider. The provider is required to send the complete information within the original 120-day limit.

| Scenario   | Documentation Requirement  | Submission Address  |
|--|--|---|
| The provider discovers through the EVS that ForwardHealth has removed or ended the other health insurance coverage from the member's file. | <ul style="list-style-type: none"> <li>• The Provider-Based Billing Summary.</li> <li>• Indication that the EVS no longer reports the member's other coverage.</li> </ul>  | ForwardHealth<br>Provider-Based Billing<br>PO Box 6220<br>Madison WI 53716-0220<br>Fax (608) 221-4567 |
| The provider discovers that the member's other coverage information (i.e., enrollment dates) reported by the EVS is invalid.               | <ul style="list-style-type: none"> <li>• The Provider-Based Billing Summary.</li> <li>• One of the following: <ul style="list-style-type: none"> <li>◦ The name of the person with whom the provider spoke and the member's correct other coverage information.</li> <li>◦ A printed page from an enrollment Web site containing the member's correct other coverage information.</li> </ul> </li> </ul>   | ForwardHealth<br>Provider-Based Billing<br>PO Box 6220<br>Madison WI 53716-0220<br>Fax (608) 221-4567 |
| The other health insurance source reimburses or partially reimburses the provider-based billing claim.                                     | <ul style="list-style-type: none"> <li>• The Provider-Based Billing Summary.</li> <li>• A copy of the remittance information received from the other health insurance source.</li> <li>• The DOS (date of service), other health insurance source, billed amount, and procedure code indicated on the other insurer's remittance information must match the information on the Provider-Based Billing Summary.</li> </ul> <p><i>Note:</i> In this situation, ForwardHealth will initiate an adjustment if the amount of the other health insurance payment does not exceed the allowed amount (even though an adjustment request should not be submitted). However, providers (except nursing home and hospital providers) may issue a cash refund. Providers who choose this option should include a refund check but should not use the Claim Refund</p> | ForwardHealth<br>Provider-Based Billing<br>PO Box 6220<br>Madison WI 53716-0220<br>Fax (608) 221-4567 |

|  |   |   |
|--|---|---|
|  | form.   |   |
| The other health insurance source denies the provider-based billing claim.   | <ul style="list-style-type: none"> <li>• The Provider-Based Billing Summary.</li> <li>• Documentation of the denial, including any of the following: <ul style="list-style-type: none"> <li>◦ Remittance information from the other health insurance source.</li> <li>◦ A letter from the other health insurance source indicating a policy termination date that precedes the DOS.</li> <li>◦ Documentation indicating that the other health insurance source paid the member.</li> <li>◦ A copy of the insurance card or other documentation from the other health insurance source that indicates the policy provides limited coverage such as pharmacy, dental, or Medicare supplemental coverage.</li> </ul> </li> <li>• The DOS, other health insurance source, billed amount, and procedure code indicated on the documentation must match the information on the Provider-Based Billing Summary.</li> </ul> | ForwardHealth<br>Provider-Based Billing<br>PO Box 6220<br>Madison WI 53716-0220<br>Fax (608) 221-4567 |
| The other health insurance source fails to respond to the initial <i>and</i> follow-up provider-based billing claim. | <ul style="list-style-type: none"> <li>• The Provider-Based Billing Summary.</li> <li>• Indication that no response was received by the other health insurance source.</li> <li>• Indication of the dates that the initial and follow-up provider-based billing claims were submitted to the other health insurance source.</li> </ul>  | ForwardHealth<br>Provider-Based Billing<br>PO Box 6220<br>Madison WI 53716-0220<br>Fax (608) 221-4567 |

Topic #663

## Submitting Provider-Based Billing Claims

For each provider-based billing claim, the provider is required to send a claim to the appropriate other health insurance source. The provider may use the claim prepared by ForwardHealth or produce his or her own claim. If the other health insurance source requires information beyond what is indicated on the prepared claim, the provider should add that information to the claim. The providers should also attach additional documentation (e.g., Medicare's remittance information) if required by the other health insurance source.

## Reimbursement for Services Provided for Accident Victims

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Topic #657

### Billing Options

Providers may choose to seek payment from either of the following:

- Civil liabilities (e.g., injuries from an automobile accident).
- Worker's compensation.

However, as stated in [DHS 106.03\(8\)](#), Wis. Admin. Code, BadgerCare Plus and Wisconsin Medicaid will not reimburse providers if they receive payment from either of these sources.

The provider may choose a different option for each DOS (date of service). For example, the decision to submit one claim to ForwardHealth does not mean that all claims pertaining to the member's accident must be submitted to ForwardHealth.

Topic #829

### Points of Consideration

Providers should consider the time and costs involved when choosing whether to submit a claim to ForwardHealth or seek payment from a settlement.

#### Time

Providers are not required to seek payment from worker's compensation or civil liabilities, rather than seeking reimbursement from BadgerCare Plus or Wisconsin Medicaid, because of the time involved to settle these cases. While some worker's compensation cases and certain civil liability cases may be settled quickly, others may take several years before settlement is reached.

#### Costs

Providers may receive more than the allowed amount from the settlement; however, in some cases the settlement may not be enough to cover all costs involved.

Topic #826

### Seeking Payment from Settlement

After choosing to seek payment from a settlement, the provider may *instead* submit the claim to ForwardHealth as long as it is submitted before the claims submission deadline. For example, the provider may instead choose to submit the claim to ForwardHealth because no reimbursement was received from the liability settlement or because a settlement has not yet been reached.

Topic #827



## Submitting Claims to ForwardHealth

If the provider chooses to submit a claim to ForwardHealth, he or she may not seek further payment for that claim in any liability settlement that may follow. Once a claim is submitted to ForwardHealth, the provider may not decide to seek reimbursement for that claim in a liability settlement. Refunding payment and then seeking payment from a settlement may constitute a felony. If a settlement occurs, ForwardHealth retains the sole right to recover medical costs.

Providers are required to indicate when services are provided to an accident victim on claims submitted to ForwardHealth. If the member has other health insurance coverage, the provider is required to exhaust the other health insurance sources before submitting the claim to ForwardHealth.

# Covered and Noncovered Services

3

Archive Date:03/01/2013

## Covered and Noncovered Services:Codes

Topic #830

### Diagnosis Codes

All diagnosis codes indicated on claims (and PA (prior authorization) requests when applicable) must be the most specific ICD-9-CM (International Classification of Diseases, Ninth Revision, Clinical Modification) diagnosis code. Providers are responsible for keeping current with diagnosis code changes. Etiology and manifestation codes may not be used as a primary diagnosis.

The required use of valid diagnosis codes includes the use of the most specific diagnosis code. Valid, most specific diagnosis codes may have up to five digits. Claims submitted with three- or four-digit codes where four- and five-digit codes are available may be denied.

Topic #2753

### Modifiers

Allowable modifiers for PT (physical therapy), OT (occupational therapy), and SLP (speech and language pathology) services are listed in the following table.

| Therapy Discipline | Modifier | Description   | Notes  |
|--------------------|----------|---|--|
| OT                 | GO       | Services delivered personally by an occupational therapist or under an outpatient OT POC (plan of care) | "GO" should only be indicated when submitting PA requests or claims for services rendered by a licensed occupational therapist, a certified OT assistant, or an OT student. (All relevant supervision requirements must be met for services rendered by assistants or students.)                     |
| PT                 | GP       | Services delivered personally by a physical therapist or under an outpatient PT POC                     | "GP" should only be indicated when submitting PA requests or claims for services rendered by a licensed physical therapist, a physical therapist assistant, a PT aide, or a PT student. (All relevant supervision requirements must be met for services rendered by assistants, aides, or students.) |
| SLP                | GN       | Services delivered personally by a speech and language pathologist or under an outpatient SLP POC       | "GN" should only be indicated when submitting PA requests or claims for services rendered by a licensed speech and language pathologist, an SLP provider assistant, or an SLP student. (All relevant supervision requirements must be met for services rendered by assistants or students.)          |
| PT and OT          | TF       | Intermediate level of care  | "TF" should be indicated when submitting claims for services provided by physical therapist assistants or certified OT assistants under general supervision. "TF" should not be indicated on PA (prior authorization) requests.  |

|                 |    |   |  |
|-----------------|----|---|--|
| PT, OT, and SLP | TL | Early intervention/IFSP (Individualized Family Services Plan) | "TL" should be indicated when submitting claims for Birth to 3 services provided in the natural environment of a Birth to 3 member. "TL" should not be indicated on PA requests. |
|-----------------|----|---|--|

Providers are required to indicate modifier "TL" when submitting claims for natural environment-enhanced reimbursement when providing services to members in the Birth to 3 Program. Providers are required to indicate the "TL" modifier for each detail line that they wish the reimbursement to be considered.

Providers are required to indicate modifier "TF" when submitting claims for services provided by an assistant therapist.

Topic #2796

## Occupational Therapy Birth to 3 Procedure Codes

Allowable OT (occupational therapy) Birth to 3 services are identified by the CPT (Current Procedural Terminology) procedure codes listed in the following table.

*Note:* All codes listed in this chart, if billed with an applicable place of service code, are eligible for natural environment enhanced reimbursement.

| Procedure Code | Description  |
|----------------|--|
| 97003          | Occupational therapy evaluation  |
| 97004          | Occupational therapy re-evaluation   |
| 97110          | Therapeutic procedure, one or more areas, each 15 minutes; therapeutic exercises to develop strength and endurance, range of motion and flexibility  |
| 97112          | Neuromuscular reeducation of movement, balance, coordination, kinesthetic sense, posture, and/or proprioception for sitting and/or standing activities   |
| 97140          | Manual therapy techniques (eg, mobilization/manipulation, manual lymphatic drainage, manual traction), one or more regions, each 15 minutes  |
| 97150          | Therapeutic procedure(s), group (2 or more individuals) (Report 97150 for each member of the group) (Group therapy procedures involve constant attendance of the physician or therapist, but by definition do not require one-on-one member contact by the physician or therapist) |
| 97530          | Therapeutic activities, direct (one-on-one) member contact by the provider (use of dynamic activities to improve functional performance), each 15 minutes  |
| 97532          | Development of cognitive skills to improve attention, memory, problem solving, (includes compensatory training), direct (one-on-one) member contact by the provider, each 15 minutes   |
| 97533          | Sensory integrative techniques to enhance sensory processing and promote adaptive responses to environmental demands, direct (one-on-one) member contact by the provider, each 15 minutes  |
| 97535          | Self-care/home management training (eg, activities of daily living (ADL) and compensatory training, meal preparation, safety procedures, and instructions in use of assistive technology devices/adaptive equipment) direct one-on-one contact by provider, each 15 minutes        |

Topic #2793

## Occupational Therapy Procedure Codes

Covered OT (occupational therapy) services are identified by the allowable CPT (Current Procedural Terminology) and HCPCS (Healthcare Common Procedure Coding System) procedures codes listed in the following table.

*Note:* Procedure codes for many OT services are defined as 15 minutes. One unit of these codes = 15 minutes. If less than 15 minutes is used, bill in decimals. For example, 7.5 minutes = .5 units. All other procedure codes for OT services do not have a time increment indicated in their description. For these procedure codes, a quantity of "1" indicates a complete service.

| <b>Evaluations</b>    |                                    |                      |  |
|-----------------------|------------------------------------|----------------------|--|
| <b>Procedure Code</b> | <b>Description</b>                 | <b>Limit Per Day</b> | <b>Service May Be Provided by a Certified Occupational Therapy Assistant</b> |
| 97003                 | Occupational therapy evaluation    | 1 per day            | No   |
| 97004                 | Occupational therapy re-evaluation | 1 per day            | No   |

| <b>Therapeutic Procedures</b> |   |                      |  |
|-------------------------------|---|----------------------|--|
| <b>Procedure Code</b>         | <b>Description</b>  | <b>Limit Per Day</b> | <b>Service May Be Provided by a Certified Occupational Therapy Assistant</b> |
| 97110                         | Therapeutic procedure, one or more areas, each 15 minutes; therapeutic exercises to develop strength and endurance, range of motion and flexibility   | Not applicable       | Yes  |
| 97112                         | neuromuscular reeducation of movement, balance, coordination, kinesthetic sense, posture, and/or proprioception for sitting and/or standing activities  | Not applicable       | Yes  |
| 97124                         | massage, including effleurage, petrissage and/or tapotement (stroking, compression, percussion)   | Not applicable       | Yes  |
| 97139                         | Unlisted therapeutic procedure (specify)  | Not applicable       | Yes  |
| 97140                         | Manual therapy techniques (eg, mobilization/manipulation, manual lymphatic drainage, manual traction), one or more regions, each 15 minutes   | Not applicable       | When appropriate*  |
| 97150                         | Therapeutic procedure(s), group (2 or more individuals)   | Not applicable       | Yes  |
| 97530                         | Therapeutic activities, direct (one-on-one) member contact by the provider (use of dynamic activities to improve functional performance), each 15 minutes   | Not applicable       | Yes  |
| 97532                         | Development of cognitive skills to improve attention, memory, problem solving, (includes compensatory training), direct (one-on-one) member contact by the provider, each 15 minutes  | Not applicable       | Yes  |
| 97533                         | Sensory integrative techniques to enhance sensory processing and promote adaptive responses to environmental demands, direct (one-on-one) member contact by the provider, each 15 minutes   | Not applicable       | Yes  |
| 97535                         | Self-care/home management training (eg, activities of daily living (ADL) and compensatory training, meal preparation, safety procedures, and instructions in use of assistive technology devices/adaptive equipment) direct one-on-one contact by provider, | Not applicable       | Yes  |

|       |   |                |     |
|-------|---|----------------|-----|
|       | each 15 minutes   |                |     |
| 97542 | Wheelchair management (eg, assessment, fitting, training), each 15 minutes  | Not applicable | Yes |
| 97597 | Removal of devitalized tissue from wound(s), selective debridement, without anesthesia (eg, high pressure waterjet with/without suction, sharp selective debridement with scissors, scalpel and forceps), with or without topical application(s), wound assessment, and instruction(s) for ongoing care, may include use of a whirlpool, per session; total wound(s) surface area less than or equal to 20 square centimeters | 1 per day      | No  |
| 97598 | Total wound(s) surface area greater than 20 square centimeters  | 1 per day      | No  |
| 97761 | Prosthetic training, upper and/or lower extremity(s), each 15 minutes   | Not applicable | Yes |

| <b>Modalities</b>     |  |                        |  |
|-----------------------|--|------------------------|--|
| <b>Procedure Code</b> | <b>Description</b>   | <b>Limit Per Day**</b> | <b>Service May Be Provided by a Certified Occupational Therapy Assistant</b> |
| 90901                 | Biofeedback training by any modality   | Not applicable         | Yes  |
| 97016                 | Application of modality to one or more areas; vasopneumatic devices                              | 1 per day              | Yes  |
| 97018                 | paraffin bath  | 1 per day              | Yes  |
| 97022                 | whirlpool  | 1 per day              | Yes  |
| 97032                 | Application of a modality to one or more areas; electrical stimulation (manual), each 15 minutes | Not applicable         | Yes  |
| 97033                 | iontophoresis, each 15 minutes   | Not applicable         | Yes  |
| 97034                 | contrast baths, each 15 minutes  | Not applicable         | Yes  |
| 97035                 | ultrasound, each 15 minutes  | Not applicable         | Yes  |

\* When provided by certified occupational therapy assistants, Medicaid reimbursement is not available for myofascial release/soft tissue mobilization for one or more regions or joint mobilization for one or more areas (peripheral or spinal).

\*\* The same modality may not be reimbursed as a PT (physical therapy) service and an OT service on the same date of service for the same member.

Topic #2797

## Physical Therapy Birth to 3 Procedure Codes

Allowable PT (physical therapy) Birth to 3 services are identified by the CPT (Current Procedural Terminology) procedure codes listed in the following table.

*Note:* All codes listed in this chart, if billed with an applicable place of service code, are eligible for natural environment enhanced reimbursement.

| <b>Procedure Code</b> | <b>Description</b>          |
|-----------------------|-----------------------------|
| 97001                 | Physical therapy evaluation |

|       |   |
|-------|---|
| 97002 | Physical therapy re-evaluation  |
| 97110 | Therapeutic procedure, one or more areas, each 15 minutes; therapeutic exercises to develop strength and endurance, range of motion and flexibility   |
| 97112 | neuromuscular reeducation of movement, balance, coordination, kinesthetic sense, posture, and/or proprioception for sitting and/or standing activities  |
| 97113 | aquatic therapy with therapeutic exercises  |
| 97116 | gait training (includes stair climbing)   |
| 97140 | Manual therapy techniques (eg, mobilization/manipulation, manual lymphatic drainage, manual traction), one or more regions, each 15 minutes   |
| 97530 | Therapeutic activities, direct (one-on-one) member contact by the provider (use of dynamic activities to improve functional performance), each 15 minutes   |
| 97533 | Sensory integrative techniques to enhance sensory processing and promote adaptive responses to environmental demands, direct (one-on-one) member contact by the provider, each 15 minutes   |
| 97535 | Self-care/home management training (eg, activities of daily living (ADL) and compensatory training, meal preparation, safety procedures, and instructions in use of assistive technology devices/adaptive equipment) direct one-on-one contact by the provider, each 15 minutes |

Topic #2792

## Physical Therapy Procedure Codes

Covered PT (physical therapy) services are identified by the allowable CPT (Current Procedural Terminology) and HCPCS (Healthcare Common Procedure Coding System) procedure codes listed in the following table.

*Notes:* Procedure codes for many PT services are defined as 15 minutes. One unit of these codes = 15 minutes. If less than 15 minutes is used, bill in decimals. For example, 7.5 minutes = .5 units. All other procedure codes for PT services do not have a time increment indicated in their description. For these procedure codes, a quantity of "1" indicates a complete service.

| Evaluations    |                                |               |   |
|----------------|--------------------------------|---------------|---|
| Procedure Code | Description                    | Limit Per Day | Service May Be Provided by a Physical Therapist Assistant |
| 97001          | Physical therapy evaluation    | 1 per day     | No  |
| 97002          | Physical therapy re-evaluation | 1 per day     | No  |

| Modalities     |  |                |   |
|----------------|--|----------------|---|
| Procedure Code | Description  | Limit Per Day* | Service May Be Provided by a Physical Therapist Assistant |
| G0281          | Electrical stimulation, (unattended), to one or more areas, for chronic stage iii and stage iv pressure ulcers, arterial ulcers, diabetic ulcers, and venous stasis ulcers not demonstrating measurable signs of healing after 30 days of conventional care, as part of a therapy plan of care | 1 per day      | Yes   |

|       |  |                |     |
|-------|--|----------------|-----|
| G0282 | Electrical stimulation, (unattended), to one or more areas, for wound care other than described in G0281                             | 1 per day      | Yes |
| G0283 | Electrical stimulation (unattended), to one or more areas for indication(s) other than wound care, as part of a therapy plan of care | 1 per day      | Yes |
| 90901 | Biofeedback training by any modality   | Not applicable | Yes |
| 97012 | Application of a modality to one or more areas; traction, mechanical   | 1 per day      | Yes |
| 97016 | vasopneumatic devices  | 1 per day      | Yes |
| 97018 | paraffin bath  | 1 per day      | Yes |
| 97022 | whirlpool  | 1 per day      | Yes |
| 97024 | diathermy (eg, microwave)  | 1 per day      | Yes |
| 97026 | infrared   | 1 per day      | Yes |
| 97028 | ultraviolet  | 1 per day      | Yes |
| 97032 | Application of a modality to one or more areas; electrical stimulation (manual), each 15 minutes                                     | Not applicable | Yes |
| 97033 | iontophoresis, each 15 minutes   | Not applicable | Yes |
| 97034 | contrast baths, each 15 minutes  | Not applicable | Yes |
| 97035 | ultrasound, each 15 minutes  | Not applicable | Yes |
| 97036 | Hubbard tank, each 15 minutes  | Not applicable | Yes |
| 97039 | Unlisted modality (specify type and time if constant attendance)   | 1 per day      | Yes |

| <b>Therapeutic Procedures</b> |   |                      |  |
|-------------------------------|---|----------------------|--|
| <b>Procedure Code</b>         | <b>Description</b>  | <b>Limit Per Day</b> | <b>Service May Be Provided by a Physical Therapist Assistant</b> |
| 97110                         | Therapeutic procedure, one or more areas, each 15 minutes; therapeutic exercises to develop strength and endurance, range of motion and flexibility       | Not applicable       | Yes  |
| 97112                         | neuromuscular reeducation of movement, balance, coordination, kinesthetic sense, posture, and/or proprioception for sitting and/or standing activities    | Not applicable       | Yes  |
| 97113                         | aquatic therapy with therapeutic exercises  | Not applicable       | Yes  |
| 97116                         | gait training (includes stair climbing)   | Not applicable       | Yes  |
| 97124                         | massage, including effleurage, petrissage and/or tapotement (stroking, compression, percussion)   | Not applicable       | Yes  |
| 97139                         | Unlisted therapeutic procedure (specify)  | Not applicable       | Yes  |
| 97140                         | Manual therapy techniques (eg, mobilization/manipulation, manual lymphatic drainage, manual traction), one or more regions, each 15 minutes               | Not applicable       | When appropriate**   |
| 97530                         | Therapeutic activities, direct (one-on-one) member contact by the provider (use of dynamic activities to improve functional performance), each 15 minutes | Not applicable       | Yes  |
| 97533                         | Sensory integrative techniques to enhance sensory processing and promote adaptive responses to environmental demands, direct (one-on-                     | Not applicable       | Yes  |



|       |   |                |     |
|-------|---|----------------|-----|
|       | one) member contact by the provider, each 15 minutes  |                |     |
| 97535 | Self-care/home management training (eg, activities of daily living (ADL) and compensatory training, meal preparation, safety procedures, and instructions in use of assistive technology devices/adaptive equipment) direct one-on-one contact by provider, each 15 minutes   | Not applicable | Yes |
| 97542 | Wheelchair management (eg, assessment, fitting, training), each 15 minutes  | Not applicable | Yes |
| 97597 | Removal of devitalized tissue from wound(s), selective debridement, without anesthesia (eg, high pressure waterjet with/without suction, sharp selective debridement with scissors, scalpel and forceps), with or without topical application(s), wound assessment, and instruction(s) for ongoing care, may include use of a whirlpool, per session; total wound(s) surface area less than or equal to 20 square centimeters | 1 per day      | No  |
| 97598 | total wound(s) surface area greater than 20 square centimeters  | 1 per day      | No  |
| 97761 | Prosthetic training, upper and/or lower extremity(s), each 15 minutes   | Not applicable | Yes |

#### Other Procedures

| Procedure Code | Description  | Limit Per Day | Service May Be Provided by a Physical Therapist Assistant |
|----------------|--|---------------|---|
| 93797          | Physician services for outpatient cardiac rehabilitation; without continuous ECG monitoring (per session)                                | 1 per day     | No  |
| 93798          | with continuous ECG monitoring (per session)   | 1 per day     | No  |
| 94667          | Manipulation chest wall, such as cupping, percussing, and vibration to facilitate lung function; initial demonstration and/or evaluation | 1 per day     | No  |
| 94668          | subsequent   | 1 per day     | No  |

\* The same modality may not be reimbursed as a PT service and an OT (occupational therapy) service on the same date of service for the same member.

\*\* When provided by enrolled physical therapist assistants, Medicaid reimbursement is not available for myofascial release/soft tissue mobilization for one or more regions or joint mobilization for one or more areas (peripheral or spinal)

Topic #2752

## Place of Service Codes

Allowable POS (place of service) codes for PT (physical therapy), OT (occupational therapy), and SLP (speech and language pathology) are listed in the following table.

| POS Code | Description                                   |
|----------|---|
| 04*      | Homeless Shelter                              |
| 05       | Indian Health Service Free-Standing Facility  |
| 06       | Indian Health Service Provider-Based Facility |
| 07       | Tribal 638 Free-Standing Facility             |

|     |   |
|-----|---|
| 08  | Tribal 638 Provider-Based Facility        |
| 11  | Office                                    |
| 12* | Home                                      |
| 15  | Mobile Unit                               |
| 20  | Urgent Care Facility                      |
| 21  | Inpatient Hospital                        |
| 22  | Outpatient Hospital                       |
| 31  | Skilled Nursing Facility                  |
| 32  | Nursing Facility                          |
| 33  | Custodial Care Facility                   |
| 34  | Hospice                                   |
| 50  | Federally Qualified Health Center         |
| 54  | Facilities for Developmental Disabilities |
| 71  | State or Local Public Health Clinic       |
| 72  | Rural Health Clinic                       |
| 99* | Other Place of Service                    |

\* POS codes "04," "12," and "99" are eligible for the natural environment enhanced reimbursement when providing services to members who participate in the Birth to 3 Program when the natural environment modifier is indicated on the claim.

Topic #2798

## Speech and Language Pathology Birth to 3 Procedure Codes

Allowable SLP (speech and language pathology) Birth to 3 services are identified by the CPT (Current Procedural Terminology) procedure codes listed in the following table.

*Note:* All codes listed in this chart, if billed with an applicable place of service code, are eligible for natural environment enhanced reimbursement. As with Medicare, providers may not submit a claim for services for less than eight minutes. Most procedure codes for speech and language pathology services do not have a time increment indicated in their description. Except as noted above, a quantity of "1" indicates a complete service. The daily service limitation for these codes is one.

| Procedure Code | Description   | Billing Limitations  | Additional Conditions                      |
|----------------|---|--|--|
| 92506          | Evaluation of speech, language, voice, communication, auditory processing, and/or aural rehabilitation status | Cannot use on the same DOS (date of service) as 92507 UC or 96105. | This code is also used for re-evaluation.  |
|                |   |  | Therapy addressing communication/cognitive |

|         |  |   |  |
|---------|--|---|--|
| 92507   | Treatment of speech, language, voice, communication, and/or auditory processing disorder (includes aural rehabilitation); individual           | Cannot use on the same DOS as 92507 UC.                 | impairments and voice prosthetics should use this code. If treatment focus is aural rehabilitation as a result of a cochlear implant, submit a PA (prior authorization) request using the <a href="#">PA/TA (Prior Authorization/Therapy Attachment, F-11008 (07/12))</a> , to request code 92507 UC.  |
| 92508   | group, two or more individuals   |   | Group is limited to two to four individuals.   |
| 92526   | Treatment of swallowing dysfunction and/or oral function for feeding   |   | The member must have an identified physiological swallowing and/or feeding problem. This is to be documented using professional standards of practice such as identifying oral phase, esophageal phase or pharyngeal phase dysphagia, baseline of current swallowing and feeding skills not limited to signs of aspiration, an oral mechanism exam, report of how nutrition is met, current diet restrictions, compensation strategies used, and level of assistance needed. |
| 92597   | Evaluation for use and/or fitting of voice prosthetic device to supplement oral speech   | Cannot use on the same DOS as 96105.                    | This code describes the services to evaluate a patient for the use of a voice prosthetic device (e.g., electrolarynx, tracheostomy-speaking valve). Evaluation of picture communication books, manual picture boards, sign language, the Picture Exchange Communication System, picture cards, gestures, etc., are not included in the reimbursement for this code. Instead, use code 92506.   |
| 92607*  | Evaluation for prescription for speech-generating augmentative and alternative communication device, face-to-face with the patient; first hour | Cannot use on the same DOS as 96105.                    | This code describes the services to evaluate a patient to specify the speech-generating device recommended to meet the member's needs and capacity. This can also be used for re-evaluations. Evaluation of picture communication books, manual picture boards, sign language, the Picture Exchange Communication System, picture cards, gestures, etc., are not included in the reimbursement for this code. Instead, use code 92506.                                       |
| 92608** | each additional 30 minutes   | This code can only be billed in conjunction with 92607. | A maximum of 90 minutes is allowable. The maximum allowable number of units for this service is one unit of 92607 and one unit of 92608.   |
| 92609   | Therapeutic services for the use of speech-generating device, including programming and modification   |   | This code describes the face-to-face services delivered to the member to adapt the device to the member and train him or her in its use.   |
| 92610   | Evaluation of oral and pharyngeal swallowing function  |   |  |

\* The procedure code description defines this code as one hour. One unit of this code equals 1 hour. If less than one hour is used, bill in decimals to the nearest quarter hour. For example, 45 minutes equals .75 units and 30 minutes equals .5 units. If more than one hour of service is provided, up to one unit of code 92608 can be used in combination with this code.

\*\* The procedure code description defines this code as 30 minutes. One unit of this code equals 30 minutes. If less than 30 minutes is used, bill in decimals to the nearest quarter hour. For example, 15 minutes equals .5 units.

Topic #2794

## Speech and Language Pathology Procedure Codes

Allowable SLP (speech and language pathology) services are identified by the allowable CPT (Current Procedural Terminology) procedure codes listed in the following table.

*Note:* All codes listed in this chart, if billed with an applicable place of service code, are eligible for natural environment enhanced reimbursement. Providers may not submit claims for services for less than eight minutes. Most procedure codes for speech and language pathology services do not have a time increment indicated in their description. Except as previously noted, a quantity of "1" indicates a complete service. The daily service limitation for these codes is one.

| Procedure Code | Description  | Billing Limitations                                    | Additional Conditions  |
|----------------|--|--|--|
| 31575          | Laryngoscopy, flexible fiberoptic; diagnostic  |  | Use this code if the speech-language pathologist actually inserts a laryngoscope. Do not use this code if the speech-language pathologist is providing an analysis and does not insert the laryngoscope; instead, use code 92506 or 92610, as appropriate. For treatment, use 92507 or 92526, as appropriate. This service is to be performed according to the ASHA (American Speech-Language-Hearing Association) Code of Ethics and ASHA Training Guidelines for Laryngeal Videoendoscopy/Stroboscopy. |
| 31579          | Laryngoscopy, flexible or rigid fiberoptic, with stroboscopy                             |  | Use this code if the speech-language pathologist actually inserts a laryngoscope. Do not use this code if the speech-language pathologist is providing an analysis and does not insert the laryngoscope; instead, use code 92506 or 92610 as appropriate. This service is to be performed according to the ASHA Code of Ethics and ASHA Training Guidelines for Laryngeal Videoendoscopy/Stroboscopy.  |
| 92506          | Evaluation of speech, language, voice, communication, and/or auditory processing         | Cannot use on the same DOS (date of service) as 96105. | This code is to be used for re-evaluation. Use this code for evaluation of auditory rehabilitation status.   |
| 92507          | Treatment of speech, language, voice, communication, and/or auditory processing disorder |  | This code should be used for therapy services that address communication/cognitive impairments, voice prosthetics, and auditory rehabilitation.  |
| 92507 + UC*    | Treatment of speech, language, voice, communication, and/or auditory processing disorder |  | Use this code for aural rehabilitation following a cochlear implant.   |
| 92508          | group, two or more individuals   |  | "Group speech/language pathology treatment" means the delivery of speech/language pathology treatment procedures limited to the areas of expressive language, receptive language, and hearing/auditory training (auditory training, lip reading, and hearing-aid orientation), in a group setting for up to four BadgerCare Plus members (per <a href="#">DHS 101.03[69]</a> , Wis. Admin. Code).  |

|          |  |   |   |
|----------|--|---|---|
| 92511    | Nasopharyngoscopy with endoscope (separate procedure)  |   | Use this code if the speech-language pathologist actually inserts an endoscope. Do not use this code if the speech-language pathologist is providing an analysis and does not insert the scope; instead, use code 92506 or 92610 as appropriate. Use this code for evaluation of dysphagia or assessment of velopharyngeal insufficiency or incompetence. This service is to be performed according to the ASHA Code of Ethics and ASHA Training Guidelines for Laryngeal Videoscopy/Stroboscopy. |
| 92512    | Nasal function studies (eg, rhinomanometry)  |   | Use this code if completing aerodynamic studies, oral pressure/nasal airflow, flow/flow studies, or pressure/pressure studies.  |
| 92520    | Laryngeal function studies (ie, aerodynamic testing and acoustic testing)  |   | Use this code for laryngeal airflow studies, subglottic air pressure studies, acoustic analysis, EGG (electroglottography) laryngeal resistance.  |
| 92526    | Treatment of swallowing dysfunction and/or oral function for feeding   |   | The member must have an identified physiological swallowing and/or feeding problem. This is to be documented using professional standards of practice such as identifying oral phase, esophageal phase, or pharyngeal phase dysphagia, baseline of current swallowing and feeding skills not limited to signs of aspiration, an oral mechanism exam, report of how nutrition is met, current diet restrictions, compensation strategies used, and level of assistance needed.                     |
| 92597    | Evaluation for use and/or fitting of voice prosthetic device to supplement oral speech   | Cannot use on the same DOS as 96105.                    | This code describes the services to evaluate a member for the use of a voice prosthetic device (e.g., electrolarynx, tracheostomy-speaking valve). Evaluation of picture communication books, manual picture boards, sign language, the Picture Exchange Communication System, picture cards, gestures, etc., are not included in the reimbursement for this code. Instead, use code 92506.   |
| 92607**  | Evaluation for prescription for speech-generating augmentative and alternative communication device, face-to-face with the patient; first hour | Cannot use on the same DOS as 96105.                    | This code describes the services to evaluate a member to specify the speech-generating device recommended to meet the member's needs and capacity. This can also be used for re-evaluations. Evaluation of picture communication books, manual picture boards, sign language, the Picture Exchange Communication System, picture cards, gestures, etc., are not included in the reimbursement for this code. Instead, use code 92506.   |
| 92608*** | each additional 30 minutes (List separately in addition to code for primary procedure)   | This code can be billed only in conjunction with 92607. | The maximum allowable number of units for this service is one unit of 92607 and one unit of 92608 (i.e., a maximum of 90 minutes).  |
| 92609    | Therapeutic services for the use of speech-generating device, including programming and modification   |   | This code describes the face-to-face services delivered to the member to adapt the device to the member and train him or her in its use.  |
| 92610    | Evaluation of oral and pharyngeal swallowing function  |   |   |

|         |  |  |  |
|---------|--|--|--|
| 92611   | Motion fluoroscopic evaluation of swallowing function by cine or video recording   |  | Accompanying a member to a swallow study is not reimbursable. This code involves participation and interpretation of results from the dynamic observation of the member swallowing materials of various consistencies. It is observed fluoroscopically and typically recorded on video. The evaluation involves using the information to assess the member's swallowing function and to develop a treatment. |
| 92612   | Flexible fiberoptic endoscopic evaluation of swallowing by cine or video recording;  |  |  |
| 92614   | Flexible fiberoptic endoscopic evaluation, laryngeal sensory testing by cine or video recording;   | Only allowable when used in conjunction with 92612.          |  |
| 92700   | Unlisted otorhinolaryngological service or procedure   |  | PA (prior authorization) is always required to use this code. Use this code when no other CPT code description appropriately describes the evaluation or treatment.  |
| 96105** | Assessment of aphasia (includes assessment of expressive and receptive speech and language function, language comprehension, speech production ability, reading, spelling, writing, eg, by Boston Diagnostic Aphasia Examination) with interpretation and report, per hour | Cannot use on the same DOS as 92506, 92597, 92607, or 92608. |  |

\* Use 92507 with modifier "UC" for therapy following a cochlear implant.

\*\* The procedure code description defines this code as one hour. One unit of this code = 1 hour. If less than one hour is used, bill in decimals to the nearest quarter hour. For example, 45 minutes = .75 units and 30 minutes = .5 units. If more than one hour of service is provided, up to one unit of code 92608 can be used in combination with this code.

\*\*\* The procedure code description defines this code as 30 minutes. One unit of this code = 30 minutes. If less than 30 minutes is used, bill in decimals to the nearest quarter hour. For example, 15 minutes = .5 units.

Topic #2751

## Unit of Service

Some procedure code descriptions do not specify a unit of time. When an amount of time is not specified, the entire service, for each DOS (date of service), equals one unit. For example, descriptions for 94667 (for PT (physical therapy) and OT (occupational therapy) services) and 92612 (for SLP (speech and language pathology) services) do not specify the duration of the service; therefore, one unit indicates the complete service.

Some procedure code descriptions specify a unit of time. When an amount of time is specified, that amount of time equals one unit. For example, the description for 97032 (for PT and OT services) indicates "each 15 minutes;" therefore, 15 minutes are equal to one unit. The description for 92607 (for SLP services) indicates "first hour;" therefore, one hour is equal to one unit.

In addition, part of a unit may be indicated by using a number with a decimal point. For example, in the case of 97140, 7.5 minutes are equal to .5 units. In the case of 92607, 30 minutes are equal to .5 units. (As with Medicare, *SLP* providers may not submit a claim for services provided for less than eight minutes.)

Topic #643

## Unlisted Procedure Codes

According to the HCPCS (Healthcare Common Procedure Coding System) code book, if a service is provided that is not accurately described by other HCPCS CPT (Current Procedural Terminology) procedure codes, the service should be reported using an unlisted procedure code.

Before considering using an unlisted, or NOC (not otherwise classified), procedure code, a provider should determine if there is another more specific code that could be indicated to describe the procedure or service being performed/provided. If there is no more specific code available, the provider is required to submit the appropriate documentation, which could include a PA (prior authorization) request, to justify use of the unlisted procedure code and to describe the procedure or service rendered. Submitting the proper documentation, which could include a PA request, may result in more timely claims processing.

Unlisted procedure codes should not be used to request adjusted reimbursement for a procedure for which there is a more specific code available.

### Unlisted Codes That Do Not Require Prior Authorization or Additional Supporting Documentation

For a limited group of unlisted procedure codes, ForwardHealth has established specific policies for their use and associated reimbursement. These codes do not require PA or additional documentation to be submitted with the claim. Providers should refer to their service-specific area of the Online Handbook on the ForwardHealth Portal for details about these unlisted codes.

For most unlisted codes, ForwardHealth requires additional documentation.

### Unlisted Codes That Require Prior Authorization

Certain unlisted procedure codes require PA. Providers should follow their service-specific PA instructions and documentation requirements for requesting PA. For a list of procedure codes for which ForwardHealth requires PA, refer to the service-specific interactive [maximum allowable fee schedules](#).

In addition to a properly completed PA request, documentation submitted on the service-specific PA attachment or as additional supporting documentation with the PA request should provide the following information:

- Specifically identify or describe the name of the procedure/service being performed or billed under the unlisted code.
- List/justify why other codes are not appropriate.
- Include only relevant documentation.
- Include all required clinical/supporting documentation.

For most situations, once the provider has an approved PA request for the unlisted procedure code, there is no need to submit additional documentation along with the claim.

### Unlisted Codes That Do Not Require Prior Authorization

If an unlisted procedure code does not require PA, documentation submitted with the claim to justify use of the unlisted code and

to describe the procedure/service rendered must be sufficient to allow ForwardHealth to determine the nature and scope of the procedure and to determine whether or not the procedure is covered and was medically necessary, as defined in Wisconsin Administrative Code.

The documentation submitted should provide the following information related to the unlisted code:

- Specifically identify or describe the name of the procedure/service being performed or billed under the unlisted code.
- List/justify why other codes are not appropriate.
- Include only relevant documentation.

## **How to Submit Claims and Related Documentation**

Claims including an unlisted procedure code and supporting documentation may be submitted to ForwardHealth in the following ways:

- On paper with supporting information/description included in Element 19 of the 1500 Health Insurance Claim Form.
- On paper with supporting documentation submitted on paper. This option should be used if Element 19 does not allow enough space for the description or when billing multiple unlisted procedure codes. Providers should indicate "See Attachment" in Element 19 of the paper claim and send the supporting documentation along with the paper claim.
- Electronically, either using DDE (Direct Data Entry) through the ForwardHealth Portal, PES (Provider Electronic Solutions) transactions, or 837 Health Care Claim electronic transactions, with supporting documentation included electronically in the Notes field. The Notes field is limited to 80 characters.
- Electronically with an indication that supporting documentation will be submitted separately on paper. This option should be used if the Notes field does not allow enough space for the description or when billing multiple unlisted procedure codes. Providers should indicate "See Attachment" in the Notes field of the electronic transaction and submit the supporting documentation on paper.
- Upload claim attachments via the secure Provider area of the Portal.



## Covered Services and Requirements

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Topic #2750

### A Comprehensive Overview

Covered PT (physical therapy), OT (occupational therapy), and SLP (speech and language pathology) services are identified by the [PT](#), [OT](#), and [SLP](#) procedure codes compiled by BadgerCare Plus.

The [DME \(durable medical equipment\) and DMS \(disposable medical supplies\)](#) indices may be referenced for DME and DMS that may be provided by PT, OT, and SLP providers.

Providers may refer to the DME and DMS service areas for more information about policy, PA (prior authorization) requirements, BadgerCare Plus Benchmark Plan service limitations, and claims submission instruction for DME/DMS services.

Topic #9377

### Basic Plan Covered Therapy Services

The BadgerCare Plus Basic Plan covers the same PT (physical therapy), OT (occupational therapy), and SLP (speech and language pathology) services as the BadgerCare Plus Standard Plan. Prior Authorization is not required for therapy services covered under the Basic Plan.

#### Service Limitations for the Basic Plan

The Basic Plan covers up to 10 visits in each therapy discipline (PT, OT, and SLP) per member, per per [enrollment year](#). Cardiac rehabilitation visits are counted towards the 10 visits allowed for PT.

A therapy visit is defined as all therapy services delivered on the same DOS (date of service) by the same rendering provider.

Therapy visits in any discipline that exceed the Basic Plan service limitation are considered noncovered.

Topic #9317

### Basic Plan Enrollment Year

The BadgerCare Plus Basic Plan enrollment year is the time period used to determine service limitations for members in the Basic Plan.

The Basic Plan enrollment year is defined as the continuous 12-month period beginning on the first day of enrollment (the first day of the month) in the Basic Plan and ending on the last day of the 12th full calendar month.

When a member exceeds his or her service limitations, the service is considered noncovered and the member is responsible for payment of the service.

Topic #4323

## Benchmark Plan Covered Therapy Services

PT (physical therapy), OT (occupational therapy), and SLP (speech-language pathology) services covered under the BadgerCare Plus Benchmark Plan are the same as those covered under the BadgerCare Plus Standard Plan. PA ( ) is not required for therapy services covered under the Benchmark Plan.

### Service Limitations

The Benchmark Plan covers up to 20 visits in each therapy discipline (PT, OT, and SLP) per member per enrollment year.

When these services are provided in schools, whether or not the services are reimbursed under the SBS (School-Based Services) benefit, they do not count toward the Benchmark Plan service limitation of 20 visits per enrollment year for each therapy discipline.

### Cardiac Rehabilitation

The Benchmark Plan also covers up to 36 visits per member per enrollment year for cardiac rehabilitation provided by a physical therapist. This service corresponds with CPT (Current Procedural Terminology) procedure codes 93797 (Physician services for outpatient cardiac rehabilitation; without continuous ECG monitoring [per session]) and 93798 (Physician services for outpatient cardiac rehabilitation; with continuous ECG monitoring [per session]). The cardiac rehabilitation visits will not be deducted from the 20 PT visits.

### Bone-Anchored Hearing Aid and Cochlear Implant Surgeries

The Benchmark Plan covers up to a maximum of 60 SLP therapy service visits over a 20-week period following bone-anchored hearing aid or cochlear implant surgery for members 17 years of age and younger. These visits do not count toward the Benchmark Plan service limitation of 20 visits per enrollment year for each therapy discipline.

A therapy visit is defined as all therapy services delivered on the same DOS (date of service) by the same rendering provider.

Therapy visits in any discipline that exceed the Benchmark Plan service limitations are considered noncovered.

Topic #5497

## Benchmark Plan Enrollment Year

Under the BadgerCare Plus Benchmark Plan, an enrollment year is defined as the continuous 12-month period beginning the first day of the calendar month in which a member is enrolled in the Benchmark Plan and ending on the last day of the 12th calendar month.

For example, a member completes her BadgerCare Plus application materials by September 25, 2008. During the month of October, the DHS (Department of Health Services) reviews the application materials and determines that the member is eligible for the Benchmark Plan effective September 1, 2008, the first day of the calendar month that the application materials were completed; however, the enrollment year for this member will not begin until October 1, 2008, the first day of the calendar month in which the DHS actively enrolled the member in the Benchmark Plan. The Benchmark Plan enrollment year for this member is defined as October 1, 2008, through September 30, 2009. Services received after eligibility is established and before the enrollment year begins are covered under the Benchmark Plan but do not count toward the service limitations.

Subsequent enrollment years begin on the first day of the calendar month immediately following the end of the previous enrollment year, if there is no coverage gap. If there is a coverage gap for more than one day, the enrollment year will reset to begin on the first day of the month in which the DHS re-enrolls the member into the Benchmark Plan.

If a member switches from the Benchmark Plan to the BadgerCare Plus Standard Plan, the Benchmark Plan enrollment year does not reset. For example, a member's enrollment year under the Benchmark Plan begins March 1, 2008. During the third month, the member's income status changes and she is now eligible for the Standard Plan effective June 1, 2008. During August, the DHS determines that the member is no longer eligible for the Standard Plan and effective September 1, 2008, the member returns to the Benchmark Plan. Since there is not a gap in coverage, the initial Benchmark Plan enrollment year is still active. The member must adhere to limits for services received while covered under the Benchmark Plan during the enrollment year period March 1, 2008, through February 28, 2009.

The Benchmark Plan enrollment year is the time period used to determine service limitations for members in the Benchmark Plan. Services received while covered under the Standard Plan do not count toward the enrollment year service limitations in the Benchmark Plan and vice versa. If a member switches between the two plans during one enrollment year, service limitations will accumulate separately under each plan.

Topic #5297

## Core Plan Covered Therapy Services

The BadgerCare Plus Core Plan covers the same PT (physical therapy), OT (occupational therapy), and SLP (speech and language pathology) services as the BadgerCare Plus Standard Plan. Prior Authorization is not required for therapy services provided under the Core Plan.

### Service Limitations for the Core Plan

The Core Plan covers up to 20 visits in each therapy discipline (PT, OT, and SLP) per member per [enrollment year](#). Cardiac rehabilitation visits are counted under the 20 visits allowed for PT.

A therapy visit is defined as all therapy services delivered on the same DOS (date of service) by the same rendering provider.

Therapy visits in any discipline that exceed the Core Plan service limitations are considered noncovered.

Topic #5317

## Core Plan Enrollment Year

The BadgerCare Plus Core Plan enrollment year is the time period used to determine service limitations for members in the Core Plan. Services received while covered under the BadgerCare Plus Standard Plan or the BadgerCare Plus Benchmark Plan do not count toward the enrollment year service limitations in the Core Plan and vice versa.

The Core Plan enrollment year is defined as the continuous 12-month period beginning on the first day of enrollment (either the first or the 15th day of the month) in the Core Plan and ending on the last day of the 12th full calendar month.

The Core Plan enrollment year will reset if there is a gap in coverage for more than a full calendar month. For example, a member's situation changes for a few months and the member is temporarily ineligible for the Core Plan. More than one month later, the member becomes eligible again and re-applies for the Core Plan. When the member's application is approved and Core Plan coverage begins, the Core Plan enrollment year resets. Core Plan service limitations for this member also reset.

When a member exceeds his or her service limitations, the service is considered noncovered and the member is responsible for payment of the service.

## Enrollment Year for Members Switching Between the Core Plan and the Benchmark Plan

Special conditions apply to the enrollment year for members who switch between the Core Plan and the Benchmark Plan.

If a member is in the Core Plan and subsequently becomes eligible for and enrolls in the Benchmark Plan, his or her enrollment year in the Core Plan automatically ends. A new enrollment year under the Core Plan will begin if the member re-enrolls in the Core Plan at a later date.

If a member is in the Benchmark Plan, becomes temporarily eligible for and enrolls in the Core Plan, then switches back into the Benchmark Plan, the enrollment year for the Benchmark Plan will reset if there has been a gap in coverage for more than one full calendar month. If there has not been a gap in coverage for more than one full calendar month, and if the date of re-enrollment in the Benchmark Plan is within the initially established enrollment year dates, the Benchmark Plan enrollment year will not reset.

For example, a member is enrolled in the Benchmark Plan as of July 1, 2009. That member's Benchmark Plan enrollment year is defined as July 1, 2009, through June 30, 2010. The member loses her eligibility for the Benchmark Plan as of September 30, 2009. The member applies for the Core Plan and her enrollment begins on October 15, 2009. (The gap in coverage for this member is less than one full calendar month.) The member becomes ineligible for the Core Plan and the member's enrollment ends on March 31, 2010. The member re-enrolls in the Benchmark Plan, effective April 1, 2010. (The date of re-enrollment in the Benchmark Plan is within the dates of the previous Benchmark Plan enrollment year.) The member's enrollment year under the Benchmark Plan does not reset and is still defined as July 1, 2009 through June 30, 2010.

Topic #2749

## Daily Limitations

For [PT \(physical therapy\)](#), [OT \(occupational therapy\)](#), and [SLP \(speech and language pathology\)](#) procedure codes that specify the unit of time, Wisconsin Medicaid does not reimburse PT, OT, and SLP providers beyond 90 minutes per day unless additional time is requested and approved through an adjustment request to an allowed claim. Services provided beyond this daily limit generally exceed the medically necessary, reasonable, and appropriate duration of PT, OT, and SLP services.

In addition, the same modality may not be reimbursed as a PT service and an OT service on the same DOS (date of service) for the same member.

Many PT, OT, and SLP services may be provided only a limited number of times per day. Daily service limitations are indicated in the PT, OT, and SLP procedure code lists and in the [PT](#), [OT](#), and [OT Birth to 3](#) procedure code lists.

Topic #44

## Definition of Covered Services

A covered service is a service, item, or supply for which reimbursement is available when *all* program requirements are met. [DHS 101.03\(35\)](#) and [107](#), Wis. Admin. Code, contain more information about covered services.

Topic #2748

## Duplicate Services

Duplicate services may not be reimbursed by Wisconsin Medicaid. Wisconsin Medicaid may deny payment when another

provider has a valid PA (prior authorization) for duplicate PT (physical therapy), OT (occupational therapy), and SLP (speech and language pathology) services or when prior payment for duplicate services has been made to another provider.

To avoid potential claim denials resulting from duplicate services, providers are encouraged to request PA when they are unsure whether the member has received, or is currently receiving, PT, OT, or SLP services from another provider.

Topic #2747

## Durable Medical Equipment and Disposable Medical Supplies

Certain DME (durable medical equipment) and DMS (disposable medical supplies) may be provided by PT (physical therapy), OT (occupational therapy), and SLP (speech and language pathology) providers. As with all covered services, DME and DMS must meet all program requirements, which can be found in the DME or DMS service area.

*Note:* Most DMS used when providing a service are considered part of the provider's overhead cost and are not separately reimbursable. For example, gloves used during PT, OT, and SLP sessions are not covered.

For DME and DMS that may be provided by PT, OT, and SLP providers, refer to the [DME and DMS](#) indices.

Topic #85

## Emergencies

Certain program requirements and reimbursement procedures are modified in emergency situations. Emergency services are defined in [DHS 101.03\(52\)](#), Wis. Admin. Code, as "those services that are necessary to prevent the death or serious impairment of the health of the individual." Emergency services are not reimbursed unless they are covered services.

Additional definitions and procedures for emergencies exist in other situations, such as dental and mental health.

Program requirements and reimbursement procedures may be modified in the following ways:

- PA (prior authorization) or other program requirements may be waived in emergency situations.
- [Non-enrolled in-state providers](#) may be reimbursed for emergency services.
- [Non-U.S. citizens](#) may be eligible for covered services in emergency situations.

Topic #2746

## Evaluations

For covered services, ForwardHealth accepts a signed and dated physician prescription for evaluation and treatment as the initial order for evaluation and as the initial POC (plans of care) for PT (physical therapy), OT (occupational therapy), and SLP (speech and language pathology) services. The prescription may be written by the physician or by the provider who makes a written record of the physician's verbal order. If the prescription is for evaluation and treatment, both are reimbursable on the initial DOS (date of service) when both are medically necessary.

If treatment begins on the same DOS as the evaluation, the providing therapist is required to either write or dictate an initial therapy POC by the close of business the day following the evaluation or by the close of business on the therapist's next scheduled work day. The prescribing physician is required to review, sign, and date the initial therapy POC promptly, but no later than 30

calendar days from the date the initial therapy POC was written or dictated.

If the physician wrote the prescription as "evaluation" or "evaluation only," reimbursement for treatment will not be allowable until the therapist writes or dictates a therapy POC and the physician reviews, signs, and dates the POC, or until the therapist has received a physician's verbal order to treat that has been reduced to writing. The prescribing physician is required to review, sign, and date the initial therapy POC promptly, but no later than 30 calendar days from the date the initial therapy POC was committed to writing or dictated.

Evaluations are not reimbursed by ForwardHealth when any of the following are true:

- A screening is sufficient.
- Professional skills of a PT, OT, SLP provider are not required to perform the evaluation.
- The evaluation is completed solely because of a change in one of the following:
  - The member's other health insurance coverage.
  - The PT, OT, or SLP provider's employment status (e.g., business ownership).
- The evaluation is not medically necessary (e.g., an evaluation performed for the purpose of vocational training).
- The PT, OT, or SLP provider reports a change in the member's status, but the POC is not updated to reflect this change.

Topic #2745

## Group Therapy

Group therapy may be reimbursed by Wisconsin Medicaid for OT (occupational therapy) and SLP (speech and language pathology) services but not for PT (physical therapy) services.

A group setting for OT may consist of either of the following:

- Up to six patients supervised by one Medicaid-enrolled occupational therapist.
- Six to 12 patients supervised by two qualified OT staff members, one of whom is a Medicaid-enrolled occupational therapist.

A group setting for SLP may consist of up to four BadgerCare Plus members. SLP group therapy is limited to the areas of expressive language, hearing or auditory training, or receptive language.

Topic #4571

## Information Regarding Evaluations

### Frequently Asked Questions Regarding Evaluations

ForwardHealth covers evaluations for PT (physical therapy), OT (occupational therapy), and SLP (speech language pathology), when all applicable rules and regulations are met. The following questions and answers are offered to assist providers with information regarding BadgerCare Plus coverage of therapy evaluations:

**Q:** Does an evaluation need to be completed before submitting a PA (prior authorization) request?

**A:** Yes. ForwardHealth reviews both the PA request and the written report of the comprehensive evaluation submitted with the PA request to determine if the service is medically necessary. This is an exception to the general rule that PA should be granted before a service is performed.

**Q:** If a therapist has received a prescription to evaluate an individual, why might a PA request for the evaluation be denied?

**A:** ForwardHealth has a specific, legal definition of medical necessity. Although ForwardHealth requires that all services and equipment be prescribed by a physician, the physician's prescription alone does not meet ForwardHealth's specific, legal definition of medical necessity. Refer to [DHS 101.03\(96m\)](#), Wis. Admin. Code for standards applicable to PA requests for therapy services.

The following are examples of evaluations that are not medically necessary. Prior Authorization requests for these evaluations would not be approved for reimbursement by ForwardHealth:

- *A screening could have provided the same conclusions and recommendations as a comprehensive evaluation.*
- *The professional skills of a PT, OT, or SLP provider were not required to perform the evaluation.* The information obtained from the therapy evaluation must justify that a therapist was required to perform the evaluation. The consultant reviewing the PA request may consider one or more of the following questions to determine if the professional skills of a PT, OT, or SLP provider were necessary:
  - What tests or measures were used in the therapy evaluation that led to conclusions that were not known about the member before the evaluation?
  - Why is the therapy evaluation required to be completed? What is the purpose of the evaluation?
  - Do other reports or medical records include the same information about the member?
  - Could the member's medical needs be met, or have they already been met, by a person other than a therapist?
- *The evaluation was completed solely because of a change in one of the following circumstances:*
  - The member's commercial health insurance coverage. ForwardHealth will not reimburse an evaluation when the evaluation was completed because Medicare coverage, or coverage from any other pay source, has ended or changed.
  - The PT, OT, or SLP provider's employment status (e.g., business ownership). For example, if a therapist works for an agency and the agency is sold, providers will not be reimbursed because the services are being provided by a new agency when the therapist treating the member remains the same.
- *The reason for performing the evaluation was not medically necessary (e.g., an evaluation performed for the purpose of vocational training).* The requirement and purpose for performance of a service, such as a test or assessment, must be medically necessary, even if the professional skills of a therapist are needed to administer the service. A therapist may have special training or certification that allows the therapist to administer a particular test or assessment, but the need for the member to participate in the testing process must be medically necessary.

If the reason for referral or the requested therapy services is not medically necessary or is noncovered, the evaluation will not be reimbursed. Examples include, but are not limited to, the following:

- Therapy services for the member's participation in general gross motor activities, such as riding a bike or swimming, for the purpose of general health and wellness or weight loss.
- Therapy services for a program that has been declared experimental in nature, such as auditory integration training services.
- Assessments for the purpose of the member's participation in a vocational or recreational program, such as ergonomic evaluations, driving evaluations, or an evaluation to obtain a hunting license. Functional capacity evaluations are not covered by BadgerCare Plus.
- Evaluations performed so that a member is able to participate in a program that would not be covered, such as computer or video training, or treatment interventions that may be considered alternative therapies, such as aquatic programs with marine mammals.
- Evaluations completed solely to meet facility, agency, program, or clinic requirements (e.g., a therapy evaluation completed solely due to member age or at specified intervals of time).
- *The PT, OT, or SLP provider reports a change in the member's status, but the POC is not updated to reflect this change.* When a member is participating in a therapy program, the therapist continually assesses the member's condition and response to treatment interventions. If the member experiences a new illness or injury during the course of therapy, but the new diagnosis or clinical status does not result in significant changes to the therapy POC goals, providers will not be reimbursed for a therapy evaluation.

*Note:* Providers are reminded that a PA request for a therapy evaluation may also be denied for one of the following

clerical reasons. The list includes examples that may be helpful to providers determining reimbursement of a therapy evaluation. Examples are included, but the basic policy reasons for which PA may also be denied are not limited to the following:

- The PA request was not received by ForwardHealth within 14 calendar days of the initial therapy evaluation. An approved PA request may be backdated to the date of the initial therapy evaluation if the PA request is received within the 14-day limit. PA requests for ongoing therapy may not be backdated.
- The evaluation was not completed by a provider who was Medicaid-enrolled on the date of service.
- The evaluation was not complete and comprehensive. If the therapy evaluation is a summary of reports and records from other sources regarding the member's condition and status, the PA request may be denied or returned to the provider.
- The therapy evaluation was duplicative to another service. The standards of medical necessity stated in [DHS 101.03 \(96m\)](#), Wis. Admin. Code, define a duplicative service as not being medically necessary. If a member is receiving other therapy services in another setting or from another provider, the medical need for a second provider must be supported. Pursuant to [DHS 107.03 \(12\)](#), Wis. Admin. Code, a therapy evaluation performed for the purpose of a second opinion is not covered.
- The member was not eligible for BadgerCare Plus on the DOS (date).

**Q:** Does ForwardHealth Plus pay for screenings?

**A:** No. ForwardHealth does not reimburse therapy providers for screening services.

**Q:** Is a therapist able to bill the member if an evaluation is not paid by ForwardHealth?

**A:** A member may request a noncovered service, a covered service for which PA was denied (or modified), or a service that is not covered under the member's limited benefit category. The charge for the service may be collected from the member if the following conditions are met prior to the delivery of that service:

- The member accepts responsibility for payment.
- The provider and member make payment arrangements for the service.

Providers are strongly encouraged to obtain a written statement in advance documenting that the member has accepted responsibility for the payment of the service.

Additionally, the provider may not bill the member if the services are denied because of the provider's negligence to comply with ForwardHealth procedures, such as a PA request that is not received on time to backdate coverage to the date of the evaluation.

Topic #2744

## Initial Spell of Illness

Up to 35 DOS (dates of service) are allowed for each therapy discipline the first time a member requires PT (physical therapy), OT (occupational therapy), or SLP (speech and language pathology) services in his or her lifetime. This is called the member's *initial SOI* (spell of illness). The member's initial SOI does not require PA (prior authorization). However, some [services always require PA](#), even when they are provided during a member's initial SOI.

The initial SOI begins with the first day of evaluation or treatment and ends when the services are no longer required or after the 35 DOS, whichever comes first. The 35 DOS include any treatment days covered by other health insurance sources. If after 35 DOS the member's condition requires additional PT, OT, or SLP services, PA is required.

To receive Medicaid reimbursement, PT, OT, and SLP services provided within the initial 35 treatment days must meet the same medical necessity requirements as PT, OT, and SLP services that require PA.



Therapy services provided through SBS (School-Based Services) are exempt from this policy.

Topic #84

## Medical Necessity

Wisconsin Medicaid reimburses only for services that are medically necessary as defined under [DHS 101.03\(96m\)](#), Wis. Admin. Code. Wisconsin Medicaid may deny or recoup payment if a service fails to meet Medicaid medical necessity requirements.

Topic #86

## Member Payment for Covered Services

Under state and federal laws, a Medicaid-enrolled provider may not collect payment from a member, or authorized person acting on behalf of the member, for covered services even if the services are covered but do not meet program requirements. Denial of a claim by ForwardHealth does not necessarily render a member liable. However, a covered service for which PA (prior authorization) was denied is treated as a noncovered service. (If a member chooses to receive an originally requested service instead of the service approved on a modified PA request, it is also treated as a noncovered service.) If a member requests a covered service for which PA was denied (or modified), the provider may collect payment from the member if [certain conditions](#) are met.

If a provider collects payment from a member, or an authorized person acting on behalf of the member, for a covered service, the provider may be subject to [program sanctions](#) including termination of Medicaid enrollment.

Topic #2743

## Natural Environments

Federal regulations require that providers deliver all Birth to 3 services in the child's [natural environment](#) to the maximum extent possible. Birth to 3 services may be provided in a setting other than a natural environment only when outcomes cannot be satisfactorily achieved in the child's natural environment.

Topic #66

## Program Requirements

For a covered service to meet program requirements, the service must be provided by a qualified Medicaid-enrolled provider to an enrolled member. In addition, the service must meet all applicable program requirements, including, but not limited to, medical necessity, PA (prior authorization), claims submission, prescription, and documentation requirements.

Topic #2742

To receive Medicaid reimbursement for a covered service, all Medicaid requirements must be met. For PT (physical therapy), OT (occupational therapy), and SLP (speech and language pathology) services, the following statements must be true:

- Professional skills of a PT, OT, or SLP provider are required to meet the member's therapy treatment needs.
- Services are cost-effective when compared with other services that meet the member's needs.
- Services are established in a written POC (plan of care) before they are provided.
- Services are medically necessary as defined under [DHS 101.03\(96m\)](#), Wis. Admin. Code.

- Services are performed by a Medicaid-enrolled provider and supervision requirements are met.
- Services are prescribed by a physician.
- Services are prior authorized by ForwardHealth, when applicable.

Topic #7897

## Resetting Service Limitations

Service limitations used by a member enrolled in the BadgerCare Plus Benchmark Plan and the BadgerCare Plus Core Plan within their continuous 12-month enrollment year will reset in the following situations:

- A fee-for-service member is enrolled in an HMO (health maintenance organization).
- A member switches from one HMO to another HMO (only allowable within the first 90 days of Core Plan enrollment).
- A member is disenrolled from an HMO and moves to fee-for-service.

*Note:* When a member goes from fee-for-service into an HMO and subsequently moves back to fee-for-service, service limitations will not be reset for the services that were received under the initial fee-for-service enrollment period.

PA (prior authorization) requests for services beyond the covered service limitations will be denied.

Resetting service limitations does not change a member's [Benchmark Plan](#) enrollment year or a member's [Core Plan](#) enrollment year.

Topic #824

## Services That Do Not Meet Program Requirements

As stated in [DHS 107.02\(2\)](#), Wis. Admin. Code, BadgerCare Plus and Wisconsin Medicaid may deny or recoup payment for covered services that fail to meet program requirements.

Examples of covered services that do not meet program requirements include the following:

- Services for which records or other documentation were not prepared or maintained.
- Services for which the provider fails to meet any or all of the requirements of [DHS 106.03](#), Wis. Admin. Code, including, but not limited to, the requirements regarding timely submission of claims.
- Services that fail to comply with requirements or state and federal statutes, rules, and regulations.
- Services that the DHS (Department of Health Services), the PRO (Peer Review Organization) review process, or BadgerCare Plus determines to be inappropriate, in excess of accepted standards of reasonableness or less costly alternative services, or of excessive frequency or duration.
- Services provided by a provider who fails or refuses to meet and maintain any of the enrollment requirements under [DHS 105](#), Wis. Admin. Code.
- Services provided by a provider who fails or refuses to provide access to records.
- Services provided inconsistent with an intermediate sanction or sanctions imposed by the DHS.

## HealthCheck "Other Services"

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Topic #22

### Definition of HealthCheck "Other Services"

HealthCheck is a federally mandated program known nationally as EPSDT (Early and Periodic Screening, Diagnosis, and Treatment). HealthCheck services consist of a comprehensive health screening of members under 21 years of age. On occasion, a HealthCheck screening may identify the need for health care services that are not otherwise covered or that exceed coverage limitations. These services are called HealthCheck "Other Services." Federal law requires that these services be reimbursed through HealthCheck "Other Services" if they are medically necessary and prior authorized. The purpose of HealthCheck "Other Services" is to assure that medically necessary medical services are available to BadgerCare Plus Standard Plan, BadgerCare Plus Benchmark Plan, and Medicaid members under 21 years of age.

Topic #1

### Prior Authorization

To receive PA (prior authorization) for HealthCheck "Other Services," providers are required to [submit a PA request via the ForwardHealth Portal](#) or to submit the following via [fax](#) or [mail](#):

- A completed [PA/RF \(Prior Authorization Request Form, F-11018 \(07/12\)\)](#) (or [PA/DRF \(Prior Authorization/Dental Request Form, F-11035 \(07/12\)\)](#), or [PA/HIAS1 \(Prior Authorization Request for Hearing Instrument and Audiological Services 1, F-11020 \(07/12\)\)](#)).
  - The provider should mark the checkbox titled "HealthCheck Other Services" at the top of the form.
  - The provider may omit the procedure code if he or she is uncertain what it is. The ForwardHealth consultant will assign one for approved services.
- The appropriate service-specific PA attachment.
- Verification that a comprehensive HealthCheck screening has been provided within 365 days prior to ForwardHealth's receipt of the PA request. The date and provider of the screening must be indicated.
- Necessary supporting documentation.

Providers may call [Provider Services](#) for more information about HealthCheck "Other Services" and to determine the appropriate PA attachment.

Topic #41

### Requirements

For a service to be reimbursed through HealthCheck "Other Services," the following requirements must be met:

- The condition being treated is identified in a HealthCheck screening that occurred within 365 days of the PA (prior authorization) request for the service.
- The service is provided to a member who is under 21 years of age.
- The service may be covered under federal Medicaid law.
- The service is medically necessary and reasonable.
- The service is prior authorized before it is provided.

- Services currently covered are not considered acceptable to treat the identified condition.

ForwardHealth has the authority to do all of the following:

- Review the medical necessity of all requests.
- Establish criteria for the provision of such services.
- Determine the amount, duration, and scope of services as long as limitations are reasonable and maintain the preventive intent of the HealthCheck program.

## Noncovered Services

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Topic #9337

### Basic Plan Noncovered Services

The following are among the services that are not covered under the BadgerCare Plus Basic Plan:

- Case management.
- Certain visits over the 10-visit limit.
- CRS (Community Recovery Services).
- Enteral nutrition.
- HealthCheck.
- Health education services.
- Hearing services, including hearing instruments, cochlear implants, and bone-anchored hearing aids, hearing aid batteries, and repairs.
- Home care services (home health, personal care, PDN (private duty nursing)).
- Inpatient mental health and substance abuse treatment services.
- Non-emergency transportation (i.e., common carrier, SMV (specialized medical vehicle)).
- Nursing home.
- Obstetrical care and delivery.
- Outpatient mental health and substance abuse services.
- PNCC (prenatal care coordination).
- Provider-administered drugs.
- Routine vision examinations billed with CPT (Current Procedural Terminology) codes 92002-92014 (without a qualifying diagnosis), determination of refractive state billed with CPT code 92015; vision materials such as glasses, contact lenses, and ocular prosthetics; repairs to vision materials; and services related to the fitting of contact lenses and spectacles.
- SBS (school-based services).
- Transplants and transplant-related services.

### Billing Members for Noncovered Services

Basic Plan members may request noncovered services from providers. In those cases, providers may collect payment for the noncovered service from the member if the member accepts responsibility for payment and makes payment arrangements with the provider. Providers are strongly encouraged to obtain a written statement in advance documenting that the member has accepted responsibility for payment of the service.

Providers may bill members up to their usual and customary charge for noncovered services. Basic Plan members do not have appeal rights for noncovered services.

Topic #68

### Definition of Noncovered Services

A noncovered service is a service, item, or supply for which reimbursement is not available. [DHS 101.03\(103\)](#) and [107](#), Wis. Admin. Code, contain more information about noncovered services. In addition, [DHS 107.03](#), Wis. Admin. Code, contains a general list of noncovered services.

Topic #104

## Member Payment for Noncovered Services

A provider may collect payment from a member for noncovered services if [certain conditions](#) are met.

Providers may not collect payment from a member, or authorized person acting on behalf of the member, for certain noncovered services or activities provided in connection with covered services, including the following:

- Charges for missed appointments.
- Charges for telephone calls.
- Charges for time involved in completing necessary forms, claims, or reports.
- Translation services.

### Missed Appointments

The federal CMS (Centers for Medicare and Medicaid Services) does not allow state Medicaid programs to permit providers to collect payment from a member, or authorized person acting on behalf of the member, for a missed appointment.

### Avoiding Missed Appointments

ForwardHealth offers the following suggestions to help avoid missed appointments:

- Remind members of upcoming appointments (by telephone or postcard) prior to scheduled appointments.
- Encourage the member to call for NEMT (non-emergency medical transportation) services. Most members may receive NEMT services through LogistiCare. Refer to the [NEMT service area](#) for more information.
- If the appointment is made through the HealthCheck screening or targeted case management programs, encourage the staff from those programs to ensure that the scheduled appointments are kept.

### Translation Services

Translation services are considered part of the provider's overhead cost and are not separately reimbursable. Providers may not collect payment from a member, or authorized person acting on behalf of the member, for translation services.

Providers should call the Affirmative Action and Civil Rights Compliance Officer at (608) 266-9372 for information about when translation services are required by federal law. Providers may also write to the following address:

AA/CRC Office  
1 W Wilson St Rm 561  
PO Box 7850  
Madison WI 53707-7850

# Managed Care

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Archive Date:03/01/2013

## Managed Care:Claims

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Topic #385

### Appeals to BadgerCare Plus and Wisconsin Medicaid

The provider has 60 calendar days to file an appeal with BadgerCare Plus or Wisconsin Medicaid after the HMO (health maintenance organization) or SSI (Supplemental Security Income) HMO either does not respond in writing within 45 calendar days or if the provider is dissatisfied with the HMO's or SSI HMO's response.

BadgerCare Plus or Wisconsin Medicaid will not review appeals that were not first made to the HMO or SSI HMO. If a provider sends an appeal directly to BadgerCare Plus or Wisconsin Medicaid without first filing it with the HMO or SSI HMO, the appeal will be returned to the provider.

Appeals will only be reviewed for enrollees who were eligible for and who were enrolled in a BadgerCare Plus HMO or Medicaid SSI HMO on the date of service in question.

Appeals must be made in writing and must include:

- A letter, clearly marked "APPEAL," explaining why the claim should be paid or a completed [Managed Care Program Provider Appeal \(F-12022 \(03/09\)\)](#) form.
- A copy of the claim, clearly marked "APPEAL."
- A copy of the provider's letter to the HMO or SSI HMO.
- A copy of the HMO's or SSI HMO's response to the provider.
- Any documentation that supports the case.

The appeal will be reviewed and any additional information needed will be requested from the provider or the HMO or SSI HMO. Once all pertinent information is received, BadgerCare Plus or Wisconsin Medicaid has 45 calendar days to make a final decision.

The provider and the HMO or SSI HMO will be notified in writing of the final decision. If the decision is in favor of the provider, the HMO or SSI HMO is required to pay the provider within 45 calendar days of the final decision. The decision is final, and all parties must abide by the decision.

Topic #384

### Appeals to HMOs and SSI HMOs

Providers are required to first file an appeal directly with the BadgerCare Plus HMO (health maintenance organization) or Medicaid SSI (Supplemental Security Income) HMO within 60 calendar days of receipt of the initial denial. Providers are required to include a letter explaining why the HMO or SSI HMO should pay the claim. The appeal should be sent to the address indicated on the HMO's or SSI HMO's denial notice.

The HMO or SSI HMO then has 45 calendar days to respond in writing to the appeal. The HMO or SSI HMO decides whether to pay the claim and sends the provider a letter stating the decision.

If the HMO or SSI HMO does not respond in writing within 45 calendar days, or if the provider is dissatisfied with the HMO's or SSI HMO's response, the provider may send a written appeal to ForwardHealth within 60 calendar days.



Topic #386

## Claims Submission

BadgerCare Plus HMOs (health maintenance organizations) and Medicaid SSI (Supplemental Security Income) HMOs have requirements for timely filing of claims, and providers are required to follow HMO and SSI HMO claims submission guidelines. Contact the enrollee's HMO or SSI HMO for organization-specific submission deadlines.

Topic #387

## Extraordinary Claims

Extraordinary claims are BadgerCare Plus or Medicaid claims for a BadgerCare Plus HMO (health maintenance organization) or Medicaid SSI (Supplemental Security Income) HMO enrollee that have been denied by an HMO or SSI HMO but may be paid as fee-for-service claims.

The following are some examples of extraordinary claims situations:

- The enrollee was not enrolled in an HMO or SSI HMO at the time he or she was admitted to an inpatient hospital, but then enrolled in an HMO or SSI HMO during the hospital stay. In this case, all claims related to the stay (including physician claims) should be submitted to fee-for-service. For the physician claims associated with the inpatient hospital stay, the provider is required to include the date of admittance and date of discharge in Element 18 of the paper 1500 Health Insurance Claim Form.
- The claims are for orthodontia/prostodontia services that began before HMO or SSI HMO coverage. Include a record with the claim of when the bands were placed.

## Submitting Extraordinary Claims

When submitting an extraordinary claim, include the following:

- A legible copy of the completed claim form, in accordance with billing guidelines.
- A letter detailing the problem, any claim denials, and any steps taken to correct the situation.

Submit extraordinary claims to:

ForwardHealth  
 Managed Care Extraordinary Claims  
 PO Box 6470  
 Madison WI 53716-0470

Topic #388

## Medicaid as Payer of Last Resort

Wisconsin Medicaid is the payer of last resort for most covered services, even when a member is enrolled in a BadgerCare Plus HMO (health maintenance organization) or Medicaid SSI (Supplemental Security Income) HMO. Before submitting claims to HMOs and SSI HMOs, providers are required to submit claims to other health insurance sources. Contact the enrollee's HMO or SSI HMO for more information about billing other health insurance sources.

Topic #389

## Provider Appeals

When a BadgerCare Plus HMO (health maintenance organization) or Medicaid SSI (Supplemental Security Income) HMO denies a provider's claim, the HMO or SSI HMO is required to send the provider a notice informing him or her of the right to file an appeal.

An HMO or SSI HMO network or non-network provider may file an appeal to the HMO or SSI HMO when:

- A claim submitted to the HMO or SSI HMO is denied payment.
- The full amount of a submitted claim is not paid.

Providers are required to file an appeal with the HMO or SSI HMO *before* filing an appeal with ForwardHealth.

## Covered and Noncovered Services

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Topic #390

### Covered Services

#### HMOs

HMOs (health maintenance organizations) are required to provide at least the same benefits as those provided under fee-for-service arrangements. Although ForwardHealth requires contracted HMOs and Medicaid SSI (Supplemental Security Income) HMOs to provide all medically necessary covered services, the following services may be provided by BadgerCare Plus HMOs at their discretion:

- Dental.
- Chiropractic.

If the HMO does not include these services in their benefit package, the enrollee receives the services on a fee-for-service basis.

Topic #391

### Noncovered Services

The following are not covered by BadgerCare Plus HMOs (health maintenance organizations) or Medicaid SSI (Supplemental Security Income) HMOs but are provided to enrollees on a fee-for-service basis provided the member's fee-for-service plan covers the service:

- CRS (Community Recovery Services).
- CSP (Community Support Program) benefits.
- Crisis intervention services.
- Environmental lead inspections.
- CCC (child care coordination) services.
- Pharmacy services and diabetic supplies.
- PNCC (prenatal care coordination) services.
- Provider-administered drugs, including all "J" codes, drug-related "Q" codes, and a limited number of related [administration codes](#).
- SBS (school-based services).
- Targeted case management services.
- NEMT (non-emergency medical transportation) services for most Wisconsin Medicaid and BadgerCare Plus members. Wisconsin Medicaid and BadgerCare Plus members who are enrolled in an HMO in Milwaukee, Waukesha, Washington, Ozaukee, Kenosha, and Racine counties receive NEMT services from their respective HMOs.
- DOT (directly observed therapy) and monitoring for TB-Only (Tuberculosis-Only Related Services).

Topic #13877

## Striving to Quit Initiative — First Breath

### Background Information

According to the CDC (Centers for Disease Control and Prevention), almost one million individuals in Wisconsin smoke every day. While the smoking rate for adults overall in the state is about 20 percent, the rate is higher — about 33 percent — for BadgerCare Plus members. Wisconsin Medicaid has received a five-year \$9.2 million grant from the CMS (Centers for Medicare and Medicaid Services) to help BadgerCare Plus members enrolled in participating HMOs (health maintenance organizations) to quit smoking through the Striving to Quit initiative. Striving to Quit includes the following separate, evidence-based programs:

- Wisconsin Tobacco Quit Line (i.e., Quit Line), which offers telephone counseling to eligible members who smoke.
- First Breath, which targets eligible pregnant women who smoke by connecting them to trained tobacco cessation counselors for face-to-face tobacco cessation counseling.

## First Breath

The First Breath program offers eligible pregnant women who smoke (or who have quit smoking in the last six months) face-to-face tobacco cessation counseling during their prenatal care visits and up to five face-to-face counseling visits plus additional telephone calls for support during the postpartum phase. To participate in the First Breath program, members may be referred to First Breath by their prenatal care provider or may independently call First Breath without a referral at (800) 448-5148. Members who participate in First Breath via Striving to Quit may be eligible to receive financial incentives of up to \$160.00 for participation in treatment and for quitting smoking.

### Enrollment Criteria

To be eligible to receive enhanced services from the First Breath program via Striving to Quit, BadgerCare Plus members must meet the following criteria:

- Be enrolled in the BadgerCare Plus Standard Plan or the BadgerCare Plus Benchmark Plan.
- Be a pregnant smoker.
- Express an interest in quitting smoking.
- Be enrolled in one of the following HMOs:
  - Children's Community Health Plan.
  - CommunityConnect HealthPlan.
  - Managed Health Services.
  - MercyCare Health Plans.
  - Molina Health Care.
  - Network Health Plan.
  - Physicians Plus Insurance Corporation.
  - Unity Health Plans Insurance Corporation.
- Reside in one of the following counties:
  - Dane.
  - Kenosha.
  - Milwaukee.
  - Racine.
  - Rock.

## Covered Services

The following services are covered by Striving to Quit via First Breath:

- Up to 10 one-on-one counseling sessions during regular prenatal care appointments by First Breath providers.
- Five one-on-one counseling sessions with a trained First Breath Health Educator following delivery.
- Up to six telephone calls with the First Breath Health Educator following delivery.

## Provider Responsibilities

Providers are responsible for screening pregnant BadgerCare Plus HMO members for smoking and enrolling them in the First Breath program or referring members to the First Breath program.

Clinics that currently provide First Breath services are responsible for the following:

- Screening for smoking and enrolling members in First Breath.
- Encouraging members to enroll in Striving to Quit.
- Providing regular First Breath counseling during prenatal care visits.
- Completing First Breath data forms and submitting the forms via fax to (608) 251-4136 or mail to the following address:

Wisconsin Women's Health Foundation  
2503 Todd Dr  
Madison WI 53713

Clinics that do not currently provide First Breath smoking cessation services should refer members to First Breath.

### Screening and Making Referrals

For clinics that currently provide First Breath services, there are no changes to current procedures.

The following language is suggested for providers to use to encourage members to enroll in First Breath:

One of the benefits of enrolling in First Breath now is that you may be eligible to participate in a stop smoking study that provides free counseling services to help you quit and will pay you for taking part in certain activities. You can learn more about the program when someone from the First Breath office calls you or when you call them.

Clinics that do not currently provide First Breath services should encourage pregnant BadgerCare Plus members to seek help to quit by using the above language. Clinic staff or the member may call the First Breath program at (800) 448-5148, extension 112, for help in finding a First Breath provider in the member's area. Members may also visit the [First Breath Web site](#) to locate a First Breath provider.

### Becoming a First Breath Site

Clinics not currently providing First Breath services may become First Breath sites by calling the First Breath Coordinator at (800) 448-5148, extension 112, or by visiting the First Breath Web site. Providers will need to complete four hours of training to provide First Breath services. Training is free and provided by First Breath coordinators on site. Becoming a First Breath site allows all pregnant BadgerCare Plus and Medicaid members to be served during their regular prenatal care visits.

After becoming a First Breath site, clinics will need to do the following:

- Provide evidence-based cessation counseling during regular prenatal care.
- Complete enrollment and other data forms.
- Distribute small, non-cash gifts supplied by the First Breath program.

## For More Information

For more information about Striving to Quit, providers should contact their HMO representative, visit the ForwardHealth Portal, or e-mail Striving to Quit at [dhsstqinfo@wisconsin.gov](mailto:dhsstqinfo@wisconsin.gov).

For more information or for technical assistance questions regarding the Quit Line, providers may visit the [UW-CTRI \(University](#)

[of Wisconsin Center for Tobacco Research and Intervention\) Web site.](#)

For more information or for technical assistance questions regarding First Breath, providers may call First Breath at (800) 448-5148, extension 112, or visit the First Breath Web site.

Topic #13857

# Striving to Quit Initiative — Wisconsin Tobacco Quit Line

## Background Information

According to the CDC (Centers for Disease Control and Prevention), almost one million individuals in Wisconsin smoke every day. While the smoking rate for adults overall in the state is about 20 percent, the rate is higher — about 33 percent — for BadgerCare Plus members. Wisconsin Medicaid has received a five-year \$9.2 million grant from the CMS (Centers for Medicare and Medicaid Services) to help BadgerCare Plus members enrolled in participating HMOs (health maintenance organizations) to quit smoking through the Striving to Quit initiative. Striving to Quit includes the following separate, evidence-based programs:

- Wisconsin Tobacco Quit Line (i.e., Quit Line), which offers telephone counseling to eligible members who smoke.
- First Breath, which targets eligible pregnant women who smoke by connecting them to trained tobacco cessation counselors for face-to-face tobacco cessation counseling.

## Wisconsin Tobacco Quit Line

Striving to Quit offers eligible members who smoke enhanced tobacco cessation treatment from the Quit Line. Members who participate in Striving to Quit qualify for at least five smoking cessation counseling calls from the Quit Line and appropriate tobacco cessation medications covered by Wisconsin Medicaid. To participate in Striving to Quit, members may be referred to the Quit Line by their provider or may independently call the Quit Line without a referral at (800) QUIT-NOW (784-8669).

Striving to Quit members using the Quit Line may be eligible to receive financial incentives of up to \$120.00 for participation in treatment and for quitting smoking. Striving to Quit requires members who participate in Quit Line treatment services to take a biochemical test to confirm smoking status at initial enrollment, six months post-enrollment, and 12 months after enrollment in the initiative.

## Enrollment Criteria

To be eligible to receive enhanced services from the Quit Line via Striving to Quit, members must meet the following criteria:

- Be enrolled in BadgerCare Plus Standard Plan or BadgerCare Plus Benchmark Plan.
- Be 18 years of age and older.
- Be a smoker and express an interest in quitting smoking.
- Be enrolled in one of the following HMOs:
  - Children's Community Health Plan.
  - CompCare.
  - Group Health Cooperative of Eau Claire.
  - Managed Health Services.
  - MercyCare Health Plans.
  - Molina Health Care.
  - Network Health Plan.
  - Physicians Plus Insurance Corporation.

- UnitedHealthcare Community Plan.
- Unity Health Plans Insurance Corporation.
- Reside in one of the following counties:
  - Dodge.
  - Fond du Lac.
  - Jefferson.
  - Sheboygan.
  - Calumet.
  - Columbia.
  - Door.
  - Florence.
  - Grant.
  - Green.
  - Iowa.
  - Kewaunee.
  - Lafayette.
  - Manitowoc.
  - Marinette.
  - Menominee.
  - Oconto.
  - Rock.
  - Sauk.
  - Walworth.
  - Waupaca.
  - Brown.
  - Dane.
  - Outagamie.
  - Winnebago.

## Covered Drugs and Services

The following drugs and services are covered by Striving to Quit or Medicaid:

- Up to five cessation counseling calls to the Quit Line plus additional calls initiated by the member are covered by Striving to Quit.
- Tobacco cessation medications and biochemical testing to confirm smoking status are covered by Medicaid.

## Provider Responsibilities

For members seeking Striving to Quit services from the Quit Line, providers are responsible for the following:

- Screening for smoking and referring potentially eligible members who smoke to the Quit Line.
- Conducting biochemical tests (i.e., urine cotinine tests).
- Writing prescriptions for tobacco cessation drugs for members, as appropriate.
- Working with the Quit Line, completing Striving to Quit referral forms for member referrals, writing tobacco cessation prescriptions, and faxing biochemical test results and forms to the Quit Line.
- Identifying one or two key staff members in a clinic or practice who will serve as points of contact for Striving to Quit and assist with coordinating the biochemical tests and other tasks as needed.

## Screening and Making Referrals

The following language is suggested for providers to use to encourage members who smoke to agree to a referral or to call the

Quit Line themselves:

One of the benefits of calling the Quit Line now is that you may be eligible to participate in a stop smoking study that provides free counseling services to help you quit and will pay you for taking part in certain activities. I would be happy to make a referral for you. If you are interested, all we need to do is a simple urine test to confirm that you smoke. After I send the paperwork, someone from the Quit Line will call you to tell you more about the study or you can call them directly at the number on the card. If you do not want to be in the study, you may still get some services from the Quit Line.

Providers should ask HMO members living in targeted counties if they may refer the member to the Quit Line. If a member is referred to the Quit Line, providers should submit a Striving to Quit Referral form signed by the member to the Quit Line via fax at (877) 554-6643. Striving to Quit Referral forms are available on the [UW-CTRI's \(University of Wisconsin Center for Tobacco Research and Intervention\) Striving to Quit Web site](#) or on the ForwardHealth Portal. A representative from the Quit Line will call the member within three business days to begin the enrollment process.

Outreach Specialists for the UW-CTRI will provide technical assistance to clinics and providers about how to make Striving to Quit referrals. A short training video about Striving to Quit procedures is available on UW-CTRI's Web site. A link to the training video is also on the Portal.

## Biochemical Testing

As part of Striving to Quit, HMO members are required to have a urine cotinine test to confirm smoking status. This test should be conducted by providers in the member's HMO network using NicCheck<sup>®</sup> I testing strips. NicCheck<sup>®</sup> I testing strips (item MA-500-001) may be [ordered online](#) or by calling (888) 882-7739.

Urine cotinine test results should be faxed to the Quit Line at (877) 554-6643. Claims for urine cotinine testing should be submitted to the member's HMO.

BadgerCare Plus members may be tested on a walk-in basis at any participating clinic in the member's HMO network. Members who need assistance finding a participating clinic should contact their HMO.

## Prescriptions

For HMO members identified as smokers who express an interest in quitting and agree to a referral to the Quit Line, providers should discuss the use of tobacco cessation medications. Research indicates that the use of tobacco cessation medications in combination with evidence-based counseling almost doubles the likelihood of a successful quit attempt. The following types of tobacco cessation medications are covered by Wisconsin Medicaid for BadgerCare Plus members:

- OTC (over-the-counter) nicotine gum and patches.
- Legend products (i.e., bupropion SR, Chantix, Nicotrol spray).

Providers may use the [Drug Search Tool](#) to determine the most current covered drugs. Providers may also refer to the [benefit plan-specific product lists](#) for the most current list of covered drugs.

An [allowable diagnosis code](#) must be indicated on claims for covered tobacco cessation medications. Tobacco cessation medications are not covered for uses outside the allowable diagnosis code.

If tobacco cessation medications are appropriate for members, prescriptions for tobacco cessation medications should be sent to the member's pharmacy. On the Striving to Quit Referral form sent to the Quit Line, the tobacco cessation medication prescription box should be checked either yes or no.

For HMO members who independently call the Quit Line and are enrolled in Striving to Quit, staff at the Quit Line will provide a suggested prescription to a provider within the member's HMO network. The provider will determine the adequacy of the



prescription and approve as appropriate. The provider is required to send the following:

- The prescription to the pharmacy where it will be filled (e-prescribing is preferred).
- The approval or disapproval of the prescription to the Quit Line on the Striving to Quit Referral form via fax at (877) 554-6643.

## **For More Information**

For more information about Striving to Quit, providers should contact their HMO representative, visit the Portal, or e-mail Striving to Quit at [dhsstqinfo@wisconsin.gov](mailto:dhsstqinfo@wisconsin.gov).

For more information or for technical assistance questions regarding the Quit Line, providers may visit the [UW-CTRI \(University of Wisconsin Center for Tobacco Research and Intervention\) Web site](#).

## Enrollment

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Topic #392

### Disenrollment and Exemptions

In some situations, a member may be exempt from enrolling in a BadgerCare Plus HMO (health maintenance organization) or Medicaid SSI (Supplemental Security Income) HMO. Exempted members receive health care under fee-for-service. Exemptions allow members to complete a course of treatment with a provider who is not contracted with the member's HMO or SSI HMO. For example, in certain circumstances, women in high-risk pregnancies or women who are in the third trimester of pregnancy when they are enrolled in an HMO or SSI HMO *may* qualify for an exemption.

The [contracts](#) between the DHS (Department of Health Services) and the HMO or SSI HMO provide more detail on the exemption and disenrollment requirements.

Topic #393

### Enrollee Grievances

Enrollees have the right to file grievances about services or benefits provided by a BadgerCare Plus HMO (health maintenance organization) or Medicaid SSI (Supplemental Security Income) HMO. Enrollees also have the right to file a grievance when the HMO or SSI HMO refuses to provide a service. All HMOs and SSI HMOs are required to have written policies and procedures in place to handle enrollee grievances. Enrollees should be encouraged to work with their HMO's or SSI HMO's customer service department to resolve problems first.

If enrollees are unable to resolve problems by talking to their HMO or SSI HMO, or if they would prefer to speak with someone outside their HMO or SSI HMO, they should contact the [Enrollment Specialist](#) or the [Ombudsman Program](#).

The [contracts](#) between the DHS (Department of Health Services) and the HMO or SSI HMO describes the responsibilities of the HMO or SSI HMO and the DHS regarding enrollee grievances.

Topic #397

## Enrollment Eligibility

### BadgerCare Plus HMOs

Members enrolled in the BadgerCare Plus Standard Plan, BadgerCare Plus Benchmark Plan, and the BadgerCare Plus Core Plan are eligible for enrollment in a BadgerCare Plus HMO (health maintenance organization).

An individual who receives the TB-Only (Tuberculosis-Related Services-Only) benefit, SeniorCare, or Wisconsin Well Woman Medicaid cannot be enrolled in a BadgerCare Plus HMO.

Information about a member's HMO enrollment status and commercial health insurance coverage may be verified by using Wisconsin's [EVS \(Enrollment Verification System\)](#) or the ForwardHealth Portal.

### SSI HMOs

Members of the following subprograms are eligible for enrollment in a Medicaid SSI (Supplemental Security Income) HMO:

- Individuals ages 19 and older, who meet the SSI and SSI-related disability criteria.
- Dual eligibles for Medicare and Medicaid.

Individuals who are living in an institution, nursing home, or participating in a Home and Community-Based Waiver program are not eligible to enroll in an SSI MCO (managed care organization).

Topic #394

## Enrollment Periods

### HMOs

Members are sent enrollment packets that explain the BadgerCare Plus HMOs (health maintenance organizations) and the enrollment process and provide contact information. Once enrolled, enrollees may change their HMO assignment within the first 90 days of enrollment in an HMO (whether they chose the HMO or were auto-assigned). If an enrollee no longer meets the criteria, he or she will be disenrolled from the HMO.

### SSI HMOs

Members are sent enrollment packets that explain the Medicaid SSI (Supplemental Security Income) HMO's enrollment process and provide contact information. Once enrolled, enrollees may disenroll after a 60-day trial period and up to 120 days after enrollment and return to Medicaid fee-for-service if they choose.

Topic #395

## Enrollment Specialist

The [Enrollment Specialist](#) provides objective enrollment, education, outreach, and advocacy services to BadgerCare Plus HMO (health maintenance organization) and Medicaid SSI (Supplemental Security Income) HMO enrollees. The Enrollment Specialist is a knowledgeable single point of contact for enrollees, solely dedicated to managed care issues. The Enrollment Specialist is not affiliated with any health care agency.

The Enrollment Specialist provides the following services to HMO and SSI HMO enrollees:

- Education regarding the correct use of HMO and SSI HMO benefits.
- Telephone and face-to-face support.
- Assistance with enrollment, disenrollment, and exemption procedures.

Topic #398

## Member Enrollment

### HMOs

BadgerCare Plus HMO (health maintenance organization) enrollment is either mandatory or voluntary based on ZIP code-defined enrollment areas as follows:

- Mandatory enrollment — Enrollment is mandatory for eligible members who reside in ZIP code areas served by two or more BadgerCare Plus HMOs. Some members may meet criteria for exemption from BadgerCare Plus HMO enrollment.
- Voluntary enrollment — Enrollment is voluntary for members who reside in ZIP code areas served by only one BadgerCare Plus HMO.

Members living in areas where enrollment is mandatory are encouraged to choose their BadgerCare Plus HMO. Automatic assignment to a BadgerCare Plus HMO occurs if the member does not choose a BadgerCare Plus HMO. In general, all members of a member's immediate family eligible for enrollment must choose the same HMO.

Members in voluntary enrollment areas can choose whether or not to enroll in a BadgerCare Plus HMO. There is no automatic assignment for members who live within ZIP codes where enrollment is voluntary.

## SSI HMOs

Medicaid SSI (Supplemental Security Income) HMO enrollment is either mandatory or voluntary as follows:

- Mandatory enrollment — Most SSI and SSI-related members are required to enroll in an SSI HMO. A member may choose the SSI HMO in which he or she wishes to enroll.
- Voluntary enrollment — Some SSI and SSI-related members may choose to enroll in an SSI HMO on a voluntary basis.

Topic #396

## Ombudsman Program

The [Ombudsmen](#), or Ombuds, are resources for enrollees who have questions or concerns about their BadgerCare Plus HMO (health maintenance organization) or Medicaid SSI (Supplemental Security Income) HMO. Ombuds provide advocacy and assistance to help enrollees understand their rights and responsibilities in the grievance and appeal process.

Ombuds can be contacted at the following address:

BadgerCare Plus HMO/Medicaid SSI HMO Ombudsmen  
PO Box 6470  
Madison WI 53716-0470

Topic #399

## Release of Billing or Medical Information

ForwardHealth supports BadgerCare Plus HMO (health maintenance organization) and Medicaid SSI (Supplemental Security Income) HMO enrollee rights regarding the confidentiality of health care records. ForwardHealth has [specific standards](#) regarding the release of an HMO or SSI HMO enrollee's billing information or medical claim records.

## Managed Care Information

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Topic #401

### BadgerCare Plus HMO Program

An HMO (health maintenance organization) is a system of health care providers that provides a comprehensive range of medical services to a group of enrollees. HMOs receive a fixed, prepaid amount per enrollee from ForwardHealth (called a capitation payment) to provide medically necessary services.

BadgerCare Plus HMOs are responsible for providing or arranging all contracted covered medically necessary services to enrollees. BadgerCare Plus members enrolled in state-contracted HMOs are entitled to at least the same benefits as fee-for-service members; however, HMOs may establish their own requirements regarding PA (prior authorization), claims submission, adjudication procedures, etc., which may differ from fee-for-service policies and procedures. BadgerCare Plus HMO network providers should contact their HMO for more information about its policies and procedures.

Topic #405

### Managed Care

Managed Care refers to the BadgerCare Plus HMO (health maintenance organization) program, the Medicaid SSI (Supplemental Security Income) HMO program, and the several special managed care programs available.

The primary goals of the managed care programs are:

- To improve the quality of member care by providing continuity of care and improved access.
- To reduce the cost of health care through better care management.

Topic #402

### Managed Care Contracts

The contract between the DHS (Department of Health Services) and the BadgerCare Plus HMO (health maintenance organization) or Medicaid SSI (Supplemental Security Income) HMO takes precedence over other ForwardHealth provider publications. Information contained in ForwardHealth publications is used by the DHS to resolve disputes regarding covered benefits that cannot be handled internally by HMOs and SSI HMOs. If there is a conflict, the HMO or SSI HMO contract prevails. If the contract does not specifically address a situation, Wisconsin Administrative Code ultimately prevails. HMO and SSI HMO contracts can be found on the Managed Care Organization area of the ForwardHealth Portal.

Topic #404

### SSI HMO Program

Medicaid SSI (Supplemental Security Income) HMOs (health maintenance organizations) provide the same benefits as Medicaid fee-for-service (e.g. medical, dental, mental health/substance abuse, vision, and prescription drug coverage) at no cost to their enrollees through a care management model. Medicaid members and SSI-related Medicaid members in certain counties may be

eligible to enroll in an SSI HMO.

SSI-related Medicaid members receive coverage from Wisconsin Medicaid because of a disability determined by the Disability Determination Bureau.

## Member Enrollment

Members who meet the following criteria are eligible to enroll in an SSI HMO:

- Medicaid-eligible individuals living in a service area that has implemented an SSI managed care program.
- Individuals ages 19 and older.
- Individuals who are enrolled in Wisconsin Medicaid and SSI or receive SSI-related Medicaid.

Individuals who are living in an institution or nursing home or are participating in a home and community-based waiver program or FamilyCare are not eligible to enroll in an SSI HMO.

## Ozaukee and Washington Counties

Most SSI and SSI-related Medicaid members who reside in Ozaukee and Washington counties are required to choose the HMO in which they wish to enroll. Dual eligibles (members receiving Medicare and Wisconsin Medicaid) are not required to enroll. After a 60-day trial period and up to 120 days after enrollment, enrollees may disenroll and return to Medicaid fee-for-service if they choose.

## Southwestern Wisconsin Counties

SSI members and SSI-related Medicaid members who reside in Buffalo, Jackson, La Crosse, Monroe, Trempealeau, and Vernon counties may choose to receive coverage from the HMO or remain in Wisconsin Medicaid fee-for-service.

## Continuity of Care

Special provisions are included in the contract for SSI HMOs for continuity of care for SSI members and SSI-related Medicaid members. These provisions include the following:

- Coverage of services provided by the member's current provider for the first 60 days of enrollment in the SSI program or until the first of the month following completion of an assessment and care plan, whichever comes later. The contracted provider should get a referral from the member's HMO after this.
- Honoring a PA (prior authorization) that is currently approved by Wisconsin Medicaid. The PA must be honored for 60 days or until the month following the HMO's completion of the assessment and care plan, whichever comes later.
- Coverage of drugs that an SSI member is currently taking until a prescriber orders different drugs.

Topic #403

## Special Managed Care Programs

Wisconsin Medicaid has several special managed care programs that provide services to individuals who are elderly and/or who have disabilities. These members may be eligible to enroll in voluntary regional managed care programs such as Family Care, the PACE (Program of All-Inclusive Care for the Elderly), and the Family Care Partnership Program. Additional information about these special managed care programs may be obtained from the Managed Care Organization area of the ForwardHealth Portal.

## Prior Authorization

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Topic #400

### Prior Authorization Procedures

BadgerCare Plus HMOs (health maintenance organizations) and Medicaid SSI (Supplemental Security Income) HMOs may develop PA (prior authorization) guidelines that differ from fee-for-service guidelines. However, the application of such guidelines may not result in less coverage than fee-for-service. Contact the enrollee's HMO or SSI HMO for more information regarding PA procedures.

## Provider Information

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Topic #406

### Copayments

Providers cannot charge Medicaid SSI (Supplemental Security Income) HMO (health maintenance organization) enrollees copayments for covered services except in cases where the Medicaid SSI HMO does not cover services such as dental, chiropractic, and pharmacy. When services are provided through fee-for-service or to members enrolled in a BadgerCare Plus HMO, copayments will apply.

Topic #407

### Emergencies

Non-network providers may provide services to BadgerCare Plus HMO (health maintenance organization) and Medicaid SSI (Supplemental Security Income) HMO enrollees in an emergency without authorization or in urgent situations when authorized by the HMO or SSI HMO. The [contract](#) between the DHS (Department of Health Services) and the HMO or SSI HMO defines an emergency situation and includes general payment requirements.

Unless the HMO or SSI HMO has a written agreement with the non-network provider, the HMO or SSI HMO is only liable to the extent fee-for-service would be liable for an emergency situation, as defined in 42 CFR s. 438.114. Billing procedures for emergencies may vary depending on the HMO or SSI HMO. For specific billing instructions, non-network providers should always contact the enrollee's HMO or SSI HMO.

Topic #408

### Non-network Providers

Providers who do not have a contract with the enrollee's BadgerCare Plus HMO (health maintenance organization) or Medicaid SSI (Supplemental Security Income) HMO are referred to as non-network providers. (HMO and SSI HMO network providers agree to payment amounts and billing procedures in a contract with the HMO or SSI HMO.) Non-network providers are required to direct enrollees to HMO or SSI HMO network providers except in the following situations:

- When a non-network provider is treating an HMO or SSI HMO enrollee for an emergency medical condition as defined in the contract between the DHS (Department of Health Services) and the HMO or SSI HMO.
- When the HMO or SSI HMO has authorized (in writing) an out-of-plan referral to a non-network provider.
- When the service is not provided under the HMO's or SSI HMO's contract with the DHS (such as dental, chiropractic, and pharmacy services).

Non-network providers may not serve BadgerCare Plus HMO or Medicaid SSI HMO enrollees as private-pay patients.

Topic #409

### Out-of-Area Care



BadgerCare Plus HMOs (health maintenance organizations) and Medicaid SSI (Supplemental Security Income) HMOs may cover medically necessary care provided to enrollees when they travel outside the HMO's or SSI HMO's service area. The HMO or SSI HMO is required to authorize the services before the services are provided, except in cases of [emergency](#). If the HMO or SSI HMO does not authorize the services, the enrollee may be held responsible for the cost of those services.

Topic #410

## Provider Participation

Providers interested in participating in a BadgerCare Plus HMO (health maintenance organization) or Medicaid SSI (Supplemental Security Income) HMO or changing HMO or SSI HMO network affiliations should contact the HMO or SSI HMO for more information. Conditions and terms of participation in an HMO or SSI HMO are pursuant to specific contract agreements between HMOs or SSI HMOs and providers. An HMO or SSI HMO has the right to choose whether or not to contract with any provider.

Topic #411

## Referrals

Non-network providers may at times provide services to BadgerCare Plus HMO (health maintenance organization) and Medicaid SSI (Supplemental Security Income) HMO enrollees on a referral basis. Non-network providers are always required to contact the enrollee's HMO or SSI HMO. Before services are provided, the non-network provider and the HMO or SSI HMO should discuss and agree upon billing procedures and fees for all referrals. Non-network providers and HMOs or SSI HMOs should document the details of any referral in writing before services are provided.

Billing procedures for out-of-plan referrals may vary depending on the HMO or SSI HMO. For specific billing instructions, non-network providers should always contact the enrollee's HMO or SSI HMO.

Topic #412

## Services Not Provided by HMOs or SSI HMOs

If an enrollee's BadgerCare Plus HMO (health maintenance organization) or Medicaid SSI (Supplemental Security Income) HMO benefit package does not include a covered service, such as chiropractic or dental services, any Medicaid-enrolled provider may provide the service to the enrollee and submit claims to fee-for-service.

# Member Information

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Archive Date:03/01/2013

## Member Information:Birth to 3 Program

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Topic #2758

### Administration and Regulations

In Wisconsin, Birth to 3 services are administered at the local level by county departments of community programs, human service departments, public health agencies, or any other public agency designated or contracted by the county board of supervisors. The DHS (Department of Health Services) monitors, provides technical assistance, and offers other services to county Birth to 3 agencies.

The enabling federal legislation for the Birth to 3 Program is 34 CFR Part 303. The enabling state legislation is [s. 51.44](#), Wis. Stats., and the regulations are found in ch. [DHS 90](#), Wis. Admin. Code.

Providers may contact the appropriate [county Birth to 3 agency](#) for more information.

Topic #2757

### Eligibility Criteria

A child from birth up to (but not including) age three is eligible for Birth to 3 services if the child meets one of the following criteria:

- The individual has a diagnosed physical or mental condition that has a high probability of resulting in a developmental delay.
- The individual has at least a 25 percent delay in one or more of the following areas of development:
  - Cognitive development.
  - Physical development, including vision and hearing.
  - Communication skills.
  - Social or emotional development.
  - Adaptive development, which includes self-help skills.
- Atypical development affecting the child's overall development, as determined by a qualified team using professionally acceptable procedures and informed clinical opinion.

BadgerCare Plus includes Birth to 3 information in this handbook because many Birth to 3 participants are also Medicaid members who receive PT (physical therapy), OT (occupational therapy), or SLP (speech and language pathology) services.

Topic #2756

### Individualized Family Services Plan

A Birth to 3 member receives an IFSP (Individualized Family Services Plan) developed by an interdisciplinary team that includes the child's family. The IFSP provides a description of the outcomes, strategies, supports, and services appropriate to meet the needs of the child and family and the natural environment settings where services will be provided. All Birth to 3 services must be identified in the child's IFSP.

According to Birth to 3 Program requirements, the child's IFSP service coordinator is responsible for facilitating the development of the IFSP, based on team decisions and consensus. The service coordinator is expected to assure IFSP development in a timely manner and to provide all team members with a full copy of the completed IFSP.

The county Birth to 3 program is responsible for ensuring that the following are true:

- Evaluation, service coordination, IFSP development, and protection of rights have no cost to the parents or guardians.
- Other services identified under [DHS 90.11\(4\)](#), Wis. Admin. Code, are provided in accordance with the IFSP.

The county Birth to 3 program determines parental liability for the cost of the program in accordance with state administrative guidelines.

Topic #2767

## Procedures to Follow when Consent Is Given to Bill the Commercial Health Insurance

When a child's parents or guardians give consent to billing their commercial health insurance (or when the child has no commercial health insurance coverage), federal and state regulations require the provider to submit claims in the following order:

1. Bill the commercial health insurance with the informed, written consent of the child's parents or guardians if applicable.
2. Submit claims to ForwardHealth.
3. Bill the county Birth to 3 agency (according to the agency's requirements) if ForwardHealth does not cover the services.

Topic #2766

## Procedures to Follow when the Birth to 3 Agency Pays the Commercial Health Insurance Liability

Federal regulations (34 CFR Part 303) allow a Birth to 3 member's parents or guardians to refuse consent to bill their commercial health insurance if it would result in a cost to the family, such as:

- Reaching the lifetime limit on a policy.
- An increase in premiums, copayments, or deductibles.

Birth to 3 agencies may reimburse the commercial health insurance liability when both of the following occur:

- The BadgerCare Plus member participates in the Birth to 3 Program and is receiving Birth to 3 services.
- The parents or guardians do not allow their Medicaid provider or Medicaid managed care program to bill their commercial health insurance first.

These procedures do not apply when the following occur:

- The member is not covered by commercial health insurance.
- The parents or guardians give consent to submit claims to their commercial health insurance.
- The services are not Birth to 3 services.

When a county Birth to 3 program pays the commercial health insurance liability, the provider is required to follow normal claims submission procedures to submit the balance of the charges to Wisconsin Medicaid.

**Step 1** The provider identifies the billed amount per month per therapy discipline.

**Step 2** The provider submits the claim to the county Birth to 3 program.

**Step 3** The county Birth to 3 program identifies the monthly commercial health insurance liability amount per discipline. The amounts are as follows:

- \$127 for PT (physical therapy) services.
- \$118 for OT (occupational therapy) services.
- \$106 for SLP (speech and language pathology) services.

For any month in which the monthly billed amount is less than the monthly commercial health insurance liability amount, the county Birth to 3 program pays the entire amount owed up to the commercial health insurance liability for that therapy discipline.

**Step 4** The county Birth to 3 program pays the commercial health insurance liability amount. When reimbursing the provider, the county Birth to 3 program should send copies of:

- The EOB (Explanation of Benefits) notice.
- The invoice.

When the provider works for the county Birth to 3 program, the provider should clearly identify the accounting transactions in the agency's records. Providers should retain the EOB notice in the member's record.

**Step 5** When submitting the claim to Wisconsin Medicaid, the provider should indicate his or her usual and customary charge, the amount paid by the Birth to 3 agency, and the appropriate other insurance indicator to indicate that the commercial liability was received.

Topic #2755

## Provision of Services in Natural Environment

Federal regulations require that providers deliver all Birth to 3 services in the child's "natural environment," to the maximum extent possible. "Natural environment" is defined in both 34 CFR Part 303 and [DHS 90.03\(25\)](#), Wis. Admin. Code, as "settings that are natural or normal for the child's age peers who have no disability." In addition to a family home, natural environments may include family child care, community settings (e.g., YMCA), early childhood education settings, inclusive child care centers, or other settings where most of the children do not have disabilities. Natural environments do not include medical facilities such as therapy clinics, physician clinics, rehabilitation agencies, outpatient hospitals, or other center-based settings where most of the participating children have disabilities. The child's IFSP (Individualized Family Services Plan) team determines which settings are appropriate for the delivery of Birth to 3 services based on the needs of the child. Services should be provided in the places where the child's family and other caregivers can be present and learn therapy approaches. Carryover also occurs when approaches are integrated into the day-to-day activities of the child and family.

Birth to 3 services may be provided in a setting other than a natural environment only when outcomes cannot be satisfactorily achieved in the child's natural environment. When services are not provided in a natural environment, the IFSP must include a justification of the extent to which services will be provided in an alternative setting.

BadgerCare Plus PT (physical therapy), OT (occupational therapy), and SLP (speech and language pathology) providers receive an enhanced reimbursement when PT, OT, or SLP services are provided in the natural environment of a Birth to 3 participant. To receive the enhanced reimbursement, providers are required to indicate the "TL" modifier when submitting claims for services provided in the natural environment.

Topic #2754

## Requirements for Providers

Title 34 CFR Part 303 for Birth to 3 services requires all health, social service, education, and tribal programs receiving federal funds, including Medicaid providers, to do the following:

- Identify children who may be eligible for Birth to 3 services. This includes children with developmental delays, atypical development, disabilities, and children who are substantiated as abused or neglected. Refer these children to the appropriate county Birth to 3 program within two working days of identification. For example, if a provider's health exam or developmental screen indicates that a child may have a qualifying disability or developmental delay, the child must be referred to the county Birth to 3 program for evaluation. (Providers are encouraged to explain the need for the Birth to 3 referral to the child's parents or guardians.)
- Cooperate and participate with Birth to 3 service coordination as indicated in the child's IFSP (Individualized Family Services Plan).
- Deliver Birth to 3 services in the child's natural environment, unless otherwise specified in the IFSP.
- Assist parents or guardians of children receiving Birth to 3 services to maximize their child's development and participate fully in implementation of their child's IFSP.

## Enrollment Categories

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Topic #785

### BadgerCare Expansion for Certain Pregnant Women

As a result of 2005 Wisconsin Act 25, the 2005-07 biennial budget, BadgerCare has expanded coverage to the following individuals:

- Pregnant non-U.S. citizens who are not qualified aliens but meet other eligibility criteria for BadgerCare.
- Pregnant individuals detained by legal process who meet other eligibility criteria for BadgerCare.

The BadgerCare Expansion for Certain Pregnant Women is designed to provide better birth outcomes.

Women are eligible for all covered services from the first of the month in which their pregnancy is verified or the first of the month in which the application for BadgerCare Plus is filed, whichever is later. Members are enrolled through the last day of the month in which they deliver or the pregnancy ends. Postpartum care is reimbursable *only* if provided as part of global obstetric care. Even though enrollment is based on pregnancy, these women are eligible for *all* covered services. (They are not limited to pregnancy-related services.)

These women are not presumptively eligible. Providers should refer them to the appropriate county/tribal social or human services agency where they can apply for this coverage.

### Fee-for-Service

Pregnant non-U.S. citizens who are not qualified aliens and pregnant individuals detained by legal process receive care only on a fee-for-service basis. Providers are required to follow all program requirements (e.g., claims submission procedures, PA (prior authorization) requirements) when providing services to these women.

### Emergency Services for Non-U.S. Citizens

When BadgerCare Plus enrollment ends for pregnant non-U.S. citizens who are not qualified aliens, they receive coverage for emergency services. These women receive emergency coverage for 60 days after the pregnancy ends; this coverage continues through the end of the month in which the 60th day falls (e.g., a woman who delivers on June 20, 2006, would be enrolled through the end of August 2006).

Topic #9297

### BadgerCare Plus Basic Plan

The BadgerCare Plus Basic Plan is a self-funded plan that focuses on providing BadgerCare Plus Core Plan waitlist members with access to vital, cost-effective primary and preventive care. This option will allow members to have some minimal form of coverage until space becomes available in the Core Plan and will help prevent bankruptcy due to excessive medical debt.

Member participation or non-participation in the Basic Plan does not affect an individual's status on the Core Plan waitlist.

Services for the Basic Plan are covered under fee-for-service. Basic Plan members will not be enrolled in state-contracted HMOs (health maintenance organizations).

As of March 19, 2011, new enrollment into the Basic Plan ended. The Basic Plan will continue for members already enrolled in the Basic Plan.

## Conditions That End Member Enrollment in the Basic Plan

A member's enrollment in the Basic Plan will end if the member:

- Becomes eligible for Medicare, Medicaid, the BadgerCare Plus Standard Plan, the BadgerCare Plus Benchmark Plan, or the Core Plan.
- Becomes incarcerated or becomes institutionalized in an IMD (institution for mental disease).
- Becomes pregnant. (*Note:* A Basic Plan member who becomes pregnant should be referred to [Member Services](#) for more information about enrollment in the Standard Plan or the Benchmark Plan.)
- No longer resides in the state of Wisconsin.
- Obtains health insurance coverage.
- Turns 65 years of age.
- Fails to pay the monthly premium.

*Note:* Enrollment in the Basic Plan does not end if the member's income increases.

Providers are reminded that the Basic Plan does not cover obstetrical services or delivery services.

Providers are required to notify ForwardHealth if they have reason to believe that a person is misusing or abusing BadgerCare Plus or Medicaid benefits or the ForwardHealth identification card.

## Basic Plan Member Fact Sheets

[Fact sheets](#) providing additional member information about the Basic Plan are available.

## Enrollment Certification Period for Basic Plan Members

A member's enrollment will begin on the first of the month and will continue through the end of the 12th month. For example, if the individual's enrollment in the Basic Plan begins on July 1, 2010, the enrollment certification period will continue through June 30, 2011, unless conditions occur that end enrollment.

Premium payments are due on the fifth of each month, prior to the month of coverage. Members who fail to pay the monthly premium will have their benefits terminated and will also be subject to a 12-month restrictive re-enrollment period.

## Basic Plan Members Enrolled in Wisconsin Chronic Disease Program

For Basic Plan members who are also enrolled in WCDP (Wisconsin Chronic Disease Program), providers should submit claims for all covered services to the Basic Plan first and then to WCDP. For pharmacy services, if both programs deny the pharmacy claim, providers should submit the claim to BadgerRx Gold.

## Basic Plan Members and HIRSP Coverage

Basic Plan members may also be enrolled in the HIRSP (Health Insurance Risk-Sharing Plan) as long as the member meets the eligibility requirements for both the Basic Plan and HIRSP. For Basic Plan members who are also enrolled in HIRSP, providers should submit claims for all Basic Plan covered services to HIRSP first and then to the Basic Plan.

Basic Plan members may not be enrolled in the Basic Plan and the Federal Temporary High Risk Insurance Pool. Information that



is being distributed to Core Plan members on the waitlist regarding HIRSP and the Federal Temporary High Risk Insurance Pool is [available](#).

## Alternatives to the BadgerCare Plus Basic Plan

Before enrolling in the BadgerCare Plus Basic Plan, you should consider two other insurance options available to some Wisconsin residents. Enrolling in BadgerCare Plus Basic will make you ineligible for coverage under the Federal Pool option described below.

### Option 1: Health Insurance Risk-Sharing Plan (HIRSP)

You may qualify for HIRSP if:

1. You recently lost your employer-sponsored insurance coverage; or
2. You have been rejected for coverage in the private insurance market; or
3. You have HIV/AIDS; or
4. You have Medicare because of a disability.

HIRSP offers comprehensive medical and pharmacy benefits including coverage of brand name drugs and \$150 of first dollar coverage on routine/preventive services. HIRSP will not cover medical services for a preexisting condition for the first six months of coverage. The preexisting condition waiting period does not apply to drug coverage. The medical services preexisting condition waiting period does not apply if you qualify for HIRSP because you have recently lost your employer-sponsored coverage.

If your annual household income is below \$33,000, you may be entitled to a premium and deductible subsidy. For example, a 25 year old man with an annual income of less than \$10,000 would pay \$89 per month for a \$2,500 deductible insurance plan.

HIRSP members can also be enrolled in the BadgerCare Plus Basic or Core Plan.

### Option 2: Federal Temporary High Risk Insurance Pool

You may qualify for the new Federal Pool if:

1. You are a citizen or national of the United States, or are lawfully present;
2. You have a preexisting medical condition; and
3. You have been uninsured for at least 6 months before applying for coverage.

The Federal Pool will offer the same medical and drug benefits as HIRSP. There is no preexisting condition waiting period under the Federal Pool.

In most cases, the Federal Pool premium will be lower than the HIRSP premium.

Enrollment is expected to begin in July 2010, for coverage beginning August 1, 2010.

If you enroll in BadgerCare Plus Basic or HIRSP now, you will not be eligible for the Federal Pool. You should determine which program best serves your needs. For more information about HIRSP or the Federal Pool and your insurance options, please contact HIRSP Customer Service at 1.800.828.4777 or visit [www.hirsp.org](http://www.hirsp.org)

Topic #5557

# BadgerCare Plus Core Plan

The BadgerCare Plus Core Plan covers basic health care services including primary care, preventive care, certain generic and OTC (over-the-counter) drugs, and a limited number of brand name drugs.

## Applicant Enrollment Requirements

An applicant must meet the following enrollment requirements in order to qualify for the Core Plan:

- Is a Wisconsin resident.
- Is a United States citizen or legal immigrant.
- Is between the ages of 19 and 64.
- Does not have any children under age 19 under his or her care.
- Is not pregnant.
- Is not eligible for or enrolled in Medicaid, the BadgerCare Plus Standard Plan, or the BadgerCare Plus Benchmark Plan. This would not include benefits provided under Family Planning Only Services or those benefits provided to individuals who qualify for the TB-Only (Tuberculosis-Related Services Only) Benefit.
- Is not eligible for or enrolled in Medicare.
- Has a monthly gross income that does not exceed 200 percent of the FPL (Federal Poverty Level).
- Is not covered by health insurance currently or in the previous 12 months.
- Has not had access to employer-sponsored insurance in the previous 12 months and does not have access to employer-subsidized insurance during the month of application or any of the three months following application.

## Application Process for New Members

Individuals who wish to enroll may apply for the Core Plan [using the ACCESS tool online](#) or via the [ESC \(Enrollment Services Center\)](#). A pre-screening tool will help determine which individuals may be eligible to enroll in the Core Plan. Applications for Core Plan members will be processed centrally by the ESC, not by county agencies.

To complete the application process, applicants must meet the following requirements:

- Complete a Health Survey.
- Pay a non-refundable, annual processing fee of \$60.00 per individual or per couple for married couples. The fee will be waived for homeless individuals. There are no monthly premiums.

Medicaid-enrolled providers cannot pay the \$60.00 application processing fee on behalf of Core Plan applicants. An offer by a Medicaid-enrolled provider to pay a fee on behalf of a prospective Medicaid member may violate federal laws against kickbacks. These laws are federal criminal statutes that are interpreted and enforced by federal agencies such as the United States DOJ (Department of Justice) and the Department of HHS (Health and Human Services') OIG (Office of the Inspector General).

## Conditions That End Member Enrollment in the Core Plan

A member's enrollment will end if the member:

- Becomes eligible for Medicare, Medicaid, the Standard Plan, or the Benchmark Plan.
- Becomes incarcerated or institutionalized in an IMD (institution for mental disease).
- Becomes pregnant.
- No longer resides in the state of Wisconsin.
- Obtains health insurance coverage.
- Turns 65 years of age.

Providers are reminded that the Core Plan does not cover obstetrical services, including the delivery of a child or children. A Core Plan member who becomes pregnant should be referred to the ESC for more information about enrollment in the Standard Plan or the Benchmark Plan.

## **Enrollment Certification Period for Core Plan Members**

Once determined eligible for enrollment in the Core Plan, a member's enrollment will begin either on the first or 15th of the month, whichever is first, and will continue through the end of the 12th month. For example, if the individual submits all of his or her application materials, including the application fee, by September 17, 2009, and the DHS (Department of Health Services) reviews the application and approves it on October 6, 2009, the individual is eligible for enrollment beginning on October 15, 2009, the next possible date of enrollment. The enrollment certification period will continue through October 31, 2010.

The enrollment certification period for individuals who qualify for the Core Plan is 12 months, regardless of income changes.

## **Core Plan Members Enrolled in Wisconsin Chronic Disease Program**

For Core Plan members who are also enrolled in WCDP (Wisconsin Chronic Disease Program), providers should submit claims for all covered services to the Core Plan first and then to WCDP. For pharmacy services, if both programs deny the pharmacy claim, providers should submit claims to BadgerRx Gold.

## **Core Plan Members with HIRSP Coverage**

Core Plan members may also be enrolled in HIRSP (Health Insurance Risk Sharing Plan) as long as the member meets the eligibility requirements for both the Core Plan and HIRSP. For Core Plan members who are also enrolled in HIRSP, providers should submit claims for all Core Plan covered services to the Core Plan. For services not covered by the Core Plan, providers should submit claims to HIRSP. For members enrolled in the Core Plan, HIRSP is always the payer of last resort.

*Note:* HIRSP will only cover noncovered Core Plan services if the services are covered under the HIRSP benefit.

Topic #225

## **BadgerCare Plus Standard Plan and Benchmark Plan**

BadgerCare Plus is a state-sponsored health care program that expands coverage of Wisconsin residents and ensures that all children in Wisconsin have access to affordable health care.

The key initiatives of BadgerCare Plus are:

- To ensure that all Wisconsin children have access to affordable health care.
- To ensure that 98 percent of Wisconsin residents have access to affordable health care.
- To streamline program administration and enrollment rules.
- To expand coverage and provide enhanced benefits for pregnant women.
- To promote prevention and healthy behaviors.

BadgerCare Plus expands enrollment in state-sponsored health care to the following:

- All uninsured children.
- More pregnant women.
- More parents and caretaker relatives.
- Parents with children in foster care who are working to reunify their families.
- Young adults exiting out-of-home care, such as foster care, because they have turned 18 years of age.

- Certain farmers and other self-employed parents and caretaker relatives.

Where available, BadgerCare Plus members are enrolled in BadgerCare Plus HMOs (health maintenance organizations). In those areas of Wisconsin where HMOs are not available, services will be reimbursed on a fee-for-service basis.

Topic #6917

## **Benefit Plans Under BadgerCare Plus**

BadgerCare Plus is comprised of four benefit plans, the BadgerCare Plus Standard Plan, the BadgerCare Plus Benchmark Plan, the BadgerCare Plus Core Plan, and the BadgerCare Plus Basic Plan.

### **BadgerCare Plus Standard Plan**

The Standard Plan covers children, parents and caretaker relatives, young adults aging out of foster care, and pregnant women with incomes at or below 200 percent of the FPL (Federal Poverty Level). The services covered under the Standard Plan are the same as the Wisconsin Medicaid program.

### **BadgerCare Plus Benchmark Plan**

The Benchmark Plan was adapted from Wisconsin's largest commercial, low-cost health care plan. The Benchmark Plan is for children and pregnant women with incomes above 200 percent of the FPL and certain self-employed parents, such as farmers with incomes above 200 percent of the FPL. The services covered under the Benchmark Plan are more limited than those covered under the Wisconsin Medicaid program.

### **BadgerCare Plus Core Plan**

The Core Plan provides adults who were previously not eligible to enroll in state and federal health care programs with access to basic health care services including primary care, preventive care, certain generic and OTC (over-the-counter) drugs, and a limited number of brand name drugs.

### **BadgerCare Plus Basic Plan**

The Basic Plan provides Core Plan waitlist members with access to vital, cost-effective primary and preventive care. This option allows members to have some form of minimal coverage until space becomes available in the Core Plan.

Topic #230

## **Express Enrollment for Children and Pregnant Women**

The EE (Express Enrollment) for Pregnant Women Benefit is a limited benefit category that allows a pregnant woman to receive immediate pregnancy-related outpatient services while her application for full-benefit BadgerCare Plus is processed. Enrollment is not restricted based on the member's other health insurance coverage. Therefore, a pregnant woman who has other health insurance may be enrolled in the benefit.

The EE for Children Benefit allows certain members through 18 years of age to receive BadgerCare Plus benefits under the BadgerCare Plus Standard Plan while an application for BadgerCare Plus is processed.

### **Fee-for-Service**

Women and children who are temporarily enrolled in BadgerCare Plus through the EE process are not eligible for enrollment in an HMO until they are determined eligible for full benefit BadgerCare Plus by the county/tribal office.

Topic #226

## Family Planning Only Services

Family Planning Only Services is a limited benefit program that provides routine contraceptive-related services to low-income individuals who are at least 15 years of age who are otherwise not eligible for Wisconsin Medicaid or BadgerCare Plus. There is no upper age limit for Family Planning Only Services enrollment as long as the member is of childbearing age. Members receiving Family Planning Only Services must be receiving routine contraceptive-related services.

The goal of Family Planning Only Services is to provide members with information and services to assist them in preventing pregnancy, making BadgerCare Plus enrollment due to pregnancy less likely. Providers should explain the purpose of Family Planning Only Services to members and encourage them to contact their certifying agency to determine their enrollment options if they are not interested in, or do not need, contraceptive services.

Members enrolled in Family Planning Only Services receive routine services to prevent or delay pregnancy and are not eligible for other services (e.g., PT (physical therapy) services, dental services). Even if a medical condition is discovered during a family planning visit, treatment for the condition is not covered under Family Planning Only Services unless the treatment is identified in the list of [allowable procedure codes](#) for Family Planning Only Services.

Members are also not eligible for certain other services that are covered under the Wisconsin Medicaid and BadgerCare Plus family planning benefit (e.g., mammograms and hysterectomies). If a medical condition, other than an STD (sexually transmitted disease), is discovered during contraceptive-related services, treatment for the medical condition is not covered under Family Planning Only Services.

Colposcopies and treatment for STDs are only covered through Family Planning Only Services if they are determined medically necessary during routine contraceptive-related services. A colposcopy is a covered service when an abnormal result is received from a pap test, prior to the colposcopy, while the member is enrolled in Family Planning Only Services and receiving contraceptive-related services.

Family Planning Only Services members diagnosed with cervical cancer, precancerous conditions of the cervix, or breast cancer may be eligible for Wisconsin Well Woman Medicaid. Providers should assist eligible members with the enrollment process for Well Woman Medicaid.

Providers should inform members about other service options and provide referrals for care not covered by Family Planning Only Services.

## Temporary Enrollment for Family Planning Only Services

Members whose providers are submitting an initial Family Planning Only Services application on their behalf and who meet the enrollment criteria may receive routine contraceptive-related services immediately through TE (temporary enrollment) for Family Planning Only Services for up to two months. Services covered under the TE for Family Planning Only Services are the same as those covered under Family Planning Only Services and must be related to routine contraceptive management.

To determine enrollment for Family Planning Only Services, providers should use the income limit for 300 percent of the [FPL \(Federal Poverty Level\)](#).

TE for Family Planning Only Services providers may issue white paper TE for Family Planning Only Services identification cards for members to use until they receive a ForwardHealth identification card. Providers should remind members that the benefit is

temporary, despite their receiving a ForwardHealth card.

Topic #4757

## ForwardHealth and ForwardHealth interChange

ForwardHealth brings together many DHS (Department of Health Services) health care programs with the goal to create efficiencies for providers and to improve health outcomes for members. ForwardHealth interChange is the DHS claims processing system that supports multiple state health care programs and Web services, including:

- BadgerCare Plus.
- BadgerCare Plus and Medicaid managed care programs.
- SeniorCare.
- WCDP (Wisconsin Chronic Disease Program).
- WIR (Wisconsin Immunization Registry).
- Wisconsin Medicaid.
- Wisconsin Well Woman Medicaid.
- WWWP (Wisconsin Well Woman Program).

ForwardHealth interChange is supported by the state's fiscal agent, HP (Hewlett-Packard).

Topic #229

## Limited Benefit Categories Overview

Certain members may be enrolled in a limited benefit category. These limited benefit categories include the following:

- BadgerCare Plus Expansion for Certain Pregnant Women.
- EE (Express Enrollment) for Children.
- EE for Pregnant Women.
- Family Planning Only Services, including TE (Temporary Enrollment) for Family Planning Only Services.
- QDWI (Qualified Disabled Working Individuals).
- QI-1 (Qualifying Individuals 1).
- QMB Only (Qualified Medicare Beneficiary Only).
- SLMB (Specified Low-Income Medicare Beneficiary).
- TB-Only (Tuberculosis-Related Services-Only) Benefit.

Members may be enrolled in full-benefit Medicaid or BadgerCare Plus and also be enrolled in certain limited benefit programs, including QDWI, QI-1, QMB Only, and SLMB. In those cases, a member has full Medicaid or BadgerCare Plus coverage in addition to limited coverage for Medicare expenses.

Members enrolled in BadgerCare Plus Expansion for Certain Pregnant Women, Family Planning Only Services, EE for Children, EE for Pregnant Women, or the TB-Only Benefit cannot be enrolled in full-benefit Medicaid or BadgerCare Plus. These members receive benefits through the limited benefit category.

Providers should note that a member may be enrolled in more than one limited benefit category. For example, a member may be enrolled in Family Planning Only Services and the TB-Only Benefit.

Providers are strongly encouraged to verify dates of enrollment and other coverage information using the EVS (Wisconsin's Enrollment Verification System) to determine whether a member is in a limited benefit category, receives full-benefit Medicaid or BadgerCare Plus, or both.

Providers are responsible for knowing which services are covered under a limited benefit category. If a member of a limited benefit category requests a service that is not covered under the limited benefit category, the provider may collect payment from the member if certain [conditions](#) are met.

Topic #228

## Medicaid

Medicaid is a joint federal/state program established in 1965 under Title XIX of the Social Security Act to pay for medical services for selected groups of people who meet the program's financial requirements.

The purpose of Medicaid is to provide reimbursement for and assure the availability of appropriate medical care to persons who meet the criteria for Medicaid. Wisconsin Medicaid is also known as the Medical Assistance Program, WMAP (Wisconsin Medical Assistance Program), MA (Medical Assistance), Title XIX, or T19.

A Medicaid member is any individual entitled to benefits under Title XIX of the Social Security Act and under the Medical Assistance State Plan as defined in ch. [49](#), Wis. Stats.

Wisconsin Medicaid enrollment is determined on the basis of financial need and other factors. A citizen of the United States or a "qualified immigrant" who meets low-income financial requirements may be enrolled in Wisconsin Medicaid if he or she is in one of the following categories:

- Age 65 and older.
- Blind.
- Disabled.

Some needy and low-income people become eligible for Wisconsin Medicaid by qualifying for programs such as:

- Katie Beckett.
- Medicaid Purchase Plan.
- [Subsidized adoption](#) and foster care programs.
- SSI (Supplemental Security Income).
- WWWP (Wisconsin Well Woman Program).

Providers may advise these individuals or their representatives to contact their [certifying agency](#) for more information. The following agencies certify people for Wisconsin Medicaid enrollment:

- Local county or tribal agencies.
- Medicaid outstation sites.
- SSA (Social Security Administration) offices.

In limited circumstances, some state agencies also certify individuals for Wisconsin Medicaid.

Medicaid fee-for-service members receive services through the traditional health care payment system under which providers receive a payment for each unit of service provided. Some Medicaid members receive services through state-contracted MCOs (managed care organizations).

Topic #10217

## Members Enrolled in the Wisconsin Well Woman



## Program and the BadgerCare Plus Basic Plan

Women may be enrolled in the WWWP (Wisconsin Well Woman Program) and the BadgerCare Plus Basic Plan at the same time. Women who are diagnosed with breast cancer or cervical cancer while enrolled in WWWP are eligible to be enrolled in WWWMA (Wisconsin Well Woman Medicaid) through the WWWP. WWWMA covers the same services as Wisconsin Medicaid; therefore, enrollment in WWWMA enables members to receive comprehensive treatment, including services not related to their diagnosis.

Once a woman is enrolled in WWWMA, she is no longer eligible for the Basic Plan.

Topic #232

## Qualified Disabled Working Individual Members

QDWI (Qualified Disabled Working Individual) members are a limited benefit category of Medicaid members. They receive payment of Medicare monthly premiums for Part A.

QDWI members are certified by their local county or tribal agency. To qualify, QDWI members are required to meet the following qualifications:

- Have income under 200 percent of the FPL (Federal Poverty Level).
- Be entitled to, but not necessarily enrolled in, Medicare Part A.
- Have income or assets too high to qualify for QMB-Only (Qualified Medicare Beneficiary-Only) and SLMB (Specified Low-Income Medicare Beneficiaries).

Topic #234

## Qualified Medicare Beneficiary-Only Members

QMB-Only (Qualified Medicare Beneficiary-Only) members are a limited benefit category of Medicaid members. They receive payment of the following:

- Medicare monthly premiums for Part A, Part B, or both.
- Coinsurance, copayment, and deductible for Medicare-allowed services.

QMB-Only members are certified by their local county or tribal agency. QMB-Only members are required to meet the following qualifications:

- Have an income under 100 percent of the FPL (Federal Poverty Level).
- Be entitled to, but not necessarily enrolled in, Medicare Part A.

Topic #235

## Qualifying Individual 1 Members

QI-1 (Qualifying Individual 1) members are a limited benefit category of Medicaid members. They receive payment of Medicare monthly premiums for Part B.

QI-1 members are certified by their local county or tribal agency. To qualify, QI-1 members are required to meet the following

qualifications:

- Have income between 120 and 135 percent of the FPL (Federal Poverty Level).
- Be entitled to, but not necessarily enrolled in, Medicare Part A.

Topic #236

## Specified Low-Income Medicare Beneficiaries

SLMB (Specified Low-Income Medicare Beneficiary) members are a limited benefit category of Medicaid members. They receive payment of Medicare monthly premiums for Part B.

SLMB members are certified by their local county or tribal agency. To qualify, SLMB members are required to meet the following qualifications:

- Have an income under 120 percent of the FPL (Federal Poverty Level).
- Be entitled to, but not necessarily enrolled in, Medicare Part A.

Topic #262

## Tuberculosis-Related Services-Only Benefit

The [TB-Only \(Tuberculosis-Related Services-Only\) Benefit](#) is a limited benefit category that allows individuals with TB (tuberculosis) infection or disease to receive covered TB-related outpatient services.

Topic #240

## Wisconsin Well Woman Medicaid

Wisconsin Well Woman Medicaid provides full Medicaid benefits to underinsured or uninsured women ages 35 to 64 who have been screened and diagnosed by WWWP (Wisconsin Well Woman Program) or Family Planning Only Services, meet all other enrollment requirements, and are in need of treatment for any of the following:

- Breast cancer.
- Cervical cancer.
- Precancerous conditions of the cervix.

Services provided to women who are enrolled in WWWMA (Wisconsin Well Woman Medicaid) are reimbursed through Medicaid fee-for-service.

## Members Enrolled into Wisconsin Well Woman Medicaid from Benchmark Plan or Core Plan

Women diagnosed with breast cancer or cervical cancer while enrolled in the BadgerCare Plus Benchmark Plan or BadgerCare Plus Core Plan are eligible to be enrolled in WWWMA. Wisconsin Well Woman Medicaid covers the same services as Wisconsin Medicaid and enables members to receive comprehensive treatment, including services not related to their diagnosis.

Women who are diagnosed with breast cancer, cervical cancer, or a precancerous condition of the cervix must have the diagnosis of their condition confirmed by one of the following Medicaid-enrolled providers:

- Nurse practitioners, for cervical conditions only.
- Osteopaths.
- Physicians.

Women with Medicare or other insurance that covers treatment for her cancer are not allowed to be enrolled into WWWMA.

### **Covered and Noncovered Services**

Wisconsin Well Woman Medicaid covers the same services as Wisconsin Medicaid regardless of whether the service is related to her cancer treatment.

### **Reimbursement**

Providers will be reimbursed for services provided to members enrolled in WWWMA at the Wisconsin Medicaid rate of reimbursement for covered services.

### **Copayments**

There are no copayments for any Medicaid-covered service for WWWMA members who have been enrolled into WWWMA from the Benchmark or the Core Plan. Providers are required to reimburse members for any copayments members paid on or after the date of diagnosis while still enrolled in the Benchmark Plan or the Core Plan.

## Enrollment Responsibilities

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Topic #241

### General Information

Members have certain responsibilities per [DHS 104.02](#), Wis. Admin. Code, and the [ForwardHealth Enrollment and Benefits \(P-00079 \(10/11\)\)](#) booklet.

Topic #243

### Loss of Enrollment — Financial Liability

Some covered services consist of a series of sequential treatment steps, meaning more than one office visit is required to complete treatment.

In most cases, if a member loses enrollment midway through treatment, BadgerCare Plus and Medicaid will *not* reimburse services (including prior authorized services) after enrollment has lapsed.

Members are financially responsible for any services received after their enrollment has been terminated. If the member wishes to continue treatment, it is a decision between the provider and the member whether the service should be given and how the services will be paid. The provider may collect payment from the member if the member accepts responsibility for payment of a service and certain [conditions](#) are met.

To avoid misunderstandings, it is recommended that providers remind members that they are financially responsible for any continued care after enrollment ends.

To avoid potential reimbursement problems that can arise when a member loses enrollment midway through treatment, the provider is encouraged to verify the member's enrollment using the [EVS \(Enrollment Verification System\)](#) or the ForwardHealth Portal prior to providing each service, even if an approved PA (prior authorization) request is obtained for the service.

Topic #707

### Member Cooperation

Members are responsible for giving providers full and accurate information necessary for the correct submission of claims. If a member has other health insurance, it is the member's obligation to give full and accurate information to providers regarding the insurance.

Topic #269

### Members Should Present Card

It is important that providers determine a member's enrollment and other insurance coverage *prior to* each DOS (date of service) that services are provided. Pursuant to [DHS 104.02\(2\)](#), Wis. Admin. Code, a member should inform providers that he or she is enrolled in BadgerCare Plus or Wisconsin Medicaid and should present a current ForwardHealth identification card before

receiving services.

*Note:* Due to the nature of their specialty, certain providers — such as anesthesiologists, radiologists, DME (durable medical equipment) suppliers, independent laboratories, and ambulances — are not always able to see a member's ForwardHealth identification card because they might not have direct contact with the member prior to providing the service. In these circumstances, it is still the provider's responsibility to obtain member enrollment information.

Topic #244

## Prior Identification of Enrollment

Except in emergencies that preclude prior identification, members are required to inform providers that they are receiving benefits and must present their ForwardHealth identification card before receiving care. If a [member forgets his or her ForwardHealth card](#), providers may verify enrollment without it.

Topic #245

## Reporting Changes to Caseworkers

Members are required to report certain changes to their caseworker at their certifying agency. These changes include, but are not limited to, the following:

- A new address or a move out of state.
- A change in income.
- A change in family size, including pregnancy.
- A change in other health insurance coverage.
- Employment status.
- A change in assets for members who are over 65 years of age, blind, or disabled.

## Enrollment Rights

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Topic #246

### Appealing Enrollment Determinations

Applicants and members have the right to appeal certain decisions relating to BadgerCare Plus or Medicaid enrollment. An applicant, a member, or authorized person acting on behalf of the applicant or member, or former member may file the appeal with the DHA (Division of Hearings and Appeals).

Pursuant to [HA 3.03](#), Wis. Admin. Code, an applicant, member, or former member may appeal any adverse action or decision by an agency or department that affects their benefits. Examples of decisions that may be appealed include, but are not limited to, the following:

- Individual was denied the right to apply.
- Application for BadgerCare Plus or Wisconsin Medicaid was denied.
- Application for BadgerCare Plus or Wisconsin Medicaid was not acted upon promptly.
- Enrollment was unfairly discontinued, terminated, suspended, or reduced.

In the case when enrollment is cancelled or terminated, the date the member, or authorized person acting on behalf of the member, files an appeal with the DHA determines what continuing coverage, if any, the member will receive until the hearing decision is made. The following scenarios describe the coverage allowed for a member who files an appeal:

- If a member files an appeal before his or her enrollment ends, coverage will continue pending the hearing decision.
- If a member files an appeal within 45 days after his or her enrollment ends, a hearing is allowed but coverage is not reinstated.

If the member files an appeal more than 45 days after his or her enrollment ends, a hearing is not allowed. Members may file an appeal by submitting a [Request for Fair Hearing \(DHA-28 \(08/09\)\)](#) form.

### Claims for Appeal Reversals

If a claim is denied due to termination of enrollment, a hearing decision that reverses that determination will allow the claim to be resubmitted and paid. The provider is required to obtain a copy of the appeal decision from the member, attach the copy to the previously denied claim, and submit both to ForwardHealth at the following address:

ForwardHealth  
Specialized Research  
Ste 50  
313 Blettner Blvd  
Madison WI 53784

If a provider has not yet submitted a claim, the provider is required to submit a copy of the hearing decision along with a paper claim to Specialized Research.

As a reminder, claims [submission deadlines](#) still apply even to those claims with hearing decisions.

Topic #247

## Freedom of Choice

Members may receive covered services from *any* willing Medicaid-enrolled provider, unless they are enrolled in a state-contracted MCO (managed care organization) or assigned to the [Pharmacy Services Lock-In Program](#).

Topic #248

## General Information

Members are entitled to certain rights per [DHS 103](#), Wis. Admin. Code.

Topic #250

## Notification of Discontinued Benefits

When the DHS (Department of Health Services) intends to discontinue, suspend, or reduce a member's benefits, or reduce or eliminate coverage of services for a general class of members, the DHS sends a written notice to members. This notice is required to be provided at least 10 days before the effective date of the action.

Topic #252

## Prompt Decisions on Enrollment

Individuals applying for BadgerCare Plus or Wisconsin Medicaid have the right to prompt decisions on their applications. Enrollment decisions are made within 60 days of the date the application was signed for those with disabilities and within 30 days for all other applicants.

Topic #254

## Requesting Retroactive Enrollment

An applicant has the right to request [retroactive enrollment](#) when applying for BadgerCare Plus or Wisconsin Medicaid. Enrollment may be backdated to the first of the month three months prior to the date of application for eligible members. Retroactive enrollment does not apply to QMB-Only (Qualified Medicare Beneficiary-Only) members.

## Identification Cards

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Topic #9357

### ForwardHealth Basic Plan Identification Cards

Members enrolled in the BadgerCare Plus Basic Plan will receive a [ForwardHealth Basic Plan card](#). All identification cards include the member's name and 10-digit member identification number. The identification cards may be used to verify a member's enrollment, but possession of an identification card does not guarantee enrollment. It is possible that a member will present a card when he or she is not enrolled; therefore, it is essential that providers verify enrollment before providing services.

Providers should always check enrollment for a member who presents a ForwardHealth card to verify if the member is enrolled in the Basic Plan or in one of the other ForwardHealth programs. (Providers may use the same methods of enrollment verification under the Basic Plan as they do for other ForwardHealth programs such as Medicaid. These methods include the ForwardHealth Portal, WiCall, magnetic stripe readers, and the 270/271 (270/271 Health Care Eligibility/Benefit Inquiry and Information Response) transactions.) Members who present a ForwardHealth card or a ForwardHealth Basic Plan card may have been enrolled in a different plan since the card was issued. Providers should be careful to verify the plan in which the member is enrolled and know which services are covered under that plan.

Basic Plan members should call [Member Services](#) with questions about premiums and covered services. The ForwardHealth Basic Plan cards include the Member Services telephone number on the back.

#### Sample ForwardHealth Basic Plan Card



Topic #6977



## ForwardHealth Core Plan Identification Cards

Members enrolled in the BadgerCare Plus Core Plan will receive a [ForwardHealth Core Plan card](#). All identification cards include the member's name and 10-digit member identification number. The identification cards may be used to verify a member's enrollment, but possession of an identification card does not guarantee enrollment. It is possible that a member will present a card when he or she is not enrolled; therefore, it is essential that providers verify enrollment before providing services.

Core Plan members should call [Member Services](#) with questions about enrollment criteria, HMO (health maintenance organization) enrollment, and covered services.

Providers should always check enrollment for a member who presents a ForwardHealth card to verify if the member is enrolled in the Core Plan or in one of the other ForwardHealth programs. Members who present a ForwardHealth card or a ForwardHealth Core Plan card may have been enrolled in a different plan since the card was issued. Providers should be careful to verify the plan in which the member is enrolled and know which services are covered under that plan.

### Sample ForwardHealth Core Plan Card



Topic #266

## ForwardHealth Identification Cards

Each enrolled member receives an identification card. Possession of a program identification card does not guarantee enrollment. It is possible that a member will present a card during a lapse in enrollment; therefore, it is essential that providers verify enrollment before providing services. Members are told to keep their cards even though they may have lapses in enrollment.

### ForwardHealth Identification Card Features

The [ForwardHealth identification card](#) includes the member's name, 10-digit member ID, magnetic stripe, signature panel, and the Member Services telephone number. The card also has a unique, 16-digit card number on the front for internal program use.

The ForwardHealth card does not need to be signed to be valid; however, adult members are encouraged to sign their cards. Providers may use the signature as another means of identification.

The toll-free number on the back of each of the cards is for member use only. The address on the back of each card is used to return a lost card to ForwardHealth if it is found.

If a provider finds discrepancies with the identification number or name between what is indicated on the ForwardHealth card and the provider's file, the provider should verify enrollment with Wisconsin's EVS (Enrollment Verification System).

## Identification Number Changes

Some providers may question whether services should be provided if a member's 10-digit identification number on his or her ForwardHealth card does not match the EVS response. If the EVS indicates the member is enrolled, services should be provided.

A member's identification number may change, and the EVS will reflect that change. However, ForwardHealth does not automatically send a replacement ForwardHealth card with the new identification number to the member. ForwardHealth cross-references the old and new identification numbers so a provider may submit claims with either number. The member may request a replacement ForwardHealth card that indicates the new number.

## Member Name Changes

If a member's name on the ForwardHealth card is different than the response given from Wisconsin's EVS, providers should use the name from the EVS response. When a name change is reported and on file, a new card will automatically be sent to the member.

## Deactivated Cards

When any member identification card has been replaced for any reason, the previous identification card is deactivated. If a member presents a deactivated card, providers should encourage the member to discard the deactivated card and use only the new card.

Although a member identification card may be deactivated, the member ID is valid and the member still may be enrolled in a ForwardHealth program.

If a provider swipes a ForwardHealth card using a magnetic stripe card reader and finds that it has been deactivated, the provider may request a second form of identification if he or she does not know the member. After the member's identity has been verified, providers may verify a member's enrollment by using one of the EVS methods such as [AVR \(Automated Voice Response\)](#).

## Defective Cards

If a provider uses a card reader for a ForwardHealth card and the magnetic stripe is defective, the provider should encourage the member to call Member Services at the number listed on the back of the member's card to request a new card.

If a member presents a ForwardHealth card with a defective magnetic stripe, providers may verify the member's enrollment by using an alternate enrollment verification method. Providers may also verify a member's enrollment by entering the member ID or 16-digit card number on a touch pad, if available, or by calling [WiCall](#) or [Provider Services](#).

## Lost Cards

If a member needs a replacement ForwardHealth card, he or she may call Member Services to request a new one.

If a member lost his or her ForwardHealth card or never received one, the member may call [Member Services](#) to request a new one.

## Managed Care Organization Enrollment Changes

Members do not receive a new ForwardHealth card if they are enrolled in a state-contracted MCO (managed care organization) or change from one MCO to another. Providers should verify enrollment with the EVS every time they see a member to ensure they have the most current managed care enrollment information.

### Sample ForwardHealth Identification Card



Topic #268

## Temporary Enrollment for Family Planning Only Services Identification Cards

Qualified providers may issue white paper TE (Temporary Enrollment) for Family Planning Only Services identification cards for members to use temporarily until they receive a ForwardHealth identification card. The identification card is included with the TE for Family Planning Only Services Application (F-10119).

The TE for Family Planning Only Services identification cards have the following message printed on them: "Temporary Identification Card for Temporary Enrollment for Family Planning Only Services." Providers should accept the white TE for Family Planning Only Services identification cards as proof of enrollment for the dates provided on the cards and are encouraged to keep a photocopy of the card.

Topic #267

## Temporary Express Enrollment Cards

There are two types of temporary EE (Express Enrollment) identification cards. One is issued for pregnant women and the other for children that are enrolled in BadgerCare Plus through EE. The EE cards are valid for 14 days. [Samples of temporary EE cards](#) for children and pregnant women are available.

Providers may assist pregnant women with filling out an application for temporary ambulatory prenatal care benefits through the online EE process. EE identification cards are included on the bottom portion of the enrollment notice that is printed out and provided to the member after the online enrollment process is completed.

The paper application may also be used to apply for temporary ambulatory prenatal benefits for pregnant women. A beige paper identification card is attached to the last page of the application and provided to the woman after she completes the enrollment process.

The online EE process is also available for adults to apply for full BadgerCare Plus benefits for children. EE identification cards are included on the bottom portion of the enrollment notice that is printed out and provided to the member after the online enrollment process is completed. This temporary identification card is different, since providers may see more than one child listed if multiple children in one household are enrolled through EE. However, each child will receive his or her own ForwardHealth card after the application is submitted.

Each member who is enrolled through EE will receive a ForwardHealth card usually within three business days after the EE application is submitted and approved. To ensure children and pregnant women receive needed services in a timely manner, providers should accept the printed paper EE cards for children and either the printed paper EE card or the beige identification cards for pregnant women as proof of enrollment for the dates provided on the cards. Providers may use Wisconsin's EVS (Enrollment Verification System) to verify enrollment for DOS (dates of service) after those printed on the card. Providers are encouraged to keep a photocopy of the card.

# Sample Express Enrollment Cards

| Which benefit?   | Status of your benefits?   |
|--|--|
|  <p>BadgerCare Plus temporary enrollment for pregnant women</p> | <p>You applied for BadgerCare Plus Express Enrollment on <b>06/26/2008</b>. You are temporarily enrolled in BadgerCare Plus for outpatient pregnancy-related services. Your enrollment will end on or before <b>07/31/2008</b>. To learn more, see your Rights and Responsibilities.</p> <p>To get regular BadgerCare Plus or Wisconsin Medicaid, you must apply online, by mail or in person:</p> <ul style="list-style-type: none"> <li>• Online at <a href="http://access.wi.gov">http://access.wi.gov</a></li> <li>• By mail or in person at:<br/>                     Dane County Job Center<br/>                     1819 Aberg Ave.<br/>                     Madison, WI 53704<br/>                     (608) 242-7400</li> </ul> |
| <p><i>To learn more, see your Rights and Responsibilities.</i></p>   |  |

|  |   |
|--|---|
| <p><b>To the Provider</b></p> <p>The individual listed has been temporarily enrolled through BadgerCare Plus Express Enrollment in accordance with Wis. Stat. s. 49.471. This card entitles this individual to receive pregnancy related outpatient care including pharmacy services through BadgerCare Plus from any certified BadgerCare Plus provider for the period specified on this card. (See card effective dates.) For additional information, call Provider Services at (800) 947-9627 or see the All Provider Handbook.</p> <p><b>NOTE:</b></p> <p>It is important to provide services when this card is presented. Providers who render services based on the enrollment dates on this card will receive payment for those services as long as other reimbursement requirements are met. All policies regarding covered services apply during the temporary enrollment period, including the prohibition against billing recipients. Refer to the All Provider Handbook for further information regarding this temporary ID card. Providers are encouraged to keep a photocopy of this card.</p> | <p>WISCONSIN DEPARTMENT OF HEALTH AND FAMILY SERVICES</p>  <p><b>IDENTIFICATION CARD FOR TEMPORARY ENROLLMENT IN BADGERCARE PLUS FOR PREGNANT WOMEN</b></p> <p><b>Name:</b> Jane Smith      <b>ID Number:</b> 0454782131</p> <p><b>Effective Dates:</b> 06/26/2008- 07/31/2008</p> |
|--|---|

| Which benefit?   | Status of your benefits?   |
|--|--|
|  <p>BadgerCare Plus temporary enrollment for children</p> | <p>You applied for BadgerCare Plus Express Enrollment on <b>06/26/2008</b>. The following individual(s) is/are temporarily enrolled in BadgerCare Plus:</p> <ul style="list-style-type: none"> <li>• <b>Joe Smith</b></li> <li>• <b>Sara Smith</b></li> </ul> <p>This temporary enrollment will end on or before <b>07/31/2008</b>. To learn more, see your Rights and Responsibilities. In order to continue receiving BadgerCare Plus you must apply through one of the following methods:</p> <ul style="list-style-type: none"> <li>• Online at <a href="http://access.wi.gov">http://access.wi.gov</a></li> <li>• By mail or in person at:<br/>                     Dane County Job Center<br/>                     1819 Aberg Ave.<br/>                     Madison, WI 53704<br/>                     (608) 242-7400</li> </ul> |
| <p><i>To learn more, see your Rights and Responsibilities.</i></p>   |  |

|  |  |              |                   |           |            |            |            |
|--|--|--------------|-------------------|-----------|------------|------------|------------|
| <p><b>To the Provider</b></p> <p>The children listed have been temporarily enrolled through BadgerCare Plus Express Enrollment in accordance with Wis. Stat. s. 49.471. This card entitles this individual to receive services through BadgerCare Plus from any certified BadgerCare Plus provider for the period specified on this card. (See card effective dates.) For additional information, call Provider Services at (800) 947-9627 or see the All Provider Handbook.</p> <p><b>NOTE:</b></p> <p>It is important to provide services when this card is presented. Providers who render services based on the enrollment dates on this card will receive payment for those services as long as other reimbursement requirements are met. All policies regarding covered services apply during the temporary enrollment period, including the prohibition against billing recipients. Refer to the All Provider Handbook for further information regarding this temporary ID card. Providers are encouraged to keep a photocopy of this card.</p> | <p>WISCONSIN DEPARTMENT OF<br/>HEALTH AND FAMILY SERVICES</p>  <p><b>IDENTIFICATION CARD FOR<br/>TEMPORARY ENROLLMENT<br/>IN BADGERCARE PLUS<br/>FOR CHILDREN</b></p> <table> <tr> <td><b>Name:</b></td> <td><b>ID Number:</b></td> </tr> <tr> <td>Joe Smith</td> <td>0321434543</td> </tr> <tr> <td>Sara Smith</td> <td>0787451231</td> </tr> </table> <p><b>Effective Dates: 06/26/2008- 07/31/2008</b></p> | <b>Name:</b> | <b>ID Number:</b> | Joe Smith | 0321434543 | Sara Smith | 0787451231 |
| <b>Name:</b>   | <b>ID Number:</b>  |              |                   |           |            |            |            |
| Joe Smith  | 0321434543   |              |                   |           |            |            |            |
| Sara Smith   | 0787451231   |              |                   |           |            |            |            |

Topic #1435

## Types of Identification Cards

ForwardHealth members receive an identification card upon initial eligibility determination. Identification cards may be in any of the following formats:

- White plastic ForwardHealth cards.
- White plastic ForwardHealth Core Plan cards.
- White plastic ForwardHealth Basic Plan cards.
- White plastic SeniorCare cards.
- Paper printout temporary card for EE (Express Enrollment) for children.
- Paper printout temporary card for EE for pregnant women.
- Beige paper temporary card for EE for pregnant women.
- White paper TE (Temporary Enrollment) for Family Planning Only Services cards.

## Misuse and Abuse of Benefits

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Topic #271

### Examples of Member Abuse or Misuse

Examples of member abuse or misuse are included in [DHS 104.02\(5\)](#), Wis. Admin. Code.

Topic #274

## Pharmacy Services Lock-In Program

### Overview of the Pharmacy Services Lock-In Program

The purpose of the Pharmacy Services Lock-In Program is to coordinate the provision of health care services for members who abuse or misuse Medicaid, BadgerCare Plus, or SeniorCare benefits by seeking duplicate or medically unnecessary services, particularly for controlled substances. The Pharmacy Services Lock-In Program focuses on the abuse or misuse of prescription benefits for controlled substances. Abuse or misuse is defined under Recipient Duties in [DHS 104.02](#), Wis. Admin. Code.

Coordination of member health care services is intended to:

- Curb the abuse or misuse of controlled substance medications.
- Improve the quality of care for a member.
- Reduce unnecessary physician utilization.

The Pharmacy Services Lock-In Program focuses on the abuse or misuse of prescription benefits for controlled substances. Abuse or misuse is defined under Recipient Duties in [DHS 104.02](#), Wis. Admin. Code. The abuse and misuse definition includes:

- Not duplicating or altering prescriptions.
- Not feigning illness, using false pretense, providing incorrect enrollment status, or providing false information to obtain service.
- Not seeking duplicate care from more than one provider for the same or similar condition.
- Not seeking medical care that is excessive or not medically necessary.

The Pharmacy Services Lock-In Program applies to members in fee-for-service as well as members enrolled in Medicaid SSI (Supplemental Security Income) HMOs (health maintenance organizations) and BadgerCare Plus HMOs. Members remain enrolled in the Pharmacy Services Lock-In Program for two years and are continuously monitored for their prescription drug usage. At the end of the two-year enrollment period, an assessment is made to determine if the member should continue enrollment in the Pharmacy Services Lock-In Program.

Members enrolled in the Pharmacy Services Lock-In Program will be locked into one pharmacy where prescriptions for restricted medications must be filled and one prescriber who will prescribe restricted medications. [Restricted medications](#) are most controlled substances, carisoprodol, and tramadol. Referrals will be required only for restricted medication services.

Fee-for-service members enrolled in the Pharmacy Services Lock-In Program may choose physicians and pharmacy providers from whom to receive prescriptions and medical services not related to restricted medications. Members enrolled in an HMO must comply with the HMO's policies regarding care that is not related to restricted medications.

Referrals of members as candidates for lock-in are received from retrospective DUR (Drug Utilization Review), physicians, pharmacists, other providers, and through automated surveillance methods. Once a referral is received, six months of pharmacy claims and diagnoses data are reviewed. A recommendation for one of the following courses of action is then made:

- No further action.
- Send an intervention letter to the physician.
- Send a warning letter to the member.
- Enroll the member in the Pharmacy Services Lock-In Program.

Medicaid, BadgerCare Plus, and SeniorCare members who are candidates for enrollment in the Pharmacy Services Lock-In Program are sent a letter of intent, which explains the restriction that will be applied, how to designate a primary prescriber and a pharmacy, and how to request a hearing if they wish to contest the decision for enrollment (i.e., due process). If a member fails to designate providers, the Pharmacy Services Lock-In Program may assign providers based on claims' history. In the letter of intent, members are also informed that access to emergency care is not restricted.

Letters of notification are sent to the member and to the lock-in primary prescriber and pharmacy. Providers may designate alternate prescribers or pharmacies for restricted medications, as appropriate. Members remain in the Pharmacy Services Lock-In Program for two years. The primary lock-in prescriber and pharmacy may make referrals for specialist care or for care that they are otherwise unable to provide (e.g., home infusion services). The member's utilization of services is reviewed prior to release from the Pharmacy Services Lock-In Program, and lock-in providers are notified of the member's release date.

## Excluded Drugs

The following scheduled drugs will be excluded from monitoring by the Pharmacy Services Lock-In Program:

- Anabolic steroids.
- Barbiturates used for seizure control.
- Lyrica<sup>®</sup>.
- Provigil<sup>®</sup> and Nuvigil<sup>®</sup>.
- Weight loss drugs.

## Pharmacy Services Lock-In Program Administrator

The Pharmacy Services Lock-In Program is administered by HID (Health Information Designs, Inc.). HID may be contacted by telephone at (800) 225-6998, extension 3045, by fax at (800) 881-5573, or by mail at the following address:

Pharmacy Services Lock-In Program  
c/o Health Information Designs  
391 Industry Dr  
Auburn AL 36832

## Pharmacy Services Lock-In Prescribers Are Required to Be Enrolled in Wisconsin Medicaid

To prescribe restricted medications for Pharmacy Services Lock-In Program members, prescribers are required to be [enrolled in Wisconsin Medicaid](#). Enrollment for the Pharmacy Services Lock-In Program is not separate from enrollment in Wisconsin Medicaid.

## Role of the Lock-In Prescriber and Pharmacy Provider

The Lock-In prescriber determines what restricted medications are medically necessary for the member, prescribes those



medications using his or her professional discretion, and designates an alternate prescriber if needed. If the member requires an alternate prescriber to prescribe restricted medications, the primary prescriber should complete the [Pharmacy Services Lock-In Program Designation of Alternate Prescriber for Restricted Medication Services \(F-11183 \(12/10\)\)](#) form and return it to the Pharmacy Services Lock-In Program and to the member's HMO, if applicable.

To coordinate the provision of medications, the Lock-In prescriber may also contact the Lock-In pharmacy to give the pharmacist(s) guidelines as to which medications should be filled for the member and from whom. The primary Lock-In prescriber should also coordinate the provision of medications with any other prescribers he or she has designated for the member.

The Lock-In pharmacy fills prescriptions for restricted medications that have been written by the member's Lock-In prescriber(s) and works with the Lock-In prescriber(s) to ensure the member's drug regimen is consistent with the overall care plan. The Lock-In pharmacy may fill prescriptions for medications from prescribers other than the Lock-In prescriber only for medications not on the list of restricted medications. If a pharmacy claim for a restricted medication is submitted from a provider who is not a designated Lock-In prescriber, the claim will be denied.

## Designated Lock-In Pharmacies

The Pharmacy Services Lock-In Program pharmacy fills prescriptions for restricted medications that have been written by the member's Lock-In prescriber(s) and works with the Lock-In prescriber(s) to ensure the member's drug regimen is consistent with the overall care plan. The Lock-In pharmacy may fill prescriptions for medications from prescribers other than the Lock-In prescriber only for medications not on the list of restricted medications. If a pharmacy claim for a restricted medication is submitted from a provider who is not a designated Lock-In prescriber, the claim will be denied.

## Alternate Providers for Members Enrolled in the Pharmacy Services Lock-In Program

Members enrolled in the Pharmacy Services Lock-In Program do not have to visit their Lock-In prescriber to receive medical services unless an HMO requires a primary care visit. Members may see other providers to receive medical services; however, other providers cannot prescribe restricted medications for Pharmacy Services Lock-In Program members unless specifically designated to do so by the primary Lock-In prescriber. For example, if a member sees a cardiologist, the cardiologist may prescribe a statin for the member, but the cardiologist may not prescribe restricted medications unless he or she has been designated by the Lock-In prescriber as an alternate provider.

A referral to an alternate provider for a Pharmacy Services Lock-In Program member is necessary only when the member needs to obtain a prescription for a restricted medication from a provider other than his or her Lock-In prescriber or Lock-In pharmacy.

If the member requires alternate prescribers to prescribe restricted medications, the primary Lock-In prescriber is required to complete the Pharmacy Services Lock-In Program Designation of Alternate Prescriber for Restricted Medication Services form. Referrals for fee-for-service members must be on file with the Pharmacy Services Lock-In Program. Referrals for HMO members must be on file with the Pharmacy Service Lock-In Program and the member's HMO.

Designated alternate prescribers are required to be enrolled in Wisconsin Medicaid.

## Claims from Providers Who Are Not Designated Pharmacy Services Lock-In Providers

If the member brings a prescription for a restricted medication from a non-Lock-In prescriber to the designated Lock-In pharmacy, the pharmacy provider cannot fill the prescription.

If a pharmacy claim for a restricted medication is submitted from a provider who is not the designated Lock-In prescriber, alternate prescriber, Lock-In pharmacy, or alternate pharmacy, the claim will be denied. If a claim is denied because the

prescription is not from a designated Lock-In prescriber, the Lock-In pharmacy provider cannot dispense the drug or collect a cash payment from the member because the service is a nonreimbursable service. However, the Lock-In pharmacy provider may contact the Lock-In prescriber to request a new prescription for the drug, if appropriate.

To determine if a provider is on file with the Pharmacy Services Lock-In Program, the Lock-In pharmacy provider may do one of the following:

- Speak to the member.
- Call HID.
- Call Provider Services.
- Use the ForwardHealth Portal.

Claims are not reimbursable if the designated Lock-In prescriber, alternate Lock-In prescriber, Lock-In pharmacy, or alternate Lock-In pharmacy provider is not on file with the Pharmacy Services Lock-In Program.

## Exceptions

Certain exceptions will be made regarding Pharmacy Services Lock-In Program requirements. The following are exempt from Pharmacy Services Lock-In Program requirements:

- Out-of-state providers who are not enrolled in Wisconsin Medicaid.
- Administration of drugs during an emergency room visit.

If a member enrolled in the Pharmacy Services Lock-In Program presents a prescription for a restricted medication from an emergency room visit or an out-of-state provider, the pharmacist at the Lock-In pharmacy must attempt to contact the Lock-In prescriber to verify the appropriateness of filling the prescription. If the pharmacy provider is unable to contact the Lock-In prescriber, the pharmacist should use his or her professional judgment to determine whether or not the prescription should be filled. If the prescription is filled, the claim must be submitted on paper using the [Pharmacy Special Handling Request \(F-13074 \(07/12\)\)](#) form.

The ForwardHealth emergency medication dispensing policy does not apply to the Pharmacy Services Lock-In Program. Drugs dispensed in an emergency to Pharmacy Services Lock-In Program members are nonreimbursable services except as noted above. Providers cannot collect payment from Pharmacy Services Lock-In Program members for nonreimbursable services.

## For More Information

Providers may call HID with questions about the Pharmacy Services Lock-In Program. Pharmacy providers may also refer to the list of restricted medications data table or call Provider Services with questions about the following:

- Drugs that are restricted for Pharmacy Services Lock-In Program members.
- A member's enrollment in the Pharmacy Services Lock-In program.
- A member's designated Lock-In prescriber or Lock-In pharmacy.

Topic #273

## Providers May Refuse to Provide Services

Providers may refuse to provide services to a BadgerCare Plus or Medicaid member in situations when there is reason to believe that the person presenting the ForwardHealth identification card is misusing or abusing it.

Members who abuse or misuse BadgerCare Plus or Wisconsin Medicaid benefits or their ForwardHealth card may have their

benefits terminated or be subject to limitations under the [Pharmacy Services Lock-In Program](#) or to criminal prosecution.

Topic #275

## Requesting Additional Proof of Identity

Providers may request additional proof of identity from a member if they suspect fraudulent use of a ForwardHealth identification card. If another form of identification is not available, providers can compare a person's signature with the signature on the back of the ForwardHealth identification card if it is signed. (Adult members are encouraged to sign the back of their cards; however, it is not mandatory for members to do so.)

Verifying member identity, as well as enrollment, can help providers detect instances of fraudulent ForwardHealth card use.

## Special Enrollment Circumstances

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Topic #276

### Medicaid Members from Other States

Wisconsin Medicaid does not pay for services provided to members enrolled in other state Medicaid programs. Providers are advised to contact [other state Medicaid programs](#) to determine whether the service sought is a covered service under that state's Medicaid program.

Topic #279

### Members Traveling Out of State

When a member travels out of state but is within the United States (including its territories), Canada, or Mexico, BadgerCare Plus and Wisconsin Medicaid cover medical services in any of the following circumstances:

- An emergency illness or accident.
- When the member's health would be endangered if treatment were postponed.
- When the member's health would be endangered if travel to Wisconsin were undertaken.
- When PA (prior authorization) has been granted to the out-of-state provider for provision of a nonemergency service.
- When there are coinsurance, copayment, or deductible amounts remaining after Medicare payment or approval for dual eligibles.

*Note:* Some providers located in a state that borders Wisconsin may be Wisconsin Medicaid enrolled as a [border-status provider](#) if the provider notifies ForwardHealth in writing that it is common practice for members in a particular area of Wisconsin to seek his or her medical services. Border-status providers follow the same policies as Wisconsin providers.

Topic #277

### Non-U.S. Citizens — Emergency Services

Certain non-U.S. citizens who are not qualified aliens are eligible for services only in cases of acute emergency medical conditions. Providers should use the appropriate diagnosis code to document the nature of the emergency.

An emergency medical condition is a medical condition manifesting itself by acute symptoms of such severity that one could reasonably expect the absence of immediate medical attention to result in the following:

- Placing the person's health in serious jeopardy.
- Serious impairment to bodily functions.
- Serious dysfunction of any bodily organ or part.

Due to federal regulations, BadgerCare Plus and Wisconsin Medicaid do not cover services for non-U.S. citizens who are not qualified aliens related to routine prenatal or postpartum care, major organ transplants (e.g., heart, liver), or ongoing treatment for chronic conditions where there is no evidence of an acute emergent state. For the purposes of this policy, all labor and delivery is considered an emergency service.

*Note:* Babies born to certain non-qualifying immigrants are eligible for Medicaid enrollment under the CEN (continuously eligible newborn) option. However, babies born to women with incomes over 300 percent of the FPL (Federal Poverty Level) are not eligible for CEN status. The baby may still qualify for BadgerCare Plus. These mothers should report the birth to the local agencies within ten calendar days.

A provider who gives emergency care to a non-U.S. citizen should refer him or her to the local county or tribal agency or ForwardHealth outpost site for a determination of BadgerCare Plus enrollment. Providers may complete the [Certification of Emergency for Non-U.S. Citizens \(F-1162 \(02/09\)\)](#) form for clients to take to the local county or tribal agency in their county of residence where the BadgerCare Plus enrollment decision is made.

Providers should be aware that a client's enrollment does not guarantee that the services provided will be reimbursed by BadgerCare Plus.

Topic #278

## Persons Detained by Legal Process

Most individuals detained by legal process are *not* eligible for BadgerCare Plus or Wisconsin Medicaid benefits. Only those individuals who qualify for the [BadgerCare Plus Expansion for Certain Pregnant Women](#) may receive benefits.

"Detained by legal process" means a person who is incarcerated (including some Huber Law prisoners) because of law violation or alleged law violation, which includes misdemeanors, felonies, delinquent acts, and day-release prisoners. The justice system oversees health care-related needs for individuals detained by legal process who do not qualify for the BadgerCare Plus Expansion for Certain Pregnant Women.

Topic #280

## Retroactive Enrollment

Retroactive enrollment occurs when an individual has applied for BadgerCare Plus or Medicaid and enrollment is granted with an effective date prior to the date the enrollment determination was made. A member's enrollment may be backdated to allow retroactive coverage for medical bills incurred prior to the date of application.

The retroactive enrollment period may be backdated up to three months prior to the month of application if all enrollment requirements were met during the period. Enrollment may be backdated more than three months if there were delays in determining enrollment or if court orders, fair hearings, or appeals were involved.

## Reimbursing Members in Cases of Retroactive Enrollment

When a member receives retroactive enrollment, he or she has the right to request the return of payments made to a Medicaid-enrolled provider for a covered service during the period of retroactive enrollment, according to [DHS 104.01\(11\)](#), Wis. Admin. Code. A Medicaid-enrolled provider is required to submit claims to Medicaid for covered services provided to a member during periods of retroactive enrollment. Medicaid cannot directly refund the member.

If a service(s) that requires PA (prior authorization) was performed during the member's period of retroactive enrollment, the provider is required to submit a PA request and receive approval from Medicaid *before* submitting a claim.

If a provider receives reimbursement from Medicaid for services provided to a retroactively enrolled member and the member has paid for the service, the provider is required to reimburse the member or authorized person acting on behalf of the member (e.g., local General Relief agency) the full amount that the member paid for the service.

If a claim cannot be filed within 365 days of the DOS (date of service) due to a delay in the determination of a member's retroactive enrollment, the provider is required to submit the claim to Timely Filing within 180 days of the date the retroactive enrollment is entered into Wisconsin's EVS (Enrollment Verification System) (if the services provided during the period of retroactive enrollment were covered).

Topic #281

## Spendedown to Meet Financial Enrollment Requirements

Occasionally, an individual with significant medical bills meets all enrollment requirements except those pertaining to income. These individuals are required to "spendedown" their income to meet financial enrollment requirements.

The certifying agency calculates the individual's spenddown (or deductible) amount, tracks all medical costs the individual incurs, and determines when the medical costs have satisfied the spenddown amount. (A payment for a medical service does not have to be made by the individual to be counted toward satisfying the spenddown amount.)

When the individual meets the spenddown amount, the certifying agency notifies ForwardHealth and the provider of the last service that the individual is eligible beginning on the date that the spenddown amount was satisfied.

If the individual's last medical bill is greater than the amount needed to satisfy the spenddown amount, the certifying agency notifies the affected provider by indicating the following:

- The individual is eligible for benefits as of the DOS (date of service) on the last bill.
- A claim for the service(s) on the last bill should be submitted to ForwardHealth. (The claim should indicate the full cost of the service.)
- The portion of the last bill that the individual must pay to the provider.

The certifying agency also informs ForwardHealth of the individual's enrollment and identifies the following:

- The DOS of the final charges counted toward satisfying the spenddown amount.
- The provider number of the provider of the last service.
- The spenddown amount remaining to be satisfied.

When the provider submits the claim, the spenddown amount will automatically be deducted from the provider's reimbursement for the claim. The spenddown amount is indicated in the Member's Share element on the [Medicaid Remaining Deductible Update \(F-10109 \(07/08\)\)](#) form sent to providers by the member's certifying agency. The provider's reimbursement is then reduced by the amount of the member's obligation.

# Prior Authorization

# 6

Archive Date:03/01/2013

## Prior Authorization:Decisions

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Topic #424

### Approved Requests

PA (prior authorization) requests are approved for varying periods of time based on the clinical justification submitted. The provider receives a copy of a PA decision notice when a PA request for a service is approved. Providers may then begin providing the approved service on the grant date given.

An approved request means that the requested *service*, not necessarily the code, was approved. For example, a similar procedure code may be substituted for the originally requested procedure code. Providers are encouraged to review approved PA requests to confirm the services authorized and confirm the assigned grant and expiration dates.

### Listing Procedure Codes Approved as a Group on the Decision Notice Letter

In certain circumstances, ForwardHealth will approve a PA request for a group of procedure codes with a total quantity approved for the entire group. When this occurs, the quantity approved for the entire group of codes will be indicated with the first procedure code. All of the other approved procedure codes within the group will indicate a quantity of zero.

Providers may submit claims for any combination of the procedure codes in the group up to the approved quantity.

Topic #4724

### Communicating Prior Authorization Decisions

ForwardHealth will make a decision regarding a provider's PA (prior authorization) request within 20 working days from the receipt of all the necessary information. After processing the PA request, ForwardHealth will send the provider either a decision notice letter or a returned provider review letter. Providers will receive a decision notice letter for PA requests that were approved, approved with modifications, or denied. Providers will receive a returned provider review letter for PA requests that require corrections or additional information. The decision notice letter or returned provider review letter will clearly indicate what is approved or what correction or additional information ForwardHealth needs to continue adjudicating the PA request.

Providers submitting PA requests via the ForwardHealth Portal will receive a decision notice letter or returned provider review letter via the Portal.

If the provider submitted a PA request via [mail](#) or [fax](#) and the provider has a Portal account, the decision notice letter or returned provider review letter will be sent to the provider via the Portal as well as by mail.

If the provider submitted a paper PA request via mail or fax and does not have a Portal account, the decision notice letter or returned provider review letter will be sent to the address indicated in the provider's file as his or her PA address (or to the physical address if there is no PA address on file), *not* to the address the provider wrote on the PA request.

The decision notice letter or returned provider review letter will not be faxed back to providers who submitted their paper PA request via fax. Providers who submitted their paper PA request via fax will receive the decision notice letter or returned provider letter via mail.



Topic #5038

## Correcting Returned Prior Authorization Requests and Request Amendments on the Portal

If a provider received a returned provider review letter or an amendment provider review letter, he or she will be able to correct the errors identified on the returned provider review letter directly on the ForwardHealth Portal. Once the provider has corrected the error(s), the provider can resubmit the PA (prior authorization) request or amendment request via the Portal to ForwardHealth for processing.

Topic #5037

## Decision Notice Letters and Returned Provider Review Letters on the Portal

Providers can view PA (prior authorization) decision notices and provider review letters via the secure area of the ForwardHealth Portal. Prior authorization decision notices and provider review letters can be viewed when the PA is selected on the Portal.

*Note:* The PA decision notice or the provider review letter will not be available until the day after the PA request is processed by ForwardHealth.

Topic #425

## Denied Requests

When a PA (prior authorization) request is denied, both the provider and the member are notified. The provider receives a PA decision notice, including the reason for PA denial. The member receives a [Notice of Appeal Rights](#) letter that includes a brief statement of the reason PA was denied and information about his or her right to a fair hearing. Only the *member, or authorized person acting on behalf of the member*, can appeal the denial.

Providers may call [Provider Services](#) for clarification of why a PA request was denied.

Providers are required to discuss a denied PA request with the member and are encouraged to help the member understand the reason the PA request was denied.

Providers have three options when a PA request is denied:

- Not provide the service.
- Submit a *new* PA request. Providers are required to submit a copy of the original denied PA request and additional supporting clinical documentation and medical justification along with a new [PA/RF \(Prior Authorization Request Form, F-11018 \(07/12\)\)](#), [PA/DRF \(Prior Authorization/Dental Request Form, F-11035 \(07/12\)\)](#), or [PA/HIAS1 \(Prior Authorization for Hearing Instrument and Audiological Services 1, F-11020 \(07/12\)\)](#).
- Provide the service as a noncovered service.

If the member does not appeal the decision to deny the PA request or appeals the decision but the decision is upheld and the member chooses to receive the service anyway, the member may choose to receive the service(s) as a [noncovered service](#).

## Sample Notice of Appeal Rights Letter

|  |  |
|--|--|
| <b>&lt;Month DD, CCYY&gt;</b>                  |  |
| <b>&lt;sequence number&gt;</b>                 |  |
| <b>&lt;RecipName&gt;</b>                       | <b>Member Identification Number:</b>         |
| <b>&lt;RecipAddressLine1&gt;</b>               | <b>&lt;XXX-XX-XXXXXX&gt;</b>                 |
| <b>&lt;RecipAddressLine2&gt;</b>               | <b>Local County or Tribal Agency</b>         |
| <b>&lt;RecipCity&gt; &lt;RecipStateZip&gt;</b> | <b>Telephone Number: &lt;AgencyPhone&gt;</b> |

<PROGRAM NAME> Notice of Appeal Rights

Appeal Date: <AppealDate>

In <PROGRAM NAME>, certain services and products must be reviewed and approved before payment can be made for them. This review process is called prior authorization (PA). The purposes of this letter are to notify you that <PROGRAM NAME> has either denied or modified a request for prior authorization of a service or product that was submitted on your behalf and to inform you of your right to appeal that decision.

Your provider <ProviderName> requested prior authorization for the following service(s):

| Service Code | Modifier       | Service Description  | Unit     | Dollar   |
|--------------|----------------|--|----------|----------|
| XXXXXXXXXX   | XX XX<br>XX XX | XXXXXXXXXXXXXXXXXXXXXXXXXXXX<br>XXXXXXXXXXXXXXXXXXXXXXXXXXXX<br>XXXXXXXXXXXXXXXXXXXXXXXXXXXX | XXXXX.XX | XXXXX.XX |
| XXXXXXXXXX   | XX XX<br>XX XX | XXXXXXXXXXXXXXXXXXXXXXXXXXXX<br>XXXXXXXXXXXXXXXXXXXXXXXXXXXX<br>XXXXXXXXXXXXXXXXXXXXXXXXXXXX | XXXXX.XX | XXXXX.XX |

<ServiceNN>

That prior authorization request, PA number <PANumber>, was reviewed by <PROGRAM NAME> medical consultants. Based on that review, the following services have been denied or modified as follows.

Denied Services

| Service Code | Modifier       | Service Description  | Unit     | Dollar   |
|--------------|----------------|--|----------|----------|
| XXXXXXXXXXXX | XX XX<br>XX XX | XXXXXXXXXXXXXXXXXXXXXXXXXXXX<br>XXXXXXXXXXXXXXXXXXXXXXXXXXXX<br>XXXXXXXXXXXXXXXXXXXXXXXXXXXX | XXXXX.XX | XXXXX.XX |
| XXXXXXXXXXXX | XX XX<br>XX XX | XXXXXXXXXXXXXXXXXXXXXXXXXXXX<br>XXXXXXXXXXXXXXXXXXXXXXXXXXXX<br>XXXXXXXXXXXXXXXXXXXXXXXXXXXX | XXXXX.XX | XXXXX.XX |

<DeniedServiceNN>

Modified Services

| Service Code | Modifier       | Service Description  | Unit     | Dollar   |
|--------------|----------------|--|----------|----------|
| XXXXXXXXXXXX | XX XX<br>XX XX | XXXXXXXXXXXXXXXXXXXXXXXXXXXX<br>XXXXXXXXXXXXXXXXXXXXXXXXXXXX<br>XXXXXXXXXXXXXXXXXXXXXXXXXXXX | XXXXX.XX | XXXXX.XX |
| XXXXXXXXXXXX | XX XX<br>XX XX | XXXXXXXXXXXXXXXXXXXXXXXXXXXX<br>XXXXXXXXXXXXXXXXXXXXXXXXXXXX<br>XXXXXXXXXXXXXXXXXXXXXXXXXXXX | XXXXX.XX | XXXXX.XX |

<ModifiedServiceNN>

<PROGRAM NAME>'s denial or modification of the services requested was made for the following reasons:

(Denial/modify code(s) will be inserted here)

<PROGRAM NAME> bases its decisions on criteria found in the Wisconsin Administrative Code. <PROGRAM NAME> may modify or deny a prior authorization request if one or more of the criteria are not supported by documentation submitted by your provider. The specific regulation(s) that supports the reason for the denial/modification of your provider's request for services is found in the following Wisconsin Administrative Code:

(Wis. Admin. Code Regulation(s) will be inserted here)

We have sent your provider the denied/modified prior authorization request. We encourage you to contact <Provider Name> to review the prior authorization request and the reasons for the decision.

## Your Rights and Responsibilities

You or your designated representative may appeal this decision in accordance with state and federal law within <RecipientDays> days. To file an appeal, you may do one of the following:

- 1) Call your local county or tribal agency at the telephone number listed on the first page of this letter for an appeal form and/or assistance in completing it.
- 2) Write a letter requesting an appeal to the Division of Hearings and Appeals at the following address:

Division of Hearings and Appeals  
 Department of Administration  
 PO Box 7875  
 Madison WI 53707-7875

The appeal form or letter should include all of the following:

- The name, address, and telephone number of the <PROGRAM NAME> member for whom the appeal is being made.
- The member identification number.
- The prior authorization number <PANumber> of the denied/modified request.
- The reason you think the denial or modification of the prior authorization is wrong.

**REMEMBER:** You must mail or deliver your appeal to your local county or tribal agency or the Division of Hearings and Appeals so it is received by the <RecipientDays>-day deadline, which is <AppealDate>.

You will lose your right to an appeal if your request to appeal is not received by the local county or tribal agency or the Division of Hearings and Appeals by <AppealDate>.

If you file an appeal, you may expect the following to occur:

- The state Division of Health Care Access and Accountability will be required to explain, in writing, the reason(s) for the denial or modification of the services your provider requested. This explanation will be mailed to you.
- The Division of Hearings and Appeals will schedule a hearing to consider your appeal and will notify you of the time and place by mail. Hearings are generally held at your local county or tribal agency. You may want to ask your local county or tribal agency if there is free legal help available in your area.
- At that hearing, you (or you may choose a friend, relative, attorney, provider, etc., to represent you) will have an opportunity to explain your need for the service to a hearing officer. Division of Health Care Access and Accountability staff may also appear in person or participate by telephone.
- Based on all the information available, the hearing officer will make a decision on your appeal, notify you of the decision by mail, and advise you of any additional appeal rights.

Whether or not you appeal, <PROGRAM NAME> will pay for any services it has approved. After the hearing officer makes a decision on your appeal, <PROGRAM NAME> will continue to pay for the approved services plus any additional services the hearing officer directs <PROGRAM NAME> to pay.

If you need information about accommodation for a disability or for language translation, please call 1-608-266-3096 (voice) or 1-608-264-9853 (TTY) immediately so arrangements can be made. The staff at these numbers will not be able to provide you with information about the reasons for Wisconsin <PROGRAM NAME>'s decision to deny or modify the prior authorization request. These telephone numbers at the Division of Hearings and Appeals should only be used for questions about the hearing process.

F-11194 (10/08)

Topic #426

## Modified Requests

Modification is a change in the services originally requested on a PA (prior authorization) request. Modifications could include, but are not limited to, either of the following:

- The authorization of a procedure code different than the one originally requested.
- A change in the frequency or intensity of the service requested.

When a PA request is modified, both the provider and the member are notified. The provider will be sent a decision notice letter. The decision notice letter will clearly indicate what is approved or what correction or additional information is needed to continue adjudicating the PA request. The member receives a [Notice of Appeal Rights](#) letter that includes a brief statement of the reason PA was modified and information on his or her right to a fair hearing. Only the *member, or authorized person acting on behalf of the member*, can appeal the modification.

Providers are required to discuss with the member the reasons a PA request was modified.

Providers have the following options when a PA request is approved with modification:

- Provide the service as authorized.
- Submit a request to amend the modified PA request. Additional supporting clinical documentation and medical justification must be included.
- Not provide the service.
- Provide the service as originally requested as a noncovered service.

If the member does not appeal the decision to modify the PA request or appeals the decision but the decision is upheld and the member chooses to receive the originally requested service anyway, the member may choose to receive the service(s) as a [noncovered service](#).

Providers may call [Provider Services](#) for clarification of why a PA request was modified.

## Sample Notice of Appeal Rights Letter

|  |  |
|--|--|
| <b>&lt;Month DD, CCYY&gt;</b>                  |  |
| <b>&lt;sequence number&gt;</b>                 |  |
| <b>&lt;RecipName&gt;</b>                       | <b>Member Identification Number:</b>         |
| <b>&lt;RecipAddressLine1&gt;</b>               | <b>&lt;XXX-XX-XXXXXX&gt;</b>                 |
| <b>&lt;RecipAddressLine2&gt;</b>               | <b>Local County or Tribal Agency</b>         |
| <b>&lt;RecipCity&gt; &lt;RecipStateZip&gt;</b> | <b>Telephone Number: &lt;AgencyPhone&gt;</b> |

<PROGRAM NAME> Notice of Appeal Rights

Appeal Date: <AppealDate>

In <PROGRAM NAME>, certain services and products must be reviewed and approved before payment can be made for them. This review process is called prior authorization (PA). The purposes of this letter are to notify you that <PROGRAM NAME> has either denied or modified a request for prior authorization of a service or product that was submitted on your behalf and to inform you of your right to appeal that decision.

Your provider <ProviderName> requested prior authorization for the following service(s):

| Service Code | Modifier       | Service Description  | Unit     | Dollar   |
|--------------|----------------|--|----------|----------|
| XXXXXXXXXX   | XX XX<br>XX XX | XXXXXXXXXXXXXXXXXXXXXXXXXXXX<br>XXXXXXXXXXXXXXXXXXXXXXXXXXXX<br>XXXXXXXXXXXXXXXXXXXXXXXXXXXX | XXXXX.XX | XXXXX.XX |
| XXXXXXXXXX   | XX XX<br>XX XX | XXXXXXXXXXXXXXXXXXXXXXXXXXXX<br>XXXXXXXXXXXXXXXXXXXXXXXXXXXX<br>XXXXXXXXXXXXXXXXXXXXXXXXXXXX | XXXXX.XX | XXXXX.XX |

<ServiceNN>

That prior authorization request, PA number <PANumber>, was reviewed by <PROGRAM NAME> medical consultants. Based on that review, the following services have been denied or modified as follows.

Denied Services

| Service Code | Modifier       | Service Description  | Unit     | Dollar   |
|--------------|----------------|--|----------|----------|
| XXXXXXXXXXXX | XX XX<br>XX XX | XXXXXXXXXXXXXXXXXXXXXXXXXXXX<br>XXXXXXXXXXXXXXXXXXXXXXXXXXXX<br>XXXXXXXXXXXXXXXXXXXXXXXXXXXX | XXXXX.XX | XXXXX.XX |
| XXXXXXXXXXXX | XX XX<br>XX XX | XXXXXXXXXXXXXXXXXXXXXXXXXXXX<br>XXXXXXXXXXXXXXXXXXXXXXXXXXXX<br>XXXXXXXXXXXXXXXXXXXXXXXXXXXX | XXXXX.XX | XXXXX.XX |

<DeniedServiceNN>

Modified Services

| Service Code | Modifier       | Service Description  | Unit     | Dollar   |
|--------------|----------------|--|----------|----------|
| XXXXXXXXXXXX | XX XX<br>XX XX | XXXXXXXXXXXXXXXXXXXXXXXXXXXX<br>XXXXXXXXXXXXXXXXXXXXXXXXXXXX<br>XXXXXXXXXXXXXXXXXXXXXXXXXXXX | XXXXX.XX | XXXXX.XX |
| XXXXXXXXXXXX | XX XX<br>XX XX | XXXXXXXXXXXXXXXXXXXXXXXXXXXX<br>XXXXXXXXXXXXXXXXXXXXXXXXXXXX<br>XXXXXXXXXXXXXXXXXXXXXXXXXXXX | XXXXX.XX | XXXXX.XX |

<ModifiedServiceNN>

<PROGRAM NAME>'s denial or modification of the services requested was made for the following reasons:

(Denial/modify code(s) will be inserted here)

<PROGRAM NAME> bases its decisions on criteria found in the Wisconsin Administrative Code. <PROGRAM NAME> may modify or deny a prior authorization request if one or more of the criteria are not supported by documentation submitted by your provider. The specific regulation(s) that supports the reason for the denial/modification of your provider's request for services is found in the following Wisconsin Administrative Code:

(Wis. Admin. Code Regulation(s) will be inserted here)

We have sent your provider the denied/modified prior authorization request. We encourage you to contact <Provider Name> to review the prior authorization request and the reasons for the decision.

## Your Rights and Responsibilities

You or your designated representative may appeal this decision in accordance with state and federal law within <RecipientDays> days. To file an appeal, you may do one of the following:

- 1) Call your local county or tribal agency at the telephone number listed on the first page of this letter for an appeal form and/or assistance in completing it.
- 2) Write a letter requesting an appeal to the Division of Hearings and Appeals at the following address:

Division of Hearings and Appeals  
 Department of Administration  
 PO Box 7875  
 Madison WI 53707-7875

The appeal form or letter should include all of the following:

- The name, address, and telephone number of the <PROGRAM NAME> member for whom the appeal is being made.
- The member identification number.
- The prior authorization number <PANumber> of the denied/modified request.
- The reason you think the denial or modification of the prior authorization is wrong.

**REMEMBER:** You must mail or deliver your appeal to your local county or tribal agency or the Division of Hearings and Appeals so it is received by the <RecipientDays>-day deadline, which is <AppealDate>.

You will lose your right to an appeal if your request to appeal is not received by the local county or tribal agency or the Division of Hearings and Appeals by <AppealDate>.

If you file an appeal, you may expect the following to occur:

- The state Division of Health Care Access and Accountability will be required to explain, in writing, the reason(s) for the denial or modification of the services your provider requested. This explanation will be mailed to you.
- The Division of Hearings and Appeals will schedule a hearing to consider your appeal and will notify you of the time and place by mail. Hearings are generally held at your local county or tribal agency. You may want to ask your local county or tribal agency if there is free legal help available in your area.
- At that hearing, you (or you may choose a friend, relative, attorney, provider, etc., to represent you) will have an opportunity to explain your need for the service to a hearing officer. Division of Health Care Access and Accountability staff may also appear in person or participate by telephone.
- Based on all the information available, the hearing officer will make a decision on your appeal, notify you of the decision by mail, and advise you of any additional appeal rights.



Whether or not you appeal, <PROGRAM NAME> will pay for any services it has approved. After the hearing officer makes a decision on your appeal, <PROGRAM NAME> will continue to pay for the approved services plus any additional services the hearing officer directs <PROGRAM NAME> to pay.

If you need information about accommodation for a disability or for language translation, please call 1-608-266-3096 (voice) or 1-608-264-9853 (TTY) immediately so arrangements can be made. The staff at these numbers will not be able to provide you with information about the reasons for Wisconsin <PROGRAM NAME>'s decision to deny or modify the prior authorization request. These telephone numbers at the Division of Hearings and Appeals should only be used for questions about the hearing process.

F-11194 (10/08)

Topic #4737

## Returned Provider Review Letter Response Time

### Thirty Days to Respond to the Returned Provider Review Letter

ForwardHealth must receive the provider's response within 30 calendar days of the date on the returned provider review letter, whether the letter was sent to the provider by mail or through the ForwardHealth Portal. If the provider's response is received within 30 calendar days, ForwardHealth still considers the original receipt date on the PA (prior authorization) request when authorizing a grant date for the PA.

If ForwardHealth does not receive the provider's response within 30 calendar days of the date the returned provider review letter was sent, the PA status becomes inactive and the provider is required to submit a new PA request. This results in a later grant date if the PA request is approved. Providers will not be notified when their PA request status changes to inactive, but this information will be available on the Portal and through [WiCall](#).

If ForwardHealth receives additional information from the provider after the 30-day deadline has passed, a letter will be sent to the provider stating that the PA request is inactive and the provider is required to submit a new PA request.

Topic #427

## Returned Requests

A PA (prior authorization) request may be returned to the provider when forms are incomplete, inaccurate, or additional clinical information or corrections are needed. When this occurs, the provider will be sent a provider review letter.

### Returned Provider Review Letter

The returned provider review letter will indicate the PA number assigned to the request and will specify corrections or additional information needed on the PA request. Providers are required to make the corrections or supply the requested information in the space provided on the letter or attach additional information to the letter before mailing the letter to ForwardHealth. Providers can also correct PAs that have been placed in returned provider review status in the ForwardHealth Portal.

The provider's paper documents submitted with the PA request will not be returned to the provider when corrections or additional information are needed; however, X-rays and dental models will be returned once the PA is finalized.

Photographs submitted to ForwardHealth as additional supporting clinical documentation for PA requests will not be returned to providers and will be disposed of securely.

Therefore, providers are required to make a copy of their PA requests (including attachments and any supplemental information) before mailing the requests to ForwardHealth. The provider is required to have a copy on file for reference purposes if more information is required about the PA request.

*Note:* When changing or correcting the PA request, providers are reminded to revise or update the documentation retained in their records.

## Emergent and Urgent Situations

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Topic #429

### Emergency Services

In emergency situations, the PA (prior authorization) requirement may be waived for services that normally require PA. Emergency services are defined in [DHS 101.03\(52\)](#), Wis. Admin. Code, as "those services which are necessary to prevent the death or serious impairment of the health of the individual."

Reimbursement is not guaranteed for services that normally require PA that are provided in emergency situations. As with all covered services, emergency services must meet all [program requirements](#), including medical necessity, to be reimbursed by Wisconsin Medicaid. For example, reimbursement is contingent on, but not limited to, eligibility of the member, the circumstances of the emergency, and the medical necessity of the services provided.

Wisconsin Medicaid will not reimburse providers for noncovered services provided in any situation, including emergency situations.

Topic #430

### Urgent Services

Telephone consultations with DHCAA (Division of Health Care Access and Accountability) staff regarding a prospective PA (prior authorization) request can be given only in urgent situations when medically necessary. An urgent, medically necessary situation is one where a delay in authorization would result in undue hardship for the member or unnecessary costs for Medicaid as determined by the DHCAA. All telephone consultations for urgent services should be directed to the Quality Assurance and Appropriateness Review Section at (608) 266-2521. Providers should have the following information ready when calling:

- Member's name.
- Member identification number.
- Service(s) needed.
- Reason for the urgency.
- Diagnosis of the member.
- Procedure code of the service(s) requested.

Providers are required to submit a PA request to ForwardHealth within 14 calendar days after the date of the telephone consultation. PA may be denied if the request is received more than two weeks after the consultation. If the PA request is denied in this case, the provider cannot request payment from the member.

## Extension of Therapy vs. Spell of Illness

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Topic #2741

### Extension of Therapy Services Encouraged

PT (physical therapy), OT (occupational therapy), and SLP (speech and language pathology) providers are encouraged to request an extension of therapy services (instead of SOI (spell of illness)) when either of the following is true:

- The provider is unsure if the member has received, or is currently receiving, PT, OT, or SLP services from another provider for the current SOI.
- The member's need for PT, OT, or SLP services is expected to exceed the maximum allowable treatment days for that SOI.

Topic #2740

### Extension of Therapy Services Required

PT (physical therapy), OT (occupational therapy), and SLP (speech and language pathology) providers are required to request extension of therapy services (instead of SOI (spell of illness)) when any of the following are true:

- The onset of the member's condition occurred more than six weeks prior to the request for SOI.
- The combination of the ICD-9-CM (International Classification of Diseases, Ninth Revision, Clinical Modification) code for the PT, OT, or SLP services and the true statement from the PA/SOIA (Prior Authorization/Spell of Illness Attachment, F-11039 (07/12)) does not allow for SOI approval.
- The member's need for PT, OT, or SLP services has exceeded the maximum allowable treatment days for that SOI.
- The member's condition does not qualify for an SOI. (Certain conditions never qualify for an SOI, such as mental retardation.)

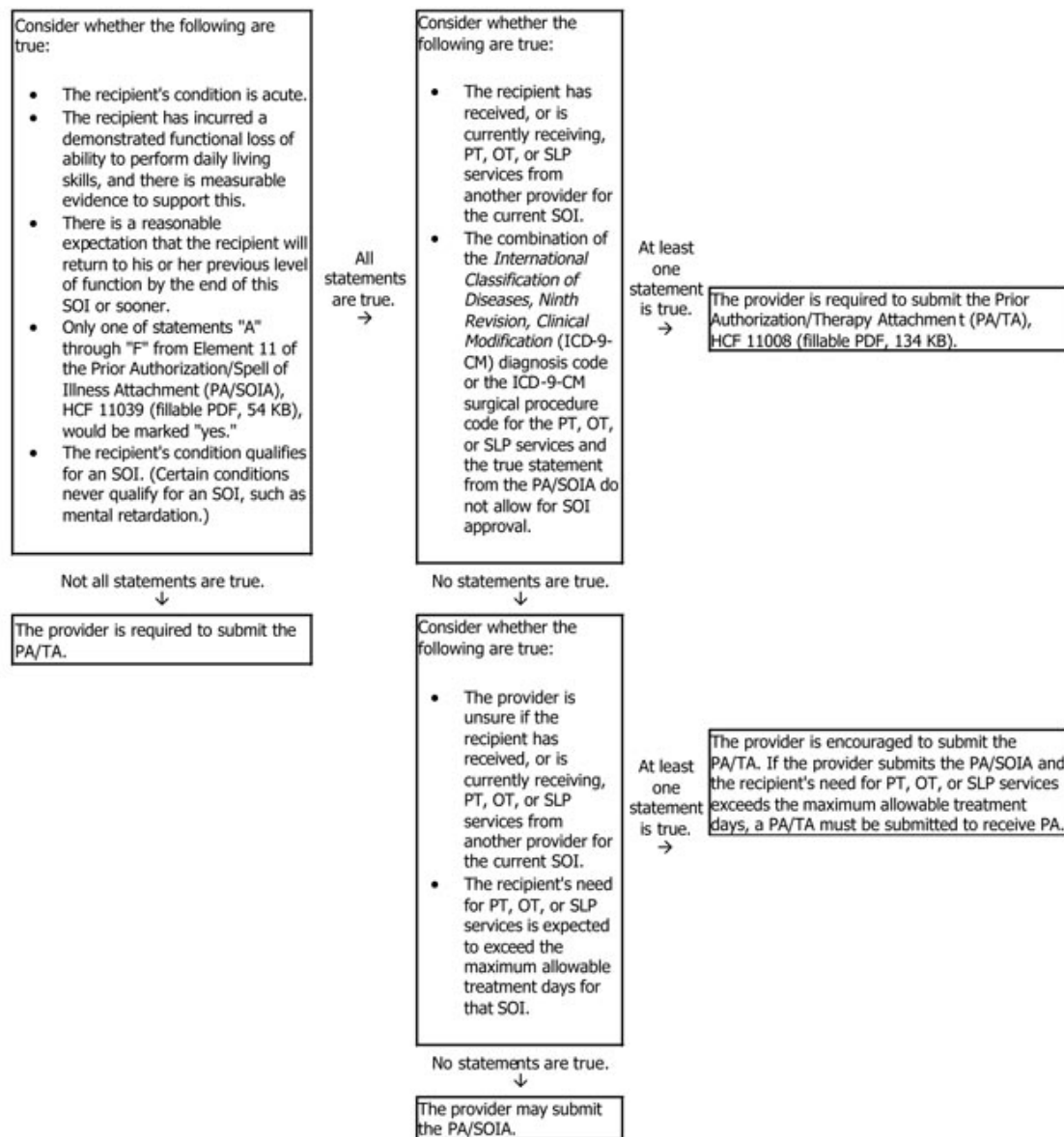
Topic #2739

### Flowchart

The following [flowchart](#) may be used to determine whether a request for extension of therapy services or SOI (spell of illness) is appropriate.

## Flowchart

The following flowchart may be used to determine whether a request for extension of therapy services or spell of illness (SOI) is appropriate. Physical therapy (PT), occupational therapy (OT), or speech and language pathology (SLP) providers may always choose to request extension of therapy services instead of SOI. However, Wisconsin Medicaid will not approve a request for SOI when extension of therapy services is necessary.



Topic #2738

## Overview

PT (physical therapy), OT (occupational therapy), and SLP (speech and language pathology) providers may always choose to request extension of therapy services instead of SOI (spell of illness). For example, a provider may request extension of therapy services instead of SOI when treating an acute onset of a condition, such as a stroke. However, ForwardHealth will not approve a request for SOI when extension of therapy services is necessary.



## Follow-Up to Decisions

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Topic #4738

### Amendment Decisions

ForwardHealth will make a decision regarding a provider's amendment request within 20 working days from the receipt of all the information necessary. The method ForwardHealth will use to communicate decisions regarding PA (prior authorization) amendment requests will depend on how the *PA request* was originally submitted (not how the amendment request was submitted) and whether the provider has a ForwardHealth Portal account:

- If the PA request was originally submitted via the Portal, the decision notice letter or returned amendment provider review letter will be sent to the provider via the Portal.
- If the PA request was originally submitted via mail or fax and the provider has a Portal account, the decision notice letter or returned amendment provider review letter will be sent to the provider via the Portal, as well as by mail.
- If the PA request was originally submitted via mail or fax and the provider does *not* have a Portal account, the decision notice letter or returned amendment provider review letter will be sent by mail to the address indicated in the provider's file as his or her PA address (or to the physical address if there is no PA address on file), *not* to the address the provider wrote on the PA request or amendment request.

Topic #431

### Amendments

Providers are required to use the [Prior Authorization Amendment Request \(F-11042 \(07/12\)\)](#) to amend an approved or modified PA (prior authorization) request.

ForwardHealth does not accept a paper amendment request submitted on anything other than the Prior Authorization Amendment Request. The Prior Authorization Amendment Request may be submitted through the ForwardHealth Portal as well as by [mail](#) or [fax](#). If ForwardHealth receives a PA amendment on a previous version of the Prior Authorization Amendment Request form, a letter will be sent to the provider stating that the provider is required to submit a new PA amendment request using the proper forms.

Examples of when providers may request an amendment to an approved or modified PA request include the following:

- To temporarily modify a member's frequency of a service when there is a short-term change in his or her medical condition.
- To change the rendering provider information when the billing provider remains the same.
- To change the member's ForwardHealth identification number.
- To add or change a procedure code.

*Note:* ForwardHealth recommends that, under most circumstances, providers should enddate the current PA request and submit a new one if there is a significant, long-term change in services required.

Topic #2737

## Prior Authorization Amendments for Therapy Services

Providers may request an amendment to a current approved or modified PA request to change any of the following:

- The frequency of treatment.
- The grant and expiration date(s).
- The request for cotreatment.
- The specific treatment code(s).

PA expiration dates may be amended up to one month beyond the original expiration date if the additional services are medically necessary and PT (physical therapy), OT (occupational therapy), or SLP (speech and language pathology) services will be discontinued after this brief extension of services.

If the need for PT, OT, or SLP services is expected to continue for longer than one month beyond the expiration date, submission of a new PA request is required.

Providers are required to submit a new PA request (instead of requesting an amendment) if the member's medical condition changes significantly and requires a new POC (plan of care).

The request to amend the PA/RF (Prior Authorization Request Form, F-11018 (07/12)) should include the following:

- A copy of the original PA/RF.
- A [Prior Authorization Amendment Request \(F-11042 \(10/08\)\)](#) form.
- The specific, requested changes to the PA/RF.
- Documentation justifying the requested changes. This may include the POC, a new written report of the member's evaluation, treatment goals, etc.

## Approval Criteria

ForwardHealth may approve an amendment request if the following are true:

- Documentation establishes that the amendment request is medically necessary.
- The request is received by the date of the requested change.

## Reasons for Denial

ForwardHealth may deny an amendment request for reasons including, but not limited to, the following:

- The request is not medically necessary.
- The request is solely for the convenience of the member, the member's family, or the provider.
- The request is not received before the date of the requested change.
- The PA expired prior to receipt of the amendment request.
- The member's medical condition changes significantly, requiring a new POC.
- The request is to allow for a vacation, missed appointments, illness, or a leave of absence by the provider.

Topic #432

## Appeals

If a PA (prior authorization) request is denied or modified by ForwardHealth, only a member, or authorized person acting on behalf of the member, may file an appeal with the DHA (Division of Hearings and Appeals). Decisions that may be appealed include the following:



- Denial or modification of a PA request.
- Denial of a retroactive authorization for a service.

The member is required to file an appeal within 45 days of the date of the [Notice of Appeal Rights](#).

To file an appeal, members may complete and submit a [Request for Fair Hearing \(DHA-28 \(08/09\)\)](#) form.

Though providers cannot file an appeal, they are encouraged to remain in contact with the member during the appeal process. Providers may offer the member information necessary to file an appeal and help present his or her case during a fair hearing.

## Fair Hearing Upholds ForwardHealth's Decision

If the hearing decision upholds the decision to deny or modify a PA request, the DHA notifies the member and ForwardHealth in writing. The member may choose to receive the service (or in the case of a modified PA request, the originally requested service) as a noncovered service, not receive the service at all, or appeal the decision.

## Fair Hearing Overturns ForwardHealth's Decision

If the hearing decision overturns the decision to deny or modify the PA request, the DHA notifies ForwardHealth and the member. The letter includes instructions for the provider and for ForwardHealth.

If the DHA letter instructs the provider(s) to submit a claim for the service, each provider should submit the following to ForwardHealth after the service(s) has been performed:

- A paper claim with "HEARING DECISION ATTACHED" written in red ink at the top of the claim.
- A copy of the hearing decision.
- A copy of the denied PA request.

Providers are required to submit claims with hearing decisions to the following address:

ForwardHealth  
Specialized Research  
Ste 50  
313 Blettner Blvd  
Madison WI 53784

Claims with hearing decisions sent to any other address may not be processed appropriately.

If the DHA letter instructs the provider to submit a new PA request, the provider is required to submit the *new* PA request along with a copy of the hearing decision to the PA Unit at the following address:

ForwardHealth  
Prior Authorization  
Ste 88  
313 Blettner Blvd  
Madison WI 53784

ForwardHealth will then approve the PA request with the revised process date. The provider may then submit a claim following the usual claims submission procedures after providing the service(s).

## Financial Responsibility

If the member asks to receive the service *before* the hearing decision is made, the provider is required to notify the member before rendering the service that the member will be responsible for payment if the decision to deny or modify the PA request is upheld.

If the member accepts responsibility for payment of the service before the hearing decision is made, and if the appeal decision *upholds* the decision to deny or modify the PA request, the provider [may collect payment from the member](#) if certain conditions are met.

If the member accepts responsibility for payment of the service before the hearing decision is made, and if the appeal decision *overturns* the decision to deny or modify a PA request, the provider may submit a claim to ForwardHealth. If the provider collects payment from the member for the service before the appeal decision is overturned, the provider is required to refund the member for the *entire* amount of payment received from the member after the provider receives Medicaid's reimbursement.

Wisconsin Medicaid does not directly reimburse members.

## Sample Notice of Appeal Rights Letter

|   |   |
|---|---|
| <Month DD, CCYY><br><sequence number><br><RecipName><br><RecipAddressLine1><br><RecipAddressLine2><br><RecipCity> <RecipStateZip> | Member Identification Number:<br><XXX-XX-XXXXX><br>Local County or Tribal Agency<br>Telephone Number: <AgencyPhone> |
|---|---|

<PROGRAM NAME> Notice of Appeal Rights

Appeal Date: <AppealDate>

In <PROGRAM NAME>, certain services and products must be reviewed and approved before payment can be made for them. This review process is called prior authorization (PA). The purposes of this letter are to notify you that <PROGRAM NAME> has either denied or modified a request for prior authorization of a service or product that was submitted on your behalf and to inform you of your right to appeal that decision.

Your provider <ProviderName> requested prior authorization for the following service(s):

| Service Code | Modifier       | Service Description  | Unit     | Dollar   |
|--------------|----------------|--|----------|----------|
| XXXXXXXXXX   | XX XX<br>XX XX | XXXXXXXXXXXXXXXXXXXXXXXXXXXX<br>XXXXXXXXXXXXXXXXXXXXXXXXXXXX<br>XXXXXXXXXXXXXXXXXXXXXXXXXXXX | XXXXX.XX | XXXXX.XX |
| XXXXXXXXXX   | XX XX<br>XX XX | XXXXXXXXXXXXXXXXXXXXXXXXXXXX<br>XXXXXXXXXXXXXXXXXXXXXXXXXXXX<br>XXXXXXXXXXXXXXXXXXXXXXXXXXXX | XXXXX.XX | XXXXX.XX |

<ServiceNN>

That prior authorization request, PA number <PANumber>, was reviewed by <PROGRAM NAME> medical consultants. Based on that review, the following services have been denied or modified as follows.

Denied Services

| Service Code | Modifier       | Service Description  | Unit     | Dollar   |
|--------------|----------------|--|----------|----------|
| XXXXXXXXXXXX | XX XX<br>XX XX | XXXXXXXXXXXXXXXXXXXXXXXXXXXX<br>XXXXXXXXXXXXXXXXXXXXXXXXXXXX<br>XXXXXXXXXXXXXXXXXXXXXXXXXXXX | XXXXX.XX | XXXXX.XX |
| XXXXXXXXXXXX | XX XX<br>XX XX | XXXXXXXXXXXXXXXXXXXXXXXXXXXX<br>XXXXXXXXXXXXXXXXXXXXXXXXXXXX<br>XXXXXXXXXXXXXXXXXXXXXXXXXXXX | XXXXX.XX | XXXXX.XX |

<DeniedServiceNN>

Modified Services

| Service Code | Modifier       | Service Description  | Unit     | Dollar   |
|--------------|----------------|--|----------|----------|
| XXXXXXXXXXXX | XX XX<br>XX XX | XXXXXXXXXXXXXXXXXXXXXXXXXXXX<br>XXXXXXXXXXXXXXXXXXXXXXXXXXXX<br>XXXXXXXXXXXXXXXXXXXXXXXXXXXX | XXXXX.XX | XXXXX.XX |
| XXXXXXXXXXXX | XX XX<br>XX XX | XXXXXXXXXXXXXXXXXXXXXXXXXXXX<br>XXXXXXXXXXXXXXXXXXXXXXXXXXXX<br>XXXXXXXXXXXXXXXXXXXXXXXXXXXX | XXXXX.XX | XXXXX.XX |

<ModifiedServiceNN>

<PROGRAM NAME>'s denial or modification of the services requested was made for the following reasons:

(Denial/modify code(s) will be inserted here)

<PROGRAM NAME> bases its decisions on criteria found in the Wisconsin Administrative Code. <PROGRAM NAME> may modify or deny a prior authorization request if one or more of the criteria are not supported by documentation submitted by your provider. The specific regulation(s) that supports the reason for the denial/modification of your provider's request for services is found in the following Wisconsin Administrative Code:

(Wis. Admin. Code Regulation(s) will be inserted here)

We have sent your provider the denied/modified prior authorization request. We encourage you to contact <Provider Name> to review the prior authorization request and the reasons for the decision.

## Your Rights and Responsibilities

You or your designated representative may appeal this decision in accordance with state and federal law within <RecipientDays> days. To file an appeal, you may do one of the following:

- 1) Call your local county or tribal agency at the telephone number listed on the first page of this letter for an appeal form and/or assistance in completing it.
- 2) Write a letter requesting an appeal to the Division of Hearings and Appeals at the following address:

Division of Hearings and Appeals  
 Department of Administration  
 PO Box 7875  
 Madison WI 53707-7875

The appeal form or letter should include all of the following:

- The name, address, and telephone number of the <PROGRAM NAME> member for whom the appeal is being made.
- The member identification number.
- The prior authorization number <PANumber> of the denied/modified request.
- The reason you think the denial or modification of the prior authorization is wrong.

**REMEMBER:** You must mail or deliver your appeal to your local county or tribal agency or the Division of Hearings and Appeals so it is received by the <RecipientDays>-day deadline, which is <AppealDate>.

You will lose your right to an appeal if your request to appeal is not received by the local county or tribal agency or the Division of Hearings and Appeals by <AppealDate>.

If you file an appeal, you may expect the following to occur:

- The state Division of Health Care Access and Accountability will be required to explain, in writing, the reason(s) for the denial or modification of the services your provider requested. This explanation will be mailed to you.
- The Division of Hearings and Appeals will schedule a hearing to consider your appeal and will notify you of the time and place by mail. Hearings are generally held at your local county or tribal agency. You may want to ask your local county or tribal agency if there is free legal help available in your area.
- At that hearing, you (or you may choose a friend, relative, attorney, provider, etc., to represent you) will have an opportunity to explain your need for the service to a hearing officer. Division of Health Care Access and Accountability staff may also appear in person or participate by telephone.
- Based on all the information available, the hearing officer will make a decision on your appeal, notify you of the decision by mail, and advise you of any additional appeal rights.

Whether or not you appeal, <PROGRAM NAME> will pay for any services it has approved. After the hearing officer makes a decision on your appeal, <PROGRAM NAME> will continue to pay for the approved services plus any additional services the hearing officer directs <PROGRAM NAME> to pay.

If you need information about accommodation for a disability or for language translation, please call 1-608-266-3096 (voice) or 1-608-264-9853 (TTY) immediately so arrangements can be made. The staff at these numbers will not be able to provide you with information about the reasons for Wisconsin <PROGRAM NAME>'s decision to deny or modify the prior authorization request. These telephone numbers at the Division of Hearings and Appeals should only be used for questions about the hearing process.

F-11194 (10/08)

Topic #1106

## Enddating

Providers are required to use the [Prior Authorization Amendment Request \(F-11042 \(07/12\)\)](#) to enddate most PA (prior authorization) requests. ForwardHealth does not accept requests to enddate a PA request for any service, except drugs, on anything other than the Prior Authorization Amendment Request. PA for drugs may be enddated by using STAT-PA (Specialized Transmission Approval Technology-Prior Authorization) in addition to submitting a Prior Authorization Amendment Request.

Providers may submit a Prior Authorization Amendment Request on the ForwardHealth Portal, or by fax or mail.

If a request to enddate a PA is not submitted on the Prior Authorization Amendment Request, a letter will be sent to the provider stating that the provider is required to submit the request using the proper forms.

Examples of when a PA request should be enddated include the following:

- A member chooses to discontinue receiving prior authorized services.
- A provider chooses to discontinue delivering prior authorized services.

Examples of when a PA request should be enddated and a new PA request should be submitted include the following:

- There is an interruption in a member's continual care services.
- There is a change in the member's condition that warrants a long-term change in services required.
- The service(s) is no longer medically necessary.

Topic #4739

## Returned Amendment Provider Review Letter

If the amendment request needs correction or additional information, a returned amendment provider review letter will be sent. The letter will show how the PA (prior authorization) appears currently in the system and providers are required to respond by correcting errors identified on the letter. Providers are required to make the corrections or supply the requested information in the space provided on the letter or attach additional information to the letter before mailing the letter to ForwardHealth. Providers can also correct an amendment request that has been placed in returned provider review status in the ForwardHealth Portal.

ForwardHealth must receive the provider's response within 30 calendar days of the date the returned amendment provider review letter was sent. After 30 days the amendment request status becomes inactive and the provider is required to submit a new

amendment request. The ForwardHealth interChange system will continue to use the original approved PA request for processing claims.

The provider's paper documents submitted with the amendment request will not be returned to the provider when corrections or additional information are needed; however, X-rays and dental models will be returned once the amendment request is finalized.

Photographs submitted to ForwardHealth as additional supporting clinical documentation for PA requests will not be returned to providers and will be disposed of securely.

Therefore, providers are required to make a copy of their amendment requests (including attachments and any supplemental information) before mailing the requests to ForwardHealth. The provider is required to have a copy on file for reference purposes if ForwardHealth requires more information about the amendment request.

*Note:* When changing or correcting the amendment request, providers are reminded to revise or update the documentation retained in their records.

Topic #5039

## Searching for Previously Submitted Prior Authorization Requests on the Portal

Providers will be able to search for all previously submitted PA (prior authorization) requests, regardless of how the PA was initially submitted. If the provider knows the PA number, he or she can enter the number to retrieve the PA information. If the provider does not know the PA number, he or she can search for a PA by entering information in one or more of the following fields:

- Member identification number.
- Requested start date.
- Prior authorization status.
- Amendment status.

If the provider does not search by any of the information above, providers will retrieve all their PA requests submitted to ForwardHealth.

## Forms and Attachments

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Topic #960

### An Overview

Depending on the service being requested, most PA (prior authorization) requests must be comprised of the following:

- The [PA/RF \(Prior Authorization Request Form, F-11018 \(07/12\)\)](#), [PA/DRF \(Prior Authorization/Dental Request Form, F-11035 \(07/12\)\)](#), or [PA/IAS1 \(Prior Authorization for Hearing Instrument and Audiological Services 1, F-11020 \(07/12\)\)](#).
- A service-specific PA attachment(s).
- Additional supporting clinical documentation.

Topic #446

### Attachments

In addition to the [PA/RF \(Prior Authorization Request Form, F-11018 \(07/12\)\)](#), [PA/IAS1 \(Prior Authorization for Hearing Instrument and Audiological Services 1, F-11020 \(07/12\)\)](#), or [PA/DRF \(Prior Authorization/Dental Request Form, F-11035 \(07/12\)\)](#), a service-specific PA (prior authorization) attachment must be submitted with each PA request. The PA attachment allows a provider to document the clinical information used to determine whether or not the standards of medical necessity are met for the requested service(s). Providers should include adequate information for ForwardHealth to make a reasonable judgment about the case.

ForwardHealth will scan each form with a barcode as it is received, which will allow greater efficiencies for processing PA requests.

Topic #2736

PT (physical therapy), OT (occupational therapy), and SLP (speech and language pathology) providers have the following choices for PA attachments:

- The [PA/TA \(Prior Authorization/Therapy Attachment, F-11008 \(07/12\)\)](#).
- The [PA/SOIA \(Prior Authorization/Spell of Illness Attachment, F-11039 \(07/12\)\)](#).
- The [PA/B3 \(Prior Authorization/Birth to 3 Attachment, F-11011 \(07/12\)\)](#).

PT, OT, and SLP providers should use the PA/TA when requesting the following:

- Extension of therapy services.
- Maintenance therapy services.
- Services that require PA starting with the first day of treatment.

PT, OT, and SLP providers should submit the PA/SOIA when requesting approval for SOI (spell of illness).

PT, OT, and SLP providers should submit the PA/B3 for Birth to 3 services.

Topic #447

## Obtaining Forms and Attachments

Providers may obtain paper versions of all PA (prior authorization) forms and attachments. In addition, providers may download and complete most PA attachments from the [ForwardHealth Portal](#).

### Paper Forms

Paper versions of all PA forms and PA attachments are available by writing to ForwardHealth. Include a return address, the name of the form, the form number (if applicable), and mail the request to the following address:

ForwardHealth  
Form Reorder  
313 Blettner Blvd  
Madison WI 53784

Providers may also call [Provider Services](#) to order paper copies of forms.

### Downloadable Forms

Most PA attachments can be downloaded and printed in their original format from the Portal. Many forms are available in fillable PDF (Portable Document Format) and fillable Microsoft® Word formats.

### Web Prior Authorization Via the Portal

Certain providers may complete the [PA/RF \(Prior Authorization Request Form, F-11018 \(07/12\)\)](#) and PA attachments through the Portal. Providers may then print the PA/RF (and in some cases the PA attachment), and send the PA/RF, service-specific PA attachments, and any supporting documentation on paper by mail or fax to ForwardHealth.

Topic #448

## Prior Authorization Request Form

The [PA/RF \(Prior Authorization Request Form, F-11018 \(07/12\)\)](#) is used by ForwardHealth and is mandatory for most providers when requesting PA (prior authorization). The PA/RF serves as the cover page of a PA request.

Providers are required to complete the basic provider, member, and service information on the PA/RF. Each PA request is assigned a unique ten-digit number. ForwardHealth remittance information will report to the provider the PA number used to process the claim for prior authorized services.

Topic #2735

## Prior Authorization Request Form Completion Instructions for Therapy Services

The following sample PA/RFs (Prior Authorization Request Form, F-11018 (07/12)) for therapy services are available:

- [PT](#) (physical therapy).



- [OT](#) (occupational therapy).
- [SLP](#) (speech and language pathology).

ForwardHealth requires certain information to enable the programs to authorize and pay for medical services provided to eligible members.

Members of ForwardHealth are required to give providers full, correct, and truthful information for the submission of correct and complete claims for reimbursement. This information should include, but is not limited to, information concerning enrollment status, accurate name, address, and member identification number ([DHS 104.02\[4\]](#), Wis. Admin. Code).

Under [s. 49.45\(4\)](#), Wis. Stats., personally identifiable information about program applicants and members is confidential and is used for purposes directly related to ForwardHealth administration such as determining eligibility of the applicant, processing PA (prior authorization) requests, or processing provider claims for reimbursement. The use of the PA/RF is mandatory to receive PA for certain items. Failure to supply the information requested by the form may result in denial of PA or payment for the service.

Providers should make duplicate copies of all paper documents mailed to ForwardHealth. Providers may submit PA requests, along with the [PA/SOIA \(Prior Authorization/Spell of Illness Services Attachment, F-11039 \(07/12\)\)](#) and the [PA/B3 \(Prior Authorization/Birth to 3 Attachment, F-11011 \(07/12\)\)](#), by fax to ForwardHealth at (608) 221-8616 or by mail to the following address:

ForwardHealth  
 Prior Authorization  
 Ste 88  
 313 Blettner Blvd  
 Madison WI 53784

The provision of services that are greater than or significantly different from those authorized may result in nonpayment of the billing claim(s).

## SECTION I — PROVIDER INFORMATION

### Element 1 — HealthCheck "Other Services" and Wisconsin Chronic Disease Program (WCDP)

Enter an "X" in the box next to HealthCheck "Other Services" if the services requested on the PA/RF are for HealthCheck "Other Services." Enter an "X" in the box next to WCDP (Wisconsin Chronic Disease Program) if the services requested on the PA/RF are for a WCDP member.

### Element 2 — Process Type

Enter the appropriate three-digit process type from the list below. The process type is a three-digit code used to identify a category of service requested. Use process type 999 (Other) only if the requested category of service is not found in the list. PA and SOI (spell of illness) requests will be returned without adjudication if no process type is indicated.

- \* 111 — PT
- \* 112 — OT
- \* 113 — SLP
- \* 114 — SOI for PT
- \* 115 — SOI for OT
- \* 116 — SOI for SLP
- 160 — Birth to 3 for PT
- 161 — Birth to 3 for OT
- 162 — Birth to 3 for SLP
- 999 — Other (use only if the requested category or service is not listed above)

\*Includes rehabilitation agencies.

**Element 3 — Telephone Number — Billing Provider**

Enter the telephone number, including the area code, of the office, clinic, facility, or place of business of the billing provider.

**Element 4 — Name and Address — Billing Provider**

Enter the name and complete address (street, city, state, and ZIP+4 code) of the billing provider. Providers are required to include both the ZIP code and four-digit extension for timely and accurate billing. The name listed in this element must correspond with the billing provider number listed in Element 5a.

**Element 5a — Billing Provider Number**

Enter the NPI (National Provider Identifier) of the billing provider. The NPI in this element must correspond with the provider name listed in Element 4.

**Element 5b — Billing Provider Taxonomy Code**

Enter the national 10-digit alphanumeric taxonomy code that corresponds to the NPI of billing provider number in Element 5a.

**SECTION II — MEMBER INFORMATION**

**Element 6 — Member Identification Number**

Enter the member ID. Do not enter any other numbers or letters. Use the ForwardHealth identification card or Wisconsin's EVS (Enrollment Verification System) to obtain the correct number.

**Element 7 — Date of Birth — Member**

Enter the member's date of birth in MM/DD/CCYY format.

**Element 8 — Address — Member**

Enter the complete address of the member's place of residence, including the street, city, state, and ZIP code. If the member is a resident of a nursing home or other facility, include the name of the nursing home or facility.

**Element 9 — Name — Member**

Enter the member's last name, followed by his or her first name and middle initial. Use the EVS to obtain the correct spelling of the member's name. If the name or spelling of the name on the ForwardHealth card and the EVS do not match, use the spelling from the EVS.

**Element 10 — Gender — Member**

Enter an "X" in the appropriate box to specify male or female.

**SECTION III — DIAGNOSIS — TREATMENT INFORMATION**

**Element 11 — Diagnosis — Primary Code and Description**

Enter the appropriate ICD-9-CM (International Classification of Diseases, Ninth Revision, Clinical Modification) diagnosis code and description most relevant to the service/procedure requested.

**Element 12 — Start Date — SOI**

Complete this element only when requesting an SOI. Enter the date of onset for the SOI in MM/DD/CCYY format.

**Element 13 — First Date of Treatment — SOI**

Complete this element only when requesting an SOI. Enter the date of the first treatment for the SOI in MM/DD/CCYY format.

**Element 14 — Diagnosis — Secondary Code and Description**

Enter the appropriate secondary ICD-9-CM diagnosis code and description relevant to the service/procedure requested, if applicable. If requesting an SOI, leave this element blank.

**Element 15 — Requested PA Start Date**

Enter the requested start date for service(s) in MM/DD/CCYY format, if a specific start date is requested. If requesting an SOI, leave this element blank.

**Element 16 — Rendering Provider Number**

Enter the NPI of the provider who will be performing the service, *only* if the NPI is different from the NPI of the billing provider listed in Element 5a. If the treating therapist is the therapy assistant, enter the provider number of the supervising therapist. Rehabilitation agencies and outpatient hospital PT, OT, and SLP providers do not indicate a rendering provider number.

**Element 17 — Rendering Provider Taxonomy Code**

Enter the national 10-digit alphanumeric taxonomy code that corresponds to the provider who will be performing the service, *only* if this code is different from the taxonomy code listed for the billing provider in Element 5b. If the treating therapist is a therapy assistant, enter the provider number of the supervising therapist. Rehabilitation agencies and outpatient hospital PT, OT, and SLP providers do not indicate a rendering provider number.

**Element 18 — Procedure Code**

Enter the appropriate CPT (Current Procedural Terminology) code, NUBC (National Uniform Billing Committee) code, HCPCS (Healthcare Common Procedure Coding System) code, NDC (National Drug Code), or ICD-9-CM surgical code for each service/procedure/item requested. Do not complete this element if requesting a Birth to 3 Program service.

**Element 19 — Modifiers**

Enter the modifier(s) corresponding to the procedure code listed if a modifier is required. Enter the "GP" modifier for PT services, the "GO" modifier for OT services, and the "GN" modifier for SLP services. Do not enter modifiers "TF" or "TL."

**Element 20 — POS**

Enter the appropriate POS (place of service) code designating where the requested service/procedure/item would be provided/performed/dispensed.

**Element 21 — Description of Service**

Enter a written description corresponding to the appropriate CPT code, NUBC code, HCPCS code, NDC, or ICD-9-CM surgical code for each service/procedure/item requested. If requesting a Birth to 3 service, enter "Birth to 3" and the therapy discipline as the description (e.g., "Birth to 3 occupational therapy services" for OT services).

**Element 22 — QR**

Enter the appropriate quantity (e.g., number of services, days' supply) requested for the procedure code listed. If requesting a therapy SOI, enter "35" in this element.

**Element 23 — Charge**

Enter the provider's usual and customary charge for each service/procedure/item requested. If the quantity is greater than "1.0," multiply the quantity by the charge for each service/procedure/item requested. Enter that total amount in this element. Do not complete this element if requesting a therapy SOI.

*Note:* The charges indicated on the request form should reflect the provider's usual and customary charge for the procedure requested. Providers are reimbursed for authorized services according to provider *Terms of Reimbursement* issued by the DHS (Department of Health Services).

**Element 24 — Total Charges**

Enter the anticipated total charges for this request. Do not complete this element if requesting a therapy SOI.

**Element 25 — Signature — Requesting Provider**

The original signature of the provider requesting/performing/dispensing this service/procedure/item must appear in this element.

**Element 26 — Date Signed**

Enter the month, day, and year the PA/RF was signed (in MM/DD/CCYY format).

**Sample PA/RF for Physical Therapy Services**

**DEPARTMENT OF HEALTH SERVICES**  
Division of Health Care Access and Accountability  
F-11018 (10/08)

**STATE OF WISCONSIN**  
HFS 106.03(4), Wis. Admin. Code  
HFS 152.06(3)(h), 153.06(3)(g), 154.06(3)(g), Wis. Admin. Code

**FORWARDHEALTH  
PRIOR AUTHORIZATION REQUEST FORM (PA/RF)**

Providers may submit prior authorization (PA) requests by fax to ForwardHealth at (608) 221-8616 or by mail to: ForwardHealth, Prior Authorization, Suite 88, 6406 Bridge Road, Madison, WI 53784-0088. **Instructions:** Type or print clearly. Before completing this form, read the service-specific Prior Authorization Request Form (PA/RF) Completion Instructions.

| SECTION I — PROVIDER INFORMATION   |                                      |                  |   |   |                             |   |  |  |                   |            |
|--|--------------------------------------|------------------|---|---|-----------------------------|---|--|--|-------------------|------------|
| 1. Check only if applicable<br><input type="checkbox"/> HealthCheck "Other Services"<br><input type="checkbox"/> Wisconsin Chronic Disease Program (WCDP)  |                                      |                  | 2. Process Type<br><br>111  |   |                             |   | 3. Telephone Number — Billing Provider<br><br>(555) 555-5555 |  |                   |            |
| 4. Name and Address — Billing Provider (Street, City, State, ZIP+4 Code)<br><br>I.M. BILLING PROVIDER<br>609 WILLOW ST<br>ANYTOWN WI 55555-1234  |                                      |                  |   |   |                             | 5a. Billing Provider Number<br><br>0222222220   |  | 5b. Billing Provider Taxonomy Code<br><br>123456789X |                   |            |
| SECTION II — MEMBER INFORMATION  |                                      |                  |   |   |                             |   |  |  |                   |            |
| 6. Member Identification Number<br><br>1234567890  |                                      |                  | 7. Date of Birth — Member<br><br>MM/DD/CCYY   |   |                             | 8. Address — Member (Street, City, State, ZIP Code)<br><br>322 RIDGE ST<br>ANYTOWN WI 55555 |  |  |                   |            |
| 9. Name — Member (Last, First, Middle Initial)<br><br>MEMBER, IM A   |                                      |                  | 10. Gender — Member<br><input type="checkbox"/> Male <input checked="" type="checkbox"/> Female |   |                             |   |  |  |                   |            |
| SECTION III — DIAGNOSIS / TREATMENT INFORMATION  |                                      |                  |   |   |                             |   |  |  |                   |            |
| 11. Diagnosis — Primary Code and Description<br><br>434.01 — Cerebral thrombosis   |                                      |                  |   |   | 12. Start Date — SOI        |   | 13. First Date of Treatment — SOI                            |  |                   |            |
| 14. Diagnosis — Secondary Code and Description<br><br>V45.88 — Status post administration of tPA (rtPA)  |                                      |                  |   |   | 15. Requested PA Start Date |   |  |  |                   |            |
| 16. Rendering Provider Number  | 17. Rendering Provider Taxonomy Code | 18. Service Code | 19. Modifiers   |   |                             |   | 20. POS  | 21. Description of Service                           | 22. QR            | 23. Charge |
|  |                                      |                  | 1   | 2 | 3                           | 4   |  |  |                   |            |
| 0111111110   | 123456789X                           | 97116            | GP  |   |                             |   | 11   | Gait training/transferring, 15 min x 3/wk x 11 wks   | 33                | XXX.XX     |
| 0111111110   | 123456789X                           | 97110            | GP  |   |                             |   | 11   | Strengthening exercises, 15 min x 3/wk x 11 wks      | 33                | XXX.XX     |
| 0111111110   | 123456789X                           | 97032            | GP  |   |                             |   | 11   | E stim   | 33                | XXX.XX     |
|  |                                      |                  |   |   |                             |   |  |  |                   |            |
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|  |                                      |                  |   |   |                             |   |  |  |                   |            |
| An approved authorization does not guarantee payment. Reimbursement is contingent upon enrollment of the member and provider at the time the service is provided and the completeness of the claim information. Payment will not be made for services initiated prior to approval or after the authorization expiration date. Reimbursement will be in accordance with ForwardHealth payment methodology and policy. If the member is enrolled in a BadgerCare Plus Managed Care Program at the time a prior authorized service is provided, ForwardHealth reimbursement will be allowed only if the service is not covered by the Managed Care Program. |                                      |                  |   |   |                             |   |  |  | 24. Total Charges | XXX.XX     |
| 25. SIGNATURE — Requesting Provider<br><br><i>I.M. Provider</i>  |                                      |                  |   |   |                             |   |  | 26. Date Signed<br><br>MM/DD/CCYY                    |                   |            |

## Sample PA/RF for Occupational Therapy Services

**DEPARTMENT OF HEALTH SERVICES**  
Division of Health Care Access and Accountability  
F-11018 (10/08)

**STATE OF WISCONSIN**  
HFS 106.03(4), Wis. Admin. Code  
HFS 152.06(3)(h), 153.06(3)(g), 154.06(3)(g), Wis. Admin. Code

### FORWARDHEALTH PRIOR AUTHORIZATION REQUEST FORM (PA/RF)

Providers may submit prior authorization (PA) requests by fax to ForwardHealth at (608) 221-8616 or by mail to: ForwardHealth, Prior Authorization, Suite 88, 6406 Bridge Road, Madison, WI 53784-0088. **Instructions:** Type or print clearly. Before completing this form, read the service-specific Prior Authorization Request Form (PA/RF) Completion Instructions.

| <b>SECTION I — PROVIDER INFORMATION</b>  |                                      |                  |   |   |                             |   |   |  |                   |            |
|--|--------------------------------------|------------------|---|---|-----------------------------|---|---|--|-------------------|------------|
| 1. Check only if applicable<br><input type="checkbox"/> HealthCheck "Other Services"<br><input type="checkbox"/> Wisconsin Chronic Disease Program (WCDP)  |                                      |                  | 2. Process Type<br><p style="text-align: center;">112</p> |   |                             |   | 3. Telephone Number — Billing Provider<br><p style="text-align: center;">(555) 555-5555</p> |  |                   |            |
| 4. Name and Address — Billing Provider (Street, City, State, ZIP+4 Code)<br><b>I.M. BILLING PROVIDER</b><br>609 WILLOW ST<br>ANYTOWN WI 55555-1234   |                                      |                  |   |   |                             | 5a. Billing Provider Number<br>0222222220   |   | 5b. Billing Provider Taxonomy Code<br>123456789X |                   |            |
| <b>SECTION II — MEMBER INFORMATION</b>   |                                      |                  |   |   |                             |   |   |  |                   |            |
| 6. Member Identification Number<br>1234567890  |                                      |                  | 7. Date of Birth — Member<br>MM/DD/CCYY                   |   |                             | 8. Address — Member (Street, City, State, ZIP Code)<br>322 RIDGE ST<br>ANYTOWN WI 55555 |   |  |                   |            |
| 9. Name — Member (Last, First, Middle Initial)<br>MEMBER, IM A   |                                      |                  |   | 10. Gender — Member<br><input type="checkbox"/> Male <input checked="" type="checkbox"/> Female |                             |   |   |  |                   |            |
| <b>SECTION III — DIAGNOSIS / TREATMENT INFORMATION</b>   |                                      |                  |   |   |                             |   |   |  |                   |            |
| 11. Diagnosis — Primary Code and Description<br>434.01 — Cerebral thrombosis   |                                      |                  |   |   | 12. Start Date — SOI        |   | 13. First Date of Treatment — SOI   |  |                   |            |
| 14. Diagnosis — Secondary Code and Description<br>V45.88 — Status post administration of tPA (rtPA)  |                                      |                  |   |   | 15. Requested PA Start Date |   |   |  |                   |            |
| 16. Rendering Provider Number  | 17. Rendering Provider Taxonomy Code | 18. Service Code | 19. Modifiers   |   |                             |   | 20. POS   | 21. Description of Service                       | 22. QR            | 23. Charge |
| 0111111110   | 123456789X                           | 97110            | GO  |   |                             |   | 11  | Strengthening exercises, 15 min x 3/wk x 11 wks  | 33                | XXX.XX     |
|  |                                      |                  |   |   |                             |   |   |  |                   |            |
|  |                                      |                  |   |   |                             |   |   |  |                   |            |
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|  |                                      |                  |   |   |                             |   |   |  |                   |            |
| An approved authorization does not guarantee payment. Reimbursement is contingent upon enrollment of the member and provider at the time the service is provided and the completeness of the claim information. Payment will not be made for services initiated prior to approval or after the authorization expiration date. Reimbursement will be in accordance with ForwardHealth payment methodology and policy. If the member is enrolled in a BadgerCare Plus Managed Care Program at the time a prior authorized service is provided, ForwardHealth reimbursement will be allowed only if the service is not covered by the Managed Care Program. |                                      |                  |   |   |                             |   |   |  | 24. Total Charges | XXX.XX     |
| 25. <b>SIGNATURE</b> — Requesting Provider<br><br><i>I.M. Provider</i>   |                                      |                  |   |   |                             |   |   | 26. Date Signed<br>MM/DD/CCYY                    |                   |            |

## Sample PA/RF for Speech and Language Pathology Services

**DEPARTMENT OF HEALTH SERVICES**  
 Division of Health Care Access and Accountability  
 F-11018 (10/08)

**STATE OF WISCONSIN**  
 HFS 106.03(4), Wis. Admin. Code  
 HFS 152.06(3)(h), 153.06(3)(g), 154.06(3)(g), Wis. Admin. Code

### FORWARDHEALTH PRIOR AUTHORIZATION REQUEST FORM (PA/RF)

Providers may submit prior authorization (PA) requests by fax to ForwardHealth at (608) 221-8616 or by mail to: ForwardHealth, Prior Authorization, Suite 88, 6406 Bridge Road, Madison, WI 53784-0088. **Instructions:** Type or print clearly. Before completing this form, read the service-specific Prior Authorization Request Form (PA/RF) Completion Instructions.

| <b>SECTION I — PROVIDER INFORMATION</b>   |                            |  |
|---|----------------------------|--|
| 1. Check only if applicable<br><input type="checkbox"/> HealthCheck "Other Services"<br><input type="checkbox"/> Wisconsin Chronic Disease Program (WCDP) | 2. Process Type<br><br>113 | 3. Telephone Number — Billing Provider<br><br>(555) 555-5555 |
| 4. Name and Address — Billing Provider (Street, City, State, ZIP+4 Code)<br>I.M. BILLING PROVIDER<br>609 WILLOW ST<br>ANYTOWN WI 55555-1234               |                            | 5a. Billing Provider Number<br>022222220                     |
|   |                            | 5b. Billing Provider Taxonomy Code<br>123456789X             |

| <b>SECTION II — MEMBER INFORMATION</b>                         |   |   |
|--|---|---|
| 6. Member Identification Number<br>1234567890                  | 7. Date of Birth — Member<br>MM/DD/CCYY   | 8. Address — Member (Street, City, State, ZIP Code)<br>322 RIDGE ST<br>ANYTOWN WI 55555 |
| 9. Name — Member (Last, First, Middle Initial)<br>MEMBER, IM A | 10. Gender — Member<br><input type="checkbox"/> Male <input checked="" type="checkbox"/> Female |   |

| <b>SECTION III — DIAGNOSIS / TREATMENT INFORMATION</b>   |                                      |                  |               |   |   |   |   |                               |                   |                                   |  |  |
|--|--------------------------------------|------------------|---------------|---|---|---|---|-------------------------------|-------------------|-----------------------------------|--|--|
| 11. Diagnosis — Primary Code and Description<br>315.31 — Language delays   |                                      |                  |               |   |   |   | 12. Start Date — SOI                      |                               |                   | 13. First Date of Treatment — SOI |  |  |
| 14. Diagnosis — Secondary Code and Description<br>783.42 — Developmental delays  |                                      |                  |               |   |   |   | 15. Requested PA Start Date<br>MM/DD/CCYY |                               |                   |                                   |  |  |
| 16. Rendering Provider Number  | 17. Rendering Provider Taxonomy Code | 18. Service Code | 19. Modifiers |   |   |   | 20. POS                                   | 21. Description of Service    | 22. QR            | 23. Charge                        |  |  |
|  |                                      |                  | 1             | 2 | 3 | 4 |   |                               |                   |                                   |  |  |
| 011111110  | 123456789X                           | 92506            | GN            |   |   |   | 11  | Speech/Language Evaluation    | 1                 | XXX.XX                            |  |  |
| 011111110  | 123456789X                           | 92507            | GN            |   |   |   | 11  | Speech/Language Therapy       | 17                | XXX.XX                            |  |  |
| 011111110  | 123456789X                           | 92508            | GN            |   |   |   | 11  | Group Speech/Language Therapy | 17                | XXX.XX                            |  |  |
|  |                                      |                  |               |   |   |   |   |                               |                   |                                   |  |  |
|  |                                      |                  |               |   |   |   |   |                               |                   |                                   |  |  |
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|  |                                      |                  |               |   |   |   |   |                               |                   |                                   |  |  |
| An approved authorization does not guarantee payment. Reimbursement is contingent upon enrollment of the member and provider at the time the service is provided and the completeness of the claim information. Payment will not be made for services initiated prior to approval or after the authorization expiration date. Reimbursement will be in accordance with ForwardHealth payment methodology and policy. If the member is enrolled in a BadgerCare Plus Managed Care Program at the time a prior authorized service is provided, ForwardHealth reimbursement will be allowed only if the service is not covered by the Managed Care Program. |                                      |                  |               |   |   |   |   |                               | 24. Total Charges | XXX.XX                            |  |  |
| 25. SIGNATURE — Requesting Provider<br><i>I.M. Provider</i>  |                                      |                  |               |   |   |   |   | 26. Date Signed<br>MM/DD/CCYY |                   |                                   |  |  |

Topic #449

## Supporting Clinical Documentation

Certain PA (prior authorization) requests may require additional supporting clinical documentation to justify the medical necessity

for a service(s). Supporting documentation may include, but is not limited to, X-rays, photographs, a physician's prescription, clinical reports, and other materials related to the member's condition.

All supporting documentation submitted with a PA request must be clearly labeled and identified with the member's name and member identification number. Securely packaged X-rays and dental models will be returned to providers.

Photographs submitted to ForwardHealth as additional supporting clinical documentation for PA requests will not be returned to providers and will be disposed of securely.

## General Information

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Topic #4402

### An Overview

The PA (prior authorization) review process includes both a clerical review and a clinical review. The PA request will have one of the statuses detailed in the following table.

| Prior Authorization Status             | Description   |
|--|---|
| Approved                               | The PA request was approved.  |
| Approved with Modifications            | The PA request was approved with modifications to what was requested.   |
| Denied                                 | The PA request was denied.  |
| Returned — Provider Review             | The PA request was returned to the provider for correction or for additional information.   |
| Pending — Fiscal Agent Review          | The PA request is being reviewed by the Fiscal Agent.   |
| Pending — Dental Follow-up             | The PA request is being reviewed by a Fiscal Agent dental specialist.   |
| Pending — State Review                 | The PA request is being reviewed by the State.  |
| Suspend — Provider Sending Information | The PA request was submitted via the ForwardHealth Portal and the provider indicated they will be sending additional supporting information on paper. |
| Inactive                               | The PA request is inactive due to no response within 30 days to the returned provider review letter and cannot be used for PA or claims processing.   |

Topic #4324

### Prior Authorization Under the Benchmark Plan, the Core Plan, and the Basic Plan

PA is not required for any therapy services provided under the BadgerCare Plus Benchmark Plan, the BadgerCare Plus Core Plan, or the BadgerCare Plus Basic Plan. PA requests submitted for Benchmark Plan, Core Plan, or Basic Plan members will be returned to providers without adjudication.

Topic #2729

### Approval Criteria

Comprehensive information about the member helps to establish the functional potential of the member and forms the basis for determining whether the member will benefit from the requested services. No single factor, such as diagnosis or age of the member, will result in automatic approval or denial of a PA (prior authorization) request for extension of therapy services, maintenance therapy services, or services that always require PA.

It is essential that documentation is complete, accurate, and specific to the member's current condition and needs. Providers are required to submit the following when submitting the [PA/TA \(Prior Authorization/Therapy Attachment, F-11008 \(07/12\)\)](#):



- [A written report of the member's evaluation.](#)
- [An individualized POC \(plan of care\) if not documented on the PA/TA.](#)
- A copy of the IFSP (Individualized Family Service Plan) if the member is a Birth to 3 member and the services are being requested with the PA/TA. Refer to [Requesting Services for Birth to 3 Participants](#) for information about submitting the IFSP with the PA/TA.
- A copy of the Child Status Regarding Birth to 3 Program (F-00316) form, or an equivalent version of the form and content as documented by the county Birth to 3 Program, if therapy services are being provided outside the Birth to 3 Program for a child 0-3 years of age.
- A copy of the IEP (Individualized Education Plan) if the member is a school-age child.\*
- A copy of the IPP (Interdisciplinary Program Plan) if the member is in a residential or day facility for the developmentally disabled.\* The IPP must document coordination and integration of the active treatment and medical care plan of the member.

\* Only one team member needs to submit the IFSP, IEP, or IPP with a PA request. The team should discuss who will submit the IFSP, IEP, or IPP. The other providers should reference the PA request that was submitted with the IFSP, IEP, or IPP by indicating the PA number and the date the PA was submitted. The team member designated to submit the IFSP, IEP, or IPP should receive an additional copy from the coordinator. If the member does not have an IFSP, IEP, or IPP, the provider is required to indicate the reason these documents do not exist.

Topic #434

## Communication with Members

ForwardHealth recommends that providers inform members that PA (prior authorization) is required for certain specified services *before* delivery of the services. Providers should also explain that, if required to obtain PA, they will be submitting member records and information to ForwardHealth on the member's behalf. Providers are required to keep members informed of the PA request status throughout the *entire* PA process.

### Member Questions

A member may call [Member Services](#) to find out whether or not a PA request has been submitted and, if so, when it was received by ForwardHealth. The member will be advised to contact the provider if more information is needed about the status of an individual PA request.

Topic #435

## Definition

PA (prior authorization) is the electronic or written authorization issued by ForwardHealth to a provider prior to the provision of a service. In most cases, providers are required to obtain PA *before* providing services that require PA. When granted, a PA request is approved for a specific period of time and specifies the type and quantity of service allowed.

Topic #5098

## Designating an Address for Prior Authorization Correspondence

Correspondence related to PA (prior authorization) will be sent to the practice location address on file with ForwardHealth unless

the provider designates a separate address for receipt of PA correspondence. This policy applies to all PA correspondence, including decision notice letters, returned provider review letters, returned amendment provider letters, and returned supplemental documentation such as X-rays and dental models.

Photographs submitted to ForwardHealth as additional supporting clinical documentation for PA requests will not be returned to providers and will be disposed of securely.

Providers who want to designate a separate address for PA correspondence have the following options:

- Update demographic information online via the ForwardHealth Portal. (This option is only available to providers who have established a provider account on the Portal.)
- Submit a [Provider Change of Address or Status \(F-01181 \(07/12\)\)](#) form.

Topic #2795

## Examples of Standards of Medical Necessity as Evaluated on Prior Authorization Requests

The following information and case examples are offered to illustrate how the standards of medical necessity, as defined in [DHS 101.03\(96m\)](#), Wis. Admin. Code, are applied when PT (physical therapy), OT (occupational therapy), or SLP (speech and language pathology) services are reviewed by ForwardHealth.

**DHS 101.03(96m), Wis. Admin. Code, "medically necessary" means a medical service under ch. 107 that is:**

**(a) Required to prevent, identify or treat a member's illness, injury or disability; and**

*Example 1:* Many members having the same diagnosis may have certain characteristics in common; however, the physical expression and functional severity of their conditions can vary greatly. As a result, documentation in the PA (prior authorization) request must include a medical diagnosis as well as a problem statement (treatment diagnosis) related to the medical diagnosis that identifies the specific treatment needs of the individual.

For example, physical therapy is requested for a four-year-old child with spastic diplegic cerebral palsy and a gross motor age equivalency of 44-48 months. A POC (plan of care) to address "continued development of age-appropriate mobility skills" would not meet the ForwardHealth application of this standard because no impairments, functional limitations, or disabilities have been identified. The reviewer would question how the requested service treats an illness, injury, or disability. If the therapist identified tight hamstrings but provided no evidence that hamstring contractures were causing any functional problems, the same questions remain.

If instead, the physical therapist's evaluation identified functional limitations including problems with climbing, frequent falls when walking from the bus to home, or other restrictions in outdoor mobility due to tight hamstrings, it may be appropriate to authorize a limited course of PT. In this case, PT may be necessary to improve dynamic range of motion and lower extremity strength, to facilitate functional skill acquisition, and to educate the member/caregivers on a home program including recommendations about when to seek medical attention for developing problems, such as worsening contractures.

*Example 2:* A nine-year-old is an independent household ambulator and presents with hypotonic trunk muscles. He has been receiving OT for the past six months. The new PA request includes continued treatment strategies of trunk elongation and rib cage mobilization with ongoing goals of preparing for strengthening/stability exercises and preventing frequent respiratory infections. No documentation of trunk range of motion, upper body strength testing, or frequency of respiratory infection is provided.

Measurable goals reflect treatment that is expected to reduce identified impairments, produce sustained changes in function, and are necessary to describe how treatment will affect injury, illness, or disability. The medical necessity of the POC would be

questioned because no deficits are reported and no evidence is provided to support that soft tissue mobilization has resulted or would likely result in any sustainable change in the member's trunk control or any improvement in functional performance over time. The PA documentation does not support that a correlation exists between improving rib cage mobility and decreasing the member's susceptibility to respiratory infections. The PA request would be returned requesting this additional information.

*Example 3:* A PA is submitted for SLP services for a four-year-old child. The child only speaks at home and was referred by the family doctor for an SLP assessment. The standardized/non-standardized tests performed by the SLP provider indicate that the child's receptive and expressive language skills are age appropriate. The PA requests SLP services twice per week to improve the child's social language skills. In this situation, the ForwardHealth consultant may question if the services of an SLP provider are required, since the standardized tests indicate the child's language skills are age appropriate and do not identify an injury, illness, or disability potentially remediable by an SLP provider.

**(b) Meets the following standards:**

**1. Is consistent with the member's symptoms, or with prevention, diagnosis or treatment of the member's illness, injury or disability;**

*Example 1:* The client is a 35-year-old with cerebral palsy who is seven weeks post ankle fusion. Prior to surgery, she had been able to ambulate with a walker in her home. The PA request includes a PT POC to assess and/or teach transfer skills and evaluate orthotics and equipment needs. This POC reflects a situation where episodic therapy is warranted to maximize functional capacity following an orthopedic intervention. This PA request would be approved because it is consistent with treatment of the client's recent change in medical condition.

*Example 2:* A 16-year-old with a remote history of anoxic brain injury is dependent for all activities of daily living. An OT PA request is submitted to increase head control at midline from the member's current level of 3-5 seconds to 5-10 seconds. No progress has been documented in this area following extensive intervention to improve head control. When functional limitations persist for long periods and have not been remediable, compensatory strategies may be more appropriate. The PA request would be returned for additional information to support the benefit of continued direct treatment for improving head control as an effective or functional intervention.

*Example 3:* A PA is submitted for SLP services for a 45-year-old member diagnosed with mental retardation, emotional disturbance, and seizure disorder. His sheltered workshop supervisor referred the client for an SLP evaluation because over the past two months, both workshop staff and home caregivers have had difficulties understanding him due to decreased speaking rate and slurred speech. Upon assessment, the member's regression appears to coincide with the start of a new medication.

Without additional information, the ForwardHealth consultant would return the PA request questioning whether the member's decreased intelligibility may be related to the medication. Documentation of sufficient clinical information may then result in approval of SLP services for a brief episode of care to improve intelligibility.

**2. Is provided consistent with standards of acceptable quality of care applicable to the type of service, the type of provider and the setting in which the service is provided;**

*Example:* A PA request for sensory integration therapy is submitted for a nine-year-old with pervasive developmental disorder. Goals include decreased behavioral outbursts in natural environments like a noisy gym or shopping mall, improved sleeping patterns, and better ability to "self regulate." The PA would be returned asking the provider to explain how skills learned in therapy would be generalized from the controlled environment of the clinic setting to the child's natural environment(s) of home, school, or community. The ForwardHealth consultant may further question whether these issues would be more appropriately addressed by a behavioral therapist or through a consistent behavioral management home program.

**3. Is appropriate with regard to generally accepted standards of medical practice;**

*Example 1:* A PA is submitted with the therapist reporting that a member is "not testable" or with the majority of the therapy evaluation obtained from unstructured observation or from other sources. If the treating therapist is unable to establish an

member's baseline functional skills and limitations, it will be impossible to later evaluate and document any changes that may result from therapeutic intervention. Initiating treatment without performing a comprehensive assessment that includes baseline measurements of the member's abilities and physical impairments is not appropriate with regard to generally accepted standards of practice. If a problem area is not/ cannot be tested during the initial evaluation, it should be explained why data could not be obtained and that subsequent PAs will contain baseline data for reported problem areas as well as interval progress. This PA would be returned asking for additional information.

*Example 2:* An occupational therapist working with a child with a history of dysphagia submits a PA request with a goal for the child to tolerate a wider variety of foods. No clinical assessment of the child's oral motor/swallowing skills or results from a radiological swallow study have been documented to indicate that the proposed oral intake is safe. The PA request would be returned requesting this additional clinical information to assure that the treatment goals are appropriate.

**4. Is not medically contraindicated with regard to the member's diagnoses, the member's symptoms or other medically necessary services being provided to the member;**

*Example:* An 85-year-old is eight weeks post hip fracture with subsequent open reduction and internal fixation. The POC submitted with the PA includes goals of transferring with assistive device, achieving independence on stairs, and increasing unilateral weight bearing for improved balance, strength, and endurance while walking. No weight bearing restrictions or hip precautions are included in the information submitted. In the absence of this standard medical information, the reviewer may question whether the goals are appropriate (or possibly contraindicated) depending on the recommended postoperative hip precautions. Also, the requested frequency or intensity of therapy may be inappropriate depending on the member's weight bearing status.

*Example 2:* For a member with the recent onset of dysphagia and a swallow study that indicates aspiration, an oral motor evaluation and initial course of treatment is medically necessary to see if swallowing abilities can be improved. If a subsequent request is submitted that indicates the member has been unable to maintain his or her weight with oral feedings or if clinical signs of aspiration such as cough or respiratory infection persist, then continued SLP services to address improving oral feeding skills without assessing the need for further dietary modifications (change in liquid/solid consistency) may be medically contraindicated. This PA would be returned for additional clinical information to support the safety of the requested therapy.

**5. Is of proven medical value or usefulness and, consistent with [DHS 107.035](#), Wis. Admin. Code, is not experimental in nature;**

In assessing whether a service is experimental in nature, the Department of Health Services (DHS) shall consider whether the service is a proven effective treatment for the condition for which it is intended or used, as evidenced by:

- The current and historical judgment of the medical community (as reflected by medical research, studies, or publications in peer-reviewed journals).
- The extent to which other health insurers provide coverage for the service.
- The current judgment of experts or specialists in the medical area for which the service is to be used.
- The judgment of the Wisconsin Medicaid Medical Audit Committee of the Wisconsin Medical Society or of any other committee that may be under contract to the DHFS as identified in Wisconsin Administrative Code.

The following interventions have been determined to be experimental: Facilitated Communication and Auditory Integration Therapy. The Wisconsin Medical Society has also determined that electrical stimulation for the treatment of open wounds can only be applied to Stage III or IV decubiti. Prior authorization for continued treatment is considered only if granulation tissue has formed or a 25 percent reduction in the affected area has occurred within 45 days of initiating electrical stimulation. Any PA request for electrical stimulation that falls outside these parameters is considered unproven and would be denied.

**6. Is not duplicative with respect to other services being provided to the member;**

*Example 1:* A 78-year-old with a diagnosis of Alzheimer's disease resides in a nursing home that specializes in the care of Alzheimer patients. The client transfers with moderate assistance and receives PT two times per week for gait training and to

improve transfer skills. The member's transfer and ambulation skills have not progressed over the past month and the nursing staff has been instructed in safe transfer and ambulation techniques. The PT POC recommends continued PT services designed to maintain the member's abilities, stating that the member requires the skills of a therapist because she has Alzheimer's. Caregivers who have been properly instructed by a physical therapist regarding the member's unique set of problems should be skilled in working with this patient. Therefore, this PA request would be denied because it is duplicative to the member's maintenance care program.

*Example 2:* A child with autism is receiving intensive behavioral services with treatment goals of improved peer play, turn taking, sharing, and concentrating on conversation. The OT PA request includes goals for the child to participate in a group game following rules with proper sequencing and attention to task. In this case, the requested therapy is not coordinated with the goals and activities of all other medical, educational, and vocational disciplines involved with the member. The clinical intent of both services appears to be directed toward achieving the same outcome. Therefore, the PA request would be returned for clarification.

*Example 3:* A PA request is submitted for SLP services for a six-year-old child diagnosed with developmental delays and dysphagia. All of the child's nutrition is provided by mouth. The diet is modified to ground consistency solids and thickened liquids. The child currently receives SLP services at school and the Individualized Education Plan (IEP) includes facilitation of oral motor exercises supervised by an aide five times per week for 20-30-minute sessions. The school SLP provider re-evaluates the child's oral motor skills monthly. The POC submitted by the community-based provider focuses on oral motor exercises. In this situation, the Medicaid consultant may question if the requested service is duplicative of the services being performed in the school.

#### **7. Is not solely for the convenience of the member, the member's family or a provider;**

*Example 1:* A child with a history of traumatic brain injury receives PT services at school during the academic year. The IEP does not include recommendations for Extended School Year PT over the summer months. Physical therapy services are being requested at a community-based clinic during the summer because, without therapy, the member's day lacks structured activities. Unless the services being requested require the professional skills of a therapist, the request may be viewed as an alternative to recreational or other community-based activities and appears to be submitted solely for convenience.

*Example 2:* An OT PA request is submitted to provide range of motion and strengthening. The member has skills that are sufficient to perform the program at home with supervision or in a community or recreational setting. In this case, the PA would be returned for additional information to explain why the skills of a therapist are required.

*Example 3:* A PA request is submitted for SLP services for a 38-year old diagnosed with developmental delays. The member lives in a group home and communicates with an augmentative communication device. Previous therapy and product manuals have been provided for the member and caregivers to program and use the device. The PA requests SLP services for the purpose of creating a new communication page for the device. In this case, the Medicaid consultant would question if the service being requested is solely for convenience and if the member's caregiver or family member familiar with the device could create a new page.

#### **8. With respect to prior authorization of a service and to other prospective coverage determinations made by the department, is cost-effective compared to an alternative medically necessary service which is reasonably accessible to the member; and**

*Example 1:* A physical therapist has requested therapy services three times per week to work on a POC that is focused on repetition of skills to build endurance. A PA request for PT services at this frequency would be modified or denied. It would be more cost-effective for the client to work on building endurance through a home exercise program. Modification would allow the therapist to monitor the member's progress and to revise the home program as needed, instead of providing direct therapy to work on repetition of an already achieved skill. Programs that involve ongoing muscle strengthening and fitness often involve instructing the client to carry out activities independent of assistance or stressing recreational activities that encourage mobility and reinforce functional movement.

*Example 2:* An OT PA request is received to provide range of motion for a member who resides in a nursing home. A restorative

nursing plan is in place and meets the functional needs of this individual. The therapy POC being requested does not include more advanced functional outcomes requiring the skills of a therapist. Occupational therapy services, in addition to restorative nursing, are not cost-effective and the PA request would be denied.

### **9. Is the most appropriate supply or level of service that can safely and effectively be provided to the member.**

*Example:* A 10-year-old child with cerebral palsy has received many years of OT. His current level of functional upper extremity dressing skills includes the ability to push his arm through his sleeve only when the shirt is held over his head and the sleeve is held in place for him. No volitional grasp or release is demonstrated. The OT POC is submitted for ongoing direct treatment to improve independent living skills. For this member, it appears that he has reached a plateau, that no functional gains in upper extremity dressing skills can reasonably be anticipated, and that compensatory strategies and equipment are the most appropriate level of service that can be effectively provided. The direct skills of an occupational therapist may no longer be necessary at this time to maximize his functional performance. A more appropriate level of service may be provided by an occupational therapist on a consultative basis to monitor compensatory strategies and equipment and to evaluate further direct OT needs.

*Example 2:* A PA is submitted for SLP services to improve intelligibility in a nine-year-old child with a diagnosis of dyskinetic cerebral palsy. A review of the child's extensive therapy history indicates that there has been little functional improvement in the child's intelligibility. Standardized tests and subjective reporting also indicate that the child's intelligibility has not changed appreciably in three years despite receiving both school and community-based SLP services. The child has acquired an augmentative communication device to supplement his speech. In this situation, the Medicaid consultant would question if community-based SLP services focused on improving intelligibility remains the most appropriate level of service that can be effectively provided to this member.

Topic #2733

## **Flexibility of Approved Services**

ForwardHealth allows flexible use of approved, medically necessary PT (physical therapy), OT (occupational therapy), and SLP (speech and language pathology) sessions so a provider may meet a member's needs.

ForwardHealth may approve a specific number of PT, OT, and SLP sessions that can be used flexibly. For example, rather than being restricted to providing PT, OT, and SLP services once a week for 10 weeks as approved on a PA (prior authorization) request, a provider and member may change the frequency of the sessions over the 10-week period. Therefore, PT, OT, and SLP services could be provided once a week for the first four weeks and twice a week *every other* week for the next six weeks.

The number of PT, OT, and SLP sessions used may not exceed the approved quantity and must be used between the PA grant and expiration dates.

## **Plan of Care Must Reflect Flexibility of Approved Services**

ForwardHealth requires that the frequency and duration of PT, OT, and SLP services be written in the member's POC (plan of care) under DHS [107.16](#), [107.17](#), and [107.18](#), Wis. Admin. Code. To use the sessions flexibly, PT, OT, and SLP providers are required to have a physician's prescription that allows PT, OT, and SLP services to be used flexibly.

*Note:* Flexibility applies to all sessions approved on PAs including extension of therapy, maintenance therapy and SOI (spell of illness).

## **Duration of Approved Services**

Prior authorization requests for PT, OT, and SLP services must meet the criteria of medically necessary under [DHS 101.03 \(96m\)](#), Wis. Admin. Code.

In addition, the duration and frequency on a PA request should accurately reflect the POC.

If the PA request meets the criteria of medically necessary and the duration and frequency accurately reflect the POC, ForwardHealth should allow the following duration and number of sessions for PT, OT, and SLP services provided to individuals with *ongoing* treatment needs:

- Up to three sessions per week, for a duration of up to 26 weeks (maximum of 78 sessions).
- One or less than one therapy session per week, for a duration of up to 52 weeks (maximum of 52 sessions).

Duration applies for extension of therapy and maintenance therapy PAs but not SOI.

## Coordinating Multiple Prior Authorization Requests

ForwardHealth allows providers to request coordination of grant and expiration dates for the same member for multiple therapy disciplines. The intent of this provision is to increase coordinated planning by PT, OT, and SLP providers and enable members and their families to benefit from a coordinated service delivery plan. Providers can facilitate this process by consulting with other PT, OT, and SLP providers. ForwardHealth will respond to coordination requests when possible. Providers should request the same grant and expiration dates on each PA request and note that it is for coordination of care purposes.

When initiating PA coordination, providers may need to request shorter duration periods to synchronize the PA requests.

Topic #2732

## General Principles for Prior Authorization Requests

A PA (prior authorization) request for extension of therapy services, maintenance therapy services, or services that always require PA may be approved if the documentation provided establishes the following:

- Services are reasonably expected to be effective in achieving predictable and functional results for the member.
- Services are coordinated with the goals and activities of all other medical, educational, and vocational disciplines involved with the member.
- Services are cost-effective when compared with other available services that meet the member's treatment needs.
- Professional skills of a PT (physical therapy), OT (occupational therapy), or SLP (speech and language pathology) provider are required to meet the member's functional needs and therapy treatment needs.
- Treatment goals are reasonable given the member's current age and health status.
- Pertinent medical and social history is provided in sufficient detail to support that attainment of treatment goals would result in measurable and sustained benefit to the member.
- Frequency and duration of the requested services are based on the estimated length of time required for the member to realistically achieve the treatment goals.
- Medical diagnosis and problem statement (treatment diagnosis) identify the specific treatment needs of the member.
- Progress statements are objective, measurable, and demonstrate the desired outcome from the PT, OT, or SLP services in terms of functional improvements that can be generalized to settings outside the immediate treatment environment.
- Short-term objectives are realistic and attainable by the end of the requested PA.
- Long-term objectives describe the predicted functional changes expected by the end of the episode of care (not necessarily at the end of the requested PA).
- A plan to educate the recipient or caregiver and transition responsibility of the PT, OT, or SLP program.

Topic #2731

## Medical Necessity

ForwardHealth relies on its definition of medically necessary, as stated in [DHS 101.03\(96m\)](#), Wis. Admin. Code, to determine whether a particular service may be reimbursed by Wisconsin Medicaid. Medical necessity for PT (physical therapy), OT (occupational therapy), and SLP (speech and language pathology) services is focused on intervention activities that are designed to produce specific outcomes.

ForwardHealth uses the PA (prior authorization) process to determine whether the standards of medical necessity are met and to assure that appropriate PT, OT, and SLP services are provided to members. ForwardHealth consultants evaluate PA requests for PT, OT, and SLP services on a case-specific basis. A PA request may be approved only if the documentation submitted in the PA request establishes that the standards of medical necessity, in addition to all other program requirements, are met.

Common reasons for finding a "lack of medical necessity" include the following:

- Baseline performance is not documented in terms of the member's current functional abilities and limitations.
- Clinical information is not provided in sufficient detail to suggest that both of the following are true:
  - Treatment goals are reasonable given the current age and health status of the member.
  - Attainment of treatment goals would result in predictable functional improvement to the member.
- Documentation fails to support that the professional skills of a PT, OT, or SLP provider are required to meet the member's functional needs and therapy treatment needs.
- The member has failed to make progress toward the targeted goals and objectives in a reasonable time period, and the PT, OT, or SLP provider has not modified the treatment plan or objectives in spite of the anticipated outcomes not being achieved.

## Relationship of Medical Necessity to Clinical Practice Principles

Physical therapy, OT, or SLP services reimbursed by Wisconsin Medicaid reflect the following principles of clinical practice:

- An intervention plan should not be based solely on the presence of a medical diagnosis.
- Frequency or duration of treatment is determined by rate of change as a result of therapy, rather than level of severity.<sup>1</sup>
- Decisions about direct service intervention are contingent on timely monitoring of patient or client response and progress made toward achieving the anticipated goals and expected outcomes.<sup>2</sup>
- The need for the service has been determined in collaboration with the primary caregivers and others working together on behalf of the individual.
- Families or caregivers affect the priorities for intervention through their direct and proactive participation in the therapeutic process and should be encouraged to participate in all treatment decisions.
- Intervention is unlikely to promote lasting functional improvements if the only opportunity to develop new skills occurs during sessions with the therapist.
- Therapeutic intervention strategies include an educational focus and home program that enables the family or caregiver and eventually the individual to facilitate and reinforce long-term gains.

<sup>1</sup> American Occupational Therapy Association.

<sup>2</sup> Guide to Physical Therapist Practice, 2001 American Physical Therapy Association, p. 38 and 46.

Topic #4383

## Prior Authorization Numbers

Upon receipt of the [PA/RF \(Prior Authorization Request Form, F-11018 \(07/12\)\)](#), ForwardHealth will assign a PA (prior authorization) number to each PA request.

The PA number consists of 10 digits, containing valuable information about the PA (e.g., the date the PA request was received by



ForwardHealth, the medium used to submit the PA request).

Each PA request is assigned a unique PA number. This number identifies valuable information about the PA. The following table provides detailed information about interpreting the PA number.

| Type of Number and Description  | Applicable Numbers and Description  |
|---|---|
| <b>Media</b> — One digit indicates media type.  | Digits are identified as follows:<br>1= paper; 2 = fax; 3 = STAT-PA (Specialized Transmission Approval Technology-Prior Authorization); 4 = STAT-PA; 5 = Portal; 6 = Portal; 7 = NCPDP (National Council for Prescription Drug Programs) transaction or 278 (278 Health Care Services Review - Request for Review and Response) transaction; 9 = MedSolutions |
| <b>Year</b> — Two digits indicate the year ForwardHealth received the PA request.   | For example, the year 2008 would appear as 08.  |
| <b>Julian date</b> — Three digits indicate the day of the year, by Julian date, that ForwardHealth received the PA request. | For example, February 3 would appear as 034.  |
| <b>Sequence number</b> — Four digits indicate the sequence number.  | The sequence number is used internally by ForwardHealth.  |

Topic #436

## Reasons for Prior Authorization

Only about four percent of all services covered by Wisconsin Medicaid require PA (prior authorization). PA requirements vary for different types of services. Refer to ForwardHealth publications and [DHS 107](#), Wis. Admin. Code, for information regarding services that require PA. According to [DHS 107.02\(3\)\(b\)](#), Wis. Admin. Code, PA is designed to do the following:

- Safeguard against unnecessary or inappropriate care and services.
- Safeguard against excess payments.
- Assess the quality and timeliness of services.
- Promote the most effective and appropriate use of available services and facilities.
- Determine if less expensive alternative care, services, or supplies are permissible.
- Curtail misutilization practices of providers and members.

PA requests are processed based on criteria established by the DHS (Department of Health Services).

Providers should not request PA for services that do not require PA simply to determine coverage or establish a reimbursement rate for a manually priced procedure code. Also, new technologies or procedures do not necessarily require PA. PA requests for services that do not require PA are typically returned to the provider. Providers having difficulties determining whether or not a service requires PA may call [Provider Services](#).

Topic #437

## Referrals to Out-of-State Providers

PA (prior authorization) may be granted to non-enrolled out-of-state providers when non-emergency services are necessary to help a member attain or regain his or her health and ability to function independently. The PA request may be approved only when

the services are not reasonably accessible to the member in Wisconsin.

Out-of-state providers are required to meet Wisconsin Medicaid's guidelines for PA approval. This includes sending PA requests, required attachments, and supporting documentation to ForwardHealth before the services are provided.

*Note:* Emergency services provided out-of-state do not require PA; however, claims for such services must include appropriate documentation (e.g., anesthesia report, medical record) to be considered for reimbursement. Providers are required to submit claims with supporting documentation on paper.

When a Wisconsin Medicaid provider refers a member to an out-of-state, non-enrolled provider, the referring provider should refer the out-of-state provider to the ForwardHealth Portal or [Provider Services](#) to obtain appropriate enrollment materials, PA forms, and claim instructions.

All out-of-state nursing homes, regardless of location, are required to obtain PA for all services. All other out-of-state non-border-status providers are required to obtain PA for all nonemergency services except for home dialysis supplies and equipment.

Topic #438

## Reimbursement Not Guaranteed

Wisconsin Medicaid may decline to reimburse a provider for a service that has been prior authorized if one or more of the following program requirements is not met:

- The service authorized on the approved PA (prior authorization) request is the service provided.
- The service is provided within the grant and expiration dates on the approved PA request.
- The member is eligible for the service on the date the service is provided.
- The provider is enrolled in Wisconsin Medicaid on the date the service is provided.
- The service is billed according to service-specific claim instructions.
- The provider meets other program requirements.

Providers may not [collect payment](#) from a member for a service requiring PA under any of the following circumstances:

- The provider failed to seek PA before the service was provided.
- The service was provided before the PA grant date or after the PA expiration date.
- The provider obtained PA but failed to meet other program requirements.
- The service was provided before a decision was made, the member did not accept responsibility for the payment of the service before the service was provided, and the PA was denied.

There are [certain situations](#) when a provider may collect payment for services in which PA was denied.

## Other Health Insurance Sources

Providers are encouraged, but not required, to request PA from ForwardHealth for covered services that require PA when members have other health insurance coverage. This is to allow payment by Wisconsin Medicaid for the services provided in the event that the other health insurance source denies or recoups payment for the service. If a service is provided before PA is obtained, ForwardHealth will not consider backdating a PA request solely to enable the provider to be reimbursed.

Topic #1268

## Sources of Information

Providers should verify that they have the most current sources of information regarding PA (prior authorization). It is critical that providers and staff have access to these documents:

- Wisconsin Administrative Code: Chapters [DHS 101 through DHS 109](#) are the rules regarding Medicaid administration.
- Wisconsin Statutes: Sections [49.43 through 49.99](#) provide the legal framework for Wisconsin Medicaid.
- ForwardHealth Portal: The Portal gives the latest policy information for all providers, including information about Medicaid managed care enrollees.

Topic #812

## Status Inquiries

Providers may inquire about the status of a PA (prior authorization) request through one of the following methods:

- Accessing [WiCall](#), ForwardHealth's AVR (Automated Voice Response) system.
- Calling [Provider Services](#).

Providers should have the 10-digit PA number available when making inquiries.

Topic #2730

## Submission Deadline

PA (prior authorization) requests for PT (physical therapy), OT (occupational therapy), and SLP (speech and language pathology) services must be received by ForwardHealth within two weeks of performing the initial evaluation. This allows the PA request to be backdated to the date the evaluation was performed.

## Grant and Expiration Dates

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Topic #439

### Backdating

Backdating an initial PA (prior authorization) request or SOI (spell of illness) to a date prior to ForwardHealth's initial receipt of the request may be allowed in limited circumstances.

A request for backdating may be approved if all of the following conditions are met:

- The provider specifically requests backdating in writing on the PA or SOI request.
- The request includes clinical justification for beginning the service before PA or SOI was granted.
- The request is received by ForwardHealth within 14 calendar days of the start of the provision of services.

Topic #440

### Expiration Date

The expiration (end) date of an approved or modified PA (prior authorization) request is the date through which services are prior authorized. PA requests are granted for varying periods of time. Expiration dates may vary and do not automatically expire at the end of the month or calendar year. In addition, providers may request a specific expiration date. Providers should carefully review all approved and modified PA requests and make note of the expiration dates.

Topic #441

### Grant Date

The grant (start) date of an approved or modified PA (prior authorization) request is the first date in which services are prior authorized and will be reimbursed under this PA number. On a PA request, providers may request a specific date that they intend services to begin. If no grant date is requested or the grant date is illegible, the grant date will typically be the date the PA request was reviewed by ForwardHealth.

Topic #442

### Renewal Requests

To prevent a lapse in coverage or reimbursement for ongoing services, all renewal PA (prior authorization) requests (i.e., subsequent PA requests for ongoing services) must be received by ForwardHealth *prior to the expiration date* of the previous PA request. Each provider is solely responsible for the timely submission of PA request renewals. Renewal requests will not be backdated for continuation of ongoing services.

## Member Eligibility Changes

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Topic #443

### Loss of Enrollment During Treatment

Some covered services consist of sequential treatment steps, meaning more than one office visit or service is required to complete treatment.

In most cases, if a member loses enrollment midway through treatment, or at any time between the grant and enddates, Wisconsin Medicaid will *not* reimburse services (including prior authorized services) provided during an enrollment lapse. Providers should not assume Wisconsin Medicaid covers completion of services after the member's enrollment has been terminated.

To avoid potential reimbursement problems when a member loses enrollment during treatment, providers should follow these procedures:

- Ask to see the member's ForwardHealth identification card to verify the member's enrollment or consult Wisconsin's EVS (Enrollment Verification System) before the services are provided at each visit.
- When the PA (prior authorization) request is approved, verify that the member is still enrolled and eligible to receive the service before providing it. An approved PA request does not guarantee payment and is subject to the enrollment of the member.

Members are financially responsible for any services received after their enrollment has ended. If the member wishes to continue treatment, it is a decision between the provider and the member whether the service should be given and how payment will be made for the service.

To avoid misunderstandings, providers should remind members that they are financially responsible for any continued care after their enrollment ends.

Topic #444

### Retroactive Disenrollment from State-Contracted MCOs

Occasionally, a service requiring fee-for-service PA (prior authorization) is performed during a member's enrollment period in a state-contracted MCO (managed care organization). After the service is provided, and it is determined that the member should be retroactively disenrolled from the MCO, the member's enrollment is changed to fee-for-service for the DOS (date of service). The member is continuously eligible for BadgerCare Plus or Wisconsin Medicaid but has moved from MCO enrollment to fee-for-service status.

In this situation, the state-contracted MCO would deny the claim because the member was not enrolled on the DOS. Fee-for-service would also deny the claim because PA was not obtained.

Providers may take the following steps to obtain reimbursement in this situation:

- For a service requiring PA for fee-for-service members, the provider is required to submit a retroactive PA request. For a PA request submitted on paper, indicate "RETROACTIVE FEE-FOR-SERVICE" along with a written description of the service requested/provided under "Description of Service." Also indicate the actual date(s) the service(s) was provided. For a PA request submitted via the ForwardHealth Portal, indicate "RETROACTIVE FEE-FOR-SERVICE" along with a

description of the service requested/provided under the "Service Code Description" field or include additional supporting documentation. Also indicate the actual date(s) the service(s) was provided.

- If the PA request is approved, the provider is required to follow fee-for-service policies and procedures for claims submission.
- If the PA request is denied, Wisconsin Medicaid will not reimburse the provider for the services. A PA request would be denied for reasons such as lack of medical necessity. A PA request would not be denied due to the retroactive fee-for-service status of the member.

Topic #445

## Retroactive Enrollment

If a service(s) that requires PA (prior authorization) was performed during a member's [retroactive enrollment](#) period, the provider is required to submit a PA request and receive approval from ForwardHealth *before* submitting a claim. For a PA request submitted on paper, indicate the words "RETROACTIVE ENROLLMENT" at the top of the PA request along with a written description explaining that the service was provided at a time when the member was retroactively enrolled under "Description of Service." Also include the actual date(s) the service(s) was provided. For a PA request submitted via the ForwardHealth Portal, indicate the words "RETROACTIVE ENROLLMENT" along with a description explaining that the service was provided at a time when the member was retroactively eligible under the "Service Code Description" field or include additional supporting documentation. Also include the actual date(s) the service(s) was provided.

If the member was retroactively enrolled, and the PA request is approved, the service(s) may be reimbursable, and the earliest effective date of the PA request will be the date the member receives retroactive enrollment. If the PA request is denied, the provider will not be reimbursed for the service(s). Members have the right to appeal the decision to deny a PA request.

If a member requests a service that requires PA before his or her retroactive enrollment is determined, the provider should explain to the member that he or she may be liable for the full cost of the service if retroactive enrollment is not granted and the PA request is not approved. This should be documented in the member's record.

## Requesting Services That Always Require Prior Authorization

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Topic #2728

### Cotreatment

Cotreatment (interdisciplinary treatment) always requires PA (prior authorization). Cotreatment is simultaneous treatment by two providers of different therapy disciplines during the same time period. Cotreatment may be authorized when the treatment approach is medically necessary to optimize the member's benefit from therapy.

Each of the providers involved in cotreatment is required to complete a separate PA request; the requests must be submitted at the same time. Providers may either mail the PA requests in the same envelope or fax them at the same time. The [PA/RFs \(Prior Authorization Request Form, F-11018 \(07/12\)\)](#) may be submitted via the Web if both PA requests are mailed together or faxed at the same time.

Each provider's PA request for cotreatment must include the following:

- A specific request for cotreatment.
- Documentation verifying the following:
  - Individual treatment from a single PT (physical therapy), OT (occupational therapy), or SLP (speech and language pathology) provider does not provide maximum benefit to the member.
  - Services of two different therapy disciplines, simultaneously performed, are required to treat the member.
- Identification of the other provider and therapy discipline.

When cotreatment is approved, "cotreatment is approved" will be written on the bottom of the PA request.

If cotreatment is approved, two providers of different therapy disciplines can be reimbursed by Wisconsin Medicaid for the same time period. For example, if a member is treated by an OT provider and an SLP provider from 1:00 to 2:00, both providers could receive Medicaid reimbursement for one hour. However, if cotreatment is not approved, both the OT provider and the SLP provider would not receive reimbursement for one hour. Instead, each provider could receive reimbursement for 30 minutes.

Topic #2727

### Decubitus Ulcers

Treatment of decubitus ulcers using electrical stimulation always requires PA (prior authorization). When requesting PA for electrical stimulation of decubitus ulcers, the service should be requested as a manual electrical stimulation procedure. Wisconsin Medicaid reimburses only for the face-to-face time that the PT (physical therapy) provider is in attendance.

A PA request for electrical stimulation of decubitus ulcers must include documentation of the following:

- The character, size, etc., of the pressure sore.
- The need for additional time for dressing changes.
- Weekly measurements.
- Weekly percentage change in size or healing.

A PA for continuing electrical stimulation treatment is considered only when there has been interval formation of granulation tissue or a 25 percent reduction in area has occurred within 45 treatment days. When this rapid improvement has not occurred within 45

days, a PA request for continuing electrical stimulation treatment must include documentation of nursing protocols, positioning recommendations, and dietary recommendations.

Topic #2726

## Dual Treatment

Dual treatment (intradisciplinary treatment) always requires PA (prior authorization). Dual treatment is treatment by two or more providers of the same therapy discipline from different agencies or organizations. Each of the providers involved in dual treatment is required to complete a separate PA request; the requests must be submitted at the same time. Providers may either mail the PA requests in the same envelope or fax them at the same time. The [PA/RFs \(Prior Authorization Request Form, F-11018 \(07/12\)\)](#) may be submitted via the Web if both PA requests are mailed together or faxed at the same time.

Each provider's PA request for dual treatment must include the following:

- A specific request for dual treatment.
- Identification of the other provider.
- Procedures for coordination of the treatment plans.
- Specific days of the week each provider will provide services.
- The specific and unique contribution of each PT (physical therapy), OT (occupational therapy), or SLP (speech and language pathology) provider.

Topic #2725

## Extension of Therapy

PA (prior authorization) is required to extend PT (physical therapy), OT (occupational therapy), and SLP (speech and language pathology) services subsequent to the member's initial SOI (spell of illness). As specified in [DHS 107.16\(3\)\(e\)](#), [107.17\(3\)\(e\)](#), and [107.18\(3\)\(e\)](#), Wis. Admin. Code, a PA request to extend therapy services (i.e., continuation of therapy services) will not be approved in any of the following circumstances:

- The member shows no progress toward meeting or maintaining established and measurable treatment goals over a six-month period, or the member shows no ability within six months to carry over abilities gained from treatment in a facility to the member's home.
- The member's chronological or developmental age, lifestyle, or home situation indicates the stated goals are not appropriate for the member or serve no functional or maintenance purpose.
- The member has achieved independence in daily activities or can be supervised and assisted by restorative nursing personnel, active treatment staff, activity or recreation staff, caregivers, or family.
- The evaluation indicates the member's abilities are functional for the member's present lifestyle.
- The member shows no motivation, interest, or desire to participate in a PT, OT, or SLP program.
- Other therapies or services being provided are sufficient to meet the member's treatment needs.
- The procedures requested are not medical in nature or are not covered services. Inappropriate diagnoses for PT, OT, and SLP services and procedures of questionable medical necessity may not receive departmental authorization, depending upon the individual circumstances.

Topic #2724

## Maintenance Therapy

PA (prior authorization) is required for maintenance therapy services. PA requests for maintenance therapy services may be



approved when the following apply:

- Specialized knowledge and judgment of a PT (physical therapy), OT (occupational therapy), or SLP (speech and language pathology) provider are required to establish and monitor the therapy program.
- The member's functional abilities cannot be maintained without PT, OT, or SLP services.
- Treatment allows the member to achieve or maintain a specific level of functional independence.

When evaluating a PA request for maintenance therapy services, ForwardHealth evaluates the member's diagnoses, history, functional status, cognitive abilities, and the chronic or progressive nature of the identified diagnosis impairments or disabilities. In addition, consideration is given to the following:

- Caregivers involved with the member.
- Exercises prescribed in the HEP/PMP (home exercise program/preventive maintenance plan).
- Level of caregiver assistance required to execute the HEP/PMP.
- Professional skills and expertise the PT, OT, or SLP provider brings to the maintenance program.
- Member's residence (e.g., private residence, group home).
- Specific functional outcomes of the HEP/PMP.
- Treatment goals and anticipated outcomes of the requested services.

## Direct Maintenance

ForwardHealth evaluates a PA request for direct maintenance therapy services when one or more of the following conditions are met:

- Nursing personnel cannot handle the member safely and effectively due to the severity or complexity of the member's condition.
- Professional knowledge and judgment of a PT, OT, or SLP provider are required to establish and monitor the therapy maintenance program, including the following:
  - Designing the program as well as monitoring the member's functional abilities.
  - Performing the initial evaluation.
  - Performing any necessary re-evaluations.
  - Providing instruction for nursing personnel, family, caregiver, or member.
- The POC and the member's condition require continual adjustment of therapeutic input and/or constant use of therapeutic principles.

Direct maintenance therapy services provided one time per week or less in isolation from an established HEP/PMP are generally not considered effective maintenance of the member's functional abilities. For maintenance therapy services to be effective, the member should also be involved in a routine HEP/PMP.

Routine HEP/PMPs can be performed with the assistance of a caregiver or by the member. Routine HEP/PMPs can influence the member's functional abilities on a consistent basis and are considered more effective than PT, OT, or SLP sessions provided one time per week or less.

## Monitoring Maintenance

Monitoring maintenance therapy services are most often approved when the professional skills of a PT, OT, or SLP provider are needed to monitor or modify an HEP/PMP that is carried out by the member's caregivers.

In these situations, it is expected that the member's medical condition or functional abilities change often enough to warrant re-evaluation and modification of the HEP/PMP. The frequency requested for maintenance therapy services should be based on the predictability of change in the member's status or condition. Subsequently, an assessment to modify an HEP/PMP needs to be justified in a PA request by a change in the member's medical condition, living situation, adaptive equipment needs, functional

abilities, and/or caregiver status.

Approved maintenance therapy services may also involve the process of teaching a routine HEP/PMP to a caregiver to assure follow-through and understanding of the HEP/PMP techniques. The requested frequency should reflect the need for intervention and teaching from a PT, OT, or SLP provider.

## Discontinuing Maintenance

When there is an established HEP/PMP and the member's response to treatment is predictable, the following examples of HEP/PMPs may not require the professional skills and expertise of a PT, OT, or SLP provider after the initial treatment and instruction:

- Active-assisted, active, and resisted exercises.
- Activities of daily living.
- Ambulation when level of assistance and/or assistive device has been determined.
- Aquatic exercises.
- Chest PT.
- Cognitive skills - orientation, attention span, problem solving, conceptualization, integration of learning.
- Coping skills.
- Exercises to promote overall fitness.
- Expressive language.
- Fluency (e.g., stuttering).
- Hot/cold treatments.
- Independent living skills.
- Language structure, content, or functions.
- Massage.
- Memory sequencing.
- Play activities that stimulate development/strength/range of motion/coordination.
- Positioning.
- Range of motion exercises.
- Rote, drill activities.
- Sensory integration.
- Standing table.
- Strengthening exercises.
- Stretching exercises.
- Unattended electrical stimulation.
- Voice quality.

The professional skills and expertise of a PT, OT, or SLP provider may be required to execute the member's maintenance therapy services if there is documentation that the nursing personnel or caregivers routinely performed the HEP/PMP as prescribed, but the outcome was affected by one or more of the following:

- Complicating factors related to the member's diagnosis.
- Risk to the member's health or safety as identified by the PT, OT, or SLP provider's reassessment of the HEP/PMP.
- Unforeseeable problems associated with the member's functional abilities being maintained by other caregivers.

In these situations it may be necessary for a period of brief, intensive treatment (if the member's status has regressed) prior to resuming a maintenance program. These situations may require a new PA request.

Topic #2802

## Unlisted Procedures

Services identified by unlisted procedure codes always require PA (prior authorization). Unlisted procedure codes include 97039 for PT (physical therapy) services, 97139 for PT and OT (occupational therapy) services, and 92700 for SLP (speech and language pathology) services. A PA request with one of these procedure codes should include an explanation of why no other procedure code accurately reflects the service being requested.

## Requesting Services for Birth to 3 Participants

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Topic #2723

### Requirements

ForwardHealth requires PT (physical therapy), OT (occupational therapy), and SLP (speech and language pathology) providers to submit a PA (prior authorization) request only once per child, per therapy discipline, per billing provider for members who participate in the Birth to 3 Program. An approved PA is granted up to the member's third birthday.

Birth to 3 services must be provided by PT, OT, and SLP providers who are employed by, or under agreement with, a Birth to 3 agency to provide Birth to 3 services. In addition, the Birth to 3 services must be one or both of the following:

- Provided in conjunction with the Birth to 3 initial evaluation and assessment in accordance with [DHS 90](#), Wis. Admin. Code, even if the evaluation and assessment determines the child is not eligible for Birth to 3 services.
- Identified in the member's IFSP (Individualized Family Service Plan) and performed at the same frequency, intensity, and duration listed in the IFSP. Wisconsin Medicaid will not reimburse the provider beyond the frequency and duration specified in the prescription or the physician-signed plan of care.

Providers may submit the [PA/B3 \(Prior Authorization/Birth to 3 Attachment, F-11011 \(07/12\)\)](#) in either of the following situations:

- At any time once an evaluation or a PT, OT, or SLP service has been initiated through the Birth to 3 Program.
- Two to four weeks before the child's initial 35 treatment days per discipline have been used.

Topic #2722

### Situations That Do Not Qualify for the Birth to 3 Prior Authorization Process

Providers may not use the PA (prior authorization) Birth to 3 process for any of the following:

- Children who are not being evaluated as part of an initial Birth to 3 assessment or who are not participating in the Birth to 3 Program. (Some children are not eligible for the Birth to 3 Program. One example would be a child without developmental delays who needs therapy to recover from an accident or injury, such as a broken arm. Providers are required to indicate the reason the child is not a Birth to 3 member.)
- Services provided by ForwardHealth PT (physical therapy), OT (occupational therapy), or SLP (speech and language pathology) providers who are not employed by, or under agreement with, a Birth to 3 agency to provide Birth to 3 services.
- Services not identified in the IFSP (Individualized Family Service Plan).
- Procedure codes not listed in the Birth to 3 list as appropriate for [PT](#), [OT](#), or [SLP](#).
- Cotreatment services.

For situations that do not meet the criteria for the PA Birth to 3 process, providers are required to submit the [PA/TA \(Prior Authorization/Therapy Attachment, F-11008 \(07/12\)\)](#) or the [PA/SOIA \(Prior Authorization/Spell of Illness Attachment, F-11039 \(07/12\)\)](#). Providers should refer to the Services That Always Require Prior Authorization and the Requesting Spell of Illness chapters in the Prior Authorization section for information about submitting the PA/TA or PA/SOIA.

Providers are required to include the following components of the IFSP when submitting the PA/TA for Birth to 3 participants:

- The child's health history and current medical status, including results of hearing and vision screening.
- A summary of the child's development in the following five areas:
  - Cognitive skills.
  - Physical development (fine and gross motor skills).
  - Communication skills.
  - Social or emotional development.
  - Adaptive development (including self-help skills).
- Concerns, priorities, and resources as identified by the family and other team members.
- Functional outcomes (including the follow-through plans for the child's family and any outcome evaluation criteria).
- Summary of services.

In accordance with [DHS 90](#), Wis. Admin. Code, the IFSP describes the outcomes, strategies, supports, and services appropriate to meet the child's and family's needs. The IFSP does not establish medical necessity.

After the IFSP has been submitted once, only the sections of the IFSP that change significantly need to be submitted to ForwardHealth. This typically includes the following:

- The annual update on developmental status.
- Changes in desired outcomes that may be developed at either six-month or annual reviews.

## **Prior Authorization Requests for Therapy Services Provided Outside the Birth to 3 Program**

*Every* PA request submitted to ForwardHealth for therapy services provided outside the Birth to 3 Program for *all children 0 to 3 years of age* must include the Child Status Regarding Birth to 3 Program (F-00316) form, or an equivalent version of the form and content as documented by the county Birth to 3 Program. The Child Status Regarding Birth to 3 Program form is used by county Birth to 3 Programs to document the result of a referral. This form is completed by the Birth to 3 Program at the request of the child's parent or legal guardian. Therapists may obtain a copy of the form from the county Birth to 3 Program.

## Requesting Spell of Illness

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Topic #2721

### Allowable ICD-9-CM Codes for Occupational Therapy (Organized by Codes)

OT (occupational therapy) providers should use the following chart to determine the allowable combinations of ICD-9-CM (International Classification of Diseases, Ninth Revision, Clinical Modification) codes and statements for SOI (spell of illness) approval. Only one of statements "A" through "F" from the [PA/SOIA \(Prior Authorization/Spell of Illness Attachment, F-11039 \(07/12\)\)](#) must be true for SOI approval. The combination of the true statement and the primary ICD-9-CM (International Classification of Diseases, Ninth Revision, Clinical Modification) diagnosis code or the ICD-9-CM surgical procedure code is then used by ForwardHealth to assign the maximum allowable treatment days for the SOI. Statement "G" must also be true for SOI approval, but it is not used to determine the maximum allowable treatment days.

The statements below are from Element 11 of the PA/SOIA:

- A. The member experienced the onset of a new neuromuscular disease, injury, or condition six weeks ago or less.
- B. The member experienced the onset of a new musculoskeletal disease, injury, or condition six weeks ago or less.
- C. The member experienced the onset of a new problem or complication associated with physiologic disease, injury, or condition six weeks ago or less.
- D. The member experienced the onset of a new psychological disease, injury, or condition six weeks ago or less.
- E. The member experienced an exacerbation of a pre-existing condition six weeks ago or less.
- F. The member experienced a regression of his or her condition due to lack of therapy six weeks ago or less.

AND

- G. There is a reasonable expectation that the member will return to his or her previous level of function by the end of this SOI or sooner.

Columns "A" through "F" in the following chart correspond to statements "A" through "F" from Element 11 of the PA/SOIA. The number of days that follow each letter represent the maximum allowable treatment days that correspond with the condition identified in that statement.

The following instructions describe how to use this chart:

1. Find the appropriate ICD-9-CM code and description. The diagnosis codes are listed before the surgical procedure codes. The code descriptions are organized alphabetically within these categories (Element 10 of the PA/SOIA).
2. Follow the row across to determine which statement(s) corresponds with the ICD-9-CM code (Element 11 of the PA/SOIA).
3. Follow the appropriate column(s) up to determine the maximum allowable treatment days for the corresponding combination of the ICD-9-CM code and statement.

Some ICD-9-CM codes have more than one allowable statement for SOI approval. OT providers should determine which statement best describes the member's condition.

Only the ICD-9-CM codes listed in the following chart are available for SOI approval. If the ICD-9-CM code for the OT service is not listed, the provider should submit the [PA/RF \(Prior Authorization Request Form, F-11018 \(07/12\)\)](#) and the [PA/TA \(Prior](#)

[Authorization/Therapy Attachment, F-11008 \(07/12\)\).](#)

| Primary ICD-9-CM Diagnosis Code Description   | Primary ICD-9-CM Diagnosis Code | A 12 Days | B 16 Days | C 18 Days | D 0 Days | E 8 Days | F 11 Days |
|---|---------------------------------|-----------|-----------|-----------|----------|----------|-----------|
| Acute infective polyneuritis (Guillain-Barre syndrome; postinfectious polyneuritis) | 357.0                           | X         |           |           |          | X        |           |
| Acute, but ill-defined, cerebrovascular disease (incl., CVA)                        | 436                             | X         |           |           |          | X        |           |
| Affective personality disorder, unspecified   | 301.10                          |           |           |           | X        |          |           |
| Affective psychoses   | 296.0-296.9                     |           |           |           | X        |          |           |
| Anoxic brain damage   | 348.1                           | X         |           |           |          | X        |           |
| Anxiety states (incl., panic disorder)  | 300.00-300.09                   |           |           |           | X        |          |           |
| Bacterial meningitis  | 320.0-320.9                     | X         |           |           |          |          |           |
| Brachial plexus lesions   | 353.0                           | X         |           |           |          |          |           |
| Cerebral embolism   | 434.10-434.11                   | X         |           |           |          | X        |           |
| Cerebral laceration and contusion   | 851.0-851.99                    | X         |           |           |          | X        |           |
| Cerebral thrombosis   | 434.00-434.01                   | X         |           |           |          | X        |           |
| Chronic depressive personality disorder   | 301.12                          |           |           |           | X        |          |           |
| Contracture of joint  | 718.4                           |           |           |           |          |          | X         |
| Contracture of palmar fascia (Dupuytren's contracture)                              | 728.6                           |           | X         |           |          |          |           |
| Diabetes mellitus   | 250.0-250.93                    | X         |           |           |          |          |           |
| Disorders of other cranial nerves   | 352.0-352.9                     | X         |           |           |          |          |           |
| Encephalitis, myelitis, and encephalomyelitis                                       | 323.0-323.9                     | X         |           |           |          |          |           |
| Encephalopathy, unspecified   | 348.3                           | X         |           |           |          | X        | X         |
| Epilepsy  | 345.0-345.91                    |           |           | X         |          |          |           |
| Explosive personality disorder  | 301.3                           |           |           |           | X        |          |           |
| Facial nerve disorders (incl., Bell's palsy)  | 351.0-351.9                     | X         |           |           |          |          |           |
| Fracture of lower limb  | 820-829.1                       |           | X         |           |          |          |           |
| Fracture of pelvis  | 808.0-808.9                     |           | X         |           |          |          |           |
| Fracture of upper limb  | 810-819.1                       |           | X         |           |          |          |           |
| Ganglion and cyst of synovium, tendon, and bursa                                    | 727.40-727.49                   |           | X         |           |          |          |           |
| Head injury, unspecified  | 959.01                          | X         |           |           |          | X        |           |
| Hemiplegia and hemiparesis  | 342.0-342.92                    | X         |           |           |          | X        |           |
| Human immunodeficiency virus (HIV) infection  | 042                             |           |           | X         |          | X        |           |
| Huntington's chorea   | 333.4                           | X         |           |           |          | X        |           |
| Intracerebral hemorrhage  | 431                             | X         |           |           |          | X        |           |
| Intracranial injury of other and unspecified nature                                 | 854.0-854.19                    | X         |           |           |          | X        |           |
| Juvenile chronic polyarthritis  | 714.30-714.33                   |           | X         |           |          | X        |           |
| Late effects of acute poliomyelitis   | 138                             | X         |           |           |          |          |           |
| Late effects of cerebrovascular disease   | 438.0-438.9                     |           |           |           |          | X        |           |
| Malignant neoplasm of brain   | 191.0-191.9                     | X         |           |           |          |          |           |

|   |               |   |   |   |   |   |
|---|---------------|---|---|---|---|---|
| Malignant neoplasm of head, face, and neck  | 195.0         | X |   |   |   |   |
| Meningitis due to other organisms   | 321.0-321.8   | X |   |   |   |   |
| Meningitis of unspecified cause   | 322.0-322.9   | X |   |   |   |   |
| Mononeuritis of upper limb and mononeuritis multiplex (incl., Carpal tunnel syndrome)   | 354.0-354.9   | X |   |   |   |   |
| Motor neuron disease (incl., Amyotrophic lateral sclerosis)   | 335.20-335.29 | X |   |   |   | X |
| Multiple sclerosis  | 340           | X |   |   |   | X |
| Myasthenia gravis   | 358.0         | X |   |   |   | X |
| Nontraumatic extradural hemorrhage  | 432.0         | X |   |   |   | X |
| Obsessive-compulsive disorders  | 300.3         |   |   |   | X |   |
| Organic personality syndrome  | 310.1         |   |   |   | X |   |
| Osteoarthritis and allied disorders   | 715.0-715.9   |   | X |   |   |   |
| Other and unspecified intracranial hemorrhage following injury  | 853.0-853.19  | X |   |   |   | X |
| Other choreas   | 333.5         | X |   |   |   | X |
| Other lymphedema  | 457.1         |   |   | X |   | X |
| Other nonorganic psychoses  | 298.0-298.9   |   |   |   | X |   |
| Other tenosynovitis of hand and wrist   | 727.05        |   | X |   |   |   |
| Paranoid personality disorder   | 301.0         |   |   |   | X |   |
| Paranoid state (Delusional disorders)   | 297.0-297.9   |   |   |   | X |   |
| Parkinson's disease   | 332.0-332.1   | X |   |   |   | X |
| Pathologic fracture   | 733.10-733.19 |   | X |   |   |   |
| Peripheral enthesopathies and allied syndromes (incl., adhesive capsulitis of shoulder, rotator cuff syndrome, epicondylitis, bursitis, tendinitis) | 726.0-726.9   |   | X |   |   |   |
| Peroneal muscular atrophy (Charcot-Marie-Tooth disease)   | 356.1         | X |   |   |   | X |
| Postmastectomy lymphedema syndrome  | 457.0         |   |   | X |   | X |
| Psychoses with origin specific to childhood   | 299.0-299.9   |   |   |   | X |   |
| Radial styloid tenosynovitis (de Quervain's disease)  | 727.04        |   | X |   |   |   |
| Reflex sympathetic dystrophy  | 337.20-337.29 |   |   | X |   |   |
| Rheumatoid arthritis  | 714.0         |   | X |   |   | X |
| Rupture of tendon, nontraumatic (rotator cuff, long head of biceps, hand/wrist extensor/flexor tendons)   | 727.60-727.64 |   | X |   |   |   |
| Schizophrenic disorders   | 295.0-295.9   |   |   |   | X |   |
| Secondary malignant neoplasm of brain and spinal cord   | 198.3         | X |   |   |   |   |
| Secondary malignant neoplasm of other parts of nervous system   | 198.4         | X |   |   |   |   |
| Sickle-cell anemia  | 282.60-282.69 |   |   | X |   | X |
| Spinocerebellar disease (ataxias)   | 334.0-334.9   | X |   |   |   | X |
| Sprains and strains of joints and adjacent muscles  | 840-848.9     |   | X |   |   |   |
| Subarachnoid hemorrhage   | 430           | X |   |   |   | X |



|   |   |                  |                  |                  |                 |                 |                  |
|---|---|------------------|------------------|------------------|-----------------|-----------------|------------------|
| Subarachnoid, subdural, and extradural hemorrhage, following injury | 852.0-852.59                            | X                |                  |                  |                 | X               |                  |
| Subdural hemorrhage   | 432.1                                   | X                |                  |                  |                 | X               |                  |
| Systemic lupus erythematosus  | 710.0                                   |                  |                  | X                |                 |                 |                  |
| Systemic sclerosis  | 710.1                                   |                  |                  | X                |                 |                 |                  |
| Temporomandibular joint disorders                                   | 524.60-524.69                           |                  |                  | X                |                 |                 |                  |
| Toxic encephalopathy  | 349.82                                  | X                |                  |                  |                 | X               | X                |
| Traumatic amputation of arm and hand (complete) (partial)           | 887.0-887.7                             |                  | X                |                  |                 |                 |                  |
| Traumatic amputation of foot (complete) (partial)                   | 896.0-896.3                             |                  | X                |                  |                 |                 |                  |
| Traumatic amputation of leg(s) (complete) (partial)                 | 897.0-897.7                             |                  | X                |                  |                 |                 |                  |
| Traumatic amputation of other finger(s) (complete) (partial)        | 886.0-886.1                             |                  | X                |                  |                 |                 |                  |
| Traumatic amputation of thumb (complete) (partial)                  | 885.0-885.1                             |                  | X                |                  |                 |                 |                  |
| Traumatic amputation of toe(s) (complete) (partial)                 | 895.0-895.1                             |                  | X                |                  |                 |                 |                  |
| Trigeminal nerve disorders (incl., Trigeminal neuralgia)            | 350.1-350.9                             | X                |                  |                  |                 |                 |                  |
| Trigger finger (acquired)   | 727.03                                  |                  | X                |                  |                 |                 |                  |
| <b>ICD-9-CM Surgical Procedure Code Description</b>                 | <b>ICD-9-CM Surgical Procedure Code</b> | <b>A 12 Days</b> | <b>B 16 Days</b> | <b>C 18 Days</b> | <b>D 0 Days</b> | <b>E 8 Days</b> | <b>F 11 Days</b> |
| Amputation of lower limb  | 84.10-84.19                             |                  | X                |                  |                 |                 |                  |
| Amputation of upper limb  | 84.00-84.09                             |                  | X                |                  |                 |                 |                  |
| Arthroplasty and repair of hand, fingers, and wrist                 | 81.71-81.79                             |                  | X                |                  |                 |                 |                  |
| Arthroplasty and repair of shoulder and elbow                       | 81.80-81.85                             |                  | X                |                  |                 |                 |                  |
| Extracranial ventricular shunt                                      | 02.31-02.39                             | X                |                  |                  |                 | X               |                  |
| Incision of cerebral meninges                                       | 01.31                                   | X                |                  |                  |                 | X               |                  |
| Mastectomy  | 85.41-85.48                             |                  | X                |                  |                 |                 |                  |
| Other craniectomy   | 01.25                                   | X                |                  |                  |                 | X               |                  |
| Other craniotomy  | 01.24                                   | X                |                  |                  |                 | X               |                  |
| Repair of cerebral meninges   | 02.11-02.14                             | X                |                  |                  |                 | X               |                  |
| Revision, removal, and irrigation of ventricular shunt              | 02.41-02.43                             | X                |                  |                  |                 | X               |                  |
| Rotator cuff repair   | 83.63                                   |                  | X                |                  |                 |                 |                  |

Topic #2720

## Allowable ICD-9-CM Codes for Occupational Therapy (Organized by Statements)

OT (occupational therapy) providers should use the following chart to determine the allowable combinations of ICD-9-CM (International Classification of Diseases, Ninth Revision, Clinical Modification) codes and statements for SOI (spell of illness) approval. Only one of statements "A" through "F" from the [PA/SOIA \(Prior Authorization/Spell of Illness Attachment, F-11039 \(07/12\)\)](#) must be true for SOI approval. The combination of the true statement and the primary ICD-9-CM diagnosis code or the

ICD-9-CM surgical procedure code is then used by ForwardHealth to assign the maximum allowable treatment days for the SOI. Statement "G" must also be true for SOI approval, but it is not used to determine the maximum allowable treatment days.

The statements below are from Element 11 of the PA/SOIA:

- A. The member experienced the onset of a new neuromuscular disease, injury, or condition six weeks ago or less.
- B. The member experienced the onset of a new musculoskeletal disease, injury, or condition six weeks ago or less.
- C. The member experienced the onset of a new problem or complication associated with physiologic disease, injury, or condition six weeks ago or less.
- D. The member experienced the onset of a new psychological disease, injury, or condition six weeks ago or less.
- E. The member experienced an exacerbation of a pre-existing condition six weeks ago or less.
- F. The member experienced a regression of his or her condition due to lack of therapy six weeks ago or less.

AND

- G. There is a reasonable expectation that the member will return to his or her previous level of function by the end of this SOI or sooner.

Each chart is specific to one of the above statements. The statement and the maximum allowable treatment days are provided at the top of each chart.

The following instructions describe how to use these charts:

1. Find the chart that corresponds to the true statement (Element 11 of the PA/SOIA).
2. Find the appropriate ICD-9-CM code and description. The diagnosis codes are listed before the surgical procedure codes. The code descriptions are organized alphabetically within these categories (Element 10 of the PA/SOIA).
3. If the ICD-9-CM code is listed in the chart, refer to the statement at the top of the chart for the maximum allowable treatment days for the corresponding combination of the ICD-9-CM code and statement.
4. If the ICD-9-CM code is not listed in the chart, the combination of the code and statement does not allow for SOI approval. The ICD-9-CM code may be listed under another statement.

Some ICD-9-CM codes have more than one allowable statement for SOI approval. OT providers should determine which statement best describes the member's condition.

Only the ICD-9-CM codes listed in the following charts are available for SOI approval. If the ICD-9-CM code for the OT service is not listed, the provider should submit the [PA/RF \(Prior Authorization Request Form, F-11018 \(07/12\)\)](#) and the [PA/TA \(Prior Authorization/Therapy Attachment, F-11008 \(07/12\)\)](#).

| <b>Statement A - The member experienced the onset of a new neuromuscular disease, injury, or condition six weeks ago or less. Providers will be allowed 12 days for an SOI with one of the following ICD-9-CM codes.</b> |  |
|--|--|
| <b>Primary ICD-9-CM Diagnosis Code Description</b>   | <b>Primary ICD-9-CM Diagnosis Code</b> |
| Acute infective polyneuritis (Guillain-Barre syndrome; postinfectious polyneuritis)  | 357.0                                  |
| Acute, but ill-defined, cerebrovascular disease (incl., CVA)   | 436                                    |
| Anoxic brain damage  | 348.1                                  |
| Bacterial meningitis   | 320.0-320.9                            |
| Brachial plexus lesions  | 353.0                                  |
| Cerebral embolism  | 434.10-434.11                          |
| Cerebral laceration and contusion  | 851.0-851.99                           |
| Cerebral thrombosis  | 434.00-434.01                          |

|   |   |
|---|---|
| Diabetes mellitus   | 250.0-250.93                            |
| Disorders of other cranial nerves   | 352.0-352.9                             |
| Encephalitis, myelitis, and encephalomyelitis   | 323.0-323.9                             |
| Encephalopathy, unspecified   | 348.3                                   |
| Facial nerve disorders (incl., Bell's palsy)  | 351.0-351.9                             |
| Head injury, unspecified  | 959.01                                  |
| Hemiplegia and hemiparesis  | 342.0-342.92                            |
| Huntington's chorea   | 333.4                                   |
| Intracerebral hemorrhage  | 431                                     |
| Intracranial injury of other and unspecified nature                                   | 854.0-854.19                            |
| Late effects of acute poliomyelitis   | 138                                     |
| Malignant neoplasm of brain   | 191.0-191.9                             |
| Malignant neoplasm of head, face, and neck  | 195.0                                   |
| Meningitis due to other organisms   | 321.0-321.8                             |
| Meningitis of unspecified cause   | 322.0-322.9                             |
| Mononeuritis of upper limb and mononeuritis multiplex (incl., Carpal tunnel syndrome) | 354.0-354.9                             |
| Motor neuron disease (incl., Amyotrophic lateral sclerosis)                           | 335.20-335.29                           |
| Multiple sclerosis  | 340                                     |
| Myasthenia gravis   | 358.0                                   |
| Nontraumatic extradural hemorrhage  | 432.0                                   |
| Other and unspecified intracranial hemorrhage following injury                        | 853.0-853.19                            |
| Other choreas   | 333.5                                   |
| Parkinson's disease   | 332.0-332.1                             |
| Peroneal muscular atrophy (Charcot-Marie-Tooth disease)                               | 356.1                                   |
| Secondary malignant neoplasm of brain and spinal cord                                 | 198.3                                   |
| Secondary malignant neoplasm of other parts of nervous system                         | 198.4                                   |
| Spinocerebellar disease (ataxias)   | 334.0-334.9                             |
| Subarachnoid hemorrhage   | 430                                     |
| Subarachnoid, subdural, and extradural hemorrhage, following injury                   | 852.0-852.59                            |
| Subdural hemorrhage   | 432.1                                   |
| Toxic encephalopathy  | 349.82                                  |
| Trigeminal nerve disorders (incl., Trigeminal neuralgia)                              | 350.1-350.9                             |
| <b>ICD-9-CM Surgical Procedure Code Description</b>                                   | <b>ICD-9-CM Surgical Procedure Code</b> |
| Extracranial ventricular shunt  | 02.31-02.39                             |
| Incision of cerebral meninges   | 01.31                                   |
| Other craniectomy   | 01.25                                   |
| Other craniotomy  | 01.24                                   |
| Repair of cerebral meninges   | 02.11-02.14                             |
| Revision, removal, and irrigation of ventricular shunt                                | 02.41-02.43                             |

**Statement B** - The member experienced the onset of a new musculoskeletal disease, injury, or condition six weeks ago or less. Providers will be allowed **16 days** for an SOI with one of the following ICD-9-CM codes.

| Primary ICD-9-CM Diagnosis Code Description   | Primary ICD-9-CM Diagnosis Code  |
|---|----------------------------------|
| Contracture of palmar fascia (Dupuytren's contracture)  | 728.6                            |
| Fracture of lower limb  | 820-829.1                        |
| Fracture of pelvis  | 808.0-808.9                      |
| Fracture of upper limb  | 810-819.1                        |
| Ganglion and cyst of synovium, tendon, and bursa  | 727.40-727.49                    |
| Juvenile chronic polyarthritis  | 714.30-714.33                    |
| Osteoarthrosis and allied disorders   | 715.0-715.9                      |
| Other tenosynovitis of hand and wrist   | 727.05                           |
| Pathologic fracture   | 733.10-733.19                    |
| Peripheral enthesopathies and allied syndromes (incl., adhesive capsulitis of shoulder, rotator cuff syndrome, epicondylitis, bursitis, tendinitis) | 726.0-726.9                      |
| Radial styloid tenosynovitis (de Quervain's disease)  | 727.04                           |
| Rheumatoid arthritis  | 714.0                            |
| Rupture of tendon, nontraumatic (rotator cuff, long head of biceps, hand/wrist extensor/flexor tendons)   | 727.60-727.64                    |
| Sprains and strains of joints and adjacent muscles  | 840-848.9                        |
| Traumatic amputation of arm and hand (complete) (partial)   | 887.0-887.7                      |
| Traumatic amputation of foot (complete) (partial)   | 896.0-896.3                      |
| Traumatic amputation of leg(s) (complete) (partial)   | 897.0-897.7                      |
| Traumatic amputation of other finger(s) (complete) (partial)  | 886.0-886.1                      |
| Traumatic amputation of thumb (complete) (partial)  | 885.0-885.1                      |
| Traumatic amputation of toe(s) (complete) (partial)   | 895.0-895.1                      |
| Trigger finger (acquired)   | 727.03                           |
| ICD-9-CM Surgical Procedure Code Description  | ICD-9-CM Surgical Procedure Code |
| Amputation of lower limb  | 84.10-84.19                      |
| Amputation of upper limb  | 84.00-84.09                      |
| Arthroplasty and repair of hand, fingers, and wrist   | 81.71-81.79                      |
| Arthroplasty and repair of shoulder and elbow   | 81.80-81.85                      |
| Mastectomy  | 85.41-85.48                      |
| Rotator cuff repair   | 83.63                            |

**Statement C** - The member experienced the onset of a new problem or complication associated with physiologic disease, injury, or condition six weeks ago or less. Providers will be allowed **18 days** for an SOI with one of the following ICD-9-CM codes.

| Primary ICD-9-CM Diagnosis Code Description  | Primary ICD-9-CM Diagnosis Code |
|--|---------------------------------|
| Epilepsy                                     | 345.0-345.91                    |
| Human immunodeficiency virus (HIV) infection | 042                             |

|                                    |               |
|------------------------------------|---------------|
| Other lymphedema                   | 457.1         |
| Postmastectomy lymphedema syndrome | 457.0         |
| Reflex sympathetic dystrophy       | 337.20-337.29 |
| Sickle-cell anemia                 | 282.60-282.69 |
| Systemic lupus erythematosus       | 710.0         |
| Systemic sclerosis                 | 710.1         |
| Temporomandibular joint disorders  | 524.60-524.69 |

**Statement D** - The member experienced the onset of a new psychological disease, injury, or condition six weeks ago or less. Providers will be allowed **zero days** for an SOI with one of the following ICD-9-CM codes.

| Primary ICD-9-CM Diagnosis Code Description | Primary ICD-9-CM Diagnosis Code |
|---|---------------------------------|
| Affective personality disorder, unspecified | 301.10                          |
| Affective psychoses                         | 296.0-296.9                     |
| Anxiety states (incl., panic disorder)      | 300.00-300.09                   |
| Chronic depressive personality disorder     | 301.12                          |
| Explosive personality disorder              | 301.3                           |
| Obsessive-compulsive disorders              | 300.3                           |
| Organic personality syndrome                | 310.1                           |
| Other nonorganic psychoses                  | 298.0-298.9                     |
| Paranoid personality disorder               | 301.0                           |
| Paranoid state (Delusional disorders)       | 297.0-297.9                     |
| Psychoses with origin specific to childhood | 299.0-299.9                     |
| Schizophrenic disorders                     | 295.0-295.9                     |

**Statement E** - The member experienced an exacerbation of a pre-existing condition six weeks ago or less. Providers will be allowed **eight days** for an SOI with one of the following ICD-9-CM codes.

| Primary ICD-9-CM Diagnosis Code Description   | Primary ICD-9-CM Diagnosis Code |
|---|---------------------------------|
| Acute infective polyneuritis (Guillain-Barre syndrome; postinfectious polyneuritis) | 357.0                           |
| Acute, but ill-defined, cerebrovascular disease (incl., CVA)                        | 436                             |
| Anoxic brain damage   | 348.1                           |
| Cerebral embolism   | 434.10-434.11                   |
| Cerebral laceration and contusion   | 851.0-851.99                    |
| Cerebral thrombosis   | 434.00-434.01                   |
| Encephalopathy, unspecified   | 348.3                           |
| Head injury, unspecified  | 959.01                          |
| Hemiplegia and hemiparesis  | 342.0-342.92                    |
| Human immunodeficiency virus (HIV) infection  | 042                             |
| Huntington's chorea   | 333.4                           |
| Intracerebral hemorrhage  | 431                             |

|   |               |
|---|---------------|
| Intracranial injury of other and unspecified nature                 | 854.0-854.19  |
| Juvenile chronic polyarthritis                                      | 714.30-714.33 |
| Late effects of cerebrovascular disease                             | 438.0-438.9   |
| Motor neuron disease (incl., Amyotrophic lateral sclerosis)         | 335.20-335.29 |
| Multiple sclerosis  | 340           |
| Myasthenia gravis   | 358.0         |
| Nontraumatic extradural hemorrhage                                  | 432.0         |
| Other and unspecified intracranial hemorrhage following injury      | 853.0-853.19  |
| Other choreas   | 333.5         |
| Other lymphedema  | 457.1         |
| Parkinson's disease   | 332.0-332.1   |
| Peroneal muscular atrophy (Charcot-Marie-Tooth disease)             | 356.1         |
| Postmastectomy lymphedema syndrome                                  | 457.0         |
| Rheumatoid arthritis  | 714.0         |
| Sickle-cell anemia  | 282.60-282.69 |
| Spinocerebellar disease (ataxias)                                   | 334.0-334.9   |
| Subarachnoid hemorrhage   | 430           |
| Subarachnoid, subdural, and extradural hemorrhage, following injury | 852.0-852.59  |
| Subdural hemorrhage   | 432.1         |
| Toxic encephalopathy  | 349.82        |
| Extracranial ventricular shunt                                      | 02.31-02.39   |
| Incision of cerebral meninges                                       | 01.31         |
| Other craniectomy   | 01.25         |
| Other craniotomy  | 01.24         |
| Repair of cerebral meninges   | 02.11-02.14   |
| Revision, removal, and irrigation of ventricular shunt              | 02.41-02.43   |

**Statement F** - The member experienced a regression of his or her condition due to lack of therapy six weeks ago or less. Providers will be allowed **11 days** for an SOI with one of the following ICD-9-CM codes.

| Primary ICD-9-CM Diagnosis Code Description | Primary ICD-9-CM Diagnosis Code |
|---|---------------------------------|
| Contracture of joint                        | 718.4                           |
| Encephalopathy, unspecified                 | 348.3                           |
| Toxic encephalopathy                        | 349.82                          |

Topic #2719

## Allowable ICD-9-CM Codes for Physical Therapy (Organized by Codes)

PT (physical therapy) providers should use the following chart to determine the allowable combinations of ICD-9-CM (International Classification of Diseases, Ninth Revision, Clinical Modification) codes and statements for SOI (spell of illness) approval. Only one of statements "A" through "F" from the [PA/SOIA \(Prior Authorization/Spell of Illness Attachment, F-11039 \(07/12\)\)](#) must be true for SOI approval. The combination of the true statement and the primary ICD-9-CM diagnosis code or the ICD-9-CM surgical procedure code is then used by ForwardHealth to assign the maximum allowable treatment days for the SOI. Statement "G" must also be true for SOI approval, but it is not used to determine the maximum allowable treatment days.

The statements below are from Element 11 of the PA/SOIA:

- A. The member experienced the onset of a new neuromuscular disease, injury, or condition six weeks ago or less.
- B. The member experienced the onset of a new musculoskeletal disease, injury, or condition six weeks ago or less.
- C. The member experienced the onset of a new problem or complication associated with physiologic disease, injury, or condition six weeks ago or less.
- D. The member experienced the onset of a new psychological disease, injury, or condition six weeks ago or less.
- E. The member experienced an exacerbation of a pre-existing condition six weeks ago or less.
- F. The member experienced a regression of his or her condition due to lack of therapy six weeks ago or less.

AND

- G. There is a reasonable expectation that the member will return to his or her previous level of function by the end of this SOI or sooner.

*Note:* Statement "D" does not apply to PT services.

Columns "A" through "F" in the following chart correspond to statements "A" through "F" from Element 11 of the PA/SOIA. The number of days that follow each letter represent the maximum allowable treatment days that correspond with the condition identified in that statement.

The following instructions describe how to use this chart:

1. Find the appropriate ICD-9-CM code and description. The diagnosis codes are listed before the surgical procedure codes. The code descriptions are organized alphabetically within these categories (Element 10 of the PA/SOIA).
2. Follow the row across to determine which statement(s) corresponds with the ICD-9-CM code (Element 11 of the PA/SOIA).
3. Follow the appropriate column(s) up to determine the maximum allowable treatment days for the corresponding combination of the ICD-9-CM code and statement.

Some ICD-9-CM codes have more than one allowable statement for SOI approval. PT providers should determine which statement best describes the member's condition.

Only the ICD-9-CM codes listed in the following chart are available for SOI approval. If the ICD-9-CM code for the PT service is not listed, the provider should submit [PA/RF \(Prior Authorization Request Form, F-11018 \(07/12\)\)](#) and the [PA/TA \(Prior Authorization/Therapy Attachment, F-11008 \(07/12\)\)](#).

| Primary ICD-9-CM Diagnosis Code Description   | Primary ICD-9-CM Diagnosis Code | A 19 Days | B 11 Days | C 15 Days | D N/A * | E 16 Days | F 17 Days |
|---|---------------------------------|-----------|-----------|-----------|---------|-----------|-----------|
| Abdominal aneurysm, ruptured  | 441.3                           |           |           | X         |         |           |           |
| Acute infective polyneuritis (Guillain-Barre syndrome; postinfectious polyneuritis) | 357.0                           | X         |           |           |         | X         |           |
| Acute, but ill-defined, cerebrovascular disease (incl., CVA)                        | 436                             | X         |           |           |         | X         |           |

|   |               |   |   |   |  |   |   |
|---|---------------|---|---|---|--|---|---|
| Ankylosing spondylitis and other inflammatory spondylopathies             | 720.0-720.9   |   | X |   |  |   |   |
| Anoxic brain damage   | 348.1         | X |   |   |  | X | X |
| Aortic aneurysm of unspecified site, ruptured                             | 441.5         |   |   | X |  |   |   |
| Arterial embolism and thrombosis of abdominal aorta                       | 444.0         |   |   | X |  |   |   |
| Arterial embolism and thrombosis of thoracic aorta                        | 444.1         |   |   | X |  |   |   |
| Bacterial meningitis  | 320.0-320.9   | X |   |   |  |   |   |
| Benign paroxysmal positional vertigo                                      | 386.11        |   |   | X |  |   |   |
| Brachial neuritis or radiculitis NOS                                      | 723.4         | X |   |   |  |   |   |
| Cauda equina syndrome   | 344.6         | X |   |   |  |   |   |
| Cerebral embolism   | 434.10-434.11 | X |   |   |  | X |   |
| Cerebral laceration and contusion   | 851.0-851.99  | X |   |   |  | X |   |
| Cerebral thrombosis   | 434.00-434.01 | X |   |   |  | X |   |
| Cervicalgia   | 723.1         | X |   |   |  |   |   |
| Cervicobrachial syndrome (diffuse)  | 723.3         | X |   |   |  |   |   |
| Complications due to internal joint prosthesis                            | 996.77        |   | X |   |  |   |   |
| Complications due to other internal orthopedic device, implant, and graft | 996.78        |   | X |   |  |   |   |
| Complications of reattached extremity or body part                        | 996.91-996.99 |   | X |   |  |   |   |
| Complications of transplanted organ                                       | 996.80-996.89 |   |   | X |  |   |   |
| Contracture of joint  | 718.4         |   |   |   |  |   | X |
| Decubitis ulcer   | 707.0         |   |   | X |  |   |   |
| Diabetes mellitus   | 250.0-250.93  | X |   |   |  |   |   |
| Disorders of other cranial nerves   | 352.0-352.9   | X |   |   |  |   |   |
| Dissection of aorta   | 441.00-441.03 |   |   | X |  |   |   |
| Encephalitis, myelitis, and encephalomyelitis                             | 323.0-323.9   | X |   |   |  |   |   |
| Encephalopathy, unspecified   | 348.3         | X |   |   |  | X | X |
| Epilepsy  | 345.0-345.91  |   |   | X |  |   |   |
| Facial nerve disorders (incl., Bell's palsy)                              | 351.0-351.9   | X |   |   |  |   |   |
| Fracture of lower limb  | 820-829.1     |   | X |   |  |   |   |
| Fracture of pelvis  | 808.0-808.9   |   | X |   |  |   |   |
| Fracture of upper limb  | 810-819.1     |   | X |   |  |   |   |
| Gangrene  | 785.4         |   |   | X |  |   |   |
| Gas gangrene  | 040.0         |   |   | X |  |   |   |
| Gouty arthropathy   | 274.0         |   | X |   |  |   |   |
| Head injury, unspecified  | 959.01        | X |   |   |  | X |   |
| Headache  | 784.0         |   |   | X |  |   |   |
| Hemiplegia and hemiparesis  | 342.0-342.92  | X |   |   |  | X |   |
| Human immunodeficiency virus (HIV) infection                              | 042           |   |   | X |  | X |   |
| Huntington's chorea   | 333.4         | X |   |   |  | X |   |
| Infection and inflammatory reaction due to internal joint                 | 996.66        |   | X |   |  |   |   |



|   |               |   |   |   |   |  |
|---|---------------|---|---|---|---|--|
| prosthesis  |               |   |   |   |   |  |
| Infection and inflammatory reaction due to other internal orthopedic device, implant, and graft | 996.67        |   | X |   |   |  |
| Internal derangement of knee (incl., Meniscal tears and chondromalacia of patella)              | 717.0-717.7   |   | X |   |   |  |
| Intervertebral disc disorders   | 722.0-722.93  |   | X |   |   |  |
| Intracerebral hemorrhage  | 431           | X |   |   | X |  |
| Intracranial injury of other and unspecified nature   | 854.0-854.19  | X |   |   | X |  |
| Juvenile chronic polyarthritis  | 714.30-714.33 |   | X |   | X |  |
| Kyphoscoliosis and scoliosis  | 737.30-737.39 |   | X |   |   |  |
| Late effects of acute poliomyelitis   | 138           | X |   |   |   |  |
| Late effects of cerebrovascular disease   | 438.0-438.9   |   |   |   | X |  |
| Malignant neoplasm of brain   | 191.0-191.9   | X |   |   |   |  |
| Malignant neoplasm of head, face, and neck  | 195.0         | X |   |   |   |  |
| Mechanical complication of internal orthopedic device, implant, and graft                       | 996.4         |   | X |   |   |  |
| Meniere's disease   | 386.0         |   |   | X |   |  |
| Meningitis due to other organisms   | 321.0-321.8   | X |   |   |   |  |
| Meningitis of unspecified cause   | 322.0-322.9   | X |   |   |   |  |
| Migraine  | 346.0-346.9   |   |   | X |   |  |
| Mononeuritis of lower limb and unspecified site   | 355.0-355.9   | X |   |   |   |  |
| Mononeuritis of upper limb and mononeuritis multiplex (incl., Carpal tunnel syndrome)           | 354.0-354.9   | X |   |   |   |  |
| Motor neuron disease (incl., Amyotrophic lateral sclerosis)                                     | 335.20-335.29 | X |   |   | X |  |
| Multiple sclerosis  | 340           | X |   |   | X |  |
| Myasthenia gravis   | 358.0         | X |   |   | X |  |
| Nerve root and plexus disorders   | 353.0-353.9   | X |   |   |   |  |
| Nontraumatic extradural hemorrhage  | 432.0         | X |   |   | X |  |
| Orthostatic hypotension   | 458.0         |   |   | X |   |  |
| Osteoarthrosis and allied disorders   | 715.0-715.9   |   | X |   |   |  |
| Other and unspecified intracranial hemorrhage following injury                                  | 853.0-853.19  | X |   |   | X |  |
| Other choreas   | 333.5         | X |   |   | X |  |
| Other disorders of synovium, tendon, and bursa (incl., synovitis and tenosynovitis)             | 727.0-727.09  |   | X |   |   |  |
| Other lymphedema  | 457.1         |   |   | X | X |  |
| Other unspecified disorders of back (incl., Lumbago, sciatica, backache)                        | 724.0-724.9   |   | X |   |   |  |
| Parkinson's disease   | 332.0-332.1   | X |   |   | X |  |
| Pathologic fracture   | 733.10-733.19 |   | X |   |   |  |
| Pathological dislocation of joint   | 718.2         |   | X |   |   |  |
| Peripheral enthesopathies and allied syndromes (incl.,  | 726.0-726.9   |   | X |   |   |  |

|  |   |                  |                  |                  |               |                  |                  |
|--|---|------------------|------------------|------------------|---------------|------------------|------------------|
| adhesive capsulitis of shoulder, rotator cuff syndrome, epicondylitis, bursitis, tendinitis) |   |                  |                  |                  |               |                  |                  |
| Peroneal muscular atrophy (Charcot-Marie-Tooth disease)                                      | 356.1                                   | X                |                  |                  |               |                  |                  |
| Postmastectomy lymphedema syndrome   | 457.0                                   |                  |                  | X                |               | X                |                  |
| Raynaud's syndrome   | 443.0                                   |                  |                  | X                |               |                  |                  |
| Reflex sympathetic dystrophy   | 337.20-337.29                           |                  |                  | X                |               |                  |                  |
| Rheumatoid arthritis   | 714.0                                   |                  | X                |                  |               | X                |                  |
| Secondary malignant neoplasm of brain and spinal cord  | 198.3                                   | X                |                  |                  |               |                  |                  |
| Secondary malignant neoplasm of other parts of nervous system                                | 198.4                                   | X                |                  |                  |               |                  |                  |
| Sickle-cell anemia   | 282.60-282.69                           |                  |                  | X                |               | X                |                  |
| Spasmodic torticollis  | 333.83                                  |                  | X                |                  |               |                  |                  |
| Spinocerebellar disease (ataxias)  | 334.0-334.9                             | X                |                  |                  |               | X                |                  |
| Spondylosis and allied disorders   | 721.0-721.9                             |                  | X                |                  |               |                  |                  |
| Sprains and strains of joints and adjacent muscles   | 840-848.9                               |                  | X                |                  |               |                  |                  |
| Subarachnoid hemorrhage  | 430                                     | X                |                  |                  |               | X                |                  |
| Subarachnoid, subdural, and extradural hemorrhage, following injury                          | 852.0-852.59                            | X                |                  |                  |               | X                |                  |
| Subdural hemorrhage  | 432.1                                   | X                |                  |                  |               | X                |                  |
| Systemic lupus erythematosus   | 710.0                                   |                  |                  | X                |               |                  |                  |
| Systemic sclerosis   | 710.1                                   |                  |                  | X                |               |                  |                  |
| Temporomandibular joint disorders  | 524.60-524.69                           |                  | X                |                  |               |                  |                  |
| Thoracic aneurysm, ruptured  | 441.1                                   |                  |                  | X                |               |                  |                  |
| Thoracoabdominal aneurysm, ruptured  | 441.6                                   |                  |                  | X                |               |                  |                  |
| Torticollis, unspecified   | 723.5                                   |                  | X                |                  |               |                  |                  |
| Toxic encephalopathy   | 349.82                                  | X                |                  |                  |               | X                | X                |
| Traumatic amputation of arm and hand (complete) (partial)                                    | 887.0-887.7                             |                  | X                |                  |               |                  |                  |
| Traumatic amputation of foot (complete) (partial)  | 896.0-896.3                             |                  | X                |                  |               |                  |                  |
| Traumatic amputation of leg(s) (complete) (partial)  | 897.0-897.7                             |                  | X                |                  |               |                  |                  |
| Traumatic amputation of other finger(s) (complete) (partial)                                 | 886.0-886.1                             |                  | X                |                  |               |                  |                  |
| Traumatic amputation of thumb (complete) (partial)   | 885.0-885.1                             |                  | X                |                  |               |                  |                  |
| Traumatic amputation of toe(s) (complete) (partial)  | 895.0-895.1                             |                  | X                |                  |               |                  |                  |
| Trigeminal nerve disorders (incl., Trigeminal neuralgia)                                     | 350.1-350.9                             | X                |                  |                  |               |                  |                  |
| Ulcer of lower limbs, except decubitus ulcer   | 707.10-707.9                            |                  |                  | X                |               |                  |                  |
| <b>ICD-9-CM Surgical Procedure Code Description</b>  | <b>ICD-9-CM Surgical Procedure Code</b> | <b>A 19 Days</b> | <b>B 11 Days</b> | <b>C 15 Days</b> | <b>D N/A*</b> | <b>E 16 Days</b> | <b>F 17 Days</b> |
| Amputation of lower limb   | 84.10-84.19                             |                  | X                |                  |               |                  |                  |
| Amputation of upper limb   | 84.00-84.09                             |                  | X                |                  |               |                  |                  |
| Arthroplasty and repair of hand, fingers, and wrist  | 81.71-81.79                             |                  | X                |                  |               |                  |                  |

|   |             |   |   |  |  |   |  |
|---|-------------|---|---|--|--|---|--|
| Arthroplasty and repair of shoulder and elbow               | 81.80-81.85 |   | X |  |  |   |  |
| Excision of intervertebral disc                             | 80.51       |   | X |  |  |   |  |
| Excision or destruction of intervertebral disc, unspecified | 80.50       |   | X |  |  |   |  |
| Extracranial ventricular shunt                              | 02.31-02.39 | X |   |  |  | X |  |
| Five-in-one repair of knee                                  | 81.42       |   | X |  |  |   |  |
| Incision of cerebral meninges                               | 01.31       | X |   |  |  | X |  |
| Intervertebral chemonucleolysis                             | 80.52       |   | X |  |  |   |  |
| Joint replacement of lower extremity                        | 81.51-81.59 |   | X |  |  |   |  |
| Mastectomy  | 85.41-85.48 |   | X |  |  |   |  |
| Other craniectomy   | 01.25       | X |   |  |  | X |  |
| Other craniotomy  | 01.24       | X |   |  |  | X |  |
| Repair of cerebral meninges                                 | 02.11-02.14 | X |   |  |  | X |  |
| Revision, removal, and irrigation of ventricular shunt      | 02.41-02.43 | X |   |  |  | X |  |
| Rotator cuff repair   | 83.63       |   | X |  |  |   |  |
| Spinal fusion   | 81.00-81.08 |   | X |  |  |   |  |
| Triad knee repair   | 81.43       |   | X |  |  |   |  |

\* Statement "D" does not apply to PT services.

Topic #2718

## Allowable ICD-9-CM Codes for Physical Therapy Spell of Illness Approval (Organized by Statements)

PT (physical therapy) providers should use the following chart to determine the allowable combinations of ICD-9-CM (International Classification of Diseases, Ninth Revision, Clinical Modification) codes and statements for SOI (spell of illness) approval. Only one of statements "A" through "F" from the [PA/SOIA \(Prior Authorization/Spell of Illness Attachment, F-11039 \(07/12\)\)](#) must be true for SOI approval. The combination of the true statement and the primary ICD-9-CM diagnosis code or the ICD-9-CM surgical procedure code is then used by ForwardHealth to assign the maximum allowable treatment days for the SOI. Statement "G" must also be true for SOI approval, but it is not used to determine the maximum allowable treatment days.

The statements below are from Element 11 of the PA/SOIA:

- A. The member experienced the onset of a new neuromuscular disease, injury, or condition six weeks ago or less.
- B. The member experienced the onset of a new musculoskeletal disease, injury, or condition six weeks ago or less.
- C. The member experienced the onset of a new problem or complication associated with physiologic disease, injury, or condition six weeks ago or less.
- D. The member experienced the onset of a new psychological disease, injury, or condition six weeks ago or less.
- E. The member experienced an exacerbation of a pre-existing condition six weeks ago or less.
- F. The member experienced a regression of his or her condition due to lack of therapy six weeks ago or less.

AND

- G. There is a reasonable expectation that the member will return to his or her previous level of function by the end of this SOI or sooner.

*Note:* Statement "D" does not apply to PT services.

Each chart is specific to one of the above statements. The statement and the maximum allowable treatment days are provided at the top of each chart.

The following instructions describe how to use these charts:

1. Find the chart that corresponds to the true statement (Element 11 of the PA/SOIA).
2. Find the appropriate ICD-9-CM code and description. The diagnosis codes are listed before the surgical procedure codes. The code descriptions are organized alphabetically within these categories (Element 10 of the PA/SOIA).
3. If the ICD-9-CM code is listed in the chart, refer to the statement at the top of the chart for the maximum allowable treatment days for the corresponding combination of the ICD-9-CM code and statement.
4. If the ICD-9-CM code is not listed in the chart, the combination of the code and statement does not allow for SOI approval. The ICD-9-CM code may be listed under another statement.

Some ICD-9-CM codes have more than one allowable statement for SOI approval. PT providers should determine which statement best describes the member's condition.

Only the ICD-9-CM codes listed in the following charts are available for SOI approval. If the ICD-9-CM code for the PT service is not listed, the provider should submit the [PA/RF \(Prior Authorization Request Form, F-11018 \(07/12\)\)](#) and the [PA/TA \(Prior Authorization/Therapy Attachment, F-11008 \(07/12\)\)](#).

| <b>Statement A - The member experienced the onset of a new neuromuscular disease, injury, or condition six weeks ago or less. Providers will be allowed <b>19 days</b> for an SOI with one of the following ICD-9-CM codes.</b> |  |
|---|--|
| <b>Primary ICD-9-CM Diagnosis Code Description</b>  | <b>Primary ICD-9-CM Diagnosis Code</b> |
| Acute infective polyneuritis (Guillain-Barre syndrome; postinfectious polyneuritis)   | 357.0                                  |
| Acute, but ill-defined, cerebrovascular disease (incl., CVA)  | 436                                    |
| Anoxic brain damage   | 348.1                                  |
| Bacterial meningitis  | 320.0-320.9                            |
| Brachial neuritis or radiculitis NOS  | 723.4                                  |
| Cauda equina syndrome   | 344.6                                  |
| Cerebral embolism   | 434.10-434.11                          |
| Cerebral laceration and contusion   | 851.0-851.99                           |
| Cerebral thrombosis   | 434.00-434.01                          |
| Cervicalgia   | 723.1                                  |
| Cervicobrachial syndrome (diffuse)  | 723.3                                  |
| Diabetes mellitus   | 250.0-250.93                           |
| Disorders of other cranial nerves   | 352.0-352.9                            |
| Encephalitis, myelitis, and encephalomyelitis   | 323.0-323.9                            |
| Encephalopathy, unspecified   | 348.3                                  |
| Facial nerve disorders (incl., Bell's palsy)  | 351.0-351.9                            |
| Head injury, unspecified  | 959.01                                 |
| Hemiplegia and hemiparesis  | 342.0-342.92                           |
| Huntington's chorea   | 333.4                                  |
| Intracerebral hemorrhage  | 431                                    |

|   |   |
|---|---|
| Intracranial injury of other and unspecified nature                                   | 854.0-854.19                            |
| Late effects of acute poliomyelitis   | 138                                     |
| Malignant neoplasm of brain   | 191.0-191.9                             |
| Malignant neoplasm of head, face, and neck  | 195.0                                   |
| Meningitis due to other organisms   | 321.0-321.8                             |
| Meningitis of unspecified cause   | 322.0-322.9                             |
| Mononeuritis of lower limb and unspecified site                                       | 355.0-355.9                             |
| Mononeuritis of upper limb and mononeuritis multiplex (incl., Carpal tunnel syndrome) | 354.0-354.9                             |
| Motor neuron disease (incl., Amyotrophic lateral sclerosis)                           | 335.20-335.29                           |
| Multiple sclerosis  | 340                                     |
| Myasthenia gravis   | 358.0                                   |
| Nerve root and plexus disorders   | 353.0-353.9                             |
| Nontraumatic extradural hemorrhage  | 432.0                                   |
| Other and unspecified intracranial hemorrhage following injury                        | 853.0-853.19                            |
| Other choreas   | 333.5                                   |
| Parkinson's disease   | 332.0-332.1                             |
| Peroneal muscular atrophy (Charcot-Marie-Tooth disease)                               | 356.1                                   |
| Secondary malignant neoplasm of brain and spinal cord                                 | 198.3                                   |
| Secondary malignant neoplasm of other parts of nervous system                         | 198.4                                   |
| Spinocerebellar disease (ataxias)   | 334.0-334.9                             |
| Subarachnoid hemorrhage   | 430                                     |
| Subarachnoid, subdural, and extradural hemorrhage, following injury                   | 852.0-852.59                            |
| Subdural hemorrhage   | 432.1                                   |
| Toxic encephalopathy  | 349.82                                  |
| Trigeminal nerve disorders (incl., Trigeminal neuralgia)                              | 350.1-350.9                             |
| <b>ICD-9-CM Surgical Procedure Code Description</b>                                   | <b>ICD-9-CM Surgical Procedure Code</b> |
| Extracranial ventricular shunt  | 02.31-02.39                             |
| Incision of cerebral meninges   | 01.31                                   |
| Other craniectomy   | 01.25                                   |
| Other craniotomy  | 01.24                                   |
| Repair of cerebral meninges   | 02.11-02.14                             |
| Revision, removal, and irrigation of ventricular shunt                                | 02.41-02.43                             |

**Statement B** - The member experienced the onset of a new musculoskeletal disease, injury, or condition six weeks ago or less.

Providers will be allowed **11 days** for an SOI with one of the following ICD-9-CM codes.

| Primary ICD-9-CM Diagnosis Code Description                   | Primary ICD-9-CM Diagnosis Code |
|---|---------------------------------|
| Ankylosing spondylitis and other inflammatory spondylopathies | 720.0-720.9                     |
| Complications due to internal joint prosthesis                | 996.77                          |

|   |   |
|---|---|
| Complications due to other internal orthopedic device, implant, and graft   | 996.78                                  |
| Complications of reattached extremity or body part  | 996.91-996.99                           |
| Fracture of lower limb  | 820-829.1                               |
| Fracture of pelvis  | 808.0-808.9                             |
| Fracture of upper limb  | 810-819.1                               |
| Gouty arthropathy   | 274.0                                   |
| Infection and inflammatory reaction due to internal joint prosthesis  | 996.66                                  |
| Infection and inflammatory reaction due to other internal orthopedic device, implant, and graft   | 996.67                                  |
| Internal derangement of knee (incl., Meniscal tears and chondromalacia of patella)  | 717.0-717.7                             |
| Intervertebral disc disorders   | 722.0-722.93                            |
| Juvenile chronic polyarthritis  | 714.30-714.33                           |
| Kyphoscoliosis and scoliosis  | 737.30-737.39                           |
| Mechanical complication of internal orthopedic device, implant, and graft   | 996.4                                   |
| Osteoarthritis and allied disorders   | 715.0-715.9                             |
| Other disorders of synovium, tendon, and bursa (incl., synovitis and tenosynovitis)   | 727.0-727.09                            |
| Other unspecified disorders of back (incl., Lumbago, sciatica, backache)  | 724.0-724.9                             |
| Pathologic fracture   | 733.10-733.19                           |
| Pathological dislocation of joint   | 718.2                                   |
| Peripheral enthesopathies and allied syndromes (incl., adhesive capsulitis of shoulder, rotator cuff syndrome, epicondylitis, bursitis, tendinitis) | 726.0-726.9                             |
| Rheumatoid arthritis  | 714.0                                   |
| Spasmodic torticollis   | 333.83                                  |
| Sprains and strains of joints and adjacent muscles  | 840-848.9                               |
| Temporomandibular joint disorders   | 524.60-524.69                           |
| Torticollis, unspecified  | 723.5                                   |
| Traumatic amputation of arm and hand (complete) (partial)   | 887.0-887.7                             |
| Traumatic amputation of foot (complete) (partial)   | 896.0-896.3                             |
| Traumatic amputation of leg(s) (complete) (partial)   | 897.0-897.7                             |
| Traumatic amputation of other finger(s) (complete) (partial)  | 886.0-886.1                             |
| Traumatic amputation of thumb (complete) (partial)  | 885.0-885.1                             |
| Traumatic amputation of toe(s) (complete) (partial)   | 895.0-895.1                             |
| <b>ICD-9-CM Surgical Procedure Code Description</b>   | <b>ICD-9-CM Surgical Procedure Code</b> |
| Amputation of lower limb  | 84.10-84.19                             |
| Amputation of upper limb  | 84.00-84.09                             |
| Arthroplasty and repair of hand, fingers, and wrist   | 81.71-81.79                             |
| Arthroplasty and repair of shoulder and elbow   | 81.80-81.85                             |
| Excision of intervertebral disc   | 80.51                                   |
| Excision or destruction of intervertebral disc, unspecified   | 80.50                                   |
| Five-in-one repair of knee  | 81.42                                   |
| Intervertebral chemonucleolysis   | 80.52                                   |

|                                      |             |
|--------------------------------------|-------------|
| Joint replacement of lower extremity | 81.51-81.59 |
| Mastectomy                           | 85.41-85.48 |
| Rotator cuff repair                  | 83.63       |
| Spinal fusion                        | 81.00-81.08 |
| Triad knee repair                    | 81.43       |

**Statement C** - The member experienced the onset of a new problem or complication associated with physiologic disease, injury, or condition six weeks ago or less. Providers will be allowed **15 days** for an SOI with one of the following ICD-9-CM codes.

| Primary ICD-9-CM Diagnosis Code Description         | Primary ICD-9-CM Diagnosis Code |
|---|---------------------------------|
| Abdominal aneurysm, ruptured                        | 441.3                           |
| Aortic aneurysm of unspecified site, ruptured       | 441.5                           |
| Arterial embolism and thrombosis of abdominal aorta | 444.0                           |
| Arterial embolism and thrombosis of thoracic aorta  | 444.1                           |
| Benign paroxysmal positional vertigo                | 386.11                          |
| Complications of transplanted organ                 | 996.80-996.89                   |
| Decubitus ulcer                                     | 707.0                           |
| Dissection of aorta                                 | 441.00-441.03                   |
| Epilepsy  | 345.0-345.91                    |
| Gangrene  | 785.4                           |
| Gas gangrene  | 040.0                           |
| Headache  | 784.0                           |
| Human immunodeficiency virus (HIV) infection        | 042                             |
| Meniere's disease                                   | 386.0                           |
| Migraine  | 346.0-346.9                     |
| Orthostatic hypotension                             | 458.0                           |
| Other lymphedema                                    | 457.1                           |
| Postmastectomy lymphedema syndrome                  | 457.0                           |
| Raynaud's syndrome                                  | 443.0                           |
| Reflex sympathetic dystrophy                        | 337.20-337.29                   |
| Sickle-cell anemia                                  | 282.60-282.69                   |
| Systemic lupus erythematosus                        | 710.0                           |
| Systemic sclerosis                                  | 710.1                           |
| Thoracic aneurysm, ruptured                         | 441.1                           |
| Thoracoabdominal aneurysm, ruptured                 | 441.6                           |
| Ulcer of lower limbs, except decubitus ulcer        | 707.10-707.9                    |

**Statement D** - The member experienced the onset of a new psychological disease, injury, or condition six weeks ago or less.

**This statement does not apply to PT services.**

**Statement E** - The member experienced an exacerbation of a pre-existing condition six weeks ago or less. Providers will be allowed **16 days** for an SOI with one of the following ICD-9-CM codes.

| <b>Primary ICD-9-CM Diagnosis Code Description</b>                                  | <b>Primary ICD-9-CM Diagnosis Code</b>  |
|---|---|
| Acute infective polyneuritis (Guillain-Barre syndrome; postinfectious polyneuritis) | 357.0                                   |
| Acute, but ill-defined, cerebrovascular disease (incl., CVA)                        | 436                                     |
| Anoxic brain damage   | 348.1                                   |
| Cerebral embolism   | 434.10-434.11                           |
| Cerebral laceration and contusion   | 851.0-851.99                            |
| Cerebral thrombosis   | 434.00-434.01                           |
| Encephalopathy, unspecified   | 348.3                                   |
| Head injury, unspecified  | 959.01                                  |
| Hemiplegia and hemiparesis  | 342.0-342.92                            |
| Human immunodeficiency virus (HIV) infection  | 042                                     |
| Huntington's chorea   | 333.4                                   |
| Intracerebral hemorrhage  | 431                                     |
| Intracranial injury of other and unspecified nature                                 | 854.0-854.19                            |
| Juvenile chronic polyarthritis  | 714.30-714.33                           |
| Late effects of cerebrovascular disease   | 438.0-438.9                             |
| Motor neuron disease (incl., Amyotrophic lateral sclerosis)                         | 335.20-335.29                           |
| Multiple sclerosis  | 340                                     |
| Myasthenia gravis   | 358.0                                   |
| Nontraumatic extradural hemorrhage  | 432.0                                   |
| Other and unspecified intracranial hemorrhage following injury                      | 853.0-853.19                            |
| Other choreas   | 333.5                                   |
| Other lymphedema  | 457.1                                   |
| Parkinson's disease   | 332.0-332.1                             |
| Postmastectomy lymphedema syndrome  | 457.0                                   |
| Rheumatoid arthritis  | 714.0                                   |
| Sickle-cell anemia  | 282.60-282.69                           |
| Spinocerebellar disease (ataxias)   | 334.0-334.9                             |
| Subarachnoid hemorrhage   | 430                                     |
| Subarachnoid, subdural, and extradural hemorrhage, following injury                 | 852.0-852.59                            |
| Subdural hemorrhage   | 432.1                                   |
| Toxic encephalopathy  | 349.82                                  |
| <b>ICD-9-CM Surgical Procedure Code Description</b>                                 | <b>ICD-9-CM Surgical Procedure Code</b> |
| Extracranial ventricular shunt  | 02.31-02.39                             |
| Incision of cerebral meninges   | 01.31                                   |
| Other craniectomy   | 01.25                                   |
| Other craniotomy  | 01.24                                   |



|  |             |
|--|-------------|
| Repair of cerebral meninges                            | 02.11-02.14 |
| Revision, removal, and irrigation of ventricular shunt | 02.41-02.43 |

**Statement F** - The member experienced a regression of his or her condition due to lack of therapy six weeks ago or less.

Providers will be allowed **17 days** for an SOI with one of the following ICD-9-CM codes.

| Primary ICD-9-CM Diagnosis Code Description | Primary ICD-9-CM Diagnosis Code |
|---|---------------------------------|
| Anoxic brain damage                         | 348.1                           |
| Contracture of joint                        | 718.4                           |
| Encephalopathy, unspecified                 | 348.3                           |
| Toxic encephalopathy                        | 349.82                          |

Topic #2715

## Allowable ICD-9-CM Codes for Speech and Language Pathology (Organized by Statements)

SLP (Speech and language pathology) providers should use the following chart to determine the allowable combinations of ICD-9-CM (International Classification of Diseases, Ninth Revision, Clinical Modification) codes and statements for SOI (spell of illness) approval. Only one of statements "A" through "F" from the [PA/SOIA \(Prior Authorization/Spell of Illness Attachment, F-11039 \(07/12\)\)](#) must be true for SOI approval. The combination of the true statement and the primary ICD-9-CM diagnosis code or the ICD-9-CM surgical procedure code is then used by ForwardHealth to assign the maximum allowable treatment days for the SOI. Statement "G" must also be true for SOI approval, but it is not used to determine the maximum allowable treatment days.

The statements below are from Element 11 of the PA/SOIA:

- A. The member experienced the onset of a new neuromuscular disease, injury, or condition six weeks ago or less.
- B. The member experienced the onset of a new musculoskeletal disease, injury, or condition six weeks ago or less.
- C. The member experienced the onset of a new problem or complication associated with physiologic disease, injury, or condition six weeks ago or less.
- D. The member experienced the onset of a new psychological disease, injury, or condition six weeks ago or less.
- E. The member experienced an exacerbation of a pre-existing condition six weeks ago or less.
- F. The member experienced a regression of his or her condition due to lack of therapy six weeks ago or less.

AND

- G. There is a reasonable expectation that the member will return to his or her previous level of function by the end of this SOI or sooner.

*Note:* Statements "C," "D," and "F" do not apply to SLP services.

Each chart is specific to one of the above statements. The statement and the maximum allowable treatment days are provided at the top of each chart.

The following instructions describe how to use these charts:

1. Find the chart that corresponds to the true statement (Element 11 of the PA/SOIA).
2. Find the appropriate ICD-9-CM code and description. The diagnosis codes are listed before the surgical procedure codes. The code descriptions are organized alphabetically within these categories (Element 10 of the PA/SOIA).
3. If the ICD-9-CM code is listed in the chart, refer to the statement at the top of the chart for the maximum allowable treatment days for the corresponding combination of the ICD-9-CM code and statement.
4. If the ICD-9-CM code is not listed in the chart, the combination of the code and statement does not allow for SOI approval. The ICD-9-CM code may be listed under another statement.

Some ICD-9-CM codes have more than one allowable statement for SOI approval. SLP providers should determine which statement best describes the member's condition.

Only the ICD-9-CM codes listed in the following charts are available for SOI approval. If the ICD-9-CM code for the SLP service is not listed, the provider should submit the [PA/RF \(Prior Authorization Request Form, F-11018 \(07/12\)\)](#) and the [PA/TA \(Prior Authorization/Therapy Attachment, F-11008 \(07/12\)\)](#).

| <b>Statement A - The member experienced the onset of a new neuromuscular disease, injury, or condition six weeks ago or less. Providers will be allowed 16 days for an SOI with one of the following ICD-9-CM codes.</b> |  |
|--|--|
| <b>Primary ICD-9-CM Diagnosis Code Description</b>   | <b>Primary ICD-9-CM Diagnosis Code</b> |
| Abdominal aneurysm, ruptured   | 441.3                                  |
| Acute infective polyneuritis (Guillain-Barre syndrome; postinfectious polyneuritis)  | 357.0                                  |
| Acute, but ill-defined, cerebrovascular disease (incl., CVA)   | 436                                    |
| Anoxic brain damage  | 348.1                                  |
| Aortic aneurysm of unspecified site, ruptured  | 441.5                                  |
| Aphasia  | 784.3                                  |
| Arterial embolism and thrombosis of abdominal aorta  | 444.0                                  |
| Arterial embolism and thrombosis of thoracic aorta   | 444.1                                  |
| Bacterial meningitis   | 320.0-320.9                            |
| Benign neoplasm of lip, oral cavity, and pharynx   | 210.0-210.9                            |
| Cellulitis and perichondritis of larynx  | 478.71                                 |
| Cerebral cysts   | 348.0                                  |
| Cerebral edema   | 348.5                                  |
| Cerebral embolism  | 434.10-434.11                          |
| Cerebral laceration and contusion  | 851.0-851.99                           |
| Cerebral thrombosis  | 434.00-434.01                          |
| Complications of transplanted organ  | 996.80-996.89                          |
| Compression of brain   | 348.4                                  |
| Concussion, with prolonged loss of consciousness and return to pre-existing conscious level  | 850.3                                  |
| Concussion, with prolonged loss of consciousness without return to pre-existing conscious level  | 850.4                                  |
| Cyst of pharynx or nasopharynx   | 478.26                                 |
| Diabetes insipidus   | 253.5                                  |
| Diabetes mellitus  | 250.0-250.93                           |
| Diffuse diseases of connective tissue  | 710.0-710.9                            |
| Disorders of other cranial nerves  | 352.0-352.9                            |

|  |               |
|--|---------------|
| Dissection of aorta  | 441.00-441.03 |
| Edema of larynx  | 478.6         |
| Edema of pharynx or nasopharynx  | 478.25        |
| Encephalitis, myelitis, and encephalomyelitis  | 323.0-323.9   |
| Encephalopathy, unspecified  | 348.3         |
| Epilepsy   | 345.0-345.91  |
| Facial nerve disorders (incl., Bell's palsy)   | 351.0-351.9   |
| Head injury, unspecified   | 959.01        |
| Hemiplegia and hemiparesis   | 342.0-342.92  |
| Huntington's chorea  | 333.4         |
| Intracerebral hemorrhage   | 431           |
| Intracranial injury of other and unspecified nature  | 854.0-854.19  |
| Laryngeal spasm  | 478.75        |
| Malignant neoplasm of brain  | 191.0-191.9   |
| Malignant neoplasm of head, face, and neck   | 195.0         |
| Meningitis due to other organisms  | 321.0-321.8   |
| Meningitis of unspecified cause  | 322.0-322.9   |
| Motor neuron disease (incl., Amyotrophic lateral sclerosis)                                      | 335.20-335.29 |
| Multiple sclerosis   | 340           |
| Myasthenia gravis  | 358.0         |
| Nontraumatic extradural hemorrhage   | 432.0         |
| Other and unspecified intracranial hemorrhage following injury                                   | 853.0-853.19  |
| Other choreas  | 333.5         |
| Other disease of larynx (incl., abscess, necrosis, obstruction, pachyderma, and ulcer of larynx) | 478.79        |
| Other diseases of pharynx or nasopharynx   | 478.29        |
| Other diseases of vocal cords (incl., abscess, cellulitis, granuloma)                            | 478.5         |
| Other speech disturbance (incl., dysarthria, dysphasia, slurred speech)                          | 784.5         |
| Paralysis of vocal cords or larynx   | 478.30-478.34 |
| Parapharyngeal abscess   | 478.22        |
| Parkinson's disease  | 332.0-332.1   |
| Polyp of vocal cord or larynx  | 478.4         |
| Retropharyngeal abscess  | 478.24        |
| Secondary malignant neoplasm of brain and spinal cord  | 198.3         |
| Secondary malignant neoplasm of other parts of nervous system                                    | 198.4         |
| Spinocerebellar disease (ataxias)  | 334.0-334.9   |
| Stenosis of larynx   | 478.74        |
| Subarachnoid hemorrhage  | 430           |
| Subarachnoid, subdural, and extradural hemorrhage, following injury                              | 852.0-852.59  |
| Subdural hemorrhage  | 432.1         |
| Swelling, mass, or lump in head and neck   | 784.2         |

|  |   |
|--|---|
| Syringomyelia and syringobulbia                              | 336.0                                   |
| Thoracic aneurysm, ruptured                                  | 441.1                                   |
| Thoracoabdominal aneurysm, ruptured                          | 441.6                                   |
| Toxic encephalopathy   | 349.82                                  |
| Trigeminal nerve disorders (incl., Trigeminal neuralgia)     | 350.1-350.9                             |
| Unspecified disease of spinal cord (incl., cord compression) | 336.9                                   |
| Voice disturbance  | 784.40-784.49                           |
| <b>ICD-9-CM Surgical Procedure Code Description</b>          | <b>ICD-9-CM Surgical Procedure Code</b> |
| Complete glossectomy   | 25.3                                    |
| Correction of cleft palate                                   | 27.62                                   |
| Excision of destruction of lesion or tissue of pharynx       | 29.31-29.39                             |
| Excision of larynx   | 30.0-30.4                               |
| Extracranial ventricular shunt                               | 02.31-02.39                             |
| Other craniectomy  | 01.25                                   |
| Other craniotomy   | 01.24                                   |
| Partial glossectomy  | 25.2                                    |
| Pharyngotomy   | 29.0                                    |
| Radical glossectomy  | 25.4                                    |
| Repair of cleft lip  | 27.54                                   |
| Repair of larynx   | 31.61-31.69                             |
| Revision of cleft palate repair                              | 27.63                                   |
| Revision, removal, and irrigation of ventricular shunt       | 02.41-02.43                             |

**Statement B** - The member experienced the onset of a new musculoskeletal disease, injury, or condition six weeks ago or less. Providers will be allowed **16 days** for an SOI with one of the following ICD-9-CM codes.

| Primary ICD-9-CM Diagnosis Code Description      | Primary ICD-9-CM Diagnosis Code |
|--|---------------------------------|
| Benign neoplasm of lip, oral cavity, and pharynx | 210.0-210.9                     |

**Statement C** - The member experienced the onset of a new problem or complication associated with physiologic disease, injury, or condition six weeks ago or less. **This statement does not apply to SLP services.**

**Statement D** - The member experienced the onset of a new psychological disease, injury, or condition six weeks ago or less. **This statement does not apply to SLP services.**

**Statement E** - The member experienced an exacerbation of a pre-existing condition six weeks ago or less. Providers will be allowed **12 days** for an SOI with one of the following ICD-9-CM codes.

| Primary ICD-9-CM Diagnosis Code Description | Primary ICD-9-CM Diagnosis Code |
|---|---------------------------------|
| Diffuse diseases of connective tissue       | 710.0-710.9                     |
| Head injury, unspecified                    | 959.01                          |
| Hemiplegia and hemiparesis                  | 342.0-342.92                    |

|   |             |
|---|-------------|
| Huntington's chorea                     | 333.4       |
| Late effects of cerebrovascular disease | 438.0-438.9 |
| Multiple sclerosis                      | 340         |
| Myasthenia gravis                       | 358.0       |
| Other choreas                           | 333.5       |
| Parkinson's disease                     | 332.0-332.1 |

**Statement F** - The member experienced a regression of his or her condition due to lack of therapy six weeks ago or less. **This statement does not apply to SLP services.**

Topic #2717

## Allowable ICD-9-CM Codes for Speech and Language Pathology Spell of Illness Approval (Organized by Codes)

SLP (speech and language pathology) providers should use the following chart to determine the allowable combinations of ICD-9-CM (International Classification of Diseases, Ninth Revision, Clinical Modification) codes and statements for SOI (spell of illness) approval. Only one of statements "A" through "F" from the [PA/SOIA \(Prior Authorization/Spell of Illness Attachment, F-11039 \(07/12\)\)](#) must be true for SOI approval. The combination of the true statement and the primary ICD-9-CM diagnosis code or the ICD-9-CM surgical procedure code is then used by ForwardHealth to assign the maximum allowable treatment days for the SOI. Statement "G" must also be true for SOI approval, but it is not used to determine the maximum allowable treatment days.

The statements below are from Element 11 of the PA/SOIA:

- A. The member experienced the onset of a new neuromuscular disease, injury, or condition six weeks ago or less.
- B. The member experienced the onset of a new musculoskeletal disease, injury, or condition six weeks ago or less.
- C. The member experienced the onset of a new problem or complication associated with physiologic disease, injury, or condition six weeks ago or less.
- D. The member experienced the onset of a new psychological disease, injury, or condition six weeks ago or less.
- E. The member experienced an exacerbation of a pre-existing condition six weeks ago or less.
- F. The member experienced a regression of his or her condition due to lack of therapy six weeks ago or less.

AND

- G. There is a reasonable expectation that the member will return to his or her previous level of function by the end of this SOI or sooner.

*Note:* Statements "C," "D," and "F" do not apply to SLP services.

Columns "A" through "F" in the following chart correspond to statements "A" through "F" from Element 11 of the PA/SOIA. The number of days that follow each letter represent the maximum allowable treatment days that correspond with the condition identified in that statement.

The following instructions describe how to use this chart:

1. Find the appropriate ICD-9-CM code and description. The diagnosis codes are listed before the surgical procedure codes. The code descriptions are organized alphabetically within these categories (Element 10 of the PA/SOIA).
2. Follow the row across to determine which statement(s) corresponds with the ICD-9-CM code (Element 11 of the PA/SOIA).
3. Follow the appropriate column(s) up to determine the maximum allowable treatment days for the corresponding combination of the ICD-9-CM code and statement.

Some ICD-9-CM codes have more than one allowable statement for SOI approval. SLP providers should determine which statement best describes the member's condition.

Only the ICD-9-CM codes listed in the following chart are available for SOI approval. If the ICD-9-CM code for the SLP service is not listed, the provider should submit the [PA/RF \(Prior Authorization Request Form, F-11018 \(07/12\)\)](#) and the [PA/TA \(Prior Authorization/Therapy Attachment, F-11008 \(07/12\)\)](#).

| <b>Primary ICD-9-CM Diagnosis Code Description</b>  | <b>Primary ICD-9-CM Diagnosis Code</b> | <b>A 16 Days</b> | <b>B 16 Days</b> | <b>C N/A*</b> | <b>D N/A*</b> | <b>E 12 Days</b> | <b>F N/A*</b> |
|---|--|------------------|------------------|---------------|---------------|------------------|---------------|
| Abdominal aneurysm, ruptured  | 441.3                                  | X                |                  |               |               |                  |               |
| Acute infective polyneuritis (Guillain-Barre syndrome; postinfectious polyneuritis)             | 357.0                                  | X                |                  |               |               |                  |               |
| Acute, but ill-defined, cerebrovascular disease (incl., CVA)                                    | 436                                    | X                |                  |               |               |                  |               |
| Anoxic brain damage   | 348.1                                  | X                |                  |               |               |                  |               |
| Aortic aneurysm of unspecified site, ruptured   | 441.5                                  | X                |                  |               |               |                  |               |
| Aphasia   | 784.3                                  | X                |                  |               |               |                  |               |
| Arterial embolism and thrombosis of abdominal aorta   | 444.0                                  | X                |                  |               |               |                  |               |
| Arterial embolism and thrombosis of thoracic aorta  | 444.1                                  | X                |                  |               |               |                  |               |
| Bacterial meningitis  | 320.0-320.9                            | X                |                  |               |               |                  |               |
| Benign neoplasm of lip, oral cavity, and pharynx  | 210.0-210.9                            | X                | X                |               |               |                  |               |
| Cellulitis and perichondritis of larynx   | 478.71                                 | X                |                  |               |               |                  |               |
| Cerebral cysts  | 348.0                                  | X                |                  |               |               |                  |               |
| Cerebral edema  | 348.5                                  | X                |                  |               |               |                  |               |
| Cerebral embolism   | 434.10-434.11                          | X                |                  |               |               |                  |               |
| Cerebral laceration and contusion   | 851.0-851.99                           | X                |                  |               |               |                  |               |
| Cerebral thrombosis   | 434.00-434.01                          | X                |                  |               |               |                  |               |
| Complications of transplanted organ   | 996.80-996.89                          | X                |                  |               |               |                  |               |
| Compression of brain  | 348.4                                  | X                |                  |               |               |                  |               |
| Concussion, with prolonged loss of consciousness and return to pre-existing conscious level     | 850.3                                  | X                |                  |               |               |                  |               |
| Concussion, with prolonged loss of consciousness without return to pre-existing conscious level | 850.4                                  | X                |                  |               |               |                  |               |
| Cyst of pharynx or nasopharynx  | 478.26                                 | X                |                  |               |               |                  |               |
| Diabetes insipidus  | 253.5                                  | X                |                  |               |               |                  |               |
| Diabetes mellitus   | 250.0-250.93                           | X                |                  |               |               |                  |               |
| Diffuse diseases of connective tissue   | 710.0-710.9                            | X                |                  |               |               | X                |               |
| Disorders of other cranial nerves   | 352.0-352.9                            | X                |                  |               |               |                  |               |

|  |               |   |  |  |  |   |  |
|--|---------------|---|--|--|--|---|--|
| Dissection of aorta  | 441.00-441.03 | X |  |  |  |   |  |
| Edema of larynx  | 478.6         | X |  |  |  |   |  |
| Edema of pharynx or nasopharynx  | 478.25        | X |  |  |  |   |  |
| Encephalitis, myelitis, and encephalomyelitis  | 323.0-323.9   | X |  |  |  |   |  |
| Encephalopathy, unspecified  | 348.3         | X |  |  |  |   |  |
| Epilepsy   | 345.0-345.91  | X |  |  |  |   |  |
| Facial nerve disorders (incl., Bell's palsy)   | 351.0-351.9   | X |  |  |  |   |  |
| Head injury, unspecified   | 959.01        | X |  |  |  | X |  |
| Hemiplegia and hemiparesis   | 342.0-342.92  | X |  |  |  | X |  |
| Huntington's chorea  | 333.4         | X |  |  |  | X |  |
| Intracerebral hemorrhage   | 431           | X |  |  |  |   |  |
| Intracranial injury of other and unspecified nature  | 854.0-854.19  | X |  |  |  |   |  |
| Laryngeal spasm  | 478.75        | X |  |  |  |   |  |
| Late effects of cerebrovascular disease  | 438.0-438.9   |   |  |  |  | X |  |
| Malignant neoplasm of brain  | 191.0-191.9   | X |  |  |  |   |  |
| Malignant neoplasm of head, face, and neck   | 195.0         | X |  |  |  |   |  |
| Meningitis due to other organisms  | 321.0-321.8   | X |  |  |  |   |  |
| Meningitis of unspecified cause  | 322.0-322.9   | X |  |  |  |   |  |
| Motor neuron disease (incl., Amyotrophic lateral sclerosis)                                      | 335.20-335.29 | X |  |  |  |   |  |
| Multiple sclerosis   | 340           | X |  |  |  | X |  |
| Myasthenia gravis  | 358.0         | X |  |  |  | X |  |
| Nontraumatic extradural hemorrhage   | 432.0         | X |  |  |  |   |  |
| Other and unspecified intracranial hemorrhage following injury                                   | 853.0-853.19  | X |  |  |  |   |  |
| Other choreas  | 333.5         | X |  |  |  | X |  |
| Other disease of larynx (incl., abscess, necrosis, obstruction, pachyderma, and ulcer of larynx) | 478.79        | X |  |  |  |   |  |
| Other diseases of pharynx or nasopharynx   | 478.29        | X |  |  |  |   |  |
| Other diseases of vocal cords (incl., abscess, cellulitis, granuloma)                            | 478.5         | X |  |  |  |   |  |
| Other speech disturbance (incl., dysarthria, dysphasia, slurred speech)                          | 784.5         | X |  |  |  |   |  |
| Paralysis of vocal cords or larynx   | 478.30-478.34 | X |  |  |  |   |  |
| Parapharyngeal abscess   | 478.22        | X |  |  |  |   |  |
| Parkinson's disease  | 332.0-332.1   | X |  |  |  | X |  |
| Polyp of vocal cord or larynx  | 478.4         | X |  |  |  |   |  |
| Retropharyngeal abscess  | 478.24        | X |  |  |  |   |  |
| Secondary malignant neoplasm of brain and spinal cord  | 198.3         | X |  |  |  |   |  |
| Secondary malignant neoplasm of other parts of nervous system                                    | 198.4         | X |  |  |  |   |  |
| Spinocerebellar disease (ataxias)  | 334.0-334.9   | X |  |  |  |   |  |
| Stenosis of larynx   | 478.74        | X |  |  |  |   |  |

|   |   |                  |                  |               |               |                  |               |
|---|---|------------------|------------------|---------------|---------------|------------------|---------------|
| Subarachnoid hemorrhage   | 430                                     | X                |                  |               |               |                  |               |
| Subarachnoid, subdural, and extradural hemorrhage, following injury | 852.0-852.59                            | X                |                  |               |               |                  |               |
| Subdural hemorrhage   | 432.1                                   | X                |                  |               |               |                  |               |
| Swelling, mass, or lump in head and neck                            | 784.2                                   | X                |                  |               |               |                  |               |
| Syringomyelia and syringobulbia                                     | 336.0                                   | X                |                  |               |               |                  |               |
| Thoracic aneurysm, ruptured   | 441.1                                   | X                |                  |               |               |                  |               |
| Thoracoabdominal aneurysm, ruptured                                 | 441.6                                   | X                |                  |               |               |                  |               |
| Toxic encephalopathy  | 349.82                                  | X                |                  |               |               |                  |               |
| Trigeminal nerve disorders (incl., Trigeminal neuralgia)            | 350.1-350.9                             | X                |                  |               |               |                  |               |
| Unspecified disease of spinal cord (incl., cord compression)        | 336.9                                   | X                |                  |               |               |                  |               |
| Voice disturbance   | 784.40-784.49                           | X                |                  |               |               |                  |               |
| <b>ICD-9-CM Surgical Procedure Code Description</b>                 | <b>ICD-9-CM Surgical Procedure Code</b> | <b>A 16 Days</b> | <b>B 16 Days</b> | <b>C N/A*</b> | <b>D N/A*</b> | <b>E 12 Days</b> | <b>F N/A*</b> |
| Complete glossectomy  | 25.3                                    | X                |                  |               |               |                  |               |
| Correction of cleft palate  | 27.62                                   | X                |                  |               |               |                  |               |
| Excision of destruction of lesion or tissue of pharynx              | 29.31-29.39                             | X                |                  |               |               |                  |               |
| Excision of larynx  | 30.0-30.4                               | X                |                  |               |               |                  |               |
| Extracranial ventricular shunt                                      | 02.31-02.39                             | X                |                  |               |               |                  |               |
| Other craniectomy   | 01.25                                   | X                |                  |               |               |                  |               |
| Other craniotomy  | 01.24                                   | X                |                  |               |               |                  |               |
| Partial glossectomy   | 25.2                                    | X                |                  |               |               |                  |               |
| Pharyngotomy  | 29.0                                    | X                |                  |               |               |                  |               |
| Radical glossectomy   | 25.4                                    | X                |                  |               |               |                  |               |
| Repair of cleft lip   | 27.54                                   | X                |                  |               |               |                  |               |
| Repair of larynx  | 31.61-31.69                             | X                |                  |               |               |                  |               |
| Revision of cleft palate repair                                     | 27.63                                   | X                |                  |               |               |                  |               |
| Revision, removal, and irrigation of ventricular shunt              | 02.41-02.43                             | X                |                  |               |               |                  |               |

\* Statements "C," "D," and "F" do not apply to SLP services.

Topic #8677

## Backdating

Backdating a SOI (spell of illness) request to a date prior to ForwardHealth's initial receipt of the request may be allowed in limited circumstances.

A request for backdating may be approved if all of the following conditions are met:

- The provider specifically requests backdating in writing on the SOI request.
- The request includes clinical justification for beginning the service before the SOI was granted.
- The request is received by ForwardHealth within 14 calendar days of the start of the provision of services.



Topic #2801

## Maximum Allowable Treatment Days

An SOI (spell of illness) begins with the first day of evaluation or treatment and ends when the services are no longer required or after the allowable treatment days have been used, whichever comes first. The allowable treatment days include any treatment days covered by other health insurance sources or any treatment days provided by another provider in any setting except for PT (physical therapy), OT (occupational therapy), and SLP (speech and language pathology) services provided in schools, which do not count towards the initial SOI under Wisconsin Medicaid and the BadgerCare Plus Standard Plan.

The maximum allowable treatment days that may be granted for each ICD-9-CM (International Classification of Diseases, Ninth Revision, Clinical Modification) code have been determined separately for each therapy discipline. There are two charts for each therapy discipline. Refer to Allowable ICD-9-CM Codes for Physical Therapy (Organized by Codes and Allowable ICD-9-CM Codes for Physical Therapy Spell of Illness Approval (Organized by Statements) for PT services, Allowable ICD-9-CM Codes for Occupational Therapy (Organized by Codes) and Allowable ICD-9-CM Codes for Occupational Therapy (Organized by Statements) for OT services, and Allowable ICD-9-CM Codes for Speech and Language Pathology Spell of Illness Approval (Organized by Codes) and Allowable ICD-9-CM Codes for Speech and Language Pathology (Organized by Statements) for SLP services. The two charts for each therapy discipline contain the same information, but are organized differently. Appendices 19, 21, and 23 of this handbook are organized alphabetically by the ICD-9-CM code description. Appendices 20, 22, and 24 of this handbook are organized by statements "A" through "F" of the PA/SOIA (Prior Authorization/Spell of Illness Attachment, F-11039 (07/12)). Providers should use the appendix that best suits their needs. Instructions for reading each chart are included at the top of the appendix. Providers should use the appendices to determine the allowable combinations of ICD-9-CM codes and statements for SOI approval.

Only the ICD-9-CM codes that are listed in the appendices are available for SOI approval. If the ICD-9-CM code is not listed for the appropriate therapy discipline, the PT, OT, or SLP provider should submit the PA/TA (Prior Authorization/Therapy Attachment, F-11008).

Topic #2800

## Requirements

After the initial SOI (spell of illness), any new disease, injury, medical condition, or increased severity of a pre-existing medical condition that requires PT (physical therapy), OT (occupational therapy), or SLP (speech and language pathology) services is called a subsequent SOI. A subsequent SOI always requires PA (prior authorization).

When submitting the PA/SOIA (Prior Authorization/Spell of Illness Attachment, F-11039 (07/12)), providers are required to provide the appropriate primary ICD-9-CM (International Classification of Diseases, Ninth Revision, Clinical Modification) diagnosis code or the appropriate ICD-9-CM surgical procedure code and answer "yes" or "no" to seven statements about the member's diagnosis or condition. The answers to these statements are used to determine if the SOI request will be approved. If the PA request is approved, ForwardHealth uses the combination of the ICD-9-CM code and the answers to these statements to assign the maximum allowable treatment days for the SOI.

A PA request for an SOI may be approved if all of the following are true:

- The member has incurred a demonstrated functional loss of ability to perform daily living skills within the past six weeks, and there is measurable evidence to support this.
- There is a reasonable expectation that the member will return to his or her previous level of function by the end of this SOI or sooner.
- Only one of statements "A" through "F" from Element 11 of the PA/SOIA would be marked "yes." If the member's

condition could be categorized by more than one of statements "A" through "F," providers should choose the statement that best describes the reason for the SOI. Examples of situations covered in statements "A" through "F" are provided on the back of the PA/SOIA.

- Note:*
- Statement "D" does not apply to PT services.
  - Statements "C," "D," and "F" do not apply to SLP services.
  - If a provider chooses statement "B," they should note that often times precautions are placed on a member's mobility following a fracture or surgical procedure. The SOI, in such cases, can begin the date the precautions are removed and the member demonstrates functional limitations that require the skills of a therapist.

If these conditions are not met, ForwardHealth will return the PA request and instruct the provider to use the PA/TA (Prior Authorization/Therapy Attachment, F-11008 (07/12)).

Topic #2799

## Unused Treatment Days

Unused treatment days from one SOI (spell of illness) cannot be carried over into a new SOI. When a new, approved SOI occurs during an existing SOI, the current SOI ends, and a new one begins.

## Review Process

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Topic #450

### Clerical Review

The first step of the PA (prior authorization) request review process is the clerical review. The provider, member, diagnosis, and treatment information indicated on the [PA/RF \(Prior Authorization Request Form, F-11018 \(07/12\)\)](#), [PA/HIAS1 \(Prior Authorization for Hearing Instrument and Audiological Services 1, F-11020 \(07/12\)\)](#), and [PA/DRF \(Prior Authorization/Dental Request Form, F-11035 \(07/12\)\)](#) forms is reviewed during the clerical review of the PA request review process. The following are examples of information verified during the clerical review:

- Billing and/or rendering provider number is correct and corresponds with the provider's name.
- Provider's name is spelled correctly.
- Provider is Medicaid-enrolled.
- Procedure codes with appropriate modifiers, if required, are covered services.
- Member's name is spelled correctly.
- Member's identification number is correct and corresponds with the member's name.
- Member enrollment is verified.
- All required elements are complete.
- Forms, attachments, and additional supporting clinical documentation are signed and dated.
- A current physician's prescription for the service is attached, if required.

Clerical errors and omissions are responsible for the majority of PA requests that are returned to providers for correction or additional information. Since having to return a PA request for corrections or additional information can delay approval and delivery of services to a member, providers should ensure that all clerical information is correctly and completely entered on the PA/RF, PA/DRF, or PA/HIAS1.

If clerical errors are identified, the PA request is returned to the provider for corrections before undergoing a clinical review. One way to reduce the number of clerical errors is to complete and submit PA/RFs through Web PA.

Topic #451

### Clinical Review

Upon verifying the completeness and accuracy of clerical items, the PA (prior authorization) request is reviewed to evaluate whether or not each service being requested meets Wisconsin Medicaid's definition of "medically necessary" as well as other criteria.

The PA attachment allows a provider to document the clinical information used to determine whether the standards of medical necessity are met for the requested service. Wisconsin Medicaid considers certain factors when determining whether to approve or deny a PA request pursuant to [DHS 107.02\(3\)\(e\)](#), Wis. Admin. Code.

It is crucial that a provider include adequate information on the PA attachment so that the ForwardHealth consultant performing the clinical review can determine that the service(s) being requested meets all the elements of Wisconsin Medicaid's definition of "medically necessary", including elements that are not strictly medical in nature. Documentation must provide the justification for the service requested specific to the member's current condition and needs. Pursuant to [DHS 101.03\(96m\)](#), Wis. Admin. Code, "medically necessary" is a service under ch. DHS 107 that meets certain criteria.

## Determination of Medical Necessity

The definition of "medically necessary" is a legal definition identifying the standards that must be met for approval of the service. The definition imposes parameters and restrictions that are both medical and nonmedical.

The determination of medical necessity is based on the documentation submitted by the provider. For this reason, it is essential that documentation is submitted completely and accurately and that it provides the justification for the service requested, specific to the member's current condition and needs. To be approved, a PA request must meet all of the standards of medical necessity including those that are not strictly medical in nature.

To determine if a requested service is medically necessary, ForwardHealth consultants obtain direction and/or guidance from multiple resources including:

- Federal and state statutes.
- Wisconsin Administrative Code.
- PA guidelines set forth by the DHS (Department of Health Services).
- Standards of practice.
- Professional knowledge.
- Scientific literature.

## Services Requiring Prior Authorization

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Topic #529

### Aural Rehabilitation Therapy Following a Cochlear Implant

Providers are required to complete and submit the following forms to ForwardHealth to request PA (prior authorization) for aural rehabilitation services for members who have reached 35 treatment days in their lifetime and require aural rehabilitation therapy from a speech-language pathologist immediately following a cochlear implant:

- [PA/RF \(Prior Authorization Request Form, F-11018 \(07/12\)\)](#).
- [PA/TA \(Prior Authorization/Therapy Attachment, F-11008 \(07/12\)\)](#).

### Prior Authorization/Therapy Attachment

Providers are required to submit the entire PA/TA (all three pages of the PA/TA) but are only required to complete the following elements of the form:

- Section I, Elements 1-12. In Element 12 (Requested Start Date), providers are required to enter the date of stimulation.
- Section II, Element 13. Providers are required to clearly identify the following:
  - Date of cochlear implant surgery, in MM/DD/CCYY format.
  - Date of stimulation, in MM/DD/CCYY format.
  - Pre-linguist or post-linguist (applies only to members over 18 years of age).
- Section VIII, Element 22 (SIGNATURE - Providing Therapist) and Element 23 (Date Signed).

### Established Grant Date

The grant date of approved PA requests for therapy services will be the initial stimulation date. Wisconsin Medicaid must receive the PA request no more than 30 calendar days before the stimulation date, or no more than 14 days after the stimulation date.

### Aural Rehabilitation Services for Members Under 18 Years of Age Following a Cochlear Implant

Wisconsin Medicaid will grant a maximum of 60 visits of aural rehabilitation therapy over a 20-week period following the stimulation date of the cochlear implant for members under 18 years of age. The grant date of the PA request will be the stimulation date. The expiration date will be 20 weeks from the stimulation date.

### Aural Rehabilitation Services for Members 18 Years of Age and Older Following a Cochlear Implant

Wisconsin Medicaid will grant a maximum of 13 weekly visits of aural rehabilitation therapy over 13 weeks following the stimulation date of the cochlear implant for members 18 years of age and older with post-linguistic skills. The grant date of the PA request will be the stimulation date. The expiration date will be 13 weeks from the stimulation date.

For members 18 years of age and older with pre-linguistic skills, Wisconsin Medicaid will grant a maximum of 26 visits over 26

weeks of aural rehabilitation therapy following the stimulation date of the cochlear implant. The grant date of the PA request will be the stimulation date. The expiration date will be 26 weeks from the stimulation date.

## Requesting Extension of Aural Rehabilitation Therapy

Subsequent PA requests to extend therapy services will require completion of the entire PA/TA, as well as attachment of the required documentation indicated in the [PA/TA Completion Instructions \(F-11008A \(07/12\)\)](#). Wisconsin Medicaid may approve subsequent PA requests for a period of up to six months if the documentation submitted supports the medical necessity of the request.

## Procedure Codes

Providers must use CPT (Current Procedural Terminology) procedure code 92506 (Evaluation of speech, language, voice, communication, and/or auditory processing) for evaluation and re-evaluation. Providers are required to use procedure code 92507 (Treatment of speech, language, voice, communication, and/or auditory processing disorder) with modifier "UC" (therapy following a cochlear implant) for aural rehabilitation following a cochlear implant. The use of modifier "UC" represents services for the improvement of speech and language related to the cochlear implant and results in increased reimbursement.

Members commonly receive speech and language pathology services prior to receiving a cochlear implant. Providers should submit claims for procedure code 92507 without modifier "UC" for these services.

## Maximum Allowable Number of Visits and Weeks

The following table provides the Wisconsin Medicaid maximum allowable number of visits and weeks for aural rehabilitation services following the date of stimulation of a cochlear implant that will be approved on a PA request:

|  | Maximum Allowable Number of Visits | Maximum Allowable Number of Weeks |
|--|------------------------------------|-----------------------------------|
| <b>Members Under 18 Years of Age</b>                           | 60                                 | 20                                |
| <b>Members 18 Years of Age and Older<br/>- Post-Linguistic</b> | 13                                 | 13                                |
| <b>Members 18 Years of Age and Older<br/>- Pre-Linguistic</b>  | 26                                 | 26                                |

Topic #2714

## Overview

ForwardHealth requires PA (prior authorization) for the following:

- PT (physical therapy), OT (occupational therapy), and SLP (speech and language pathology) services provided subsequent to the member's initial SOI (spell of illness).
- PT, OT, and SLP services that require PA starting with the first day of treatment.

PT, OT, and SLP services provided in schools do not count towards the initial SOI under Wisconsin Medicaid and the BadgerCare Plus Standard Plan.

To avoid potential claim denials, providers are encouraged to request PA when they are unsure whether the member has received, or is currently receiving, PT, OT, or SLP services from another provider.

Topic #2713

## Services That Always Require Prior Authorization

The following PT (physical therapy), OT (occupational therapy), and SLP (speech and language pathology) services require PA (prior authorization) starting with the first day of treatment:

- Cotreatment.
- Dual treatment.
- HealthCheck "Other Services."
- Services identified by unlisted procedure codes.
- Treatment of decubitus ulcers.
- Treatment for conditions resulting from mental retardation.

## Situations Requiring New Requests

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Topic #452

### Change in Billing Providers

Providers are required to submit a new PA (prior authorization) request when there is a change in billing providers. A new PA request must be submitted with the new billing provider's name and billing provider number. The expiration date of the PA request will remain the same as the original PA request.

Typically, as no more than one PA request is allowed for the same member, the same service(s), and the same dates, the new billing provider is required to send the following to ForwardHealth's PA Unit:

- A copy of the existing PA request, if possible.
- A new PA request, including the required attachments and supporting documentation indicating the new billing provider's name and address and billing provider number.
- A letter requesting the enddating of the existing PA request (may be a photocopy) attached to each PA request with the following information:
  - The previous billing provider's name and billing provider number, if known.
  - The new billing provider's name and billing provider number.
  - The reason for the change of billing provider. (The provider may want to confer with the member to verify that the services by the previous provider have ended. The new billing provider may include this verification in the letter.)
  - The requested effective date of the change.

Topic #5197

### Changes to Member Enrollment Status

Changes to a member's enrollment status may affect PA (prior authorization) determinations. In the following cases, providers are required to obtain valid, approved PA for those services that require PA:

- A member enrolled in the BadgerCare Plus Standard Plan has a change in income level and becomes eligible for the BadgerCare Plus Benchmark Plan. The member's enrollment status changes to Benchmark Plan.
- A member enrolled in the Benchmark Plan has a change in income level or medical condition and becomes eligible for the Standard Plan or Medicaid. The member's enrollment status changes to Standard Plan or Medicaid accordingly.

Some changes in a member's enrollment status do not affect PA determinations. In the following cases, providers are not required to obtain separate PA because PA will continue to be valid:

- A member enrolled in the Standard Plan becomes eligible for Medicaid coverage. PA granted under the Standard Plan will be valid for Medicaid.
- A member switches from the Standard Plan to the Benchmark Plan and there is already a valid PA on file for the member under the Benchmark Plan.
- A member switches from the Benchmark Plan to the Standard Plan or Medicaid and there is already a valid PA on file for the member under the Standard Plan or Medicaid.

Providers are encouraged to [verify enrollment](#) before every office visit or service rendered. Verifying enrollment will help providers identify changes in member enrollment status and take appropriate actions to obtain PA for services when necessary.



The first time a member switches plans, the provider is required to submit a new PA request, including all required PA forms and attachments. If a member switches back into either of the plans and there is a valid, approved PA on file under that plan, the provider does not need to submit a new PA request.

Providers who have a provider account on the ForwardHealth Portal may use the Portal to check if a valid PA is on file for the service.

## Calculating Limits for Services Requiring Prior Authorization

Any limits that pertain to services requiring PA will accumulate separately under each plan.

Topic #453

## Examples

Examples of when a new PA (prior authorization) request must be submitted include the following:

- A provider's billing provider changes.
- A member requests a provider change that results in a change in billing providers.
- A member's enrollment status changes and there is not a valid PA on file for the member's current plan (i.e., BadgerCare Plus Standard Plan, BadgerCare Plus Benchmark Plan, Medicaid).

If the *rendering* provider indicated on the PA request changes but the *billing* provider remains the same, the PA request remains valid and a new PA request does *not* need to be submitted.

Topic #454

## Services Not Performed Before Expiration Date

Generally, a new PA (prior authorization) request with a new requested start date must be submitted to ForwardHealth if the amount or quantity of prior authorized services is not used by the expiration date of the PA request and the service is still medically necessary.

## Submission Options

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Topic #12597

### 278 Health Care Services Review — Request for Review and Response Transaction

Providers may request PA (prior authorization) electronically using the 278 (278 Health Care Services Review — Request for Review and Response) transaction, the standard electronic format for health care service PA requests.

#### Compliance Testing

Trading partners may conduct compliance testing for the 278 transaction.

After receiving an "accepted" 999 (999 Functional Acknowledgment) for a test 278 transaction, trading partners are required to call the [EDI \(Electronic Data Interchange\) Helpdesk](#) to request the production 278 transaction set be assigned to them.

#### Submitting Prior Authorization Requests

Submitting an initial PA request using the 278 transaction does not result in a real-time approval and cannot be used to request [PA for drugs](#) and [diabetic supplies](#).

After submitting a PA request via a 278 transaction, providers will receive a real-time response indicating whether the transaction is valid or invalid. If the transaction is invalid, the response will indicate the reject reason(s), and providers can correct and submit a new PA request using the 278 transaction. A real-time response indicating a valid 278 transaction will include a [PA number](#) and a pending status. The PA request will be placed in a status of "Pending - Fiscal Agent Review."

The 278 transaction does not allow providers to submit [supporting clinical information](#) as required to adjudicate the PA request.

Trading partners cannot submit the 278 transaction through PES (Provider Electronic Solutions). In order to submit the 278 transaction, trading partners will need to use their own software or contract with a software vendor.

Topic #455

## Fax

Faxing of all PA (prior authorization) requests to ForwardHealth may eliminate one to three days of mail time. The following are recommendations to avoid delays when faxing PA requests:

- Providers should follow the PA fax procedures.
- Providers should *not* fax the same PA request more than once.
- Providers should *not* fax *and* mail the same PA request. This causes delays in processing.

PA requests containing X-rays, dental molds, or photos as documentation must be mailed; they may not be faxed.

To help safeguard the confidentiality of member health care records, providers should include a fax transmittal form containing a confidentiality statement as a cover sheet to all faxed PA requests. The [Prior Authorization Fax Cover Sheet \(F-01176 \(12/11\)\)](#)

includes a confidentiality statement and may be photocopied.

Providers are encouraged to retain copies of all PA requests and supporting documentation before submitting them to ForwardHealth.

## Prior Authorization Fax Procedures

Providers may fax PA requests to ForwardHealth at (608) 221-8616. PA requests sent to any fax number other than (608) 221-8616 may result in processing delays.

When faxing PA requests to ForwardHealth, providers should follow the guidelines/procedures listed below.

### Fax Transmittal Cover Sheet

The completed fax transmittal cover sheet must include the following:

- Date of the fax transmission.
- Number of pages, including the cover sheet. The ForwardHealth fax clerk will contact the provider by fax or telephone if all the pages do not transmit.
- Provider contact person and telephone number. The ForwardHealth fax clerk may contact the provider with any questions about the fax transmission.
- Provider number.
- Fax telephone number to which ForwardHealth may send its adjudication decision.
- To: "ForwardHealth Prior Authorization."
- ForwardHealth's fax number ([608] 221-8616). PA requests sent to any other fax number may result in processing delays.
- ForwardHealth's telephone numbers. For specific PA questions, providers should call [Provider Services](#). For faxing questions, providers should call (608) 224-6124.

### Incomplete Fax Transmissions

If the pages listed on the initial cover sheet do not all transmit (i.e., pages stuck together, the fax machine has jammed, or some other error has stopped the fax transmission), or if the PA request is missing information, providers will receive the following by fax from the ForwardHealth fax clerk:

- A cover sheet explaining why the PA request is being returned.
- Part or all of the original incomplete fax that ForwardHealth received.

If a PA request is returned to the provider due to faxing problems, providers should do the following:

- Attach a completed cover sheet with the number of pages of the fax.
- Resend the entire original fax transmission and the additional information requested by the fax clerk to (608) 221-8616.

### General Guidelines

When faxing information to ForwardHealth, providers should not reduce the size of the [PA/RF \(Prior Authorization Request Form, F-11018 \(07/12\)\)](#) or the [PA/HIAS1 \(Prior Authorization for Hearing Instrument and Audiological Services 1, F-11020 \(07/12\)\)](#) to fit on the bottom half of the cover page. This makes the PA request difficult to read and leaves no space for consultants to write a response if needed or to sign the request.

If a photocopy of the original PA request and attachments is faxed, the provider should make sure these copies are clear and legible. If the information is not clear, it will be returned to the provider.

If the provider does not indicate his or her fax number, ForwardHealth will mail the decision back to the provider.

ForwardHealth will attempt to fax a response to the PA request to a provider three times. If unsuccessful, the PA request will be mailed to the provider.

If providers are not sure if an entire fax was sent, they should call ForwardHealth's fax clerk at (608) 224-6124, to inquire about the status of the fax.

## Prior Authorization Request Deadlines

Faxing a PA request eliminates one to three days of mail time. However, the adjudication time of the PA request has not changed. All actions regarding PA requests are made within the [predetermined time frames](#).

Faxed PA requests received after 1:00 p.m. will be considered as received the following business day. Faxed PA requests received on a Saturday, Sunday, or holiday will be processed on the next business day.

## Avoid Duplicating Prior Authorization Requests

After faxing a PA request, providers should not send the original paperwork by mail. Mailing the original paperwork after faxing the PA request will create duplicate PA requests in the system and may result in a delay of several days to process the faxed PA request.

Refaxing a PA request before the previous PA request has been returned will also create duplicate PA requests and may result in delays.

## Response Back from ForwardHealth

Once ForwardHealth reviews a PA request, ForwardHealth will fax one of three responses back to the provider:

- "Your approved, modified, or denied PA request(s) is attached."
- "Your PA request(s) requires additional information (see attached). Resubmit the entire PA request, including the attachments, with the requested additional information."
- "Your PA request(s) has missing pages and/or is illegible (see attached). Resubmit the entire PA request, including the attachments."

## Resubmitting Prior Authorization Requests

When resubmitting a faxed PA request, providers are required to resubmit the faxed copy of the PA request, including attachments. This will allow the provider to obtain the earliest possible grant date for the PA request (apart from backdating for retroactive enrollment). If any attachments or additional information that was requested is received without the rest of the PA request, the information will be returned to the provider.

Topic #458

# ForwardHealth Portal Prior Authorization

Providers can use the PA (prior authorization) features on the ForwardHealth Portal to do the following:

- Submit PA requests and amendments for all services that require PA.
- Save a partially completed PA request and return at a later time to finish completing it.
- Upload PA attachments and additional supporting clinical documentation for PA requests.

- [Receive](#) decision notice letters and returned provider review letters.
- [Correct](#) returned PA requests and PA amendment requests.
- Change the status of a PA request from "Suspended" to "Pending."
- Submit additional supporting documentation for a PA request that is in "Suspended" or "Pending" status.
- [Search and view](#) previously submitted PA requests or saved PA requests.
- Print a PA cover sheet.

## Submitting Prior Authorization Requests and Amendment Requests

Providers can submit PA requests for all services that require PA to ForwardHealth via the secure Provider area of the Portal. To save time, providers can copy and paste information from plans of care and other medical documentation into the appropriate fields on the PA request. Except for those providers exempt from NPI (National Provider Identifier) requirements, NPI and related data are required on PA requests submitted via the Portal.

When completing PA attachments on the Portal, providers can take advantage of an Additional Information field at the end of the PA attachment that holds up to five pages of text that may be needed.

Providers may also submit amendment requests via the Portal for PA requests with a status of "Approved" or "Approved with Modifications."

## Saving Partially Completed Prior Authorization Requests

Providers do not have to complete PA requests in one session; they can save partially completed PA requests at any point after the Member Information page has been completed by clicking on the Save and Complete Later button, which is at the bottom of each page. There is no limit to how many times PA requests can be saved.

Providers can complete partially saved PA requests at a later time by logging in to the secure Provider area of the Portal, navigating to the Prior Authorization home page, and clicking on the Complete a Saved PA Request link. This link takes the provider to a Saved PA Requests page containing all of the provider's PA requests that have been saved.

Once on the Saved PA Requests page, providers can select a specific PA request and choose to either continue completing it or delete it.

*Note:* The ability to save partially completed PA requests is only applicable to new PA requests. Providers cannot save partially completed PA amendments or corrections to returned PA requests or amendments.

## 30 Calendar Days to Submit or Re-Save Prior Authorization Requests

Providers must submit or re-save PA requests within 30 calendar days of the date the PA request was last saved. After 30 calendar days of inactivity, a PA request is automatically deleted, and the provider has to re-enter the entire PA request.

The Saved PA Requests page includes a list of deleted PA requests. This list is for information purposes only and includes saved PA requests that have been deleted due to inactivity (it does *not* include PA requests deleted by the provider). Neither providers nor ForwardHealth are able to retrieve PA requests that have been deleted.

## Submitting Completed Prior Authorization Requests

ForwardHealth's initial receipt of a PA request occurs when the PA request is submitted on the Portal. Normal backdating policy applies based on the date of initial receipt, not on the last saved date. Providers receive a confirmation of receipt along with a PA number once a PA request is submitted on the Portal.

## PA Attachments on the Portal

Almost all PA request attachments can be completed and submitted on the Portal. When providers are completing PA requests, the Portal presents the necessary attachments needed for that PA request. For example, if a physician is completing a PA request for physician-administered drugs, the Portal will prompt a [PA/JCA \(Prior Authorization/"J" Code Attachment, F-11034 \(07/12\)\)](#) and display the form for the provider to complete. Certain PA attachments cannot be completed online or uploaded.

Providers may also upload an electronically completed version of the paper PA attachment form. However, when submitting a PA attachment electronically, ForwardHealth recommends completing the PA attachment online as opposed to uploading an electronically completed version of the paper attachment form to reduce the chances of the PA request being returned for clerical errors.

All PA request attachment forms are available on the Portal to download and print to submit by fax or mail.

Providers may also choose to submit their PA request on the Portal and mail or fax the PA attachment(s) and/or additional supporting documentation to ForwardHealth. If the PA attachment(s) are mailed or faxed, a system-generated [Portal PA Cover Sheet \(F-11159 \(10/08\)\)](#) must be printed and sent with the attachment to ForwardHealth for processing. Providers must list the attachments on the Portal PA Cover Sheet. When ForwardHealth receives the PA attachments by mail or fax, they will be matched up with the [PA/RF \(Prior Authorization Request Form, F-11018 \(07/12\)\)](#) that was completed on the Portal.

*Note:* If the cover sheet could not be generated while submitting the PA request due to technical difficulties, providers can print the cover sheet from the main Portal PA page.

Before submitting any PA request documents, providers should save or print a copy for their records. Once the PA request is submitted, it cannot be retrieved for further editing.

As a reminder, ForwardHealth does not mail back any PA request documents submitted by providers.

### **Additional Supporting Clinical Documentation**

ForwardHealth accepts additional supporting clinical documentation when the information cannot be indicated on the required PA request forms and is pertinent for processing the PA request or PA amendment request. Providers have the following options for submitting additional supporting clinical information for PA requests or PA amendment requests:

- Upload electronically.
- Mail.
- Fax.

Providers can choose to upload electronic supporting information through the Portal in the following formats:

- JPEG (Joint Photographic Experts Group) (.jpg or .jpeg).
- PDF (Portable Document Format) (.pdf).
- Rich Text Format (.rtf).
- Text File (.txt).
- OrthoCAD™ (.3dm) (for dental providers).

JPEG files must be stored with a ".jpg" or ".jpeg" extension; text files must be stored with a ".txt" extension; rich text format files must be stored with an ".rtf" extension; and PDF files must be stored with a ".pdf" extension. Dental OrthoCAD™ files are stored with a ".3dm" extension.

Microsoft Word files (.doc) cannot be uploaded but can be saved and uploaded in Rich Text Format or Text File formats.

In addition, providers can also upload additional supporting clinical documentation via the Portal when:

- Correcting a PA request or PA amendment request that is in a "Returned — Provider Review" status.
- Submitting a PA amendment request.

If submitting supporting clinical information via mail or fax, providers are prompted to print a system-generated Portal PA Cover Sheet to be sent with the information to ForwardHealth for processing. Providers must list the additional supporting information on the Portal PA Cover Sheet.

ForwardHealth will return PA requests and PA amendments requests when the additional documentation could have been indicated on the PA/RF and PA attachments or when the pertinent information is difficult to find.

## "Suspended" Prior Authorization Requests

For PA requests in a "Suspended" status, the provider has the option to:

- Change a PA request status from "Suspended" to "Pending."
- Submit additional documentation for a PA request that is in "Suspended" or "Pending" status.

### Changing a Prior Authorization Request from "Suspended" to "Pending"

The provider has the option of changing a PA request status from "Suspended — Provider Sending Info" to "Pending" if the provider determines that additional information will not be submitted. Changing the status from "Suspended — Provider Sending Info" to "Pending" will allow the PA request to be processed without waiting for additional information to be submitted. The provider can change the status by searching for the suspended PA request, checking the box indicating that the PA request is ready for processing without additional documentation, and clicking the Submit button to allow the PA request to be processed by ForwardHealth. There is an optional free form text box, which allows providers to explain or comment on why the PA request can be processed.

### Submitting Additional Supporting Clinical Documentation for a Prior Authorization Request in "Suspended" or "Pending" Status

There is an Upload Documents for a PA link on the PA home page in the provider secured Home Page. By selecting that link, providers have the option of submitting additional supporting clinical documentation for a PA request that is in "Suspended" or "Pending" status. When submitting additional supporting clinical documentation for a PA request that is in "Suspended" status, providers can choose to have ForwardHealth begin processing the PA request or to keep the PA request suspended. Prior authorization requests in a "Pending" status are processed regardless.

*Note:* When the PA request is in a "Pending" status and the provider uploads additional supporting clinical documentation, there may be up to a four-hour delay before the documentation is available to ForwardHealth in the system. If the uploaded information was received after the PA request was processed and the PA request was returned for missing information, the provider may resubmit the PA request stating that the missing information was already uploaded.

Topic #456

## Mail

Any type of PA (prior authorization) request may be submitted on paper. Providers may mail completed PA requests, amendments to PA requests, and requests to enddate a PA request to ForwardHealth at the following address:

ForwardHealth  
Prior Authorization  
Ste 88

313 Blettner Blvd  
Madison WI 53784

Providers are encouraged to retain copies of all PA requests and supporting documentation before submitting them to ForwardHealth.

Topic #457

## STAT-PA

Providers can submit STAT-PA (Specialized Transmission Approval Technology-Prior Authorization) requests for a limited number of services (e.g., certain drugs, selected orthopedic shoes, lead inspections for HealthCheck). The STAT-PA system is an automated system accessed by providers by touch-tone telephone that allows them to receive an immediate decision for certain PA (prior authorization) requests.

NPI (National Provider Identifier) and related data are required when using the STAT-PA system.

Providers are encouraged to retain copies of all PA requests and supporting documentation before submitting them to ForwardHealth.

*Note:* A PA request cannot be submitted through STAT-PA for members enrolled in the BadgerCare Plus Benchmark Plan, the BadgerCare Plus Core Plan, or the BadgerCare Plus Basic Plan. PA requests for members enrolled in the Benchmark Plan, the Core Plan, and the Basic Plan may be submitted online via the ForwardHealth Portal or on paper.



# Provider Enrollment and Ongoing Responsibilities

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Archive Date:03/01/2013

## Provider Enrollment and Ongoing Responsibilities:Communication

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Topic #2782

### Guide to Obtaining Augmentative Communication Devices and Accessories

A booklet titled "[A Guide to Obtaining Augmentative Communication Devices and Accessories Through Wisconsin Medicaid \(P-11065 \(04/11\)\)](#)" is available for members and their families. The booklet covers a variety of topics including devices that are covered by ForwardHealth and the PA (prior authorization) process.

Topic #2781

### Requirements

BadgerCare Plus PT (physical therapy), OT (occupational therapy), and SLP (speech and language pathology) providers are required to communicate with other providers as frequently as necessary to do the following:

- Avoid duplication of services.
- Ensure service coordination.
- Facilitate continuity of care.

Other providers include, but are not limited to, the following:

- County Birth to 3 programs.
- Community mental health agencies.
- Home care agencies.
- In-home autism providers.
- Local health departments.
- Medicaid HMOs (health maintenance organizations).
- Medical equipment vendors.
- Physician clinics.
- Rehabilitation agencies.
- SBS (School-Based Services ) providers.
- Therapists.
- Tribal health agencies.

Providers are required to document their communication with other providers in the member's medical record.

Topic #2784

### Coordination with School-Based Services Providers

A member who receives SBS may also receives PT, OT, and SLP services that are not a part of the SBS. PT, OT, and SLP providers are required to coordinate evaluations and services with SBS providers. PT, OT, and SLP providers, along with SBS providers, are required to communicate with each other at least once a year. SBS providers are required to cooperate with PT,

OT, and SLP providers who request copies of the child's IEP (Individualized Education Plan) or components of the IEP team evaluation.

Topic #2785

## **Coordination with County Birth to 3 Programs**

Per [DHS 90.07\(3\)\(b\)](#), Wis. Admin. Code, PT, OT, and SLP providers are required to refer children who may be eligible for Birth to 3 services to the appropriate county Birth to 3 program within two working days of identification.

When a Birth to 3 program is involved with a child (either for eligibility determination or service delivery), PT, OT, and SLP providers are required to cooperate with Birth to 3 service coordination.

## Documentation

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Topic #6277

### 1099 Miscellaneous Forms

ForwardHealth generates the 1099 Miscellaneous form in January of each year for earnings greater than \$600.00, per IRS (Internal Revenue Service) regulations. One 1099 Miscellaneous form per financial payer and per tax identification number is generated, regardless of how many provider IDs or NPIs (National Provider Identifier) share the same tax identification number. For example, a provider who conducts business with both Medicaid and WCDP (Wisconsin Chronic Disease Program) will receive separate 1099 Miscellaneous forms for each program.

The 1099 Miscellaneous forms are sent to the address designated as the "1099 mailing address."

Topic #1640

### Availability of Records to Authorized Personnel

The DHCAA (Division of Health Care Access and Accountability) has the right to inspect, review, audit, and reproduce provider records pursuant to [DHS 106.02\(9\)\(e\)](#), Wis. Admin. Code. The DHCAA periodically requests provider records for compliance audits to match information against ForwardHealth's information on paid claims, PA (prior authorization) requests, and enrollment. These records include, but are not limited to, medical/clinical and financial documents. Providers are obligated to ensure that the records are released to an authorized DHCAA staff member(s).

Wisconsin Medicaid reimburses providers \$0.06 per page for the cost of reproducing records requested by the DHCAA to conduct a compliance audit. A letter of request for records from the DHCAA will be sent to a provider when records are required.

Reimbursement is not made for other reproduction costs included in the provider agreement between the DHCAA and a provider, such as reproduction costs for submitting PA requests and claims.

Also, state-contracted MCOs (managed care organizations), including HMOs (health maintenance organizations) and SSI (Supplemental Security Income) HMOs, are not reimbursed for the reproduction costs covered in their contract with the DHS (Department of Health Services).

The reproduction of records requested by the PRO (Peer Review Organization) under contract with the DHCAA is reimbursed at a rate established by the PRO.

Topic #200

### Confidentiality and Proper Disposal of Records

ForwardHealth supports member rights regarding the confidentiality of health care and other related records, including an applicant or member's billing information or medical claim records. An applicant or member has a right to have this information safeguarded, and the provider is obligated to protect that right. Use or disclosure of any information concerning an applicant or member (including an applicant or member's billing information or medical claim records) for any purpose not connected with program administration is prohibited unless authorized by the applicant or member (program administration includes contacts with

third-party payers that are necessary for pursuing third-party payment and the release of information as ordered by the court).

Federal HIPAA (Health Insurance Portability and Accountability Act of 1996) Privacy and Security regulations establish requirements regarding the confidentiality and proper disposal of health care and related records containing PHI (protected health information). These requirements apply to all providers (who are considered "covered entities") and their business associates who create, retain, and dispose of such records.

For providers and their business partners who are not subject to HIPAA, Wisconsin confidentiality laws have similar requirements pertaining to proper disposal of health care and related records.

## HIPAA Privacy and Security Regulations

### Definition of Protected Health Information

As defined in the HIPAA privacy and security regulations, PHI is protected health information (including demographic information) that:

- Is created, received, maintained, or transmitted in any form or media.
- Relates to the past, present, or future physical or mental health or condition of an individual, the provision of health care to an individual, or the payment for the provision of health care to an individual.
- Identifies the individual or provides a reasonable basis to believe that it can be used to identify the individual.

A member's name combined with his or her member identification number or Social Security number is an example of PHI.

### Requirements Regarding "Unsecured" Protected Health Information

Title XIII of the American Recovery and Reinvestment Act of 2009 (also known as the HITECH (Health Information Technology for Economic and Clinical Health) Act) included a provision that significantly expanded the scope, penalties, and compliance challenges of HIPAA. This provision imposes new requirements on covered entities and their business associates to notify patients, the federal government, and the media of breaches of "unsecured" PHI (refer to 45 CFR Parts 160 and 164 and s. 13402 of the HITECH Act).

Unsecured PHI is PHI that has not been rendered unusable, unreadable, or indecipherable to unauthorized individuals through the use of physical destruction approved by the HHS (U.S. Department of Health and Human Services). According to the HHS, destruction is the only acceptable method for rendering PHI unusable, unreadable, or indecipherable.

As defined by federal law, unsecured PHI includes information in *any* medium, not just electronic data.

### Actions Required for Proper Disposal of Records

Under the HIPAA privacy and security regulations, health care and related records containing PHI must be disposed of in such a manner that they cannot be reconstructed. This includes ensuring that the PHI is secured (i.e., rendered unusable, unreadable, or indecipherable) prior to disposal of the records.

To secure PHI, providers and their business associates are required to use one of the following destruction methods approved by the HHS:

- Paper, film, labels, or other hard copy media should be shredded or destroyed such that the PHI cannot be read or otherwise reconstructed.
- Electronic media should be cleared, purged, or destroyed such that the PHI cannot be retrieved according to National Institute of Standards and Technology Special Publication 800-88, Guidelines for Media Sanitization, which can be found on the [NIST \(National Institute of Standards and Technology\) Web site](#).

For more information regarding securing PHI, providers may refer to [Health Information Privacy](#) on the HHS Web site.

## Wisconsin Confidentiality Laws

[Section 134.97](#), Wis. Stats., requires providers and their business partners who are not subject to HIPAA regulations to comply with Wisconsin confidentiality laws pertaining to the disposal of health care and related records containing PHI.

[Section 146.836](#), Wis. Stats., specifies that the requirements apply to "all patient health care records, including those on which written, drawn, printed, spoken, visual, electromagnetic or digital information is recorded or preserved, regardless of physical form or characteristics." Paper *and* electronic records are subject to Wisconsin confidentiality laws.

### "Personally Identifiable Data" Protected

According to [s.134.97\(1\)\(e\)](#), Wis. Stats., the types of records protected are those containing "personally identifiable data."

As defined by the law, personally identifiable data is information about an individual's medical condition that is not considered to be public knowledge. This may include account numbers, customer numbers, and account balances.

### Actions Required for Proper Disposal of Records

Health care and related records containing personally identifiable data must be disposed of in such a manner that no unauthorized person can access the personal information. For the period of time between a record's disposal and its destruction, providers and their business partners are required to take actions that they reasonably believe will ensure that no unauthorized person will have access to the personally identifiable data contained in the record.

### Businesses Affected

Sections [134.97](#) and [134.98](#), Wis. Stats., governing the proper disposal of health care and related records, apply to medical businesses as well as financial institutions and tax preparation businesses. For the purposes of these requirements, a medical business is any for-profit or nonprofit organization or enterprise that possesses information — other than personnel records — relating to a person's physical or mental health, medical history, or medical treatment. Medical businesses include sole proprietorships, partnerships, firms, business trusts, joint ventures, syndicates, corporations, limited liability companies, or associates.

## Continuing Responsibilities for All Providers After Ending Participation

Ending participation in a ForwardHealth program does not end a provider's responsibility to protect the confidentiality of health care and related records containing PHI.

Providers who no longer participate in a ForwardHealth program are responsible for ensuring that they and their business associates/partners continue to comply with all federal and state laws regarding protecting the confidentiality of members' PHI. Once record retention requirements expire, records must be disposed of in such a manner that they cannot be reconstructed — according to federal and state regulations — in order to avoid penalties.

All ForwardHealth providers and their business associates/partners who cease practice or go out of business should ensure that they have policies and procedures in place to protect all health care and related records from any unauthorized disclosure and use.

## Penalties for Violations

Any covered entity provider or provider's business associate who violates federal HIPAA regulations regarding the confidentiality and proper disposal of health care and related records may be subject to criminal and/or civil penalties, including any or all of the

following:

- Fines up to \$1.5 million per calendar year.
- Jail time.
- Federal HHS Office of Civil Rights enforcement actions.

For entities not subject to HIPAA, [s.134.97\(4\)](#), Wis. Stats., imposes penalties for violations of confidentiality laws. Any provider or provider's business partner who violates Wisconsin confidentiality laws may be subject to fines up to \$1,000 per incident or occurrence.

For more specific information on the penalties for violations related to members' health care records, providers should refer to s. 13410(d) of the HITECH Act, which amends 42 USC s. 1320d-5, and [s. 134.97\(3\), \(4\)](#) and [146.84](#), Wis. Stats.

Topic #2779

## Daily Entries

PT (physical therapy), OT (occupational therapy), and SLP (speech and language pathology) providers are required to write a note in the member's medical record for every DOS (date of service). In the event of a provider audit, auditors will review any or all of the provider and member records that support reimbursement for services provided on a specific DOS. Records limited to checklists with attendance, procedure codes, and units of time are insufficient to meet this requirement. Daily entries must include the following:

- DOS.
- Duration of the PT, OT, or SLP session.
- Specific treatment activities/interventions provided and the corresponding procedure codes.
- Problem(s) treated.
- Objective measurement of the member's response to the services provided during the treatment session.
- Signatures and credentials of the performing provider and, when necessary, the appropriate supervising therapist.

If a PT, OT, or SLP session does not occur as scheduled, the provider is required to indicate the reason the session did not occur.

Topic #2778

## Evaluations

PT (physical therapy), OT (occupational therapy), and SLP (speech and language pathology) providers are required to include a written report of the member's evaluation in the member's medical record. The evaluation report must be signed and dated and include the following:

- Assessment of the member's condition and recommendations for therapy intervention.
- Baseline measurements that establish a performance or ability level using units of objective measurement that can be consistently applied when reporting subsequent status of the member's progress.
- Chronological history of treatment provided for the diagnosis.
- Diagnosis(es) with date(s) of onset, current medical status, and functional status of the member.
- List of other PT, OT, and SLP service providers who are currently treating the member to the extent known by the evaluating PT, OT, or SLP provider.
- Previous level of function and change in medical status since previous prior authorization requests if performing a re-evaluation.
- Reason for the referral.

- Test charts or forms used in the evaluation.
- Underlying conditions or impairments to be treated.

Topic #201

## Financial Records

According to [DHS 106.02\(9\)\(c\)](#), Wis. Admin. Code, a provider is required to maintain certain financial records in written or electronic form.

Topic #202

## Medical Records

A dated clinician's signature must be included in all medical notes. According to [DHS 106.02\(9\)\(b\)](#), Wis. Admin. Code, a provider is required to include certain written documentation in a member's medical record.

Topic #199

## Member Access to Records

Providers are required to allow members access to their health care records, including those related to ForwardHealth services, maintained by a provider in accordance with Wisconsin Statutes, excluding billing statements.

## Fees for Health Care Records

Per [s. 146.83](#), Wis. Stats., providers may charge a fee for providing one set of copies of health care records to members who are enrolled in Wisconsin Medicaid or BadgerCare Plus programs on the date of the records request. This applies regardless of the member's enrollment status on the DOS (dates of service) contained within the health care records.

Per [s. 146.81\(4\)](#), Wis. Stats., health care records are all records related to the health of a patient prepared by, or under the supervision of, a health care provider.

Providers are limited to charging members enrolled in state-funded health care programs 25 percent of the applicable fees for providing one set of copies of the member's health care records.

*Note:* A provider may charge members 100 percent of the applicable fees for providing a second or additional set of copies of the member's health care records.

For information regarding fees that may be charged to members for copies of health care records, refer to [s. 146.83\(3f\)](#), Wis. Stats.

Topic #2777

## Overview

As stated in [DHS 106.02\(9\)](#), Wis. Admin. Code, providers are required to prepare and maintain truthful, accurate, complete, legible, and concise medical documentation and financial records.



To be reimbursed by Wisconsin Medicaid, all PT (physical therapy), OT (occupational therapy), and SLP (speech and language pathology) services must be documented in the member's medical record. Documentation requirements include, but are not limited to, the following:

- The physician's prescription for PT, OT, and SLP services.
- The written report of the member's evaluation.
- The member's POC (plan of care).
- A written entry for each date a PT, OT, or SLP service is provided.
- A discharge plan, including any applicable home exercise programs and maintenance plans.

Topic #2776

## Plan of Care

PT (physical therapy), OT (occupational therapy), and SLP (speech and language pathology) providers are required to establish a written POC (plan of care) for all members before providing services. The POC must be promptly signed and dated by the prescribing physician and included in the member's medical record. The POC must include the following:

- Diagnoses.
- Amount, frequency, duration, and specific PT, OT, or SLP services.
- Reports of current status that support the POC.
- Measurable objectives.
- Anticipated short-term and long-term functional goals, which must be outcome based, appropriate for the diagnoses or presenting problems, and related to the specific PT, OT, and SLP services.
- A reasonable estimate of when the goals will be achieved.
- Communication and coordination with other providers. Such documentation includes the following:
  - Date(s) of communication.
  - Person(s) contacted.
  - A brief summary of the PT, OT, and SLP services provided by the other providers.
  - The unique and specific contribution of this PT, OT, or SLP provider given other PT, OT, and SLP providers' contributions.

At least every 90 days, or earlier if necessary, both of the following must occur:

- Physical therapy, OT, and SLP providers are required to do one of the following:
  - Develop a new POC.
  - Review and update the POC.
- Physicians are required to sign and date the POC with each review.

## Physician Review and Signature on Successive Plans of Care

All successive POC must be reduced to writing by the therapist and reviewed, signed, and dated by the prescribing physician at least every 90 days. The physician's signature and date on successive POC becomes the therapist's written order, and must be obtained prior to providing ongoing treatment. Should the severity of the member's condition require revision of the POC more frequently than every 90 days, the providing therapist is required to review and develop a new POC as frequently as the severity of the member's condition requires. Wisconsin Medicaid may deny or recoup reimbursement for services provided without a physician prescription or before a POC is established.

Topic #203

## Preparation and Maintenance of Records

All providers who receive payment from Wisconsin Medicaid, including state-contracted MCOs (managed care organizations), are required to maintain records that fully document the basis of charges upon which all claims for payment are made, according to [DHS 106.02\(9\)\(a\)](#), Wis. Admin. Code. This required maintenance of records is typically required by any third-party insurance company and is not unique to ForwardHealth.

Topic #2775

## Prescription

To receive reimbursement for PT (physical therapy), OT (occupational therapy), and SLP (speech and language pathology) services, Wisconsin BadgerCare Plus requires a prescription. The prescription must be signed and dated by a physician and included in the member's medical record. The prescription is valid for one year or until a new POC (plan of care) is required.

Topic #204

## Record Retention

Providers are required to retain documentation, including medical and financial records, for a period of not less than five years from the date of payment, except RHCs (rural health clinics), which are required to retain records for a minimum of six years from the date of payment.

According to [DHS 106.02\(9\)\(d\)](#), Wis. Admin. Code, providers are required to retain all evidence of billing information.

Ending participation as a provider does not end a provider's responsibility to retain and provide access to fully maintained records unless an alternative arrangement of record retention and maintenance has been established.

## Maintaining Confidentiality of Records

Ending participation in a ForwardHealth program does not end a provider's responsibility to protect the confidentiality of health care and related records containing PHI (protected health information).

Providers who no longer participate in a ForwardHealth program are responsible for ensuring that they and their business associates/partners continue to comply with all federal and state laws regarding protecting the confidentiality of members' PHI. Once record retention requirements expire, records must be disposed of in such a manner that they cannot be reconstructed — according to federal and state regulations — in order to avoid penalties. For more information on the proper disposal of records, refer to [Confidentiality and Proper Disposal of Records](#).

All ForwardHealth providers and their business associates/partners who cease practice or go out of business should ensure that they have policies and procedures in place to protect all health care and related records from any unauthorized disclosure and use.

## Reviews and Audits

The DHS (Department of Health Services) periodically reviews provider records. The DHS has the right to inspect, review, audit, and photocopy the records. Providers are required to permit access to any requested record(s), whether in written, electronic, or micrographic form.

Topic #205

## Records Requests

Requests for billing or medical claim information regarding services reimbursed by ForwardHealth may come from a variety of individuals including attorneys, insurance adjusters, and members. Providers are required to notify ForwardHealth by contacting [Provider Services](#) when releasing billing information or medical claim records relating to charges for covered services except the following:

- When the member is a dual eligible (i.e., member is eligible for both Medicare and Wisconsin Medicaid or BadgerCare Plus) and is requesting materials pursuant to *Medicare* regulations.
- When the provider is attempting to exhaust all existing health insurance sources prior to submitting claims to ForwardHealth.

### Request from a Member or Authorized Person

If the request for a member's billing information or medical claim records is from a member or authorized person acting on behalf of the member, the provider should send a copy of the requested billing information or medical claim records, along with the name and address of the requester, to the following address:

Department of Health Services  
Casualty/Subrogation Program  
PO Box 6243  
Madison WI 53791

ForwardHealth will process and forward the requested information to the requester.

### Request from an Attorney, Insurance Company, or Power of Attorney

If the request for a member's billing information or medical claim records is from an attorney, insurance company, or power of attorney, the provider should do the following:

1. Obtain a release signed by the member or authorized representative.
2. Furnish the requested material to the requester, marked "BILLED TO FORWARDHEALTH" or "TO BE BILLED TO FORWARDHEALTH," with a copy of the release signed by the member or authorized representative. Approval from ForwardHealth is not necessary.
3. Send a copy of the material furnished to the requestor, along with a copy of their original request and medical authorization release to:

Wisconsin Casualty Recovery — HMS  
Ste 100  
5615 Highpoint Dr  
Irving TX 75038-9984

### Request for Information About a Member Enrolled in a State-Contracted Managed Care Organization

If the request for a member's billing information or medical claim records is for a member enrolled in a state-contracted MCO (managed care organization), the provider is required to do the following:

1. Obtain a release signed by the member or authorized representative.
2. Send a copy of the letter requesting the information, along with the release signed by the member or authorized representative, directly to the MCO.

The MCO makes most benefit payments and is entitled to any recovery that may be available.

## **Request for a Statement from a Dual Eligible**

If the request is for an itemized statement from a dual eligible, pursuant to HR 2015 (Balanced Budget Act of 1997) s. 4311, a dual eligible has the right to request and receive an itemized statement from his or her Medicare-enrolled health care provider. The Act requires the provider to furnish the requested information to the member. The Act does *not* require the provider to notify ForwardHealth.

Topic #1646

## **Release of Billing Information to Government Agencies**

Providers are permitted to release member information without informed consent when a written request is made by the DHS (Department of Health Services) or the federal HHS (Department of Health and Human Services) to perform any function related to program administration, such as auditing, program monitoring, and evaluation.

Providers are authorized under Wisconsin Medicaid confidentiality regulations to report suspected misuse or abuse of program benefits to the DHS, as well as to provide copies of the corresponding patient health care records.

## Ongoing Responsibilities

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Topic #220

### Accommodating Members with Disabilities

All providers, including ForwardHealth providers, operating an existing public accommodation have requirements under [Title III of the Americans with Disabilities Act of 1990 \(nondiscrimination\)](#).

Topic #215

### Change in Ownership

New provider enrollment materials, including a provider agreement, must be completed whenever a change in ownership occurs. ForwardHealth defines a "change in ownership" as when a different party purchases (buys out) or otherwise obtains ownership or effective control over a practice or facility. Examples of a change in ownership include the following:

- A sole proprietorship transfers title and property to another party.
- Two or more corporate clinics or centers consolidate and a new corporate entity is created.
- There is an addition, removal, or substitution of a partner in a partnership.
- An incorporated entity merges with another incorporated entity.
- An unincorporated entity (sole proprietorship or partnership) becomes incorporated.

The following provider types require Medicare enrollment and/or [DQA \(Division of Quality Assurance\) certification](#) for Wisconsin Medicaid enrollment change in ownerships:

- Ambulatory surgery centers.
- ESRD (end-stage renal disease) services providers.
- FQHCs (federally qualified health centers).
- Home health agencies.
- Hospice providers.
- Hospitals (inpatient and outpatient).
- Nursing homes.
- Outpatient rehabilitation facilities.
- Rehabilitation agencies.
- RHCs (rural health clinics).

All changes in ownership must be reported in writing to ForwardHealth and new provider enrollment materials must be completed *before* the effective date of the change. The affected provider numbers should be noted in the letter. When the change in ownership is complete, the provider(s) will receive written notification of his or her provider number and the new Medicaid enrollment effective date in the mail.

Providers with questions about change in ownership should call [Provider Services](#).

### Repayment Following Change in Ownership

Medicaid-enrolled providers who sell or otherwise transfer their business or business assets are required to repay ForwardHealth for any erroneous payments or overpayments made to them by Wisconsin Medicaid. If necessary, the provider to whom a

transfer of ownership is made will also be held liable by ForwardHealth for repayment. Therefore, prior to final transfer of ownership, the provider acquiring the business is responsible for contacting ForwardHealth to ascertain if he or she is liable under this provision.

The provider acquiring the business is responsible for making payments within 30 days after receiving notice from the DHS (Department of Health Services) that the amount shall be repaid in full.

Providers may send inquiries about the determination of any pending liability on the part of the owner to the following address:

Division of Health Care Access and Accountability  
Bureau of Program Integrity  
PO Box 309  
Madison WI 53701-0309

ForwardHealth has the authority to enforce these provisions within four years following the transfer of a business or business assets. Refer to [s. 49.45\(21\)](#), Wis. Stats., for complete information.

Topic #219

## Civil Rights Compliance (Nondiscrimination)

Providers are required to comply with all federal laws relating to Title XIX of the Social Security Act and state laws pertinent to ForwardHealth, including the following:

- Title VI and VII of the Civil Rights Act of 1964.
- The Age Discrimination Act of 1975.
- Section 504 of the Rehabilitation Act of 1973.
- The ADA (Americans with Disabilities Act) of 1990.

The previously listed laws require that all health care benefits under ForwardHealth be provided on a nondiscriminatory basis. No applicant or member can be denied participation in ForwardHealth or be denied benefits or otherwise subjected to discrimination in any manner under ForwardHealth on the basis of race, color, national origin or ancestry, sex, religion, age, disability, or association with a person with a disability.

Any of the following actions may be considered discriminatory treatment when based on race, color, national origin, disability, or association with a person with a disability:

- Denial of aid, care, services, or other benefits.
- Segregation or separate treatment.
- Restriction in any way of any advantage or privilege received by others. (There are some program restrictions based on eligibility classifications.)
- Treatment different from that given to others in the determination of eligibility.
- Refusing to provide an oral language interpreter to persons who are considered LEP (limited English proficient) at no cost to the LEP individual in order to provide meaningful access.
- Not providing translation of vital documents to the LEP groups who represent five percent or 1,000, whichever is smaller, in the provider's area of service delivery.

*Note:* Limiting practice by age is not age discrimination and specializing in certain conditions is not disability discrimination. For further information, see 45 CFR Part 91.

Providers are required to be in compliance with the previously mentioned laws as they are currently in effect or amended. Providers who employ 25 or more employees and receive \$25,000 or more annually in Medicaid reimbursement are also

required to comply with the DHS (Department of Health Services) [Affirmative Action and Civil Rights Compliance Plan](#) requirements. Providers that employ less than 25 employees and receive less than \$25,000 annually in Medicaid reimbursement are required to comply by submitting a Letter of Assurance and other appropriate forms.

Providers without Internet access may obtain copies of the DHS Affirmative Action and Civil Rights Compliance Plan (including the Letter of Assurance and other forms) and instructions by calling the Affirmative Action and Civil Rights Compliance Officer at (608) 266-9372. Providers may also write to the following address:

AA/CRC Office  
1 W Wilson St Rm 561  
PO Box 7850  
Madison WI 53707-7850

For more information on the acts protecting members from discrimination, refer to the civil rights compliance information in the Enrollment and Benefits booklet. The booklet is given to new ForwardHealth members by local county or tribal agencies. Potential ForwardHealth members can request the booklet by calling [Member Services](#).

## **Title VI of the Civil Rights Act of 1964**

This act requires that all benefits be provided on a nondiscriminatory basis and that decisions regarding the provision of services be made without regard to race, color, or national origin. Under this act, the following actions are prohibited, if made on the basis of race, color, or national origin:

- Denying services, financial aid, or other benefits that are provided as a part of a provider's program.
- Providing services in a manner different from those provided to others under the program.
- Aggregating or separately treating clients.
- Treating individuals differently in eligibility determination or application for services.
- Selecting a site that has the effect of excluding individuals.
- Denying an individual's participation as a member of a planning or advisory board.
- Any other method or criteria of administering a program that has the effect of treating or affecting individuals in a discriminatory manner.

## **Title VII of the Civil Rights Act of 1964**

This act prohibits differential treatment, based solely on a person's race, color, sex, national origin, or religion, in the terms and conditions of employment. These conditions or terms of employment are failure or refusal to hire or discharge compensation and benefits, privileges of employment, segregation, classification, and the establishment of artificial or arbitrary barriers to employment.

## **Federal Rehabilitation Act of 1973, Section 504**

This act prohibits discrimination in both employment and service delivery based solely on a person's disability.

This act requires the provision of reasonable accommodations where the employer or service provider cannot show that the accommodation would impose an undue hardship in the delivery of the services. A reasonable accommodation is a device or service modification that will allow the disabled person to receive a provider's benefits. An undue hardship is a burden on the program that is not equal to the benefits of allowing that handicapped person's participation.

A handicapped person means any person who has a physical or mental impairment that substantially limits one or more major life activities, has a record of such an impairment, or is regarded as having such an impairment.

In addition, Section 504 requires "program accessibility," which may mean building accessibility, outreach, or other measures that

allow for full participation of the handicapped individual. In determining program accessibility, the program or activity will be viewed in its entirety. In choosing a method of meeting accessibility requirements, the provider shall give priority to those methods that offer a person who is disabled services that are provided in the most integrated setting appropriate.

## Americans with Disabilities Act of 1990

Under Title III of the ADA (Americans with Disabilities Act) of 1990, any provider that operates an existing public accommodation has four specific requirements:

1. Remove barriers to make his or her goods and services available to and usable by people with disabilities to the extent that it is readily achievable to do so (i.e., to the extent that needed changes can be accomplished without much difficulty or expense).
2. Provide auxiliary aids and services so that people with sensory or cognitive disabilities have access to effective means of communication, unless doing so would fundamentally alter the operation or result in undue burdens.
3. Modify any policies, practices, or procedures that may be discriminatory or have a discriminatory effect, unless doing so would fundamentally alter the nature of the goods, services, facilities, or accommodations.
4. Ensure that there are no unnecessary eligibility criteria that tend to screen out or segregate individuals with disabilities or limit their full and equal enjoyment of the place of public accommodation.

## Age Discrimination Act of 1975

The Age Discrimination Act of 1975 prohibits discrimination on the basis of age in programs and activities receiving federal financial assistance. The Act, which applies to all ages, permits the use of certain age distinctions and factors other than age that meet the Act's requirements.

Topic #198

## Contracted Staff

Under a few circumstances (e.g., personal care, case management services), providers may contract with non-Medicaid enrolled agencies for services. Providers are legally, programmatically, and fiscally responsible for the services provided by their contractors and their contractor's services.

When contracting services, providers are required to monitor the contracted agency to ensure that the agency is meeting member needs and adhering to ForwardHealth requirements.

Providers are also responsible for informing a contracted agency of ForwardHealth requirements. Providers should refer those with whom they contract for services to ForwardHealth publications for program policies and procedures. ForwardHealth references and publications include, but are not limited to, the following:

- Wisconsin Administrative Code.
- *ForwardHealth Updates*.
- The Online Handbook.

Providers should encourage contracted agencies to visit the ForwardHealth Portal regularly for the most current information.

Topic #216

## Examples of Ongoing Responsibilities



Responsibilities for which providers are held accountable are described throughout the Online Handbook. Medicaid-enrolled providers have responsibilities that include, but are not limited to, the following:

- Providing the same level and quality of care to ForwardHealth members as private-pay patients.
- Complying with all state and federal laws related to ForwardHealth.
- Obtaining PA (prior authorization) for services, when required.
- Notifying members in advance if a service is not covered by ForwardHealth and the provider intends to collect payment from the member for the service.
- Maintaining accurate medical and billing records.
- Retaining preparation, maintenance, medical, and financial records, along with other documentation, for a period of not less than five years from the date of payment, except rural health clinic providers who are required to retain records for a minimum of six years from the date of payment.
- Billing only for services that were actually provided.
- Allowing a member access to his or her records.
- Monitoring contracted staff.
- Accepting Medicaid reimbursement as payment in full for covered services.
- Keeping provider information (i.e., address, business name) current.
- Notifying ForwardHealth of changes in ownership.
- Responding to Medicaid revalidation notifications.
- Safeguarding member confidentiality.
- Verifying member enrollment.
- Keeping up-to-date with changes in program requirements as announced in ForwardHealth publications.

Topic #217

## Keeping Information Current

### Types of Changes

Providers are required to notify ForwardHealth of changes, including the following:

- Address(es) — practice location and related information, mailing, PA (prior authorization), and/or financial.
- Business name.
- Contact name.
- Federal Tax ID number (IRS (Internal Revenue Service) number).
- Group affiliation.
- Licensure.
- NPI (National Provider Identifier).
- Ownership.
- Professional certification.
- Provider specialty.
- Supervisor of nonbilling providers.
- Taxonomy code.
- Telephone number, including area code.

Failure to notify ForwardHealth of changes may result in the following:

- Incorrect reimbursement.
- Misdirected payment.
- Claim denial.
- Suspension of payments in the event that provider mail is returned to ForwardHealth for lack of a current address.

Entering new information on a claim form or PA request is *not* adequate notification of change.

## Address Changes

Healthcare providers who are federally required to have an NPI are cautioned that changes to their practice location address on file with ForwardHealth may alter their ZIP+4 code information that is required on transactions.

## Submitting Changes in Address or Status

Once enrolled, providers are required to submit changes in address or status as they occur, either through the Portal or on paper.

### ForwardHealth Portal Submission

After establishing a provider account on the ForwardHealth Portal, providers may make changes to their demographic information online. Changes made through the Portal instantly update the provider's information in ForwardHealth interChange. In addition, since the provider is allowed to make changes directly to his or her information, the process does not require re-entry by ForwardHealth.

Providers should note, however, that the demographic update function of the Portal limits certain providers from modifying some types of information. Providers who are not able to modify certain information through the Portal may make these changes using the [Provider Change of Address or Status \(F-01181 \(07/12\)\)](#) form.

### Paper Submission

Providers must use the Provider Change of Address or Status form. Copies of old versions of this form will not be accepted and will be returned to the provider so that he or she may complete the current version of the form or submit changes through the Portal.

### Change Notification Letter

When a change is made to certain provider information, either through the use of the Provider Change of Address or Status form or through the Portal, ForwardHealth will send a letter notifying the provider of the change(s) made. Providers should carefully review the Provider File Information Change Summary included with the letter. If any information on this summary is incorrect, providers may do one of the following:

- If the provider made an error while submitting information on the Portal, he or she should correct the information through the Portal.
- If the provider submitted incorrect information using the Provider Change of Address or Status form, he or she should either submit a corrected form or correct the information through the Portal.
- If the provider submitted correct information on the Provider Change of Address or Status form and believes an error was made in processing, he or she can contact [Provider Services](#) to have the error corrected or submit the correct information via the Portal.

## Notify Division of Quality Assurance of Changes

Providers licensed or certified by the DQA (Division of Quality Assurance) are required to notify the DQA of changes to physical address, changes of ownership, and facility closures by calling (608) 266-8481.

Providers licensed or certified by the DQA are required to notify the DQA of these changes *before* notifying ForwardHealth. The DQA will then forward the information to ForwardHealth.

Topic #577

## Legal Framework

The following laws and regulations provide the legal framework for BadgerCare Plus, Medicaid, and Wisconsin Well Woman Medicaid:

- Federal Law and Regulation:
  - Law — United States Social Security Act; Title XIX (42 US Code ss. 1396 and following) and Title XXI.
  - Regulation — Title 42 CFR Parts 430-498 and Parts 1000-1008 (Public Health).
- Wisconsin Law and Regulation:
  - Law — Wisconsin Statutes: [49.43-49.499](#), [49.665](#), and [49.473](#).
  - Regulation — Wisconsin Administrative Code, Chapters [DHS 101](#), [102](#), [103](#), [104](#), [105](#), [106](#), [107](#), and [108](#).

Laws and regulations may be amended or added at any time. Program requirements may not be construed to supersede the provisions of these laws and regulations.

The information included in the ForwardHealth Portal applies to BadgerCare Plus, Medicaid, and Wisconsin Well Woman Medicaid. BadgerCare Plus, Medicaid, and Wisconsin Well Woman Medicaid are administered by the DHS (Department of Health Services). Within the DHS, the DHCAA (Division of Health Care Access and Accountability) is directly responsible for managing these programs.

Topic #2774

The following laws and regulations provide the legal framework for PT (physical therapy), OT (occupational therapy), and SLP (speech and language pathology) services:

- [Section 15](#), Wis. Stats., gives general powers to examining boards that license PT, OT, and SLP providers.
- [Section 227](#), Wis. Stats., gives an agency the authority to promulgate rules.
- [Section 448](#), Wis. Stats., outlines the qualifications for licensure or certification of PT or OT providers.
- [Section 459](#), Wis. Stats., outlines the qualifications for licensure of SLP providers.
- [Section 448](#), Wis. Stats., and Ch. PT, Wis. Admin. Code, define the scope of practice for PT providers.
- [Section 448](#), Wis. Stats., and Ch. OT, Wis. Admin. Code, define the scope of practice for OT providers.
- [Section 459](#), Wis. Stats., and Ch. HAS, Wis. Admin. Code, define the scope of practice for SLP providers.

Topic #15157

## Recovery Audit Contractor Audits

The ACA (Affordable Care Act) requires states to establish an RAC (Recovery Audit Contractor) program to enable the auditing of Medicaid claim payments to providers. In Wisconsin, the RAC will audit claim payments from Wisconsin Medicaid and BadgerCare Plus. The Wisconsin DHS (Department of Health Services) has awarded the contract to HMS (Health Management Systems, Inc.) as the RAC for the state of Wisconsin.

*Note:* The RAC will not audit claims submitted for Family Planning Only Services, SeniorCare, the WCDP (Wisconsin Chronic Disease Program), the WWWP (Wisconsin Well Woman Program), and the ADAP (Wisconsin AIDS/HIV Drug Assistance Program).

The overall goal of the RAC program is to identify and decrease improper payments. The audits will ensure that payments are for services covered under the programs in which the member was enrolled and that the services were actually provided and properly billed and documented. The audits are being conducted under Generally Accepted Government Auditing Standards.

Providers will be selected for audits based on data analysis by the RAC and referrals by state agencies. The RAC will ensure that its audits neither duplicate state audits of the same providers nor interfere with potential law enforcement investigations.

Providers who receive a notification regarding an audit should follow the instructions as outlined in the notification within the requested time frames.

## Affected Providers

Any provider may be audited, including, but not limited to, fee-for-service providers, institutional and non-institutional providers, as well as managed care entities.

## Additional Information

Any questions regarding the RAC program should be directed to HMS at (800) 310-0865. Refer to the [RAC Web site](#) for additional information regarding HMS RAC activities.

Topic #13277

# Reporting Suspected Waste, Fraud, and Abuse

The DHS (Department of Health Services) OIG (Office of Inspector General) investigates fraud and abuses including, but not limited to, the following:

- Billing Medicaid for services or equipment that were not provided.
- Submitting false applications for a DHS-funded assistance program such as Medicaid, BadgerCare Plus, WIC (Special Supplemental Nutrition Program for Women, Infants, and Children), or FoodShare.
- Trafficking FoodShare benefits.
- Crime, misconduct, and/or mismanagement by a DHS employee, official, or contractor.

Those who suspect fraudulent activity in Medicaid programs are required to notify the OIG if they have reason to believe that a person is misusing or abusing any DHS health care program or the ForwardHealth identification card.

[Section 49.49](#), Wis. Stats., defines actions that represent member misuse or abuse of benefits and the resulting sanctions that may be imposed. Providers are under no obligation to inform the member that they are misusing or abusing their benefits. A provider may not confiscate a ForwardHealth card from a member in question.

## Reporting Suspected Fraud and Abuse

Those who suspect any form of fraud, waste, or abuse of a program by providers, trading partners, billing services, agencies, or recipients of any government assistance program are required to report it. Those reporting allegations of fraud and abuse may remain anonymous. However, not providing contact information may prevent OIG from fully investigating the complaint if questions arise during the review process.

If a provider suspects that someone is committing fraudulent activities or is misusing his or her ForwardHealth card, the provider is required to notify ForwardHealth by one of the following methods:

- Going to the OIG fraud and abuse reporting [Web site](#).
- Calling the DHS fraud and abuse hotline at (877) 865-3432.

The following information is helpful when reporting fraud and abuse:

- A description of the fraud, waste, and/or abuse, including the nature, scope, and timeframe of the activity in question. The description should include sufficient detail for the complaint to be evaluated.
- The names and dates of birth (or approximate ages) of the people involved, as well as the number of occurrences and length of the suspected activity.
- The names and date(s) of other people or agencies to which the activity may have been reported.

After the allegation is received, the DHS OIG will evaluate it and take appropriate action. If the name and contact information of the person reporting the allegation was provided, the OIG may be in contact to verify details or ask for additional information.

# Provider Enrollment

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Topic #3969

## Categories of Enrollment

Wisconsin Medicaid enrolls providers in three billing categories. Each billing category has specific designated uses and restrictions. These categories include the following:

- Billing/rendering provider.
- Rendering-only provider.
- Billing-only provider (including group billing).

Providers should refer to the service-specific information in the Online Handbook or the Information for Specific Provider Types page on the [Provider Enrollment Information home page](#) to identify which category of enrollment is applicable.

### Billing/Rendering Provider

Enrollment as a billing/rendering provider allows providers to identify themselves on claims (and other forms) as either the provider billing for the services or the provider rendering the services.

### Rendering-Only Provider

Enrollment as a rendering-only provider is given to those providers who practice under the professional supervision of another provider (e.g., physician assistants). Providers with a rendering provider enrollment cannot submit claims to Wisconsin Medicaid directly, but they have reimbursement rates established for their provider type. Claims for services provided by a rendering provider must include the supervising provider or group provider as the billing provider.

### Billing-Only Provider (Including Group Billing)

Enrollment as a billing-only provider is given to certain provider types when a separate rendering provider is required on claims.

#### Group Billing

Groups of individual practitioners are enrolled as billing-only providers as an accounting convenience. This allows the group to receive one reimbursement, one RA (Remittance Advice), and the 835 (835 Health Care Claim Payment/Advice) transaction for covered services rendered by individual practitioners within the group.

Providers may not have more than one group practice enrolled in Wisconsin Medicaid with the same ZIP+4 code address, NPI (National Provider Identifier), and taxonomy code combination. Provider group practices located at the same ZIP+4 code address are required to differentiate their enrollment using an NPI or taxonomy code that uniquely identifies each group practice.

Individual practitioners within group practices are required to be Medicaid-enrolled because these groups are required to identify the provider who rendered the service on claims. Claims indicating these group billing providers that are submitted without a rendering provider are denied.

Topic #2790

## Durable Medical Equipment and Disposable Medical Supplies

Medicaid-enrolled PT (physical therapy), OT (occupational therapy), and SLP (speech and language pathology) providers do not need to separately enroll to dispense certain DME (durable medical equipment) and DMS (disposable medical supplies).

Topic #14137

## Enrollment Requirements Due to the Affordable Care Act

In 2010, the federal government signed into law the ACA (Affordable Care Act), also known as federal health care reform, which affects several aspects of Wisconsin health care. ForwardHealth has begun working toward ACA compliance by implementing some new requirements for providers and provider screening processes. To meet federally mandated requirements, ForwardHealth will implement changes in phases, the first of which began in 2012. A high-level list of the changes included under ACA is as follows:

- Providers will be assigned a risk level of limited, moderate, or high. Most of the risk levels have been established by the CMS (Centers for Medicare and Medicaid Services) based on an assessment of potential fraud, waste, and abuse for each provider type.
- Providers will be screened according to their assigned risk level. Screenings will be conducted during initial enrollment and revalidation.
- Certain provider types will be subject to an enrollment application fee of \$523. This fee has been federally mandated and may be adjusted annually. The fee is used to offset the cost of conducting screening activities.
- The enrollment process will require additional information. During the enrollment process, providers are required to provide additional information for persons with an ownership or control interest, managing employees, and agents. "Persons" in this instance may mean a person or a corporation.
- Revalidation will occur at least every three to five years.
- Ordering and referring physicians or other professionals will be required to be enrolled as a participating Medicaid provider.
- Payment suspensions will be imposed on providers based on a credible allegation of fraud.

## ForwardHealth Implementation of Affordable Care Act Requirements to Date

### Provider Screenings

Wisconsin Medicaid screens all enrolling providers to accommodate the ACA limited risk level screening requirements. Limited risk level screening activities include:

- Checking federal databases, which include:
  - The SSA (Social Security Administration's) Death Master File.
  - The NPES (National Plan and Provider Enumeration System).
  - OIG (Office of the Inspector General) LEIE (List of Excluded Individuals/Entities).
  - EPLS (The Excluded Parties List System).
  - MED (Medicare Exclusion Database).
- Verifying licenses are appropriate in accordance with state laws and that there are no current limitations on the license.

These screening activities are conducted on applicants, providers, and any person with an ownership or control interest or who is an agent or managing employee of the provider at the time of enrollment, on a monthly basis for enrolled providers, and at revalidation.

ForwardHealth will deny enrollment or terminate the enrollment of any provider where any person with a five percent or greater direct or indirect ownership interest in the provider has been convicted of a criminal offense related to that person's involvement with the Medicare, Medicaid, or title XXI program in the last 10 years, or if invalid licensure information is found.

### **Additional Information Needed During Provider Enrollment**

ForwardHealth collects some personal data information from persons with an ownership or control interest, agents, and managing employees. ForwardHealth will only use the provided information for provider enrollment. All information provided will be protected under the HIPAA (Health Insurance Portability and Accountability Act of 1996) privacy rule.

Providers are required to submit the following information at the time of enrollment and revalidation for their individual owners with control interest:

- First and last name.
- Provider's SSNs (Social Security numbers).
- Dates of birth.
- Street address, city, state, and ZIP+4 code.

Providers are required to submit the following information at the time of enrollment and revalidation for their organizational owners with control interest:

- Legal business name.
- Tax identification number.
- Business street address, city, state, ZIP+4 code.

Providers are required to submit the following information at the time of enrollment and revalidation for their managing employees and agents:

- First and last name.
- Employees' and agents' SSNs.
- Dates of birth.
- Street address, city, state, and ZIP+4 code.

Topic #193

## **Materials for New Providers**

On an ongoing basis, providers should refer to the Online Handbook for the most current BadgerCare Plus and Medicaid information. Future changes to policies and procedures are published in *ForwardHealth Updates*. Updates are available for viewing and downloading on the [ForwardHealth Publications page](#).

Topic #194

## **Non-enrolled In-State Emergency Providers**

ForwardHealth reimburses non-enrolled in-state providers for providing emergency medical services to a member or providing services to a member during a time designated by the governor as a state of emergency. The emergency situation or the state of emergency must be sufficiently documented on the claim. Reimbursement rates are consistent with rates for Medicaid-enrolled providers rendering the same service.



Claims from non-enrolled in-state providers must be submitted with an [In-State Emergency Provider Data Sheet \(F-11002 \(07/12\)\)](#). The In-State Emergency Provider Data Sheet provides ForwardHealth with minimal tax and licensure information.

Non-enrolled in-state providers may call [Provider Services](#) with questions.

Topic #2789

## Overview of Enrollment and Reimbursement

|                                      | Enrollment Requirements   | Reimbursement   | Provider Numbers  |
|--------------------------------------|---|---|---|
| <b>Physical Therapists</b>           | Physical therapists may become Medicaid-enrolled if they are licensed under s. 448, Wis. Stats., and Ch. PT 1, Wis. Admin. Code.  | Wisconsin Medicaid directly reimburses physical therapists for covered PT (physical therapy) services when all program requirements are met.  | <p>Wisconsin Medicaid assigns physical therapists a billing rendering provider number.</p> <p>The billing rendering provider number can be used as a billing number and a rendering provider number. It allows physical therapists to do the following:</p> <ul style="list-style-type: none"> <li>Request PA (prior authorization) and submit claims.</li> <li>Request PA and submit claims for the services of PTAs (physical therapist assistants), PT students, and PT aides who are supervised by the physical therapist.</li> </ul> |
| <b>Physical Therapist Assistants</b> | Physical therapist assistants may become Medicaid-enrolled if they are licensed under s. 448, Wis. Stats., and Ch. PT 5, Wis. Admin. Code.                                  | Wisconsin Medicaid does not directly reimburse PTAs. When all program requirements are met, covered PT services provided by PTAs may be reimbursed under the supervising therapist's, therapy clinic's, therapy group's, rehabilitation agency's, hospital's, or nursing home's billing number.   | <p>Wisconsin Medicaid assigns PTAs a nonbilling rendering provider number.</p> <p>The nonbilling rendering provider number can be used as a rendering provider number but not as a billing number. The nonbilling provider number does not allow PTAs to request PA, submit claims, or receive reimbursement.</p>   |
| <b>Physical Therapy Aides*</b>       | Wisconsin Medicaid does not enroll PT aides. A PT aide is an individual who provides delegated PT services and is trained in a manner appropriate to his or her job duties. | <p>Wisconsin Medicaid does not directly reimburse PT aides. When all program requirements are met, covered PT services delegated to and provided by PT aides may be reimbursed under either of the following:</p> <ul style="list-style-type: none"> <li>The supervising therapist's, rehabilitation agency's, or hospital's (for outpatient services) billing number.</li> </ul> | Wisconsin Medicaid does not assign a rendering provider number or a billing number to PT aides.   |

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|  |  | <ul style="list-style-type: none"> <li>The supervising therapist's rendering provider number <i>and</i> the therapy group's, therapy clinic's, hospital's (for off-site services), or nursing home's billing number.</li> </ul>  |   |
| <b>Occupational Therapists</b>                   | Occupational therapists may become Medicaid enrolled if they are licensed under s. 448, Wis. Stats., and Ch. OT 2, Wis. Admin. Code.   | Wisconsin Medicaid directly reimburses occupational therapists for covered OT (occupational therapy) services when all program requirements are met.   | <p>Wisconsin Medicaid assigns occupational therapists a billing rendering provider number.</p> <p>The billing rendering provider number can be used as a billing number and a rendering provider number. It allows occupational therapists to do the following:</p> <ul style="list-style-type: none"> <li>Request PA and submit claims.</li> <li>Request PA and submit claims for the services of COTAs (certified occupational therapy assistants) and OT students who are supervised by the physical therapist.</li> </ul> |
| <b>Certified Occupational Therapy Assistants</b> | Occupational therapy assistants may become Medicaid-enrolled if they are licensed under s. 448, Wis. Stats., and Ch. OT 2, Wis. Admin. Code.   | Wisconsin Medicaid does not directly reimburse COTAs. When all program requirements are met, covered OT services provided by COTAs may be reimbursed under the supervising therapist's, therapy clinic's, therapy group's, rehabilitation agency's, hospital's, or nursing home's billing provider number. | <p>Wisconsin Medicaid assigns COTAs a nonbilling rendering provider number.</p> <p>The nonbilling rendering provider number can be used as a rendering provider number but not as a billing number. The nonbilling provider number does not allow COTAs to request PA, submit claims, or receive reimbursement.</p>   |
|  | <p>Speech-language pathologists may become Medicaid-enrolled if they are licensed under s. 459, Wis. Stats., and Ch. HAS, Wis. Admin. Code. School-based service speech-language pathologists may also become Medicaid-enrolled if any of the following are true:</p> <ul style="list-style-type: none"> <li>They are certified by the ASHA (American</li> </ul> | Wisconsin Medicaid directly reimburses speech-language pathologists for covered SLP (speech and language pathology) services when all program requirements are met.  | <p>Wisconsin Medicaid assigns speech-language pathologists a billing rendering provider number.</p> <p>The billing rendering provider number can be used as a billing number and a rendering provider number. It allows speech-language pathologists to do the following:</p> <ul style="list-style-type: none"> <li>Request PA and submit claims.</li> <li>Request PA and submit claims</li> </ul>   |

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| <b>Speech-Language Pathologists</b> | <p>Speech-Language-Hearing Association).</p> <ul style="list-style-type: none"> <li>• They have completed the education and work experience necessary for ASHA certification.</li> <li>• They have completed the education and are in the process of completing the work experience necessary for ASHA certification.</li> </ul> |  | for the services of SLP provider assistants and SLP students who are supervised by the speech-language pathologist.  |
| <b>SLP Provider Assistants</b>      | Individuals with a Bachelor's degree (B.A. or B.S.) in SLP may become enrolled as a SLP nonbilling rendering provider. SLP nonbilling providers, also referred to as SLP provider assistants, are required to submit a copy of their degree transcript.  | Wisconsin Medicaid does not directly reimburse SLP provider assistants. When all program requirements are met, covered SLP services provided by SLP provider assistants may be reimbursed under the supervising therapist's, speech and hearing clinic's, therapy group's, rehabilitation agency's, hospital's, or nursing home's billing number.  | Wisconsin Medicaid assigns SLP provider assistants a nonbilling rendering provider number.<br><br>The nonbilling rendering provider number can be used as a rendering provider number but not as a billing number. The nonbilling provider number does not allow SLP provider assistants to request PA, submit claims, or receive reimbursement. |
| <b>PT, OT, and SLP Students</b>     | Wisconsin Medicaid does not enroll PT, OT, and SLP students. A PT, OT, or SLP student is an individual who is providing services during a practicum.   | <p>Wisconsin Medicaid does not directly reimburse PT, OT, or SLP students. When all program requirements are met, covered services provided by PT, OT, and SLP students may be reimbursed under either of the following:</p> <ul style="list-style-type: none"> <li>• The supervising therapist's, rehabilitation agency's, or hospital's (for outpatient services) billing number.</li> <li>• The supervising therapist's rendering provider number <i>and</i> the therapy group's, therapy clinic's, speech and hearing clinic's, hospital's (for off-site services), or nursing home's billing number.</li> </ul> | Wisconsin Medicaid does not assign a rendering provider number or a billing number to PT, OT, or SLP students.   |
|                                     | Therapy groups that provide two or more types of therapy (e.g., PT and OT or PT, OT, and SLP) may become Medicaid-enrolled   | Wisconsin Medicaid directly reimburses groups or clinics for covered PT, OT, and SLP services when all program requirements are met.   | Wisconsin Medicaid assigns groups and clinics a group billing number that requires individual rendering provider numbers. Wisconsin Medicaid assigns an individual rendering   |

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|--|--|---|---|
| <p><b>Therapy Groups, Therapy Clinics, or Speech and Hearing Clinics</b></p> | <p>as a therapy group.</p> <p>Therapy clinics that provide one type of therapy (e.g., PT or OT) may become Medicaid-enrolled as a therapy clinic.</p> <p>Speech and hearing clinics may become Medicaid-enrolled if they are accredited by the ASHA.</p> <p>Wisconsin Medicaid requires providers employed by, or under contract to, groups and clinics to be individually enrolled in Wisconsin Medicaid.</p>   |   | <p>provider number to each Medicaid-enrolled provider within the group or clinic.</p> <p>The group billing number used with an individual rendering provider number allows groups or clinics to do the following:</p> <ul style="list-style-type: none"> <li>• Request PA and submit claims.</li> <li>• Receive one reimbursement and one R/S (Remittance and Status) Report and 835 (835 Health Care Claim Payment Advice) transaction for services performed by individual providers within the group or clinic.</li> </ul>   |
| <p><b>Rehabilitation Agencies</b></p>  | <p>Rehabilitation agencies must be enrolled in Medicare to obtain Medicaid enrollment.</p> <p>Wisconsin Medicaid requires providers employed by, or under contract to, rehabilitation agencies to meet all Medicaid enrollment requirements, but does not require them to be individually enrolled in Wisconsin Medicaid. The rehabilitation agency is required to maintain records showing that its individual providers meet Medicaid requirements.</p> <p>Medicaid therapy group providers considering conversion to the Medicaid rehabilitation agency provider type should contact Provider Services at (800) 947-9627 or (608) 221-9883 for more information.</p> <p>For information about Medicare enrollment</p> | <p>Wisconsin Medicaid directly reimburses rehabilitation agencies for covered PT, OT, and SLP services when all program requirements are met.</p> | <p>Wisconsin Medicaid assigns rehabilitation agencies a group billing number that does <i>not</i> require a separate rendering provider number. Wisconsin Medicaid does not assign an individual rendering provider number to each provider within the rehabilitation agency.</p> <p>The group billing number can be used as a billing number and a rendering provider number. It allows rehabilitation agencies to do the following:</p> <ul style="list-style-type: none"> <li>• Request PA and submit claims.</li> <li>• Receive one reimbursement and one R/S Report and 835 transaction for services performed by individual providers within the agency.</li> </ul> |

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| <p>providers should contact the Division of Disability and Elder Services at the following address:</p>                |  |
| <p>Bureau of Quality Assurance Division of Disability and Elder Services<br/>PO Box 2969<br/>Madison WI 53701-2969</p> |  |
| <p>*Medicaid reimbursement is not available for services provided by OT or SLP aides.</p>                              |  |

Topic #4457

## Provider Addresses

ForwardHealth has the capability to store the following types of addresses and contact information:

- *Practice location address and related information.* This address is where the provider's office is physically located and where records are normally kept. Additional information for the practice location includes the provider's office telephone number and the telephone number for members' use. With limited exceptions, the practice location and telephone number for members' use are published in a provider directory made available to the public.
- *Mailing address.* This address is where ForwardHealth will mail general information and correspondence. Providers should indicate accurate address information to aid in proper mail delivery.
- *PA (prior authorization) address.* This address is where ForwardHealth will mail PA information.
- *Financial addresses.* Two separate financial addresses are stored for ForwardHealth. The checks address is where ForwardHealth will mail paper checks. The 1099 mailing address is where ForwardHealth will mail IRS Form 1099.

Providers may submit additional address information or modify their current information through the ForwardHealth Portal or by using the [Provider Change of Address or Status \(F-01181 \(07/12\)\)](#) form.

*Note:* Providers are cautioned that any changes to their practice location on file with Wisconsin Medicaid may alter their ZIP+4 code information required on transactions. Providers may verify the ZIP+4 code for their address on the [U.S. Postal Service Web site](#).

Topic #14157

## Provider Enrollment Information Home Page

ForwardHealth has consolidated all information providers will need for the enrollment process in one location on the ForwardHealth Portal. For information related to enrollment criteria and to complete online provider enrollment applications, providers should refer to the [Provider Enrollment Information home page](#).

The Provider Enrollment Information home page includes enrollment applications for each provider type and specialty eligible for enrollment with Wisconsin Medicaid. Prior to enrolling, providers may consult a provider enrollment criteria menu, which is a reference for each individual provider type detailing the information the provider may need to gather before beginning the enrollment process, including:

- Links to enrollment criteria for each provider type.

- Provider terms of reimbursement.
- Disclosure information.
- Category of enrollment.
- Additional documents needed (when applicable).

Providers will also have access to a list of links related to the enrollment process, including:

- General enrollment information.
- Regulations and forms.
- Provider type-specific enrollment information.
- In-state and out-of-state emergency enrollment information.
- Contact information.

Information regarding enrollment policy and billing instructions may still be found in the Online Handbook.

Topic #1931

## Provider Type and Specialty Changes

Providers who want to add a provider type or make a change to their provider type should call [Provider Services](#).

Topic #2788

## Requirements

Physical therapists, PTAs (physical therapist assistants), occupational therapists, COTAs (certified occupational therapy assistants), and speech-language pathologists offering services addressed in this handbook are required to be licensed through the Wisconsin [DSPS \(Department of Safety and Professional Services\)](#). Temporary licensure through the DSPS is sufficient but is subject to the terms of the DSPS. Program requirements may not be construed to supersede the provisions for registration or licensure under [s. 448](#) or [459.24](#), Wis. Stats.

Physical therapists, PTAs, occupational therapists, COTAs, and speech-language pathologists who are granted border status are exempt from the Wisconsin licensure requirement. However, these providers are required to be licensed by the appropriate agency in the state they practice. [DHS 105.48](#), Wis. Admin. Code, contains more information about border status.

Wisconsin Medicaid requires providers offering *outpatient* hospital PT (physical therapy), OT (occupational therapy), and SLP (speech and language pathology) services who are employed by, or under contract to, hospitals to meet all Medicaid enrollment requirements but does not require them to be individually enrolled with Wisconsin Medicaid. The hospital is required to maintain records showing that its individual providers meet Medicaid requirements.

Wisconsin Medicaid requires providers offering *off-site* hospital PT, OT, and SLP services who are employed by, or under contract to, hospitals to be individually enrolled with Wisconsin Medicaid.

Wisconsin Medicaid requires PT, OT, and SLP providers employed by, or under contract to, nursing homes to be individually enrolled with Wisconsin Medicaid.

Topic #2787

## Supervision Requirements

When supervision requirements are met, Medicaid reimbursement is available for services provided by assistants, students, or aides who are qualified to provide the service. Refer to the following table for allowable services and supervision requirements for PTAs (physical therapist assistants), COTAs (certified occupational therapy assistants), SLP (speech and language pathology) provider assistants, PT (physical therapy) students, OT (occupational therapy) students, SLP students, and PT aides. The following definitions apply to the supervision requirements:

- "Direct, immediate, on-premises supervision" is defined as face-to-face contact between the supervisor and the person being supervised, as necessary, with the supervisor being physically present in the same building when the service is being performed by the person being supervised.
- General supervision is defined as direct, on-premises contact between the supervisor, the person being supervised, and the member at least once every two weeks. Between direct contacts, the supervisor is required to maintain indirect, off-premises contact (by telephone, written reports, and group conferences) with the person being supervised.
- Direct, immediate, one-to-one supervision is defined as one-to-one supervision with face-to-face contact between the person being supervised and the supervisor during each PT, OT, or SLP session with the person being supervised assisting the supervisor.

|                                 | <b>Allowable Services</b>  | <b>Supervision Requirements</b>   |
|---------------------------------|--|---|
| <b>PTAs</b>                     | Certain PT services, such as evaluations, may not be reimbursed by Wisconsin Medicaid when provided by PTAs.   | PTAs must be under the direct, immediate, on-premises supervision of a Medicaid-enrolled physical therapist who is responsible for member care. However, if a supervision waiver is obtained, PTAs may provide services under the general supervision of a Medicaid-enrolled physical therapist.  |
| <b>COTAs</b>                    | Certain OT services, such as evaluations, may not be reimbursed by Wisconsin Medicaid when provided by COTAs.  | <p>For some services (i.e., activities of daily living skills), COTAs are required to be under the general supervision of a Medicaid-enrolled occupational therapist who is responsible for member care. The following circumstances must apply:</p> <ul style="list-style-type: none"> <li>• The COTA is providing services that are for the purpose of providing activities of daily living skills.</li> <li>• The supervising therapist visits the member on a bi-weekly basis or after every five contacts between the COTA and the member, whichever is greater.</li> <li>• The COTA and supervising therapist meet to discuss treatment of the member after every five contacts between the COTA and the member.</li> </ul> <p>For all other services, COTAs must be under the direct, immediate, on-premises supervision of a Medicaid-enrolled occupational therapist who is responsible for member care. However, if a supervision waiver is obtained, COTAs may provide these services under general supervision.</p> |
| <b>SLP Provider Assistants</b>  | Evaluations (92506, 92597, 92607, 92608, and 92610) may not be reimbursed by Wisconsin Medicaid when provided by SLP provider assistants. All other SLP services may be reimbursed by Wisconsin Medicaid when provided by SLP provider assistants. | SLP provider assistants are required to be under the direct, immediate, on-premises supervision of an ASHA (American Speech-Language-Hearing Association)-certified and Medicaid-enrolled supervisor who is responsible and liable for performance of services delivered in accordance with <a href="#">DHS 107.18(1)(a)</a> , Wis. Admin. Code.  |
| <b>PT, OT, and SLP Students</b> | All PT, OT, and SLP services, including evaluations, may be reimbursed by Wisconsin Medicaid when provided by students during their practicum.   | <p>PT and OT students must be under the direct, immediate, on-premises supervision of a Medicaid-enrolled physical therapist or occupational therapist who is responsible for member care.</p> <p>SLP students must be under the direct, immediate, on-premises</p>   |

|                 |  |   |
|-----------------|--|---|
|                 |  | supervision of an ASHA-certified and Medicaid-enrolled speech and language pathologist who is responsible for member care.  |
| <b>PT Aides</b> | <p>The following PT services may be reimbursed by Wisconsin Medicaid when provided by PT aides who are trained in a manner appropriate to their job duties:</p> <ul style="list-style-type: none"> <li>Assisting with the use of equipment and performing simple modalities once the member's program has been established, and the member's response to the equipment is highly predictable.</li> <li>Performing simple activities required to assist in the performance or conclusion of treatment (such as transferring a member to or from a mat).</li> <li>Providing protective assistance during exercise, activities of daily living skills, and ambulation activities related to the development of strength and refinement of activity.</li> </ul> <p>Clinical services that exceed a PT aide's competence, education, training, and experience are not reimbursable by Wisconsin Medicaid.</p> | <p>PT aides must be under the direct, immediate, one-to-one supervision of a Medicaid-enrolled physical therapist who is responsible for member care. The therapist-to-aide ratio must be 1:1, except as noted.</p> <p>Wisconsin Medicaid may exempt a facility from this supervision requirement if it determines that direct, immediate, one-to-one supervision is not required for specific assignments that PT aides are performing.</p> <p>For example, facilities providing significant amounts of hydrotherapy may be eligible for a supervision exemption for PT aides who fill or clean tubs. If an exemption is granted, Wisconsin Medicaid will indicate the specific services for which the exemption is granted and set an appropriate supervision ratio for these services.</p> |

Topic #2786

## Supervision Waiver

Physical therapists and occupational therapists who wish to use assistants under general supervision may receive a waiver granting an alternative to BadgerCare Plus's current supervision requirements for PTAs and COTAs. The waiver must be requested when a provider wishes to use assistants under general supervision as allowed by the supervision requirements of the Wisconsin DSPS (Department of Safety and Professional Services). Each billing provider should complete the [Request for Waiver of Physical Therapist Assistant and Occupational Therapy Assistant Supervision Requirements \(F-01149 \(07/12\)\)](#) form only once. Providers should retain copies of these forms for enrollment and audit purposes.

The waiver is automatically granted when BadgerCare Plus acknowledges receipt of the form and is effective until the direct, immediate, on-premises supervision requirement is revised through a change to Wisconsin Administrative Code.

Topic #2791

## Declaration of Supervision

PTAs and COTAs are required to complete the [Declaration of Supervision for Nonbilling Providers \(F-01182 \(07/12\)\)](#) form for changes in physical address or supervising therapist.

SLP provider assistants are required to notify Wisconsin Medicaid immediately when they have a change in supervisor, employer, or work address by completing the [Provider Change of Address or Status \(F-01181 \(07/12\)\)](#) form.

Topic #14317



# Terminology to Know for Provider Enrollment

Due to the ACA (Affordable Care Act), ForwardHealth has adopted new terminology. The following table includes new terminology that will be useful to providers during the provider enrollment and revalidation processes. Providers may refer to the Medicaid rule 42 CFR s. 455.101 for more information.

| New Terminology                              | Definition   |
|--|--|
| Agent  | Any person who has been delegated the authority to obligate or act on behalf of a provider.  |
| Disclosing entity                            | A Medicaid provider (other than an individual practitioner or group of practitioners) or a fiscal agent.   |
| Federal health care programs                 | Federal health care programs include Medicare, Medicaid, Title XX, and Title XXI.  |
| Other disclosing agent                       | <p>Any other Medicaid disclosing entity and any entity that does not participate in Medicaid but is required to disclose certain ownership and control information because of participation in any of the programs established under Title V, XVII, or XX of the Act. This includes:</p> <ul style="list-style-type: none"> <li>• Any hospital, skilled nursing facility, home health agency, independent clinical laboratory, renal disease facility, rural health clinic, or HMO that participates in Medicare (Title XVIII).</li> <li>• Any Medicare intermediary or carrier.</li> <li>• Any entity (other than an individual practitioner or group of practitioners) that furnishes, or arranges for the furnishing of, health-related services for which it claims payment under any plan or program established under Title V or XX of the Act.</li> </ul>                                   |
| Indirect ownership                           | An ownership interest in an entity that has an ownership interest in the disclosing entity. This term includes an ownership interest in any entity that has an indirect ownership in the disclosing entity.  |
| Managing employee                            | A general manager, business manager, administrator, director, or other individual who exercises operational or managerial control over, or who directly or indirectly conducts the day-to-day operation of an institution, organization, or agency.  |
| Ownership interest                           | The possession of equity in the capital, the stock, or the profits of the disclosing entity.   |
| Person with an ownership or control interest | <p>A person or corporation for which one or more of the following applies:</p> <ul style="list-style-type: none"> <li>• Has an ownership interest totaling five percent or more in a disclosing entity.</li> <li>• Has an indirect ownership interest equal to five percent or more in a disclosing entity.</li> <li>• Has a combination of direct and indirect ownership interest equal to five percent or more in a disclosing entity.</li> <li>• Owns an interest of five percent or more in any mortgage, deed of trust, note, or other obligation secured by the disclosing entity if that interest equals at least five percent of the value of the property or asset of the disclosing entity.</li> <li>• Is an officer or director of a disclosing entity that is organized as a corporation.</li> <li>• Is a person in a disclosing entity that is organized as a partnership.</li> </ul> |
| Subcontractor                                | <ul style="list-style-type: none"> <li>• An individual, agency, or organization to which a disclosing entity has contracted or delegated some of its management functions or responsibilities of providing medical care to its patients; or,</li> <li>• An individual, agency, or organization with which a fiscal agent has entered into a contract, agreement, purchase order, or lease (or leases of real property) to obtain space, supplies, equipment, or services provided under the Medicaid agreement.</li> </ul>   |
| Re-enrollment                                | Re-enrollment of a provider whose Medicaid enrollment has ended for any reason other than sanctions or failure to revalidate may be re-enrolled as long as all licensure and enrollment requirements are met. If a   |

|              |  |
|--------------|--|
|              | provider's enrollment with Wisconsin Medicaid lapses for longer than one year, they will have to re-enroll as a "new" provider. Providers should note that when they re-enroll, application fees and screening activities may apply. Re-enrollment was formerly known as re-instate. |
| Revalidation | All enrolled providers are required to revalidate their enrollment information every three years to continue their participation with Wisconsin Medicaid. Revalidation was formerly known as recertification.  |

*Note:* Providers should note that the CMS (Centers for Medicare and Medicaid Services) requires revalidation at least every five years. However, Wisconsin Medicaid will continue to revalidate providers every three years.

## Provider Numbers

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Topic #3421

### Provider Identification

#### Health Care Providers

Health care providers are required to indicate an NPI (National Provider Identifier) on enrollment applications and electronic and paper transactions submitted to ForwardHealth.

The NPI is a 10-digit number obtained through the NPPES (National Plan and Provider Enumeration System).

Providers should ensure that they have obtained an appropriate NPI prior to beginning their enrollment application. There are two kinds of NPIs:

- Entity Type 1 NPIs are for individuals who provide health care, such as physicians, dentists, and chiropractors.
- Entity Type 2 NPIs are for organizations that provide health care, such as hospitals, group practices, pharmacies, and home health agencies.

It is possible for a provider to qualify for both Entity Type 1 and Entity Type 2 NPIs. For example, an individual physical therapist may also be the owner of a therapy group that is a corporation and have two Wisconsin Medicaid enrollments — one enrollment as an individual physical therapist and the other enrollment as the physical therapy group. A Type 1 NPI for the individual enrollment and a Type 2 NPI for the group enrollment are required.

NPIs and classifications may be viewed on the [NPPES Web site](#). The [CMS \(Centers for Medicare and Medicaid Services\) Web site](#) includes more information on Type 1 and Type 2 NPIs.

Health care providers who are federally required to have an NPI are responsible for obtaining the appropriate certification for their NPI.

#### Non-healthcare Providers

Non-healthcare providers, such as SMV (specialized medical vehicle) providers, personal care agencies, and blood banks, are exempt from federal NPI requirements. Providers exempt from federal NPI requirements are assigned a Medicaid provider number once their enrollment application is accepted; they are required to indicate this Medicaid provider number on electronic and paper transactions submitted to ForwardHealth.

Topic #5096

### Taxonomy Codes

Taxonomy codes are standard code sets used to provide information about provider type and specialty for the provider's enrollment. ForwardHealth uses taxonomy codes as additional data for correctly matching the NPI (National Provider Identifier) to the provider file.

Providers are required to use a taxonomy code when the NPI reported to ForwardHealth corresponds to multiple enrollments and the provider's practice location ZIP+4 code does not uniquely identify the provider.

Providers are allowed to report multiple taxonomy codes to ForwardHealth as long as the codes accurately describe the provider type and specialty for the provider's enrollment. When doing business with ForwardHealth, providers may use any one of the reported codes. Providers who report multiple taxonomy codes will be required to designate one of the codes as the primary taxonomy code; ForwardHealth will use this primary code for identification purposes.

Providers who wish to change their taxonomy code or add additional taxonomy codes may do so using the demographic maintenance tool found in the secure Provider area of the ForwardHealth Portal. Refer to the Demographic Maintenance Tool User Guide on the [Portal User Guides page](#) of the Portal for more detailed instructions. Most taxonomy code changes entered through the demographic maintenance tool will take effect in real time; providers may use the new codes immediately on transactions.

Alternatively, providers may use the [Provider Change of Address or Status \(F-01181 \(07/12\)\)](#) form to report new taxonomy codes. Providers who submit new taxonomy codes using the Provider Change of Address or Status form will need to check the demographic maintenance tool to verify ForwardHealth has received and added the new taxonomy codes prior to using them on transactions.

Omission of a taxonomy code when it is required as additional data to identify the provider will cause claims and other transactions to be denied or delayed in processing.

*Note:* Taxonomy codes do not change provider enrollment or affect reimbursement terms.

Topic #5097

## ZIP Code

The ZIP code of a provider's practice location address on file with ForwardHealth must be a ZIP+4 code. The ZIP+4 code helps to identify a provider when the NPI (National Provider Identifier) reported to ForwardHealth corresponds to multiple enrollments and the reported taxonomy code does not uniquely identify the provider.

When a ZIP+4 code is required to identify a provider, omission of it will cause claims and other transactions to be denied or delayed in processing.

Providers may verify the ZIP+4 code for their address on the [U.S. Postal Service Web site](#).

## Provider Rights

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Topic #208

### A Comprehensive Overview of Provider Rights

Medicaid-enrolled providers have certain rights including, but not limited to, the following:

- Limiting the number of members they serve in a nondiscriminatory way.
- Ending participation in Wisconsin Medicaid.
- Applying for a discretionary waiver or variance of certain rules identified in Wisconsin Administrative Code.
- [Collecting payment from a member under limited circumstances.](#)
- Refusing services to a member if the member refuses or fails to present a ForwardHealth identification card. However, possession of a ForwardHealth card does not guarantee enrollment (e.g., the member may not be enrolled, may be enrolled only for limited benefits, or the ForwardHealth card may be invalid). Providers may confirm the current enrollment of the member by using one of the [EVS \(Enrollment Verification System\) methods](#), including calling [Provider Services](#).

Topic #207

### Ending Participation

Providers other than home health agencies and nursing facilities may terminate participation in ForwardHealth according to [DHS 106.05](#), Wis. Admin. Code.

Providers choosing to withdraw should promptly notify their members to give them ample time to find another provider.

When withdrawing, the provider is required to do the following:

- Provide a written notice of the decision at least 30 days in advance of the termination.
- Indicate the effective date of termination.

Providers will not receive reimbursement for nonemergency services provided on and after the effective date of termination. Voluntary termination notices can be sent to the following address:

ForwardHealth  
 Provider Maintenance  
 313 Blettner Blvd  
 Madison WI 53784

If the provider fails to specify an effective date in the notice of termination, ForwardHealth may terminate the provider on the date the notice is received.

Topic #209

### Hearing Requests

A provider who wishes to contest a DHS (Department of Health Services) action or inaction for which due process is required

under [s. 227](#), Wis. Stats., may request a hearing by writing to the DHA (Division of Hearings and Appeals).

A provider who wishes to contest the DHCAA's (Division of Health Care Access and Accountability) notice of intent to recover payment (e.g., to recoup for overpayments discovered in an audit by DHCAA) is required to request a hearing on the matter within the time period specified in the notice. The request, which must be in writing, should briefly summarize the provider's basis for contesting the DHS decision to withhold payment.

Refer to [DHS 106](#), Wis. Admin. Code, for detailed instructions on how to file an appeal.

If a timely request for a hearing is not received, the DHS may recover those amounts specified in its original notice from future amounts owed to the provider.

*Note:* Providers are not entitled to administrative hearings for billing disputes.

Topic #210

## Limiting the Number of Members

If providers choose to limit the number of members they see, they cannot accept a member as a private-pay patient. Providers should instead refer the member to another ForwardHealth provider.

Persons applying for or receiving benefits are protected against discrimination based on race, color, national origin, sex, religion, age, disability, or association with a person with a disability.

Topic #206

## Requesting Discretionary Waivers and Variances

In rare instances, a provider or member may apply for, and the DHCAA (Division of Health Care Access and Accountability) will consider applications for, a discretionary waiver or variance of certain rules in [DHS 102](#), [103](#), [104](#), [105](#), [107](#), and [108](#), Wis. Admin. Code. Rules that are not considered for a discretionary waiver or variance are included in [DHS 106.13](#), Wis. Admin. Code.

Waivers and variances are not available to permit coverage of services that are either expressly identified as noncovered or are not expressly mentioned in DHS 107, Wis. Admin. Code.

### Requirements

A request for a waiver or variance may be made at any time; however, all applications must be made in writing to the DHCAA. All applications are required to specify the following:

- The rule from which the waiver or variance is requested.
- The time period for which the waiver or variance is requested.
- If the request is for a variance, the specific alternative action proposed by the provider.
- The reasons for the request.
- Justification that all requirements for a discretionary waiver or variance would be satisfied.

The DHCAA may also require additional information from the provider or the member prior to acting on the request.

### Application

The DHCAA may grant a discretionary waiver or variance if it finds that all of the following requirements are met:

- The waiver or variance will not adversely affect the health, safety, or welfare of any member.
- Either the strict enforcement of a requirement would result in unreasonable hardship on the provider or on a member, or an alternative to a rule is in the interests of better care or management. An alternative to a rule would include a new concept, method, procedure or technique, new equipment, new personnel qualifications, or the implementation of a pilot project.
- The waiver or variance is consistent with all applicable state and federal statutes and federal regulations.
- Federal financial participation is available for all services under the waiver or variance, consistent with the Medicaid state plan, the federal CMS (Centers for Medicare and Medicaid Services), and other applicable federal program requirements.
- Services relating to the waiver or variance are medically necessary.

To apply for a discretionary waiver or variance, providers are required to send their application to the following address:

Division of Health Care Access and Accountability  
Waivers and Variances  
PO Box 309  
Madison WI 53701-0309

## Revalidation

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Topic #8517

### An Overview

Each year approximately one-third of all Medicaid-enrolled providers undergo a revalidation process, during which they update their enrollment information and sign the Wisconsin Medicaid Provider Agreement and Acknowledgement of Terms of Participation. Providers are required to complete the revalidation process to continue their participation with Wisconsin Medicaid. Wisconsin Medicaid will notify providers when they need to revalidate their enrollment information and provide instructions on how to complete the revalidation process. Most providers will conduct their revalidation process on the ForwardHealth Portal.

Topic #8521

### Checking the Status of a Revalidation Application

Providers may check the status of their revalidation on the [ForwardHealth Portal](#) by entering the ATN (application tracking number) from the Provider Revalidation Notice and pressing "Search."

Providers will receive one of the following status responses:

- "Approved." ForwardHealth has reviewed the revalidation materials and all requirements have been met. ForwardHealth is completing updates to provider files.
- "Awaiting Additional Info." ForwardHealth has reviewed the revalidation materials and has requested additional information from the provider. Providers will receive a letter via mail when additional materials or information are required to complete processing of the revalidation materials.
- "Awaiting Follow-On Documents." ForwardHealth requires additional paper documents to process the revalidation. After the provider has submitted revalidation information online via the Portal, the final screen will list additional documents the provider must mail to ForwardHealth. ForwardHealth cannot complete processing until these documents are received. This status is primarily used for SMV (specialized medical vehicle) provider revalidation.
- "Denied." The provider's revalidation has been denied.
- "Failure to Revalidate." The provider has not revalidated by the established revalidation deadline.
- "In Process." The revalidation materials are in the process of being reviewed by ForwardHealth.
- "Paper Requested." The provider requested a paper revalidation application and ForwardHealth has not received the paper application yet.
- "Revalidation Initiated." The Provider Revalidation Notice and PIN (personal identification number) letter have been sent to the provider. The provider has not started the revalidation process yet.
- "Revalidated." The provider has successfully completed revalidation. There are no actions necessary by the provider.
- "Referred To DHS." ForwardHealth has referred the provider revalidation materials to the State Enrollment Specialist for revalidation determination.

*Note:* Status responses may not yet reflect changes in certification terminology which are being made in compliance with the [ACA](#) (Affordable Care Act).

Topic #8519

### Notification Letters



Providers undergoing the revalidation process will receive two important letters in the mail from ForwardHealth:

- The Provider Revalidation Notice. This is the first notice to providers. The Provider Revalidation Notice contains identifying information about the provider who is required to complete the revalidation process, the revalidation deadline, and the ATN (application tracking number) assigned to the provider. The ATN is used when logging in to the ForwardHealth Portal to complete the revalidation process and also serves as the tracking number when checking the status of the provider's revalidation application.
- The PIN (personal identification number) letter. Providers will receive this notice a few days after the Provider Revalidation Notice. The PIN letter will contain a revalidation PIN and instructions on logging in to the Portal to complete the revalidation process.

The letters are sent to the mailing address on file with Wisconsin Medicaid. Providers should read these letters carefully and keep them for reference. The letters contain information necessary to log in to the secure Revalidation area of the Portal to complete the revalidation process. If a provider needs to replace one of the letters, the revalidation process will be delayed.

Topic #8522

## **Revalidation Completed by an Authorized Representative**

A provider has several options for submitting information to the DHS (Department of Health Services), including electronic and Web-based submission methodologies that require the input of secure and discrete access codes but not written provider signatures.

The provider has sole responsibility for maintaining the privacy and security of any access code the provider uses to submit information to the DHS, and any individual who submits information using such an access code does so on behalf of the provider, regardless of whether the provider gave the access code to the individual or had knowledge that the individual knew the access code or used it to submit information to the DHS.

## Sanctions

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Topic #211

### Intermediate Sanctions

According to [DHS 106.08\(3\)](#), Wis. Admin. Code, the DHS (Department of Health Services) may impose intermediate sanctions on providers who violate certain requirements. Common examples of sanctions that the DHS may apply include the following:

- Review of the provider's claims before payment.
- Referral to the appropriate peer review organization, licensing authority, or accreditation organization.
- Restricting the provider's participation in BadgerCare Plus.
- Requiring the provider to correct deficiencies identified in a DHS audit.

Prior to imposing any alternative sanction under this section, the DHS will issue a written notice to the provider in accordance with [DHS 106.12](#), Wis. Admin. Code.

Any sanction imposed by the DHS may be appealed by the provider under DHS 106.12, Wis. Admin. Code. Providers may appeal a sanction by writing to the DHA (Division of Hearings and Appeals).

Topic #212

### Involuntary Termination

The DHS (Department of Health Services) may suspend or terminate the Medicaid enrollment of any provider according to [DHS 106.06](#), Wis. Admin. Code.

The suspension or termination may occur if both of the following apply:

- The DHS finds that any of the grounds for provider termination are applicable.
- The suspension or termination will not deny members access to services.

Reasonable notice and an opportunity for a hearing within 15 days will be given to each provider whose enrollment is terminated by the DHS. Refer to [DHS 106.07](#), Wis. Admin. Code, for detailed information regarding possible sanctions.

In cases where Medicare enrollment is required as a condition of enrollment with Wisconsin Medicaid, termination from Medicare results in automatic termination from Wisconsin Medicaid.

Topic #213

### Sanctions for Collecting Payment from Members

Under state and federal laws, if a provider inappropriately collects payment from an enrolled member, or authorized person acting on behalf of the member, that provider may be subject to program sanctions including termination of Medicaid enrollment. In addition, the provider may also be fined not more than \$25,000, or imprisoned not more than five years, or both, pursuant to 42 USC s. 1320a-7b(d) or [s. 49.49\(3m\)](#), Wis. Stats.

There may be narrow exceptions on when providers may [collect payment from members](#).

Topic #214

## Withholding Payments

The DHS (Department of Health Services) may withhold full or partial Medicaid provider payments without prior notification if, as the result of any review or audit, the DHS finds reliable evidence of fraud or willful misrepresentation.

"Reliable evidence" of fraud or willful misrepresentation includes, but is not limited to, the filing of criminal charges by a prosecuting attorney against the provider or one of the provider's agents or employees.

The DHS is required to send the provider a written notice within five days of taking this action. The notice will generally set forth the allegations without necessarily disclosing specific information about the investigation.

# Reimbursement

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Archive Date:03/01/2013

## Reimbursement:Amounts

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Topic #258

### Acceptance of Payment

The amounts allowed as payment for covered services must be accepted as payment in full. Therefore, total payment for the service (i.e., any amount paid by other health insurance sources, any BadgerCare Plus or Medicaid copayment or spenddown amounts paid by the member, and any amount paid by BadgerCare Plus or Medicaid) may not exceed the allowed amount. As a result, providers may not collect payment from a member, or authorized person acting on behalf of the member, for the difference between their usual and customary charge and the allowed amount for a service (i.e., balance billing).

Other health insurance payments may exceed the allowed amount if no additional payment is received from the member or BadgerCare Plus or Medicaid.

Topic #694

### Billing Service and Clearinghouse Contracts

According to [DHS 106.03\(5\)\(c\)2](#), Wis. Admin. Code, contracts with outside billing services or clearinghouses may not be based on commission in which compensation for the service is dependent on reimbursement from BadgerCare Plus. This means compensation must be unrelated, directly or indirectly, to the amount of reimbursement or the number of claims and is not dependent upon the actual collection of payment.

Topic #8117

### Electronic Funds Transfer

EFT (electronic funds transfer) allows ForwardHealth to directly deposit payments into a provider's designated bank account for a more efficient delivery of payments than the current process of mailing paper checks. EFT is secure, eliminates paper, and reduces the uncertainty of possible delays in mail delivery.

Only in-state and border-status providers who submit claims and MCOs (managed care organizations) are eligible to receive EFT payments.

### Provider Exceptions

EFT payments are not available to the following providers:

- In-state emergency providers.
- Out-of-state providers.
- Out-of-country providers.
- SMV (specialized medical vehicle) providers during their provisional enrollment period.

### Enrolling in Electronic Funds Transfer

A ForwardHealth Portal account is required to enroll into EFT as all enrollments must be completed via a secure Provider Portal

account or a secure MCO Portal account. Paper enrollments are not accepted. A separate EFT enrollment is required for each financial payer a provider bills.

Providers who do not have a Portal account may [Request Portal Access](#) online. Providers may also call the [Portal Helpdesk](#) for assistance in requesting a Portal account.

The following guidelines apply to EFT enrollment:

- Only a Portal Administrator or a clerk that has been assigned the "EFT" role on the Portal may complete the EFT enrollment information.
- Organizations cannot revert back to receiving paper checks once enrolled in EFT.
- Organizations may change their EFT information at any time.
- Organizations will continue to receive their Remittance Advice as they do currently.

Refer to the Electronic Funds Transfer User Guide on the [Portal User Guides page](#) of the Portal for instructions and more information about EFT enrollment.

Providers will continue to receive payment via paper check until the enrollment process moves into "Active" status and the provider's ForwardHealth EFT enrollment is considered complete.

## Recoupment and Reversals

Enrollment in EFT does not change the current process of recouping funds. Overpayments and recoupment of funds will continue to be conducted through the reduction of payments.

*Note:* Enrolling in EFT does not authorize ForwardHealth to make unauthorized debits to the provider's EFT account; however, in some instances an EFT reversal of payment may be necessary. For example, if the system generates a payment twice or the amount entered manually consists of an incorrect value (e.g., a decimal point is omitted creating a \$50,000 keyed value for a \$500 claim), a reversal will take place to correct the error and resend the correct transaction value. ForwardHealth will notify the designated EFT contact person of an EFT reversal if a payment is made in error due to a system processing or manual data entry error.

## Problem Resolution

If payment is not deposited into the designated EFT account according to the ForwardHealth payment cycle, providers should first check with their financial institution to confirm the payment was received. If the payment was not received, providers should then call [Provider Services](#) to resolve the issue and payment by paper check will be reinstated until the matter has been resolved.

Topic #897

## Fee Schedules

[Maximum allowable fee](#) information is available on the ForwardHealth Portal in the following forms:

- Interactive fee schedule.
- Downloadable fee schedule in TXT (text) files.

Certain fee schedules are interactive. Interactive fee schedules provide coverage information as well as maximum allowable fees for all reimbursable procedure codes. The downloadable TXT files are free of charge and provide basic maximum allowable fee information for BadgerCare Plus by provider service area.

A provider may request a paper copy of a fee schedule by calling [Provider Services](#).

Providers may call Provider Services in the following cases:

- Internet access is not available.
- There is uncertainty as to which fee schedule should be used.
- The appropriate fee schedule cannot be found on the Portal.
- To determine coverage or maximum allowable fee of procedure codes not appearing on a fee schedule.

Topic #260

## Maximum Allowable Fees

Maximum allowable fees are established for most covered services. Maximum allowable fees are based on various factors, including a review of usual and customary charges submitted, the Wisconsin State Legislature's Medicaid budgetary constraints, and other relevant economic limitations. Maximum allowable fees may be adjusted to reflect reimbursement limits or limits on the availability of federal funding as specified in federal law.

Providers are reimbursed at the lesser of their billed amount and the maximum allowable fee for the procedure.

Topic #2711

[Maximum allowable fees](#) for services provided through a rehabilitation agency vary among agencies. Agencies may obtain their agency-specific fee schedule by contacting [Provider Services](#).

Hospitals may obtain maximum allowable fees for outpatient hospital PT (physical therapy), OT (occupational therapy), and SLP (speech and language pathology) services by referring to outpatient hospital publications.

Topic #2710

## Natural Environment Enhanced Reimbursement

PT (physical therapy), OT (occupational therapy), and SLP (speech and language pathology) providers receive an enhanced reimbursement from Wisconsin Medicaid or BadgerCare Plus when certain PT, OT, and SLP services are provided in the natural environment of a member who participates in the Birth to 3 Program. The enhanced reimbursement applies on a per child, per DOS (date of service), per therapy discipline basis. Providers are required to indicate the "TL" modifier when submitting claims for the enhanced reimbursement.

ForwardHealth includes the enhanced reimbursement for natural environment in the claim reimbursement for the therapy.

Topic #2709

## Reimbursement Methods

PT (physical therapy), OT (occupational therapy), and SLP (speech and language pathology) services are reimbursed at the lesser of the billed amount or the maximum allowable fee. However, PT and OT services provided by physical therapist assistants and certified occupational therapy assistants working under general supervision (after a supervision waiver is obtained) are reimbursed at the lesser of the billed amount or 90 percent of the maximum allowable fee.

## Collecting Payment From Members

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Topic #4317

### Benchmark Plan Service Limitations

Services that exceed a service limitation established under the BadgerCare Plus Benchmark Plan are considered noncovered. Providers are required to follow certain procedures for billing members who receive these services.

#### Services with Visit Limitations per Enrollment Year

Under the Benchmark Plan, some services are covered until a member reaches a specified number of visits or days of service per enrollment year. These services include:

- Inpatient hospital stays for substance abuse and mental health treatment.
- Home health visits.
- Nursing home stays.
- Routine eye exams.
- Therapy visits (PT (physical therapy), OT (occupational therapy), and SLP (speech and language pathology)).

*Note:* Hospice services are subject to a lifetime limit under the Benchmark Plan.

Visits and days of service that exceed the service limitations established under the Benchmark Plan are considered noncovered. Services provided during a noncovered visit will not be reimbursed by BadgerCare Plus. Providers are encouraged to inform the member when he or she has reached a service limitation.

If a member requests a service that exceeds the limitation, the member is responsible for payment. Providers should make payment arrangements with the member in advance.

#### Services with Dollar Amount Limits per Enrollment Year

Under the Benchmark Plan, some services are subject to a specified dollar amount service limitation per member per enrollment year. Any products or services that exceed the dollar amount limit are considered noncovered.

If BadgerCare Plus reimburses any portion of the charges for the service, providers are required to accept the BadgerCare Plus allowed reimbursement, which is the lesser of the provider's usual and customary charges or the maximum allowable fee, as payment in full. If BadgerCare Plus pays a portion of the claim and the claim exceeds the member's dollar amount service limitation, providers can balance bill the member for the difference between the allowed reimbursement and the dollar amount actually paid by BadgerCare Plus.

For example, the Benchmark Plan reimburses up to \$2,500.00 for DME (durable medical equipment) per member per enrollment year. Suppose a member has expended \$2,200.00 of her DME coverage and requires a new DME item. The BadgerCare Plus-allowed reimbursement for this DME item is \$500.00. BadgerCare Plus will reimburse only \$300.00 before the member has exhausted his or her coverage. The member is responsible for the additional \$200.00. The provider must still accept \$500.00 as payment in full because BadgerCare Plus reimbursed a portion of the charges. The provider must not bill the member for more than \$200.00.

If a member has already met or exceeded his or her dollar limit, BadgerCare Plus will not reimburse providers for services provided to that member. Providers can bill members up to their usual and customary charges for noncovered services.



Topic #227

## Conditions That Must Be Met

A member may request a noncovered service, a covered service for which PA (prior authorization) was denied (or modified), or a service that is not covered under the member's limited benefit category. The charge for the service may be collected from the member if the following conditions are met *prior* to the delivery of that service:

- The member accepts responsibility for payment.
- The provider and member make payment arrangements for the service.

Providers are strongly encouraged to obtain a *written* statement in advance documenting that the member has accepted responsibility for the payment of the service.

Furthermore, the service must be separate or distinct from a related, covered service. For example, a vision provider may provide a member with eyeglasses but then, upon the member's request, provide and charge the member for anti-glare coating, which is a noncovered service. Charging the member is permissible in this situation because the anti-glare coating is a separate service and can be added to the lenses at a later time.

Topic #5637

## Core Plan Service Limitations

Services that exceed a service limitation established under the BadgerCare Plus Core Plan are considered noncovered. Providers are required to follow certain procedures for billing members who receive these services.

### Services with Visit Limitations per Enrollment Year

Under the Core Plan, certain services (i.e., PT (physical therapy), OT (occupational therapy), and SLP (speech and language pathology)) are covered until a member reaches a specified number of visits or days of service per enrollment year. Visits that exceed the service limitations established under the Core Plan are considered noncovered. Services provided during a noncovered visit will not be reimbursed by the Core Plan. Providers are encouraged to inform the member when he or she has reached a service limitation. If a member requests a service that exceeds the limitation, the member is responsible for payment. Providers are strongly encouraged to make payment arrangements with the member in advance.

### Services with Dollar Amount Limits per Enrollment Year

Under the Core Plan, some services are subject to a specified dollar amount service limitation per member per enrollment year. Any products or services that exceed the dollar amount limit are considered noncovered. If BadgerCare Plus reimburses any portion of the charges for the service, providers are required to accept the Core Plan allowed reimbursement, which is the lesser of the provider's usual and customary charges or the maximum allowable fee, as payment in full. If BadgerCare Plus pays a portion of the claim and the claim exceeds the member's dollar amount service limitation, providers can balance bill the member for the difference between the allowed reimbursement and the dollar amount paid by BadgerCare Plus. For example, the Core Plan reimburses up to \$2,500.00 for durable medical equipment (DME) per member per enrollment year. Suppose a member has expended \$2,200.00 of his or her DME coverage and requires a new DME item. The allowed reimbursement for this DME item is \$500.00. BadgerCare Plus will reimburse only \$300.00 before the member has exhausted his or her coverage. The member is responsible for the additional \$200.00. The provider must still accept \$500.00 as payment in full because BadgerCare Plus reimbursed a portion of the charges. The provider must not bill the member for more than \$200.00. If a member has already met or exceeded his or her dollar limit, BadgerCare Plus will not reimburse providers for services provided to that member. Providers can bill members up to their usual and customary charges for noncovered services.

Topic #538

## Cost Sharing

According to federal regulations, providers cannot hold a member responsible for any commercial or Medicare cost-sharing amount such as coinsurance, copayment, or deductible. Therefore, a provider may not collect payment from a member, or authorized person acting on behalf of the member, for copayments required by other health insurance sources. Instead, the provider should collect from the member *only* the Medicaid or BadgerCare Plus copayment amount indicated on the member's remittance information.

Topic #224

## Situations When Member Payment Is Allowed

Providers may not collect payment from a member, or authorized person acting on behalf of the member, *except* for the following:

- Required member [copayments](#) for certain services.
- Commercial insurance payments made to the member.
- [Spendedown](#).
- Charges for a [private room](#) in a nursing home or hospital.
- Noncovered services if certain conditions are met.
- Covered services for which PA (prior authorization) was denied (or an originally requested service for which a PA request was modified) if certain conditions are met. These services are treated as noncovered services.
- Services provided to a member in a limited benefit category when the services are not covered under the limited benefit and if certain conditions are met.

If a provider inappropriately collects payment from a member, or authorized person acting on behalf of the member, that provider may be subject to program sanctions including termination of Medicaid enrollment.

# Copayment

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Topic #2708

## Amounts

### BadgerCare Plus Standard Plan, BadgerCare Plus Core Plan, and Medicaid

Copayment amounts for PT (physical therapy), OT (occupational therapy), and SLP (speech and language pathology) services under the BadgerCare Plus Standard Plan, the BadgerCare Plus Core Plan, and Medicaid are determined per procedure code and correspond to the maximum allowable fee for the procedure code. Providers should use the following chart and their [maximum allowable fee schedule](#) to determine copayment amounts.

| Medicaid Reimbursement (per procedure code) | Copayment |
|---|-----------|
| Up to \$10.00                               | \$0.50    |
| From \$10.01 to \$25.00                     | \$1.00    |
| From \$25.01 to \$50.00                     | \$2.00    |
| Over \$50.00                                | \$3.00    |

### BadgerCare Plus Benchmark Plan

The copayment amount for PT, OT, and SLP services under the BadgerCare Plus Benchmark Plan is \$15.00 per therapy visit. A therapy visit is defined as all therapy services delivered on the same DOS (date of service) by the same rendering provider. A single \$15.00 copayment applies regardless of the number or type of procedures administered during the visit.

If a member has two therapy visits with two different providers during a single day, the member is subject to two copayments, one for each visit. However, if a member receives multiple therapy services in the same day from one provider, the member is only subject to one copayment.

Benchmark Plan members residing in a nursing home are subject to copayment for therapy visits.

### BadgerCare Plus Basic Plan

The copayment amount for PT, OT, and SLP services under the BadgerCare Plus Basic Plan is \$10.00 per therapy visit. A therapy visit is defined as all therapy services delivered on the same DOS by the same rendering provider. A single \$10.00 copayment applies regardless of the number or types of procedures administered during the visit.

If a member receives therapy services from two different rendering providers during a single day, that counts as two visits and the member is subject to two copayments, one for each visit. However, if a member receives multiple therapy services in the same day from one rendering provider, the member is only subject to one copayment.

There is no copayment maximum limit under the Basic Plan.

Under the Basic Plan, a provider has the right to deny services if the member fails to make his or her copayment.

Topic #231

# Exemptions

## Wisconsin Medicaid Exemptions

According to [DHS 104.01\(12\)](#), Wis. Admin. Code, providers are prohibited from collecting copayment from the following Wisconsin Medicaid members:

- Children in a mandatory coverage category. In Wisconsin, this includes:
  - Children in foster care, regardless of age.
  - Children in subsidized adoption, regardless of age.
  - Children in the Katie Beckett program, regardless of age.
  - Children under age one with income up to 150 percent of the FPL (Federal Poverty Level).
  - Children ages 1 through 5 with income up to 185 percent FPL.
  - Children ages 6 through 18 years of age with incomes at or below 100 percent of the FPL.
- Children who are American Indian or Alaska Natives who are enrolled in the state's CHIP (Child Health Insurance Program).
- American Indians or Alaskan Natives, regardless of age or income level, when they receive items and services either directly from an Indian health care provider or through referral under contract health services.
- Terminally-ill individuals receiving hospice care.
- Nursing home residents.

The following services do not require copayment:

- Case management services.
- Crisis intervention services.
- CSP (Community Support Program) services.
- Emergency services.
- Family planning services, including sterilizations.
- Home care services.
- Hospice care services.
- Immunizations.
- Independent laboratory services.
- Injections.
- PDN (private duty nursing) and PDN services for ventilator-dependent members.
- SBS (school-based services).
- Substance abuse day treatment services.
- Surgical assistance.

## BadgerCare Plus Standard Plan Exemptions

Providers are prohibited from collecting copayment from the following BadgerCare Plus Standard Plan members:

- Children in a mandatory coverage category. In Wisconsin, this includes:
  - Children in foster care, regardless of age.
  - Children in subsidized adoption, regardless of age.
  - Children in the Katie Beckett program, regardless of age.
  - Children under age one with income up to 150 percent of the FPL (Federal Poverty Level).
  - Children ages 1 through 5 with income up to 185 percent FPL.
  - Children ages 6 through 18 years of age with incomes at or below 100 percent of the FPL.

- Children who are American Indian or Alaska Natives who are enrolled in the state's CHIP (Child Health Insurance Program).
- American Indians or Alaskan Natives, regardless of age or income level, when they receive items and services either directly from an Indian health care provider or through referral under contract health services.
- Terminally-ill individuals receiving hospice care.
- Nursing home residents.

The following services do not require copayment:

- Case management services.
- Crisis intervention services.
- CSP services.
- Emergency services.
- Family planning services, including sterilizations.
- Home care services.
- Hospice care services.
- Immunizations.
- Independent laboratory services.
- Injections.
- PDN and PDN services for ventilator-dependent members.
- SBS.
- Substance abuse day treatment services.
- Surgical assistance.

## Wisconsin Well Woman Medicaid Exemptions

Providers are prohibited from collecting copayment from members who have been enrolled into WWWMA (Wisconsin Well Woman Medicaid) from the BadgerCare Plus Benchmark Plan or the BadgerCare Plus Core Plan for any Medicaid covered service.

Topic #4273

## BadgerCare Plus Benchmark Plan Exemptions

Certain Benchmark Plan members are exempt from copayment requirements, including the following:

- Members under 18 years old who are members of a federally recognized tribe.
- Pregnant women.

Providers should always use Wisconsin's EVS (Enrollment Verification System) to verify member enrollment and to [check if the member is subject to a copayment](#).

The following services do not require copayment under the Benchmark Plan:

- Family planning services.
- Preventive services, including HealthCheck screenings.

Topic #233

## Limitations

Providers should verify that they are collecting the correct copayment for services as some services have monthly or annual copayment limits. Providers may not collect member copayments in amounts that exceed copayment limits.

## Resetting Copayment Limitations

Copayment amounts paid by a member enrolled in the BadgerCare Plus Benchmark Plan and the BadgerCare Plus Core Plan within their continuous 12-month enrollment year will reset in the following situations:

- A fee-for-service member is enrolled in an HMO (health maintenance organization).
- A member switches from one HMO to another HMO (only allowable within the first 90 days of Core Plan enrollment).
- A member is disenrolled from an HMO and moves to fee-for-service.

*Note:* When a member goes from fee-for-service into an HMO and subsequently moves back to fee-for-service, copayments will not be reset for the services that were received under the initial fee-for-service enrollment period.

Resetting copayment limitations does not change a member's [Benchmark Plan](#) enrollment year or a member's [Core Plan](#) enrollment year.

Topic #2707

## BadgerCare Plus Standard Plan and Medicaid

ForwardHealth does not deduct copayment after the first 30 hours or \$1,500.00 of reimbursement for PT (physical therapy), OT (occupational therapy), or SLP (speech and language pathology) services per calendar year, per member for members enrolled in the BadgerCare Plus Standard Plan and Medicaid. Copayment limits are calculated separately for each therapy discipline.

The copayment maximum applies to each member, regardless of the number of providers. For example, if a member receives PT services from more than one provider, the copayment maximum may be reached sooner than an individual provider's records indicate.

When a member has met the copayment maximum, ForwardHealth does not deduct any copayment from the reimbursement to the provider. If the provider collects copayment beyond the copayment maximum, the provider is required to return or credit the member for the extra amount.

## BadgerCare Plus Benchmark Plan

There are no monthly or annual copayment limits for therapy services under the Benchmark Plan.

## BadgerCare Plus Core Plan

ForwardHealth does not deduct copayment after the first 30 hours or \$1,500.00 of reimbursement for PT, OT, or SLP services per enrollment year for Core Plan members. Copayment limits are calculated separately for each therapy discipline.

## BadgerCare Plus Basic Plan

There are no monthly or annual copayment limits for therapy services under the BadgerCare Plus Basic Plan.

Topic #237

## Refund/Collection

If a provider collects a copayment before providing a service and BadgerCare Plus does not reimburse the provider for any part of the service, the provider is required to return or credit the entire copayment amount to the member.

If BadgerCare Plus deducts less copayment than the member paid, the provider is required to return or credit the remainder to the member. If BadgerCare Plus deducts more copayment than the member paid, the provider may collect the remaining amount from the member.

Topic #239

## Requirements

Federal law permits states to charge members a copayment for certain covered services. Providers are required to request copayments from members. Providers may not deny services to a Wisconsin Medicaid or BadgerCare Plus Standard Plan member who fails to make a copayment; however, providers may deny services to a BadgerCare Plus Benchmark Plan member, BadgerCare Plus Core Plan member, or BadgerCare Plus Basic Plan member who fails to make a copayment.

Section [49.45\(18\)](#), Wis. Stats., requires providers to make a reasonable attempt to collect copayment from the member unless the provider determines that the cost of collecting the copayment exceeds the amount to be collected.

## Payer of Last Resort

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Topic #242

### Instances When Medicaid Is Not Payer of Last Resort

Wisconsin Medicaid or BadgerCare Plus are *not* the payer of last resort for members who receive coverage from certain governmental programs, such as:

- Birth to 3.
- Crime Victim Compensation Fund.
- GA (General Assistance).
- HCBS (Home and Community-Based Services) waiver programs.
- IDEA (Individuals with Disabilities Education Act).
- Indian Health Service.
- Maternal and Child Health Services.
- WCDP (Wisconsin Chronic Disease Program).
  - Adult Cystic Fibrosis.
  - Chronic Renal Disease.
  - Hemophilia Home Care.

Providers should ask members if they have coverage from these other governmental programs.

If the member becomes retroactively enrolled in Wisconsin Medicaid or BadgerCare Plus, providers who have already been reimbursed by one of these government programs may be required to submit the claims to ForwardHealth and refund the payment from the government program.

Topic #251

### Other Health Insurance Sources

BadgerCare Plus reimburses only that portion of the allowed cost remaining after a member's other health insurance sources have been exhausted. Other health insurance sources include the following:

- [Commercial fee-for-service plans](#).
- [Commercial managed care plans](#).
- Medicare supplements (e.g., Medigap).
- Medicare.
- Medicare Advantage.
- TriCare.
- CHAMPVA (Civilian Health and Medical Plan of the Veterans Administration).
- Other governmental benefits.

Topic #253

### Payer of Last Resort



Except for a few instances, Wisconsin Medicaid or BadgerCare Plus are the payer of last resort for any covered services. Therefore, the provider is required to make a reasonable effort to exhaust all existing other health insurance sources before submitting claims to ForwardHealth or to a state-contracted MCO (managed care organization).

Topic #255

## **Primary and Secondary Payers**

The terms "primary payer" and "secondary payer" indicate the relative order in which insurance sources are responsible for paying claims.

In general, commercial health insurance is primary to Medicare, and Medicare is primary to Wisconsin Medicaid and BadgerCare Plus. Therefore, Wisconsin Medicaid and BadgerCare Plus are secondary to Medicare, and Medicare is secondary to commercial health insurance.

## Reimbursement Not Available

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Topic #2706

## Reimbursement Not Available

Wisconsin Medicaid may deny or recoup payment for covered services that fail to meet program requirements. Medicaid reimbursement is also not available for noncovered services.

The following are not reimbursable as PT (physical therapy), OT (occupational therapy), or SLP (speech and language pathology) services:

- Group PT.
- Group SLP for services other than expressive language, hearing auditory training, or receptive language.
- Facilitated communication.
- Auditory integration training.
- Services provided for the general good and welfare of members, including the following:
  - General exercises to promote overall fitness and flexibility.
  - Activities to provide diversion or general motivation.
- Crafts and other supplies used in OT services for inpatients in an institutional program.
- Formal educational services in academic and vocational subjects.
- Foot orthoses or orthopedic or corrective shoes for the following conditions:
  - Flattened arches, regardless of the underlying pathology.
  - Incomplete dislocation or subluxation metatarsalgia with no associated deformities.
  - Arthritis with no associated deformities.
  - Hypoallergenic conditions.
- DME (Durable medical equipment) that are not primarily medical in nature, including the following:
  - Air conditioners and air purifiers.
  - Auditory or listening music programs.
  - Baby or infant exercise saucers.
  - Ceiling lifts.
  - Cleaning and disinfectant supplies.
  - Cold air humidifiers.
  - Computers.
  - Copy machines.
  - Dehumidifiers.
  - Educational learning computer programs.
  - Electric page turners.
  - Emergency alert contact systems or services.
  - Exercise and fitness equipment (stationary bicycles, treadmills, pulleys, weights, exercise therapy mats, rowing machines, physioballs, therapy putty, or therapy bands).
  - Extended warranty.
  - Fax machine.
  - Home and environmental modifications (electronic or mechanical devices to control lighting, appliances, etc.).
  - Homemaking equipment (microwaves, food carts, cutting boards, or other adaptive equipment for cooking, cleaning, etc.).
  - Hydrocollator equipment or other devices for heat or cold, including hot and cold packs.
  - Hypoallergenic items including bedding.
  - Intercom monitors.

- Laptop computers.
- Lights, horns, flags, or signs for mobility bases.
- Pacemaker monitors.
- Playground and recreation equipment (swings, jungle gyms, tunnels, parachutes, obstacle courses, tricycles, or other adapted or specialized toys).
- Power door openers.
- Reading machines.
- Restraints.
- Ring walkers.
- Safety equipment (gait belts, harnesses, vests, alarm systems, wanderguard, medical alert bracelets or other types of monitoring equipment, or fences).
- Service animals.
- Telephone modems.
- Telephones, cellular phones, and speaker phones.
- Van or vehicle modifications.
- Video games.
- DME that are not generally accepted by the medical profession as being therapeutically effective. These items include heat and massage foam cushion pads.

Topic #695

## Reimbursement Not Available Through a Factor

BadgerCare Plus will not reimburse providers through a factor, either directly or by virtue of a power of attorney given to the factor by the provider. A factor is an organization (e.g., a collection agency) or person who advances money to a provider for the purchase or transfer of the provider's accounts receivable. The term "factor" does not include business representatives, such as billing services, clearinghouses, or accounting firms, which render statements and receive payments in the name of the provider.

Topic #51

## Services Not Separately Reimbursable

If reimbursement for a service is included in the reimbursement for the primary procedure or service, it is not separately reimbursable. For example, routine venipuncture is not separately reimbursable, but it is included in the reimbursement for the laboratory procedure or the laboratory test preparation and handling fee. Also, DME (durable medical equipment) delivery charges are included in the reimbursement for DME items.

Topic #2705

## Non-Face-to-Face Time

Only face-to-face time for PT (physical therapy), OT (occupational therapy), and SLP (speech and language pathology) services may be reimbursed by Wisconsin Medicaid. Non-face-to-face time is considered part of the provider's overhead cost and is not separately reimbursable. This includes, but is not limited to, the following:

- Communicating with other professionals, staff, or caregivers.
- Reviewing records, scoring evaluation tests, or writing reports.
- Travel time and expenses related to delivery of services, including services provided in a Birth to 3 member's natural environment.

# Resources

# 9

Archive Date:03/01/2013

## Resources:Contact Information

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Topic #476

### Member Services

Providers should refer ForwardHealth members with questions to [Member Services](#). The telephone number for Member Services is for member use only.

Topic #473

### Provider Relations Representatives

The Provider Relations representatives, also known as field representatives, conduct training sessions on various ForwardHealth topics for both large and small groups of providers and billers. In addition to provider education, field representatives are available to assist providers with complex billing and claims processing questions. Field representatives are located throughout the state to offer detailed assistance to all ForwardHealth providers and all ForwardHealth programs.

#### Field Representative Specialization

The field representatives are assigned to [specific regions](#) of the state. In addition, the field representatives have [specialized](#) in a group of provider types. This specialization allows the field representatives to most efficiently and effectively address provider inquiries. To better direct inquiries, providers should contact the field representative in [their region who specializes in their provider type](#).

#### Provider Education

The field representatives' primary focus is provider education. They provide information on ForwardHealth programs and topics in the following ways:

- Conducting provider training sessions throughout the state.
- Providing training and information for newly enrolled providers and/or new staff.
- Participating in professional association meetings.

Providers may also contact the field representatives if there is a specific topic, or topics, on which they would like to have an individualized training session. This could include topics such as use of the Portal (information about claims, enrollment verification, and PA (prior authorization) requests on the Portal). Refer to the [Providers Trainings page](#) for the latest information on training opportunities.

#### Additional Inquiries

Providers are encouraged to initially obtain information through the ForwardHealth Portal, WiCall, and Provider Services. If these attempts are not successful, field representatives may be contacted for the following types of inquiries:

- Claims, including discrepancies regarding enrollment verification and claim processing.
- PES (Provider Electronic Solutions) claims submission software.
- Claims processing problems that have not been resolved through other channels (e.g., telephone or written

correspondence).

- Referrals by a Provider Services telephone correspondent.
- Complex issues that require extensive explanation.

Field representatives primarily work outside their offices to provide on-site service; therefore, providers should be prepared to leave a complete message when contacting field representatives, including all pertinent information related to the inquiry. Member inquiries should not be directed to field representatives. Providers should refer members to [Member Services](#).

If contacting a field representative by e-mail, providers should ensure that no individually identifiable health information, known as PHI (protected health information), is included in the message. PHI can include things such as the member's name combined with his/her identification number or SSN (Social Security number).

## Information to Have Ready

Providers or their representatives should have the following information ready when they call:

- Name or alternate contact.
- County and city where services are provided.
- Name of facility or provider whom they are representing.
- NPI (National Provider Identifier) or provider number.
- Telephone number, including area code.
- A concise statement outlining concern.
- Days and times when available.

For questions about a specific claim, providers should also include the following information:

- Member's name.
- Member identification number.
- Claim number.
- DOS (date of service).

Topic #474

## Provider Services

Providers should call [Provider Services](#) to answer enrollment, policy, and billing questions. Members should call [Member Services](#) for information. Members should *not* be referred to Provider Services.

The Provider Services Call Center provides service-specific assistance to Medicaid, BadgerCare Plus, WCDP (Wisconsin Chronic Disease Program), and WWWP (Wisconsin Well Woman Program) providers.

## Ways Provider Services Can Help

The Provider Services Call Center is organized to include program-specific and service-specific assistance to providers. The Provider Services call center supplements the ForwardHealth Portal and WiCall by providing information on the following:

- Billing and claim submissions.
- Provider enrollment.
- COB (coordination of benefits) (e.g., verifying a member's other health insurance coverage).
- Assistance with completing forms.
- Assistance with remittance information and claim denials.
- Policy clarification.

- PA (prior authorization) status.
- Verifying covered services.

## Information to Have Ready

When contacting or transferring from WiCall to the call center, callers will be prompted to enter their NPI (National Provider Identifier) or provider ID. Additionally, to facilitate service, providers are recommended to have all pertinent information related to their inquiry on hand when contacting the call center, including:

- Provider name and NPI or provider ID.
- Member name and member identification number.
- Claim number.
- PA number.
- DOS (dates of service).
- Amount billed.
- RA (Remittance Advice).
- Procedure code of the service in question.
- Reference to any provider publications that address the inquiry.

## Call Center Correspondent Team

The ForwardHealth call center correspondents are organized to respond to telephone calls from providers. Correspondents offer assistance and answer inquiries specific to the program (i.e., Medicaid, WCDP, or WWWP) or to the service area (i.e., pharmacy services, hospital services) in which they are designated.

## Call Center Menu Options and Inquiries

Providers contacting Provider Services are prompted to select from the following menu options:

- WCDP and WWWP (for inquiries from all providers regarding WCDP or WWWP).
- Dental (for all inquiries regarding dental services).
- Medicaid or SeniorCare Pharmacy (for pharmacy providers) or STAT-PA (Specialized Transmission Approval Technology-Prior Authorization) for STAT-PA inquiries, including inquiries from pharmacies, DME (durable medical equipment) providers for orthopedic shoes, and HealthCheck providers for environmental lead inspections.
- Medicaid and BadgerCare Plus institutional services (for inquiries from providers who provide hospital, nursing home, home health, personal care, ESRD (end-stage renal disease), and hospice services or NIP (nurses in independent practice)).
- Medicaid and BadgerCare Plus professional services (for inquiries from all other providers not mentioned in the previous menu prompts).

## Walk-in Appointments

Walk-in appointments offer face-to-face assistance for providers at the Provider Services office. Providers are encouraged to contact the Provider Services Call Center to schedule a walk-in appointment.

## Written Inquiries

Providers may contact Provider Services through the Portal by selecting the "Contact Us" link. Provider Services will respond to the inquiry by the preferred method of response indicated within five business days. All information is transmitted via a secure connection to protect personal health information.

Providers may submit written inquiries to ForwardHealth by mail using the [Written Correspondence Inquiry \(F-01170 \(07/12\)\)](#) form. The Written Correspondence Inquiry form may be photocopied or downloaded via a link from the Portal. Written correspondence should be sent to the following address:

ForwardHealth  
Provider Services Written Correspondence  
313 Blettner Blvd  
Madison WI 53784

Providers are encouraged to use the other resources before mailing a written request to ForwardHealth. Provider Services will respond to written inquiries in writing unless otherwise specified.

Topic #475

## Provider Suggestions

The DHCAA (Division of Health Care Access and Accountability) is interested in improving its program for providers and members. Providers who would like to suggest a revision of any policy or procedure stated in provider publications or who wish to suggest new policies are encouraged to submit recommendations on the [Provider Suggestion \(F-1016 \(02/09\)\)](#) form.

Topic #4456

## Resources Reference Guide

The [Provider Services and Resources Reference Guide](#) lists services and resources available to providers and members with contact information and hours of availability.



## Provider Services and Resources

Services and resources, contact information, and hours of availability are effective after ForwardHealth implementation, unless otherwise noted.

|   |   |  |
|---|---|--|
| <b>ForwardHealth Portal</b>   | <b><a href="http://www.forwardhealth.wi.gov/">www.forwardhealth.wi.gov/</a></b> | <b>24 hours a day, seven days a week</b>                             |
| Public and secure access to ForwardHealth information with direct link to contact Provider Services for up-to-date access to ForwardHealth programs information, including publications, fee schedules, and forms.  |   |  |
| <b>WiCall Automated Voice Response System</b>   | <b>(800) 947-3544</b>   | <b>24 hours a day, seven days a week</b>                             |
| WiCall, the ForwardHealth Automated Voice Response system, provides responses to the following inquiries: <ul style="list-style-type: none"> <li>• Checkwrite.</li> <li>• Claim status.</li> <li>• Prior authorization.</li> <li>• Member enrollment.</li> </ul>  |   |  |
| <b>ForwardHealth Provider Services Call Center</b>  | <b>(800) 947-9627</b>   | <b>Monday through Friday, 7:00 a.m. to 6:00 p.m. (Central Time)*</b> |
| To assist providers in the following programs: <ul style="list-style-type: none"> <li>• BadgerCare Plus.</li> <li>• Medicaid.</li> <li>• SeniorCare.</li> <li>• Wisconsin Well Woman Medicaid.</li> <li>• Wisconsin Chronic Disease Program (WCDP).</li> <li>• Wisconsin Well Woman Program (WWWP).</li> <li>• Wisconsin Medicaid and BadgerCare Plus Managed Care Programs.</li> </ul> |   |  |
| <b>ForwardHealth Portal Helpdesk</b>  | <b>(866) 908-1363</b>   | <b>Monday through Friday, 8:30 a.m. to 4:30 p.m. (Central Time)*</b> |
| To assist providers and trading partners with technical questions regarding Portal functions and capabilities, including Portal accounts, registrations, passwords, and submissions through the Portal.   |   |  |
| <b>Electronic Data Interchange Helpdesk</b>   | <b>(866) 416-4979</b>   | <b>Monday through Friday, 8:30 a.m. to 4:30 p.m. (Central Time)*</b> |
| For providers, trading partners, billing services, and clearing houses with technical questions about the following: <ul style="list-style-type: none"> <li>• Electronic transactions.</li> <li>• Companion documents.</li> <li>• Provider Electronic Solutions (PES) software.</li> </ul>  |   |  |
| <b>Managed Care Ombudsman Program</b>   | <b>(800) 760-0001</b>   | <b>Monday through Friday, 7:00 a.m. to 6:00 p.m. (Central Time)*</b> |
| To assist managed care enrollees with questions about enrollment, rights, responsibilities, and general managed care information.   |   |  |
| <b>Member Services</b>  | <b>(800) 362-3002</b>   | <b>Monday through Friday, 7:00 a.m. to 6:00 p.m. (Central Time)*</b> |
| To assist ForwardHealth members or persons calling on behalf of members with program information and requirements, enrollment, finding certified providers, and resolving concerns.   |   |  |

\* With the exception of state-observed holidays.

## Electronic Data Interchange

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Topic #459

### Companion Guides and NCPDP Version D.0 Payer Sheet

Companion guides and the NCPDP (National Council for Prescription Drug Programs) version D.0 payer sheet are available for download on the ForwardHealth Portal.

#### Purpose of Companion Guides

ForwardHealth [companion guides and payer sheet](#) provide trading partners with useful technical information on ForwardHealth's standards for nationally recognized electronic transactions.

The information in companion guides and payer sheet applies to BadgerCare Plus, Medicaid, SeniorCare, WCDP (Wisconsin Chronic Disease Program), and WWWP (Wisconsin Well Woman Program). Companion guides and payer sheet are intended for information technology and systems staff who code billing systems or software.

The companion guides and payer sheet complement the federal HIPAA (Health Insurance Portability and Accountability Act of 1996) Implementation Guides and highlight information that trading partners need to successfully exchange electronic transactions with ForwardHealth, including general topics such as the following:

- Methods of exchanging electronic information (e.g., exchange interfaces, transaction administration, and data preparation).
- Instructions for constructing the technical component of submitting or receiving electronic transactions (e.g., claims, RA (Remittance Advice), and enrollment inquiries).

Companion guides and payer sheet do *not* include program requirements, but help those who create the electronic formats for electronic data exchange.

Companion guides and payer sheet cover the following specific subjects:

- Getting started (e.g., identification information, testing, and exchange preparation).
- Transaction administration (e.g., tracking claims submissions, contacting the [EDI \(Electronic Data Interchange\) Helpdesk](#)).
- Transaction formats.

#### Revisions to Companion Guides and Payer Sheet

Companion guides and payer sheet may be updated as a result of changes to federal requirements. When this occurs, ForwardHealth will do the following:

- Post the revised companion guides and payer sheet on the ForwardHealth Portal.
- Post a message on the banner page of the RA.
- Send an e-mail to trading partners.

Trading partners are encouraged to periodically check for revised companion guides and payer sheet on the Portal. If trading partners do not follow the revisions identified in the companion guides or payer sheet, transactions may not process successfully (e.g., claims may deny or process incorrectly).

A change summary located at the end of the revised companion guide lists the changes that have been made. The date on the

companion guide reflects the date the revised companion guide was posted to the Portal. In addition, the version number located in the footer of the first page is changed with each revision.

Revisions to the payer sheet are listed in Appendix A. The date on the payer sheet reflects the date the revised payer sheet was posted to the Portal.

Topic #460

## Data Exchange Methods

The following data exchange methods are supported by the [EDI \(Electronic Data Interchange\) Helpdesk](#):

- Remote access server dial-up, using a personal computer with a modem, browser, and encryption software.
- Secure Web, using an Internet Service Provider and a personal computer with a modem, browser, and encryption software.
- Real-time, by which trading partners exchange the NCPDP (National Council for Prescription Drug Programs) D.0, 270/271 (270/271 Health Care Eligibility/Benefit Inquiry and Information Response), 276/277 (276/277 Health Care Claim Status Request and Response), or 278 (278 Health Care Services Review — Request for Review and Response) transactions via an approved clearinghouse.

The EDI Helpdesk supports the exchange of the transactions for BadgerCare Plus, Medicaid, SeniorCare, WCDP (Wisconsin Chronic Disease Program), and WWWP (Wisconsin Well Woman Program).

Topic #461

## Electronic Data Interchange Helpdesk

The [EDI \(Electronic Data Interchange\) Helpdesk](#) assists anyone interested in becoming a trading partner with getting started and provides ongoing support pertaining to electronic transactions. Providers, billing services, and clearinghouses are encouraged to contact the EDI Helpdesk for test packets and/or technical questions.

Providers with policy questions should call [Provider Services](#).

Topic #462

## Electronic Transactions

HIPAA (Health Insurance Portability and Accountability Act of 1996) ASC (Accredited Standards Committee) X12 version 5010 companion guides and the NCPDP (National Council for Prescription Drug Programs) version D.0 payer sheet are available for download on the [HIPAA Version 5010 Companion Guides and NCPDP Version D.0 Payer Sheet page](#) of the ForwardHealth Portal.

Trading partners may submit claims and adjustment requests, inquire about member enrollment, claim status, and ForwardHealth payment advice by exchanging electronic transactions.

Through the [EDI \(Electronic Data Interchange\) Helpdesk](#), trading partners may exchange the following electronic transactions:

- 270/271 (270/271 Health Care Eligibility/Benefit Inquiry and Information Response). The 270 is the electronic transaction for inquiring about a member's enrollment. The 271 is received in response to the inquiry.
- 276/277 (276/277 Health Care Claim Status Request and Response). The 276 is the electronic transaction for checking

claim status. The 277 is received in response.

- 278 (278 Health Care Services Review - Request for Review and Response). The electronic transaction for health care service PA (prior authorization) requests.
- 835 (835 Health Care Claim Payment/Advice). The electronic transaction for receiving remittance information.
- 837 (837 Health Care Claim). The electronic transaction for submitting claims and adjustment requests.
- 999 (999 Functional Acknowledgment). The electronic transaction for reporting whether a transaction is accepted or rejected.
- TA1 InterChange Acknowledgment. The electronic transaction for reporting a transaction that is rejected for interChange-level errors.
- NCPDP D.0 Telecommunication Standard for Retail Pharmacy Claims. The real-time POS (Point-of-Sale) electronic transaction for submitting pharmacy claims.

Topic #463

## Provider Electronic Solutions Software

ForwardHealth offers electronic billing software at no cost to providers. PES (Provider Electronic Solutions) software allows providers to submit 837 (837 Health Care Claim) transactions and download the 999 (999 Functional Acknowledgment) and the 835 (835 Health Care Claim Payment/Advice) transactions. To obtain PES software, providers may download it from the [ForwardHealth Portal](#). For assistance installing and using PES software, providers may call the [EDI \(Electronic Data Interchange\) Helpdesk](#).

Topic #464

## Trading Partner Profile

A [Trading Partner Profile](#) must be completed and signed for each billing provider number that will be used to exchange electronic transactions.

In addition, billing providers who do not use a third party to exchange electronic transactions, billing services, and clearinghouses are required to complete a Trading Partner Profile.

To determine whether a Trading Partner Profile is required, providers should refer to the following:

- Billing providers who do not use a third party to exchange electronic transactions, including providers who use the PES (Provider Electronic Solutions) software, are required to complete the Trading Partner Profile.
- Billing providers who use a third party (billing services and clearinghouses) to exchange electronic transactions are required to submit a Trading Partner Profile.
- Billing services and clearinghouses, including those that use PES software, that are authorized by providers to exchange electronic transactions on a provider's behalf, are required to submit a Trading Partner Profile.

Providers who change billing services and clearinghouses or become a trading partner should keep their information updated by contacting the [EDI \(Electronic Data Interchange\) Helpdesk](#).

Topic #465

## Trading Partners

ForwardHealth exchanges nationally recognized electronic transactions with trading partners. A "trading partner" is defined as a covered entity that exchanges electronic health care transactions. The following covered entities are considered trading partners:

- Providers who exchange electronic transactions directly with ForwardHealth.
- Billing services and clearinghouses that exchange electronic transactions directly with ForwardHealth on behalf of a billing provider.

## Enrollment Verification

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Topic #256

### 270/271 Transactions

The [270/271 \(270/271 Health Care Eligibility/Benefit Inquiry and Information Response\)](#) transactions allow for batch enrollment verification, including information for the current benefit month or for any date of eligibility the member has on file, through a secure Internet connection. The 270 is the electronic transaction for inquiring about a member's enrollment. The 271 is received in response to the inquiry.

For those providers who are federally required to have an NPI (National Provider Identifier), an NPI is required on the 270/271 transactions. The NPI indicated on the 270 is verified to ensure it is associated with a valid enrollment on file with ForwardHealth. The 271 response will report the NPI that was indicated on the 270.

For those providers exempt from NPI, a provider ID is required on the 270/271 transactions. The provider ID indicated on the 270 is verified to ensure it is associated with a valid enrollment on file with ForwardHealth. The 271 response will report the provider ID that was indicated on the 270.

Topic #469

### An Overview

Providers should always verify a member's enrollment before providing services, both to determine enrollment for the current date (since a member's enrollment status may change) and to discover any limitations to the member's coverage. Each enrollment verification method allows providers to verify the following prior to services being rendered:

- A member's enrollment in a ForwardHealth program(s).
- State-contracted MCO (managed care organization) enrollment.
- Medicare enrollment.
- Limited benefits categories.
- Any other commercial health insurance coverage.
- Exemption from copayments for BadgerCare Plus members.

Topic #259

### Commercial Enrollment Verification Vendors

ForwardHealth has agreements with several [commercial enrollment verification vendors](#) to offer enrollment verification technology to ForwardHealth providers. Commercial enrollment verification vendors have up-to-date access to the ForwardHealth enrollment files to ensure that providers have access to the most current enrollment information. Providers may access Wisconsin's EVS (Enrollment Verification System) to verify member enrollment through one or more of the following methods available from commercial enrollment verification vendors:

- Magnetic stripe card readers.
- Personal computer software.
- Internet.

Vendors sell magnetic stripe card readers, personal computer software, Internet access, and other services. They also provide ongoing maintenance, operations, and upgrades of their systems. Providers are responsible for the costs of using these enrollment verification methods.

*Note:* Providers are *not* required to purchase services from a commercial enrollment verification vendor. For more information on other ways to verify member enrollment or for questions about ForwardHealth identification cards, contact [Provider Services](#).

The real-time enrollment verification methods allow providers to print a paper copy of the member's enrollment information, including a transaction number, for their records. Providers should retain this number or the printout as proof that an inquiry was made.

## Magnetic Stripe Card Readers

The magnetic stripe card readers resemble credit card readers. Some ForwardHealth identification cards have a magnetic stripe and signature panel on the back, and a unique, 16-digit card number on the front. The 16-digit card number is valid only for use with a magnetic card reader.

Providers receive current member enrollment information after passing the ForwardHealth card through the reader or entering the member identification number or card number into a keypad and entering the DOS (date of service) about which they are inquiring.

## Personal Computer Software

Personal computer software can be integrated into a provider's current computer system by using a modem and can access the same information as the magnetic stripe card readers.

## Internet Access

Some enrollment verification vendors provide real-time access to enrollment from the EVS through the Internet.

Topic #4903

## Copayment Information

If a member is enrolled in BadgerCare Plus or Wisconsin Medicaid and is exempt from paying copayments for services, providers will receive the following response to an enrollment query from all methods of enrollment verification:

- The name of the benefit plan.
- The member's enrollment dates.
- The message, "No Copay."

If a member is enrolled in BadgerCare Plus, Medicaid, or SeniorCare and is required to pay a copayment, the provider will be given the name of the benefit plan in which the member is enrolled and the member's enrollment dates for the benefit plan only.

*Note:* The BadgerCare Plus Core Plan may also charge different copayments for hospital services depending on the member's income level. Members identified as "BadgerCare Plus Core Plan 1" are subject to lower copayments for hospital services. Members identified as "BadgerCare Plus Core Plan 2" are subject to higher copayments for hospital services.

Topic #264

## Enrollment Verification System

Member enrollment issues are the primary reason claims are denied. To reduce claim denials, providers should *always* verify a member's enrollment before providing services, both to determine enrollment for the current date (since a member's enrollment status may change) and to discover any limitations to the member's coverage. Providers may want to verify the member's enrollment a second time before submitting a claim to find out whether the member's enrollment information has changed since the appointment.

Providers can access Wisconsin's EVS (Enrollment Verification System) to receive the most current enrollment information through the following methods:

- ForwardHealth Portal.
- [WiCall](#), Wisconsin's AVR (Automated Voice Response) system.
- Commercial enrollment verification vendors.
- 270/271 (270/271 Health Care Eligibility/Benefit Inquiry and Response) transactions.
- [Provider Services](#).

Providers cannot charge a member, or authorized person acting on behalf of the member, for verifying his or her enrollment.

The EVS does not indicate other government programs that are secondary to Wisconsin Medicaid.

Topic #4901

## Enrollment Verification on the Portal

The secure ForwardHealth Portal offers real-time member enrollment verification for all ForwardHealth programs. Providers will be able to use this tool to determine:

- The benefit plan(s) in which the member is enrolled.
- If the member is enrolled in a state-contracted managed care program (for Medicaid and BadgerCare Plus members).
- If the member has any other coverage, such as Medicare or commercial health insurance.
- If the member is exempted from copayments (BadgerCare Plus members only).

To access enrollment verification via the ForwardHealth Portal, providers will need to do the following:

- Go to the ForwardHealth Portal.
- Establish a provider account.
- Log into the secure Portal.
- Click on the menu item for enrollment verification.

Providers will receive a unique transaction number for each enrollment verification inquiry. Providers may access a history of their enrollment inquiries using the Portal, which will list the date the inquiry was made and the enrollment information that was given on the date that the inquiry was made. For a more permanent record of inquiries, providers are advised to use the "print screen" function to save a paper copy of enrollment verification inquiries for their records or document the transaction number at the beginning of the response, for tracking or research purposes. This feature allows providers to access enrollment verification history when researching claim denials due to enrollment issues.

The Provider Portal is available 24 hours a day, seven days a week.

Topic #4900



## Entering Dates of Service

Enrollment information is provided based on a "From" DOS (date of service) and a "To" DOS that the provider enters when making the enrollment inquiry. For enrollment inquiries, a "From" DOS is the earliest date for which the provider is requesting enrollment information and the "To" DOS is the latest date for which the provider is requesting enrollment information.

Providers should use the following guidelines for entering DOS when verifying enrollment for Wisconsin Medicaid, BadgerCare Plus, SeniorCare, or WCDP (Wisconsin Chronic Disease Program) members:

- The "From" DOS is the earliest date the provider requires enrollment information.
- The "To" DOS must be within 365 days of the "From" DOS.
- If the date of the request is prior to the 20th of the current month, then providers may enter a "From" DOS and "To" DOS up to the end of the current calendar month.
- If the date of the request is on or after the 20th of the current month, then providers may enter a "From" DOS and "To" DOS up to the end of the following calendar month.

For example, if the date of the request was November 15, 2008, the provider could request dates up to and including November 30, 2008. If the date of the request was November 25, 2008, the provider could request dates up to and including December 31, 2008.

Topic #265

## Member Forgets ForwardHealth Identification Card

Even if a member does not present a ForwardHealth identification card, a provider can use Wisconsin's EVS (Enrollment Verification System) to verify enrollment; otherwise, the provider may choose not to provide the service(s) until a member brings in a ForwardHealth card.

A provider may use a combination of the member's name, date of birth, ForwardHealth identification number, or SSN (Social Security number) with a "0" at the end to access enrollment information through the EVS.

A provider may call [Provider Services](#) with the member's full name and date of birth to obtain the member's enrollment information if the member's identification number or SSN is not known.

Topic #4899

## Member Identification Card Does Not Guarantee Enrollment

Most members receive a member identification card, but possession of a program identification card does not guarantee enrollment. Periodically, members may become ineligible for enrollment, only to re-enroll at a later date. Members are told to keep their cards even though they may have gaps in enrollment periods. It is possible that a member will present a card when he or she is not enrolled; therefore, it is essential that providers verify enrollment before providing services. To reduce claim denials, it is important that providers verify the following information prior to each DOS (date of service) that services are provided:

- If a member is enrolled in any ForwardHealth program, including benefit plan limitations.
- If a member is enrolled in a managed care organization.
- If a member is in primary provider lock-in status.
- If a member has Medicare or other insurance coverage.

Topic #4898

## Responses Are Based on Financial Payer

When making an enrollment inquiry through Wisconsin's EVS (Enrollment Verification System), the returned response will provide information on the member's enrollment in benefit plans based on financial payers.

There are three financial payers under ForwardHealth:

- Medicaid (Medicaid is the financial payer for Wisconsin Medicaid, BadgerCare Plus, and SeniorCare).
- WCDP (Wisconsin Chronic Disease Program).
- WWWP (Wisconsin Well Woman Program).

Within each financial payer are benefit plans. Each member is enrolled under at least one of the three financial payers, and under each financial payer, is enrolled in at least one benefit plan. An individual member may be enrolled under more than one financial payer. (For instance, a member with chronic renal disease may have health care coverage under the BadgerCare Plus Standard Plan and the WCDP chronic renal disease program. The member is enrolled under two financial payers, Medicaid and WCDP.) Alternatively, a member may have multiple benefits under a single financial payer. (For example, a member may be covered by the TB-Only (Tuberculosis-Related Services Only) Benefit and Family Planning Only Services at the same time, both of which are administered by Medicaid.)

## Forms

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Topic #767

### An Overview

ForwardHealth requires providers to use a variety of forms for PA (prior authorization), claims processing, and documenting special circumstances.

Topic #470

### Fillable Forms

Most forms may be obtained from the [Forms](#) page of the ForwardHealth Portal.

Forms on the Portal are available as fillable PDF (Portable Document Format) files, which can be viewed with Adobe Reader<sup>®</sup> computer software. Providers may also complete and print fillable PDF files using Adobe Reader<sup>®</sup>.

To complete a fillable PDF, follow these steps:

- Select a specific form.
- Save the form to the computer.
- Use the "Tab" key to move from field to field.

*Note:* The Portal provides instructions on how to obtain Adobe Reader<sup>®</sup> at no charge from the Adobe<sup>®</sup> Web site. Adobe Reader<sup>®</sup> only allows providers to view and print completed PDFs. It does not allow users to save completed fillable PDFs to their computer; however, if Adobe Acrobat<sup>®</sup> is purchased, providers may save completed PDFs to their computer. Refer to the [Adobe<sup>®</sup> Web site](#) for more information about fillable PDFs.

Selected forms are also available in fillable Microsoft<sup>®</sup> Word format on the Portal. The fillable Microsoft<sup>®</sup> Word format allows providers to complete and print the form using Microsoft<sup>®</sup> Word. To complete a fillable Microsoft<sup>®</sup> Word form, follow these steps:

- Select a specific form.
- Save the form to the computer.
- Use the "Tab" key to move from field to field.

*Note:* Providers may save fillable Microsoft<sup>®</sup> Word documents to their computer by choosing "Save As" from the "File" menu, creating a file name, and selecting "Save" on their desktop.

Topic #766

### Telephone or Mail Requests

Providers who do not have Internet access or who need forms that are not available on the ForwardHealth Portal may obtain them by doing either of the following:

- Requesting a paper copy of the form by calling [Provider Services](#). Questions about forms may also be directed to Provider Services.
- Submitting a written request and mailing it to ForwardHealth. Include a return address, the name of the form, and the form number and send the request to the following address:

ForwardHealth  
Form Reorder  
313 Blettner Blvd  
Madison WI 53784

## Portal

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Topic #4904

### Claims and Adjustments Using the ForwardHealth Portal

Providers can [track the status](#) of their submitted claims, [submit individual claims](#), correct errors on claims, copy claims, and determine what claims are in "pay" status on the ForwardHealth Portal. Providers have the ability to [search for and view](#) the status of all their finalized claims, regardless of how they were submitted (i.e., paper, electronic, clearinghouse). If a claim contains an error, providers can correct it on the Portal and resubmit it to ForwardHealth.

Providers can submit an individual claim or adjust a claim through DDE (Direct Data Entry) through the secure Portal.

Topic #8524

### Conducting Revalidation Via the ForwardHealth Portal

Providers can conduct [revalidation](#) online via a secure revalidation area of the ForwardHealth Portal.

Topic #5157

### Cost Share Reports for Long-Term Managed Care Organizations

Individual cost share reports for long-term care MCOs (managed care organizations) that provide Family Care, Family Care Partnership, and PACE (Program of All-Inclusive Care for the Elderly) services are available via the secure area of the ForwardHealth Portal and can be downloaded as an Excel file.

Topic #4345

### Creating a Provider Account

Each provider needs to designate one individual as an administrator of the ForwardHealth Portal account. This user establishes the administrative account once his or her PIN (personal identification number) is received. The administrative user is responsible for this provider account and is able to add accounts for other users (clerks) within his or her organization and assign security roles to clerks that have been established. To establish an administrative account after receiving a PIN, the administrative user is required to follow these steps:

1. Go to the ForwardHealth Portal.
2. Click the **Providers** button.
3. Click **Logging in for the first time?**.
4. Enter the Login ID and PIN. The Login ID is the provider's NPI or provider number.
5. Click **Setup Account**.
6. At the Account Setup screen, enter the user's information in the required fields.

7. Read the security agreement and click the checkbox to indicate agreement with its contents.
8. Click **Submit** when complete.

Once in the secure Provider area of the Portal, the provider may conduct business online with ForwardHealth via a secure connection. Providers may also perform the following administrative functions from the Provider area of the Portal:

- Establish accounts and define access levels for clerks.
- Add other organizations to the account.
- Switch organizations.

Refer to the Account User Guide on the [Portal User Guides page](#) of the Portal for more detailed instructions on performing these functions.

Topic #4340

## Designating a Trading Partner to Receive 835 Health Care Claim Payment/Advice Transactions

Providers must designate a trading partner to receive their 835 (835 Health Care Claim Payment/Advice) transaction for ForwardHealth interChange.

Providers who wish to submit their [835](#) designation via the Portal are required to create and establish a provider account to have access to the secure area of the Portal.

To designate a trading partner to receive 835 transactions, providers must first complete the following steps:

- Access the Portal and log into their secure account by clicking the Provider link/button.
- Click on the Designate 835 Receiver link on the right-hand side of the secure home page.
- Enter the identification number of the trading partner that is to receive the 835 in the Trading Partner ID field.
- Click Save.

Providers who are unable to use the Portal to designate a trading partner to receive 835 transactions may call the [EDI \(Electronic Data Interchange\) Helpdesk](#) or submit a [paper \(Trading Partner 835 Designation, F-13393 \(07/12\)\)](#) form.

Topic #5087

## Electronic Communications

The secure ForwardHealth Portal contains a one-way message center where providers can receive electronic notifications and provider publications from ForwardHealth. All new messages display on the provider's main page within the secure Portal.

Topic #5088

## Enrollment Verification

The secure ForwardHealth Portal offers real time member [enrollment verification](#) for all ForwardHealth programs. Providers are able to use this tool to determine:

- The health care program(s) in which the member is enrolled.

- Whether or not the member is enrolled in a state-contracted MCO (managed care organization).
- Whether or not the member has any third-party liability, such as Medicare or commercial health insurance.
- Whether or not the member is enrolled in the [Pharmacy Services Lock-In Program](#) and the member's Lock-In pharmacy, primary care provider, and referral providers (if applicable).

Using the Portal to check enrollment may be more effective than calling [WiCall](#) or the EVS (Enrollment Verification System) (although both are available).

Providers are assigned a unique enrollment verification number for each inquiry. Providers can also use the "print screen" function to print a paper copy of enrollment verification inquiries for their records.

Topic #4338

## ForwardHealth Portal

Providers, members, trading partners, managed care programs, and partners have access to public *and* secure information through the ForwardHealth Portal.

The Portal has the following areas:

- Providers (public and secure).
- Trading Partners.
- Members.
- MCO (managed care organization).
- Partners.

The secure Portal allows providers to conduct business and exchange electronic transactions with ForwardHealth. The public Portal contains general information accessible to all users. Members can access general health care program information and apply for benefits [online](#).

Topic #4441

## ForwardHealth Portal Helpdesk

Providers and trading partners may call the [ForwardHealth Portal Helpdesk](#) with technical questions on Portal functions, including their Portal accounts, registrations, passwords, and submissions through the Portal.

Topic #4451

## Inquiries to ForwardHealth Via the Portal

Providers are able to contact Provider Services through the ForwardHealth Portal by clicking the [Contact](#) link and entering the relevant inquiry information, including selecting the preferred method of response (i.e., telephone call or e-mail). Provider Services will respond to the inquiry by the preferred method of response indicated within five business days.

Topic #4400

## Internet Connection Speed

ForwardHealth recommends providers have an Internet connection that will provide an upload speed of at least 768 Kbps and a download speed of at least 128 Kbps in order to efficiently conduct business with ForwardHealth via the Portal.

For [PES \(Provider Electronic Solutions\)](#) users, ForwardHealth recommends an Internet connection that will provide a download speed of at least 128 Kbps for downloading PES software and software updates from the Portal.

These download speeds are generally not available through a dial-up connection.

Topic #4351

## Logging in to the Provider Area of the Portal

Once an administrative user's or other user's account is set up, he or she may log in to the Provider area of the ForwardHealth Portal to conduct business. To log in, the user is required to click the "Provider" link or button, then enter his or her username and password and click "Go" in the Login to Secure Site box at the right side of the screen.

Topic #4743

## Managed Care Organization Portal

### Information and Functions Through the Portal

The [MCO \(managed care organization\) area](#) of the ForwardHealth Portal allows state-contracted MCOs to conduct business with ForwardHealth. The Public MCO page offers easy access to key MCO information and Web tools. A log-in is required to access the secure area of the Portal to submit or retrieve account and member information which may be sensitive.

The following information is available through the Portal:

- Listing of all Medicaid-enrolled providers.
- Coordination of Benefits Extract/Insurance Carrier Master List information updated quarterly.
- Data Warehouse, which is linked from the Portal to Business Objects. The Business Objects function allows for access to MCO data for long term care MCOs.
- Electronic messages.
- Enrollment verification by entering a member ID or SSN (Social Security number) with date of birth and a "from DOS (date of service)" and a "to DOS" range. A transaction number is assigned to track the request.
- Member search function for retrieving member information such as medical status code, and managed care and Medicare information.
- Provider search function for retrieving provider information such as address, telephone number, provider ID, taxonomy code (if applicable), and provider type and specialty.
- HealthCheck information.
- MCO contact information.
- Technical contact information. Entries may be added via the Portal.

Topic #5158

## Managed Care Organization Portal Reports

The following reports are generated to MCOs (managed care organizations) through their account on the ForwardHealth MCO Portal:



- Capitation Payment Listing Report.
- Cost Share Report (long-term MCOs only).
- Enrollment Reports.

MCOs are required to establish a Portal account in order to receive reports from ForwardHealth.

## Capitation Payment Listing Report

The Capitation Payment Listing Report provides "payee" MCOs with a detailed listing of the members for whom they receive capitation payments. ForwardHealth interChange creates adjustment transaction information weekly and regular capitation transaction information monthly. The weekly batch report includes regular and adjustment capitation transactions. MCOs have the option of receiving both the Capitation Payment Listing Report and the 820 Payroll Deducted and Other Group Premium Payment for Insurance Products transactions.

## Initial Enrollment Roster Report

The Initial Enrollment Roster Report is generated according to the annual schedules detailing the number of new and continuing members enrolled in the MCO and those disenrolled before the next enrollment month.

## Final Enrollment Roster Report

The Final Enrollment Roster Report is generated the last business day of each month and includes members who have had a change in status since the initial report and new members who were enrolled after the Initial Enrollment Roster Report was generated.

## Other Reports

Additional reports are available for BadgerCare Plus HMOs, SSI HMOs, and long-term MCOs. Some are available via the Portal and some in the secure FTP (file transfer protocol).

Topic #4744

## Members ForwardHealth Portal

Members can access ForwardHealth information by going to the ForwardHealth Portal. Members can search through a directory of providers by entering a ZIP code, city, or county. Members can also access all member-related ForwardHealth applications and forms. Members can use [ACCESS](#) to check availability, apply for benefits, check current benefits, and report any changes.

Topic #4344

## Obtaining a Personal Identification Number

To establish an account on the ForwardHealth Portal, providers are required to obtain a PIN (personal identification number). The PIN is a unique, nine-digit number assigned by ForwardHealth interChange for the sole purpose of allowing a provider to establish a Portal account. It is used in conjunction with the provider's login ID. Once the Portal account is established, the provider will be prompted to create a username and password for the account, which will subsequently be used to log in to the Portal.

*Note:* The PIN used to create the provider's Portal account is not the same PIN used for revalidation. Providers will receive a

separate PIN for revalidation.

A provider may need to request more than one PIN if he or she is a provider for more than one program or has more than one type of provider enrollment. A separate PIN will be needed for each provider enrollment. Health care providers will need to supply their NPI (National Provider Identifier) and corresponding taxonomy code when requesting an account. Non-healthcare providers will need to supply their unique provider number.

Providers may request a PIN by following these steps:

1. Go to the [Portal](#).
2. Click on the "Providers" link or button.
3. Click the "Request Portal Access" link from the Quick Links box on the right side of the screen.
4. At the Request Portal Access screen, enter the following information:
  - a. Health care providers are required to enter their NPI and click "Search" to display a listing of ForwardHealth enrollments. Select the correct enrollment for the account. The taxonomy code, ZIP+4 code, and financial payer for that enrollment will be automatically populated. Enter the SSN (Social Security number) or TIN (Tax Identification Number).
  - b. Non-healthcare providers are required to enter their provider number, financial payer, and SSN or TIN. (This option should only be used by non-healthcare providers who are exempt from NPI requirements).

The financial payer is one of the following:

- Medicaid (Medicaid is the financial payer for Wisconsin Medicaid, BadgerCare Plus, and Senior Care).
  - SSI (Supplemental Security Income).
  - WCDP (Wisconsin Chronic Disease Program).
  - The WWWP (Wisconsin Well Woman Program).
- c. Click **Submit**.
  - d. Once the Portal Access Request is successfully completed, ForwardHealth will send a letter with the provider's PIN to the address on file.

Topic #4459

## Online Handbook

The Online Handbook allows providers access to all policy and billing information for Wisconsin Medicaid, BadgerCare Plus, and WCDP (Wisconsin Chronic Disease Program) in one centralized place. A secure ForwardHealth Portal account is not required to use the Online Handbook as it is available to all Portal visitors.

Revisions to policy information are incorporated immediately after policy changes have been issued in *ForwardHealth Updates*. The Online Handbook also links to the [ForwardHealth Publications page](#), an archive section that providers can use to research past policy and procedure information.

The Online Handbook, which is available through the public area of the Portal, is designed to sort information based on user-entered criteria, such as program and provider type. It is organized into sections and chapters. Sections within each handbook may include the following:

- Claims.
- Coordination of Benefits.
- Managed Care.
- Member Information.
- Prior Authorization.
- Provider Enrollment and Ongoing Responsibilities.

- Reimbursement.
- Resources.

Each section consists of separate chapters (e.g., claims submission, procedure codes), which contain further detailed information.

## Advanced Search Function

The Online Handbook has an advanced search function, which allows providers to search for a specific word or phrase within a user type, program, service area, or throughout the entire Online Handbook.

Providers can access the advanced search function by following these steps:

1. Go to the Portal.
2. Click the "Online Handbooks" link in the upper left "Providers" box.
3. Complete the two drop-down selections at the right to narrow the search by program and service area, if applicable. This is not needed if providers wish to search the entire Online Handbook.
4. Click "Advanced Search" to open the advanced search options.
5. Enter the word or phrase you would like to search.
6. Select "Search within the options selected above" or "Search all handbooks, programs and service areas."
7. Click the "Search" button.

## ForwardHealth Publications Archive Area

The ForwardHealth Publications page of the Online Handbook allows providers to view old *Updates* and previous versions of the Online Handbook.

Providers can access the archive information area by following these steps:

1. Go to the Portal.
2. Click the "Online Handbooks" link in the upper left "Providers" box.
3. Click on the "Updates and Handbooks" link. (This link is below the three drop-down menus.)

Topic #5089

## Other Business Enhancements Available on the Portal

The secure Provider area of the ForwardHealth Portal enables providers to do the following:

- Verify member enrollment.
- View RAs (Remittance Advice).
- Designate which trading partner is eligible to receive the provider's 835 (835 Health Care Claim Payment/Advice).
- Update and maintain provider file information. Providers have the choice to indicate separate addresses for different business functions.
- Receive electronic notifications and provider publications from ForwardHealth.
- Enroll in EFT (electronic funds transfer).
- Track provider-submitted PA (prior authorization) requests.

Topic #4911

## Portal Account Administrators

Portal administrators are responsible for requesting, creating, and managing accounts to access these features for their organization.

There must be one administrator assigned for each Portal account and all users established for that account. The responsibilities of the Portal administrator include:

- Ensuring the security and integrity of all user accounts (clerk administrators and clerks) created and associated with their Portal account.
- Ensuring clerks or clerk administrators are given the appropriate authorizations they need to perform their functions for the provider, trading partner, or MCO (managed care organization).
- Ensuring that clerks or clerk administrator accounts are removed/deleted promptly when the user leaves the organization.
- Ensuring that the transactions submitted are valid and recognized by ForwardHealth.
- Ensuring that all users they establish know and follow security and guidelines as required by HIPAA (Health Insurance Portability and Accountability Act of 1996). As Portal administrators establish their Portal account and create accounts for others to access private information, administrators are reminded that all users must comply with HIPAA. The HIPAA privacy and security rules require that the confidentiality, integrity, and availability of PHI (protected health information) are maintained at all times. The HIPAA Privacy Rule provides guidelines governing the disclosure of PHI. The HIPAA Security Rule delineates the security measures to be implemented for the protection of electronic PHI. If Portal administrators have any questions concerning the protection of PHI, visit the Portal for additional information.

Portal administrators have access to all secure functions for their Portal account.

## **Establish an Administrator Account**

All Portal accounts require an administrator account. The administrator is a selected individual who has overall responsibility for management of the account. Therefore, he or she has complete access to all functions within the specific secure area of his or her Portal and are permitted to add, remove, and manage other individual roles.

Topic #4912

## **Portal Clerk Administrators**

A Portal administrator may choose to delegate some of the authority and responsibility for setting up and managing the users within their ForwardHealth Portal account. If so, the Portal administrator may establish a clerk administrator. An administrator or clerk administrator can create, modify, manage or remove clerks for a Portal account. When a clerk is created, the administrator or clerk administrator must grant permissions to the clerks to ensure they have the appropriate access to the functions they will perform. A clerk administrator can only grant permissions that they themselves have. For example, if an administrator gives a clerk administrator permission only for enrollment verification, then the clerk administrator can only establish clerks with enrollment verification permissions.

Even if a Portal administrator chooses to create a clerk administrator and delegate the ability to add, modify, and remove users from the same account, the Portal administrator is still responsible for ensuring the integrity and security of the Portal account.

Topic #4913

## **Portal Clerks**

The administrator (or the clerk administrator if the administrator has granted them authorization) may set up clerks within their ForwardHealth Portal account. Clerks may be assigned one or many roles (i.e., claims, PA (prior authorization), member enrollment verification). Clerks do not have the ability to establish, modify, or remove other accounts.

Once a clerk account is set up, the clerk account does not have to be established again for a separate Portal account. Clerks can easily be assigned a role for different Portal accounts (i.e., different ForwardHealth enrollments). To perform work under a different Portal account for which they have been granted authorization, a clerk can use the "switch org" function and toggle between the Portal accounts to which they have access. Clerks may be granted different authorization in each Portal account (i.e., they may do member enrollment verification for one Portal account, and HealthCheck inquires for another).

Topic #4740

## Public Area of the Provider Portal

The public Provider area of the ForwardHealth Portal offers a variety of important business features and functions that will greatly assist in daily business activities with ForwardHealth programs.

### Maximum Allowable Fee Schedules

Within the Portal, all [maximum allowable fee schedules](#) for Medicaid, BadgerCare Plus, and WCDP (Wisconsin Chronic Disease Program) are interactive and searchable. Providers can enter the DOS (date of service), along with other information such as procedure code, category of supplies, or provider type, to find the maximum allowable fee. Providers can also download all fee schedules.

### Online Handbook

The Online Handbook is the single source for all current policy and billing information for ForwardHealth. The Online Handbook is designed to sort information based on user-entered criteria, such as program and provider type.

Revisions to policy information are incorporated into the Online Handbook in conjunction with published *Updates*. The Online Handbook also links to the [ForwardHealth Publications page](#), an archive section where providers can research previously published *Updates*.

### ForwardHealth Publications Archive Section

The [ForwardHealth Publications page](#), available via the Quick Links box, lists *Updates*, *Update Summaries*, archives of provider Handbooks and provider guides, and monthly archives of the Online Handbook. The ForwardHealth Publications page contains both current and obsolete information for research purposes only. Providers should use the Online Handbook for current policy and procedure questions. The *Updates* are searchable by provider type or program (e.g., physician or HealthCheck "Other Services") and by year of publication.

### Training

Providers can register for all scheduled trainings and view online trainings via the [Portal Training page](#), which contains an up-to-date calendar of all available training. Additionally, providers can view [Webcasts](#) of select trainings.

### Contacting Provider Services

Providers and other Portal users will have an additional option for contacting Provider Services through the Contact link on the Portal. Providers can enter the relevant inquiry information, including selecting the preferred method of response (i.e., telephone call or e-mail) the provider wishes to receive back from Provider Services. Provider Services will respond to the inquiry within five business days. Information will be submitted via a secure connection.

## Online Enrollment

Providers can speed up the enrollment process for Medicaid by completing a [provider enrollment application](#) via the Portal. Providers can then track their application by entering their ATN (application tracking number) given to them on completion of the application.

## Other Business Enhancements Available on the Portal

The public Provider area of the Portal also includes the following features:

- A "[What's New?](#)" section for providers that links to the latest provider publication summaries and other new information posted to the Provider area of the Portal.
- Home page for the provider. Providers have administrative control over their Portal homepage and can grant other employees access to specified areas of the Portal, such as claims and PA (prior authorization).
- [E-mail subscription](#) service for *Updates*. Providers can register for e-mail subscription to receive notifications of new provider publications via e-mail. Users are able to select, by program and service area, which publication notifications they would like to receive.
- A [forms library](#).

Topic #4741

## Secure Area of the Provider Portal

Providers can accomplish many processes via the ForwardHealth Portal, including submitting, adjusting, and correcting claims, submitting and amending PA (prior authorization) requests, and verifying enrollment.

## Claims and Adjustments Using the Portal

Providers can track the status of their submitted claims, submit individual claims, correct errors on claims, and determine what claims are in "pay" status on the Portal. Providers have the ability to search for and view the status of all of their finalized claims, regardless of how they were submitted (i.e., paper, electronic, clearinghouse). If a claim contains an error, providers can correct it on the Portal and resubmit it to ForwardHealth.

Providers can submit an individual claim or adjust a claim via DDE (Direct Data Entry) through the secure Portal.

## Submitting Prior Authorization and Amendment Requests Via the Portal

Nearly all service areas can submit PA requests via the Portal. Providers can do the following:

- Correct errors on PA or amendment requests via the Portal, regardless of how the PA request was originally submitted.
- View all recently submitted and finalized PA and amendment requests.
- Save a partially completed PA request and finish completing it at a later time. (*Note:* Providers are required to submit or re-save a PA request within 30 calendar days of the date the PA request was last saved.)
- View all saved PA requests and select any to continue completing or delete.
- View the latest provider review and decision letters.
- Receive messages about PA and amendment requests that have been adjudicated or returned for provider review.

## Electronic Communications

The secure Portal contains a one-way message center where providers can receive electronic notifications and provider

publications from ForwardHealth. All new messages display on the provider's main page within the secure Portal.

## Enrollment Verification

The secure Portal offers real-time member [enrollment verification](#) for all ForwardHealth programs. Providers are able to use this tool to determine:

- The health care program(s) in which the member is enrolled.
- Whether or not the member is enrolled in a state-contracted MCO (managed care organization).
- Whether or not the member has any third-party liability, such as Medicare or commercial health insurance.

Using the Portal to check enrollment may be more efficient than calling the AVR (Automated Voice Response) system or the EVS (Enrollment Verification System) (although both are available).

Providers will be assigned a unique enrollment verification number for each inquiry. Providers can also use the "print screen" function to print a paper copy of enrollment verification inquiries for their records.

## Other Business Enhancements Available on the Portal

The secure Provider area of the Portal enables providers to do the following:

- Verify member enrollment.
- View RAs (Remittance Advices).
- Designate which trading partner is eligible to receive the provider's 835 (835 Health Care Claim Payment/Advice) transaction.
- Update and maintain provider file information. Providers will have the choice to indicate separate addresses for different business functions.
- Receive electronic notifications and provider publications from ForwardHealth.
- Enroll in EFT (electronic funds transfer).
- Track provider-submitted PA requests.

Topic #4905

## Submitting Prior Authorization and Amendment Requests Via the Portal

Nearly all service areas can [submit PA \(prior authorization\)](#) requests via the ForwardHealth Portal. Providers can do the following:

- [Correct errors](#) on PAs or amendment requests via the Portal, regardless of how the PA was originally submitted.
- [View all recently submitted](#) and finalized PAs and amendment requests.
- View the latest provider review and decision letters.
- [Receive messages](#) about PA and amendment requests that have been adjudicated or returned for provider review.

Topic #4401

## System and Browser Requirements

The following table lists the recommended system and browser requirements for using the ForwardHealth Portal. PES (Provider

Electronic Solutions) users should note that the Windows-based requirements noted in the table apply; PES cannot be run on Apple-based systems.

| Recommended System Requirements   | Recommended Browser Requirements   |
|---|--|
| <b>Windows-Based Systems</b>  |  |
| Computer with at least a 500Mhz processor, 256 MB of RAM, and 100MB of free disk space        | Microsoft Internet Explorer v. 6.0 or higher, or<br>Firefox v. 1.5 or higher |
| Windows XP or higher operating system   |  |
| <b>Apple-Based Systems</b>  |  |
| Computer running a PowerPC G4 or Intel processor, 512 MB of RAM, and 150MB of free disk space | Safari, or<br>Firefox v. 1.5 or higher                                       |
| Mac OS X 10.2.x or higher operating system  |  |

Topic #4742

## Trading Partner Portal

The following information is available on the public [Trading Partner](#) area of the ForwardHealth Portal:

- Trading partner [testing packets](#).
- [Trading Partner Profile](#) submission.
- [PES \(Provider Electronic Solutions\)](#) software and upgrade information.
- EDI (Electronic Data Interchange) [companion guides](#).

In the secure Trading Partner area of the Portal, trading partners can exchange electronic transactions with ForwardHealth.

Trading partners using PES should be sure to enter the Web logon and Web password associated with the ForwardHealth trading partner ID that will be used on PES transactions. Prior to submitting transactions through PES, trading partners must also make sure their trading partner account is entered as the "Default Provider ID" on the Switch Organization page of the secure Trading Partner account on the Portal.



# Training Opportunities

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Topic #12757

## Training Opportunities

The [Provider Relations representatives](#) conduct training sessions in a variety of formats on both program-specific and topic-specific subjects. There is no fee for attending/accessing these training sessions.

### On-Site Sessions

On-site training sessions are offered at various locations (e.g., hotel conference rooms, provider facilities) throughout the state. These training sessions include general all-provider sessions, service-specific and/or topic-specific sessions, and program-specific (such as WCDP (Wisconsin Chronic Disease Program) or the WWWP (Wisconsin Well Woman Program)) sessions.

Registration is required to attend on-site sessions. Online registration is available on the [Trainings](#) page of the Providers area of the Portal.

### Online (Real-Time, Web-Based) Sessions

Online (real-time, Web-based) training sessions are available and are facilitated through [HP® Virtual Room](#). Virtual Room sessions are offered on many of the same topics as on-site sessions, but online sessions offer the following advantages:

- Participants can attend training at their own computers without leaving the office.
- Sessions are interactive as participants can ask questions during the session.
- If requested or needed, a session can be quickly organized to cover a specific topic for a small group or office.

For some larger training topics (such as ForwardHealth Portal Fundamentals), the training may be divided into individual modules, with each module focused on a particular subject. This allows participants to customize their training experience.

Registration, including an e-mail address, is required to attend Virtual Room sessions, so important session information can be sent to participants prior to the start of the session. Online registration is available on the [Trainings](#) page of the Portal.

### Recorded Webcasts

Recorded Webcasts are available on a variety of topics, including some of the same topics as on-site and online sessions. Like Virtual Room sessions, some recorded Webcasts on larger training topics may be divided into individual Webcast modules, allowing participants to customize their training experience. Recorded Webcasts allow providers to view the training at their convenience on their own computers.

Registration is not required to view a recorded Webcast. Related training materials are available to download and print from the specific [Webcast training session page](#) on the Portal.

### Notification of Training Opportunities

In addition to information on the Trainings page of the Portal, upcoming training session information is distributed directly through messages to providers who have secure Portal accounts and to providers who have registered for the ForwardHealth e-mail subscription service.

To sign up for a secure Portal account, click the "Request Portal Access" link in the Quick Links box on the [Provider](#) page of the Portal. To sign up for e-mail subscription, click "Register for E-mail Subscription" in the Quick Links box on the Provider page of the Portal.

## Updates

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Topic #4460

### Full Text Publications Available

Providers may request full-text versions of *ForwardHealth Updates* to be mailed to them by calling [Provider Services](#).

Topic #478

### General Information

*ForwardHealth Updates* are the first source of provider information. *Updates* announce the latest information on policy and coverage changes, PA (prior authorization) submission requirements, claims submission requirements, and training announcements.

The *ForwardHealth Update Summary* is posted to the ForwardHealth Portal on a monthly basis and contains an overview of *Updates* published that month. Providers with a ForwardHealth Portal account are notified through their Portal message box when the *Update Summary* is available on the Portal.

*Updates* included in the *Update Summary* are posted in their entirety on the Provider area of the Portal. Providers may access *Updates* from direct links in the electronic *Update Summary* as well as navigate to other Medicaid information available on the Portal.

Providers without Internet access may call [Provider Services](#) to request a paper copy of an *Update*. To expedite the call, correspondents will ask providers for the *Update* number. Providers should allow seven to 10 business days for delivery.

Revisions to policy information are incorporated into the Online Handbook in conjunction with published *Updates*. The Online Handbook also includes a link to the [ForwardHealth Publications page](#), an archive section where providers can research previously published *Updates*.

Topic #4458

### Multiple Ways to Access ForwardHealth Publications

Users may register for e-mail subscription service. Providers who have established a ForwardHealth Portal account will automatically receive notification of *ForwardHealth Updates* and the monthly *ForwardHealth Update Summary* in their Portal message box. Providers will receive notification via their Portal accounts or e-mail subscription.

#### E-mail Subscription Service

Providers and other interested parties may register for e-mail subscription on the Portal to receive e-mail notifications of new provider publications. Users are able to select, by program (Wisconsin Medicaid, BadgerCare Plus, or WCDP (Wisconsin Chronic Disease Program)) and provider type (e.g., physician, hospital, DME (durable medical equipment) vendor), and which publication notifications they would like to receive. Any number of staff or other interested parties from an organization may sign up for an e-mail subscription.

Users may sign up for an e-mail subscription by following these steps:

1. Click the Providers link on the [ForwardHealth Portal](#).
2. In the Quick Links section on the right side of the screen, click Register for E-mail Subscription.
3. The Subscriptions page will be displayed. In the E-Mail field in the New Subscriber section, enter the e-mail address to which messages should be sent.
4. Enter the e-mail address again in the Confirm E-Mail field.
5. Click Register. A message will be displayed at the top of the Subscriptions page indicating the registration was successful. If there are any problems with the registration, an error message will be displayed instead.
6. Once registration is complete, click the program for which you want to receive messages in the Available Subscriptions section of the Subscriptions page. The selected program will expand and a list of service areas will be displayed.
7. Select the service area(s) for which you want to receive messages. Click Select All if you want to receive messages for all service areas.
8. When service area selection is complete, click Save at the bottom of the page.
9. The selected subscriptions will load and a confirmation message will appear at the top of the page.

# WiCall

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Topic #257

## Enrollment Inquiries

WiCall is an [AVR \(Automated Voice Response\)](#) system that allows providers with touch-tone telephones direct access to enrollment information.

Information from WiCall will be returned in the following order if applicable to the member's current enrollment:

- Transaction number: A number will be given as a transaction confirmation that providers should keep for their records.
- Benefit enrollment: All benefit plans the member is enrolled in on the DOS (date of service) or within the [DOS range selected for the financial payer](#).
- County Code: The member's county code will be provided if available. The county code is a two-digit code between 01 and 72 that represents the county in which member resides. If the enrollment response reflects that the member resides in a designated HPSA (Health Personnel Shortage Area) on the DOS or within the DOS range selected, HPSA information will be given.
- MCO (managed care organization): All information about state-contracted MCO enrollment, including MCO names and telephone numbers (that exists on the DOS or within the DOS range selected), will be listed. This information is applicable to Medicaid and BadgerCare Plus members only.
- Hospice: If the member is enrolled in the hospice benefit on the DOS or within the DOS range that the provider selected, the hospice information will be given. This information is applicable to Medicaid and BadgerCare Plus members only.
- Lock-in: Information about the [Pharmacy Services Lock-In Program](#) that exists on the DOS or within the DOS range selected will be provided. This information is applicable to Medicaid, BadgerCare Plus, and SeniorCare members only.
- Medicare: All information about Medicare coverage, including type of coverage and Medicare number, if available, that exists on the DOS or within the DOS range selected will be listed.
- Other Commercial Insurance Coverage: All information about commercial coverage, including carrier names and telephone numbers, if available, that exists on the DOS or within the DOS range selected will be listed.
- Transaction Completed: After the member's enrollment information has been given using the financial payer that was selected, providers will be given the following options:
  - To hear the information again.
  - To request enrollment information for the same member using a different financial payer.
  - To hear another member's enrollment information using the same financial payer.
  - To hear another member's enrollment information using a different financial payer.
  - To return to the main menu.

WiCall is available 24 hours a day, seven days a week. If for some reason the system is unavailable, providers may call [Provider Services](#).

## Transaction Number

The AVR system issues a transaction number every time a provider verifies enrollment, even when an individual is *not* enrolled in BadgerCare Plus or Wisconsin Medicaid. The provider should retain this transaction number. It is proof that an inquiry was made about the member's enrollment. If a provider thinks a claim was denied in error, the provider can reference the transaction number to ForwardHealth to confirm the enrollment response that was actually given.

Topic #6257

## Entering Letters into WiCall

For some WiCall inquiries, health care providers are required to enter their taxonomy code with their NPI (National Provider Identifier). Because taxonomy codes are a combination of numbers and letters, telephone key pad combinations, shown in the table below, allow providers to successfully enter taxonomy code letters for WiCall functions (e.g., press \*21 to enter an "A," press \*72 to enter an "R").

| Letter | Key Combination | Letter | Key Combination |
|--------|-----------------|--------|-----------------|
| A      | *21             | N      | *62             |
| B      | *22             | O      | *63             |
| C      | *23             | P      | *71             |
| D      | *31             | Q      | *11             |
| E      | *32             | R      | *72             |
| F      | *33             | S      | *73             |
| G      | *41             | T      | *81             |
| H      | *42             | U      | *82             |
| I      | *43             | V      | *83             |
| J      | *51             | W      | *91             |
| K      | *52             | X      | *92             |
| L      | *53             | Y      | *93             |
| M      | *61             | Z      | *12             |

Additionally, providers may select option 9 and press "#" for an automated voice explanation of how to enter letters in WiCall.

Topic #466

## Information Available Via WiCall

WiCall, ForwardHealth's AVR (Automated Voice Response) system, gathers inquiry information from callers through voice prompts and accesses ForwardHealth interChange to retrieve and "speak" back the following ForwardHealth information:

- Claim status.
- Enrollment verification.
- PA (prior authorization) status.
- Provider CheckWrite information.

*Note:* ForwardHealth releases CheckWrite information to WiCall no sooner than on the first state business day following the financial cycle.

Providers are prompted to enter NPI (National Provider Identifier) or provider ID and in some cases, NPI-related data, to retrieve query information.

In all inquiry scenarios, WiCall offers the following options after information is retrieved and reported back to the caller:

- Repeat the information.
- Make another inquiry of the same type.
- Return to the main menu.

- Repeat the options.

## Claim Status

Providers may check the status of a specific claim by selecting the applicable program ("financial payer" option, i.e., Wisconsin Medicaid, WCDP (Wisconsin Chronic Disease Program), or WWWP (Wisconsin Well Woman Program) by entering their provider ID, member identification number, DOS (date of service), and the amount billed.

*Note:* Claim information for BadgerCare Plus and SeniorCare is available by selecting the Medicaid option.

## Enrollment Verification

Providers may request enrollment status for any date of eligibility the member has on file by entering their provider ID and the member ID. If the member ID is unknown, providers may enter the member's date of birth and SSN (Social Security number). Additionally, the provider is prompted to enter the "From DOS" and the "To DOS" for the inquiry. The "From" DOS is the earliest date the provider requires enrollment information and the "To" DOS must be within 365 days of the "From" DOS.

Each time a provider verifies member enrollment, the enrollment verification is saved and assigned a transaction number as transaction confirmation. Providers should note the transaction number for their records.

## Prior Authorization Status

Except in certain instances, providers may obtain the status of PA requests for Medicaid and WCDP via WiCall by entering their provider ID and the applicable PA number. If the provider does not know the PA number, there is an option to bypass entering the PA number and the caller will be prompted to enter other PA information such as member ID and type of service (i.e., NDC (National Drug Code), procedure code, revenue code, or ICD-9-CM (International Classification of Diseases, Ninth Revision, Clinical Modification) diagnosis code.) When a match is found, WiCall reports back the PA status information, including the PA number for future reference, and the applicable program.

Information on past PAs is retained indefinitely. Paper PAs require a maximum of 20 working days from receipt to be processed and incorporated into WiCall's PA status information.

*Note:* PA information for BadgerCare Plus and SeniorCare is available by selecting the Medicaid option.

Topic #765

# Quick Reference Guide

The WiCall [AVR \(Automated Voice Response\) Quick Reference Guide](#) displays the information available for WiCall inquiries.



## Automated Voice Response Quick Reference Guide

